1945. To readjust, compromise, and grant refunds and charge-backs on real property correction of errors by: County Legislature (Control No. 1033-2016). (Co. Exec.) BUDGET AND FINANCE

1946. To readjust, compromise, and grant refunds and charge-backs on real property correction of errors by: County Legislature (Control No. 1034-2016). (Co. Exec.) BUDGET AND FINANCE

1947. To readjust, compromise, and grant refunds and chargebacks on correction or errors/County Comptroller by: County Legislature No. 456. (Co. Exec.) BUDGET AND FINANCE

1948. Making a SEQRA determination in connection with the Proposed Invasive Species Eradication Project at Canaan Lake, Town of Brookhaven. (Pres. Off.) ENVIRONMENT, PLANNING AND AGRICULTURE

1949. Authorizing execution of an agreement by the Administrative Head of Suffolk County Sewer District No. 3 – Southwest and Ronkonkoma Hub (BR-1692). (Co. Exec.) PUBLIC WORKS, TRANSPORTATION AND ENERGY

1950. Amending the 2016 Adopted Operating Budget to accept and appropriate 100% additional State Aid from the New York State Office of Mental Health (NYS OMH) to the Association for Mental Health and Wellness for the purpose of continuing a pilot program in Suffolk County to assist veterans. (Co. Exec.) HEALTH

1951. Amending the 2016 Adopted Operating Budget to accept and appropriate 100% additional State Aid from the New York State Office of Mental Health (NYS OMH) to various contract agencies for a Cost-of-Living Adjustment (COLA). (Co. Exec.) HEALTH

1952. Amending the 2016 Adopted Operating Budget to accept and appropriate 100% additional Federal pass-through aid from the New York State Office of Mental Health (NYS OMH) to various contract agencies for Community Mental Health Services. (Co. Exec.) HEALTH

1953. Directing the Department of Parks, Recreation and Conservation to address illegal dumping in County Parks. (Pres. Off.) PARKS & RECREATION

1954. Accepting and appropriating 100% funding from the New York State Office of Children and Family Services (OCFS) to implement the TANF Non-Residential Domestic Violence Program in the Department of Social Services and authorizing the County Executive and the Commissioner of Social Services to execute a contract. (Co. Exec.) EDUCATION AND HUMAN SERVICES

1955. Accepting and appropriating a grant in the amount of $950,000 in federal pass-through and New York State funding from the New York State Department of Transportation for the Long Island Expressway High Occupancy Vehicle Lane Enforcement Program in Suffolk County with 100% support. (Co. Exec.) PUBLIC SAFETY

1956. Accepting and appropriating a grant from the New York State Division of Criminal Justice Services to the Suffolk County Department of Probation for the Parole Reentry Task Force Grant Program with 100% support. (Co. Exec.) PUBLIC SAFETY

1957. Amending the 2016 Operating Budget and appropriating funds in connection with bonding for a settlement for a liability case against the County. (Co. Exec.) BUDGET AND FINANCE

1958. Accepting and appropriating funds for a 100% U.S. Department of Labor Employment and Training Administration Grant for a project entitled – linking to employment activities pre-release specialized American Job Centers (AJCS) – 2 Grant. (Co. Exec.) SENIORS AND CONSUMER PROTECTION

1959. Authorizing execution of an agreement by the Administrative Head of Suffolk County Sewer District No. 3 – Southwest and 40 Melville Park Road (HU-1703). (Co. Exec.) PUBLIC WORKS, TRANSPORTATION AND ENERGY

1960. Authorizing execution of an agreement by the Administrative Head of Suffolk County Sewer District No. 16 – Yaphank Municipal and John J. Foley Facility (BR-1707). (Co. Exec.) PUBLIC WORKS, TRANSPORTATION AND ENERGY

1961. Authorizing execution of an agreement by the Administrative Head of Suffolk County Sewer District No. 3 – Southwest with 18 Lincoln Ave. (1477.1-014). (Co. Exec.) PUBLIC WORKS, TRANSPORTATION AND ENERGY

1962. Authorizing execution of an agreement by the Administrative Head of Suffolk County Sewer District No. 3 – Southwest with Wyandanch Village – Building E1 (1477.1-014). (Co. Exec.) PUBLIC WORKS, TRANSPORTATION AND ENERGY

1963. Authorizing execution of an agreement by the Administrative Head of Suffolk County Sewer District No. 3 – Southwest and 569 Broadhollow Rd. (HU-1700). (Co. Exec.) PUBLIC WORKS, TRANSPORTATION AND ENERGY

1964. Accepting and appropriating 100% federal pass-through grant funds from the NYS Division of Homeland Security and Emergency Services in the amount of $102,000 for the 2016 HazMat Grant Program administered by the Suffolk County Department of Fire, Rescue and Emergency Services and to execute grant related agreements. (Co. Exec.) PUBLIC SAFETY

1965. Accepting and appropriating 62% State Aid reimbursement funds awarded by the New York State Office of Children and Family Services to the Suffolk County Department of Probation for the Supervision and Treatment Services for Juveniles Program (STSJP) Program Year (PY) 2016-2017. (Co. Exec.) PUBLIC SAFETY

1966. Appropriating funds in connection with the Plant Operations Building – Grant Campus (CP 2144). (Co. Exec.) EDUCATION AND HUMAN SERVICES

1967. Appropriating funds in connection with the Warehouse Building – Eastern Campus (CP 2145). (Co. Exec.) EDUCATION AND HUMAN SERVICES

1968. Appropriating funds in connection with the rehabilitation of Guggenheim Lake (Deer Lake), Towns of Babylon and Islip (CP 8716). (D'Amaro) PUBLIC WORKS, TRANSPORTATION AND ENERGY

1969. Adopting Local Law No. -2016, A Local Law to update and strengthen Suffolk County's All-Terrain Vehicle Law. (Browning) PUBLIC SAFETY

1970. Transferring Assessment Stabilization Reserve funds to the Capital Fund, amending the 2016 Operating Budget, and appropriating funds for a SCADA (Surveillance Control And Data Acquisition) System for sanitary facilities in Suffolk County Sewer Districts (CP 8165). (Co. Exec.) PUBLIC WORKS, TRANSPORTATION AND ENERGY

1971. Appropriating funds in connection with the removal of toxic and hazardous materials in County parks (CP 7185). (Co. Exec.) PARKS & RECREATION

1972. Appropriating funds in connection with improvements to North Fork County Preserve (CP 7189). (Co. Exec.) PARKS & RECREATION

1973. Appropriating funds in connection with Building Safety Improvements (CP 1603). (Co. Exec.) PUBLIC WORKS, TRANSPORTATION AND ENERGY

1974. Appropriating funds in connection with Decommissioning and Demolition of County Facilities (CP 1665). (Co. Exec.) PUBLIC WORKS, TRANSPORTATION AND ENERGY

1975. Authorizing the County Executive to execute an agreement with the Guild of Administrative Officers, Suffolk County Community College, covering the terms and conditions of employment for employees covered under Bargaining Unit No. 4 for the period September 1, 2015 through August 31, 2019. (Co. Exec.) EDUCATION AND HUMAN SERVICES

1976. A resolution making certain Findings and Determinations and an order for the modification of the plan of service for Suffolk County Sewer District No. 18 – Hauppauge Industrial (CP 8126) and rescinding Resolution No. 484-2016. (Co. Exec.) PUBLIC WORKS, TRANSPORTATION AND ENERGY

1977. Appropriating funds in connection with Traffic Signal Improvements (CP 5054). (Co. Exec.) PUBLIC WORKS, TRANSPORTATION AND ENERGY

1978. Appropriating funds in connection with Assessment of Information System and Equipment for Public Works (CP 5060). (Co. Exec.) PUBLIC WORKS, TRANSPORTATION AND ENERGY

1979. Appropriating funds in connection with equipment for Public Works Material Testing Laboratory (CP 5141). (Co. Exec.) PUBLIC WORKS, TRANSPORTATION AND ENERGY

1980. Amending the 2016 Capital Budget and Program and appropriating funds in connection with Improvements to CR 99, Woodside Avenue, Town of Brookhaven (CP 5175). (Co. Exec.) PUBLIC WORKS, TRANSPORTATION AND ENERGY

1981. Appropriating funds in connection with Replacement of Dredge Support Equipment (CP 5201). (Co. Exec.) PUBLIC WORKS, TRANSPORTATION AND ENERGY

1982. Amending the 2016 Capital Budget and Program and appropriating additional funds in connection with Strengthening and Improving County Roads (CP 5014). (Co. Exec.) PUBLIC WORKS, TRANSPORTATION AND ENERGY

1983. Amending the Adopted 2016 Operating Budget increasing the Disability Employment Initiative (DEI) grant award from the New York State Department of Labor by appropriating funds as set forth in attached schedule. (Co. Exec.) SENIORS AND CONSUMER PROTECTION

1984. Authorizing the County Executive to execute an agreement with the Suffolk County Probation Officers Association covering the terms and conditions of employment for the period January 1, 2011 through December 31, 2016. (Co. Exec.) GOVERNMENT OPERATIONS, PERSONNEL, INFORMATION TECH & HOUSING

1985. Amending the 2016 Operating Budget to provide funding for the North Fork Television Festival. (Co. Exec.) BUDGET AND FINANCE

1986. Amending the 2016 Operating Budget and transferring funds to the Economic Opportunity Council–Brentwood Youth. (Co. Exec.) BUDGET AND FINANCE

1987. Amending the 2016 Adopted Capital Budget to accept and appropriate 100% grant funds from the New York State Department of Environmental Conservation for the Suffolk County Coastal Resiliency Initiative, Patchogue River Nitrogen Reduction Project (CP 8196) and to execute grant related agreements. (Co. Exec.) ENVIRONMENT, PLANNING AND AGRICULTURE

1988. Adopting Local Law No. -2016, A Local Law to enhance provisions of the item pricing law. (Co. Exec.) SENIORS AND CONSUMER PROTECTION

RESOLUTION NO. CONTROL#-1033-2016

Intro. Res. # 1945-16 Laid on Table 11/9/16

INTRODUCED BY PRESIDING OFFICER
ON REQUEST OF THE COUNTY EXECUTIVE

RESOLUTION NO. _____2015
TO READJUST, COMPROMISE, AND GRANT
REFUNDS AND CHARGE-BACKS ON REAL
PROPERTY CORRECTION OF ERRORS BY:
COUNTY LEGISLATURE (CONTROL #1033-2016)

WHEREAS, the Director of the Real Property Tax Service Agency, under appropriate sections of the Real Property Tax Law, will cause to have investigated and a determination made as to whether those submitted "Correction of Error" items which would amend the assessment and tax rolls shall be recommended for approval (or recommended for denial) to the Suffolk County Legislature, and

WHEREAS, the County Legislature of the County of Suffolk may cancel assessments, grant refunds of taxes in case of correction of errors on the assessment and tax rolls, and pursuant to the provisions of the Real Property Tax Law, and

WHEREAS, the properties represented by the tax item number and/or Suffolk County tax map number and indicated below have been duly investigated by the Real Property Tax Service Agency, and the procedures of the Real Property Tax Law having been fully complied with, together with documentation and amended tax statements placed on file with the County, as submitted by the appropriate Assessor and/or Receiver of Taxes, then

1. BE IT RESOLVED, that the taxes for the properties represented by the tax item number and/or Suffolk County Tax Map Number, as shown, for the year or year specified be readjusted and not refunded for $2931.19, but for $3601.01.

2. BE IT FURTHER RESOLVED, that the amount of such refund, if tax paid or charge-back, be made to the respective TOWN as provided by law.

RESOLUTION NO. CONTROL#-1033-2016

KEY	EXPLANATION	RPTL SEC	LIMITATIONS
A	Clerical Error	556	3 years
B	Unlawful Entry	556	3 years
C	Error in Essential Fact	556a	3 years

RESOLUTION NO. CONTROL#1033-2016

(A/B - Chapter 634 Laws 1976) (C - Chapter 124 Laws 1975)

Key	Town	Year	S.C. Tax Map No	Original Tax	Corrected Tax	Chargeback Refund, if Tax Paid
A	BROOKHAVEN	15/16	0200 10900 0500 009001	18700.57	15099.56	3601.01

As Provided and Requested By Town Assessor or Receiver of Taxes
APPROVED BY:

County Executive of Suffolk County Date of Approval:

1945

STATEMENT OF FINANCIAL IMPACT
OF PROPOSED SUFFOLK COUNTY LEGISLATION

1. Type of Legislation

Resolution X Local Law Charter Law

2. Title of Proposed Legislation

TO READJUST, COMPROMISE, AND GRANT REFUNDS AND CHARGE-BACKS ON REAL PROPERTY CORRECTION OF ERRORS

3. Purpose of Proposed Legislation Yes ____ No____

SEE NO. 2 ABOVE

4. Will the Proposed Legislation Have a Fiscal Impact? Yes No X

5. If the answer to item 4 is "yes," on what will it impact? (circle appropriate category)

County Town Economic Impact

Village School District Other (Specify):

Library District Fire District

6. If the answer to item 5 is "yes," Provide Detailed Explanation of Impact

N/A

7. Total Financial Cost of Funding over 5 Years on Each Affected Political or Other Subdivision.

N/A

8. Proposed Source of Funding

N/A

9. Timing of Impact

2015

Alyson L. Bartel

10. Typed Name & Title of Preparer 11. Signature of Preparer 12.Date October 3, 2016

A. Bartel RPAT I

COUNTY OF SUFFOLK

1945

STEVEN BELLONE
SUFFOLK COUNTY EXECUTIVE

REAL PROPERTY TAX SERVICE AGENCY

PENNY WELLS LAVALLE, MAI, CCIM, CCD

DIRECTOR

Memorandum

To: Jon Schneider, Deputy County Executive

From: Penny Wells LaValle, MAI, CCIM, CCD

Date: October 3, 2016

Re: Resolution Control No. 1033-2016

ATTACHED FOR YOUR REVIEW PLEASE FIND CORRECTION OF ERRORS CONTROL NO. 1033-2016

300 Center Drive
Riverhead, New York 11901-3398
Phone (631) 852-1550
Fax (631) 852-1566
Drafting Fax (631 852-2938

Additional back-up material regarding IR 1945 is on file in the Legislative Clerk's Office, Hauppauge.

RESOLUTION NO.

CONTROL#-1034-2016

Intro. Res. # 1946-16

Laid on Table 11/9/16

INTRODUCED BY PRESIDING OFFICER
ON REQUEST OF THE COUNTY EXECUTIVE

RESOLUTION NO. _____2015
TO READJUST, COMPROMISE, AND GRANT
REFUNDS AND CHARGE-BACKS ON REAL
PROPERTY CORRECTION OF ERRORS BY:
COUNTY LEGISLATURE (CONTROL #1034-2016)

WHEREAS, the Director of the Real Property Tax Service Agency, under appropriate sections of the Real Property Tax Law, will cause to have investigated and a determination made as to whether those submitted "Correction of Error" items which would amend the assessment and tax rolls shall be recommended for approval (or recommended for denial) to the Suffolk County Legislature, and

WHEREAS, the County Legislature of the County of Suffolk may cancel assessments, grant refunds of taxes in case of correction of errors on the assessment and tax rolls, and pursuant to the provisions of the Real Property Tax Law, and

WHEREAS, the properties represented by the tax item number and/or Suffolk County tax map number and indicated below have been duly investigated by the Real Property Tax Service Agency, and the procedures of the Real Property Tax Law having been fully complied with, together with documentation and amended tax statements placed on file with the County, as submitted by the appropriate Assessor and/or Receiver of Taxes, then

1. BE IT RESOLVED, that the taxes for the properties represented by the tax item number and/or Suffolk County Tax Map Number, as shown, for the year or year specified be readjusted or refunded in full or part in the amount set opposite each such parcel as herein indicated, and

2. BE IT FURTHER RESOLVED, that the amount of such refund, if tax paid or charge-back, be made to the respective TOWN as provided by law.

RESOLUTION NO. CONTROL#-1034-2016

KEY	EXPLANATION	RPTL SEC	LIMITATIONS
A	Clerical Error	556	3 years
B	Unlawful Entry	556	3 years
C	Error in Essential Fact	556a	3 years

RESOLUTION NO. CONTROL#1034-2016

(A/B - Chapter 634 Laws 1976) (C - Chapter 124 Laws 1975)

Key	Town	Year	S.C. Tax Map No	Original Tax	Corrected Tax	Chargeback Refund, if Tax Paid
A	BROOKHAVEN	15/16	0209 02300 0600 031000	4127.59	1487.01	2640.58
A	BROOKHAVEN	14/15	0209 02300 0600 031000	4017.35	1449.64	2567.71
A	ISLIP	15/16	0500 12000 0400 024000	36637.50	19540.00	17097.50
A	ISLIP	14/15	0500 12000 0400 024000	38908.45	22440.25	16468.20

As Provided and Requested By Town Assessor or Receiver of Taxes
APPROVED BY:

County Executive of Suffolk County Date of Approval:

1946

STEVEN BELLONE
SUFFOLK COUNTY EXECUTIVE

REAL PROPERTY TAX SERVICE AGENCY

PENNY WELLS LAVALLE, MAI, CCIM, CCD

DIRECTOR

Memorandum

To: Jon Schneider, Deputy County Executive

From: Penny Wells LaValle, MAI, CCIM, CCD

Date: October 11, 2016

Re: Resolution Control No. 1034-2016

ATTACHED FOR YOUR REVIEW PLEASE FIND CORRECTION OF ERRORS CONTROL NO. 1034-2016

300 Center Drive
Riverhead, New York 11901-3398
Phone (631) 852-1550
Fax (631) 852-1566
Drafting Fax (631 852-2938

1946

STATEMENT OF FINANCIAL IMPACT
OF PROPOSED SUFFOLK COUNTY LEGISLATION

1. Type of Legislation

Resolution X Local Law Charter Law

2. Title of Proposed Legislation

TO READJUST, COMPROMISE, AND GRANT REFUNDS AND CHARGE-BACKS ON REAL PROPERTY CORRECTION OF ERRORS

3. Purpose of Proposed Legislation Yes ____ No____

SEE NO. 2 ABOVE

4. Will the Proposed Legislation Have a Fiscal Impact? Yes No X

5. If the answer to item 4 is "yes," on what will it impact? (circle appropriate category)

County Town Economic Impact

Village School District Other (Specify):

Library District Fire District

6. If the answer to item 5 is "yes," Provide Detailed Explanation of Impact

N/A

7. Total Financial Cost of Funding over 5 Years on Each Affected Political or Other Subdivision.

N/A

8. Proposed Source of Funding

N/A

9. Timing of Impact

2015

Alison L. Bartel

10. Typed Name & Title of Preparer 11. Signature of Preparer 12. Date October 11, 2016

A. Bartel RPAT I

Additional back-up material regarding IR 1946 is on file in the Legislative Clerk's Office, Hauppauge.

Intro Res. No. 1947-16 Laid on Table 11/9/16

Introduced by Presiding Officer on Request of the County Executive

RESOLUTION NO. TO READJUST, COMPROMISE, AND GRANT REFUNDS AND CHARGEBACKS ON CORRECTION OR ERRORS/COUNTY COMPTROLLER BY: COUNTY LEGISLATURE **#456-16**

WHEREAS, the County Legislature of the County of Suffolk may cancel assessments and grant refunds of taxes, in the case of erroneous or improper assessments, pursuant to the provisions of the Real Property Tax Law and the Suffolk County Tax Act, and

WHEREAS, the properties represented by the item numbers or tax map numbers indicated below have been erroneously or improperly assessed as appears from the certificates of Assessors of the respective towns in which said properties are situated as described below and the procedures as provided in the Real Property Tax Law have been fully complied with, now, therefore, be it

RESOLVED, that the taxes for the properties represented by the item numbers or tax map numbers as shown for the year or years specified be readjusted or refunded in full or in part in the amount set opposite each such parcel as hereinafter indicated, and

BE IT FURTHER RESOLVED that the amount of such adjustment or refund be charged back to the respective town as provided by law.

Description	Year	Original Tax	Corrected Tax	Chargeback or Refund, if paid
0200-668.00-01.00-037.002 (ITEM #80-12412)	2014/15	$11,587.68	$562.80	$11,024.88
0200-688.00-01.00-008.000 (ITEM #80-15930)	2014/15	$7,602.19	$711.61	$6,890.58
0500-319.00-02.00-114.000	2014/15	$12,506.80	$0	$12,506.80
0500-348.00-02.00-001.002	2014/15	$13,484.97	$0	$13,484.97
0500-348.00-02.00-001.002	2015/16	$11,564.26	$0	$11,564.26
0500-393.00-03.00-073.000	2015/16	$32,866.41	$0	$32,866.41
0500-187.00-03.00-002.002	2015/16	$36,025.51	$0	$36,025.51
0500-113.00-02.00-049.008	2014/15	$5,872.10	$0	$5,872.10
0500-113.00-02.00-049.008	2015/16	$6,059.39	$0	$6,059.39
0500-113.00-02.00-049.009	2014/15	$30,843.28	$0	$30,843.28
0500-113.00-02.00-049.009	2015/16	$31,827.12	$0	$31,827.12

Dated:

Approved By:

Suffolk County Executive

Date of Approval:

1947

STATEMENT OF FINANCIAL IMPACT
OF PROPOSED SUFFOLK COUNTY LEGISLATION

1. Type of Legislation
   ResolutionXXX Local Law Charter Law

2. Title of Proposed Legislation
   To readjust, compromise and grant refunds and charge backs on Correction of Errors/County Comptroller By: County Comptroller

3. Purpose of Proposed Legislation
   To cancel or adjust taxes and make refunds and charge backs due to erroneous or improper assessments.

4. Will the Proposed Legislation Have a Fiscal Impact? **YES XXX** NO

5. If the answer to item 5 is "yes," on what will it impact? (Circle appropriate category)

County	**Town**	Economic Impact
Village	School District	Other (Specify):
Library District	Fire District	

6. If the answer to item 5 is "yes," Provide Detailed Explanation of Impact
   In the case of refunds, the County will initially refund the amount of the incorrect tax. Approximately 81% of the refunded amount will be charged back to the Town to be added to the subsequent year's tax warrant. The remainder will be a County charge. If the original tax is unpaid, the same procedure would apply, however, no County monies would be refunded and it will be charged back to the Town within twelve to eighteen months.

7. Total Financial Cost of Funding over 5 Years on Each Affected Political or Other Subdivision.
   Unknown

8. Proposed Source of Funding
   To be refunded from the County General Fund

9. Timing of Impact
   Variable

10. Typed Name & Title of Preparer 11. Signature of Preparer 12. Date

John M. Kennedy
County Comptroller

SUFFOLK COUNTY COMPTROLLER

1947

DEPARTMENT OF AUDIT AND CONTROL
330 CENTER DRIVE RIVERHEAD, N.Y. 11901-3311
Telephone: (631) 852-1500 FAX (631) 852-1507

John M. Kennedy, Jr.
Suffolk County Comptroller

MEMORANDUM

TO: Jon Schneider, Deputy County Executive

FROM: John M. Kennedy, Jr.

DATE: October 14, 2016

RE: RESOLUTION FOR CANCELLATION OF TAXES, CONTROL # 456-16

Enclosed please find a proposed resolution, which this office requests be submitted to the Suffolk County Legislature for approval.

Also enclosed is any back-up material pertaining to this request.

Should you need anything further, please contact me.

JK/tk
Enc.
Cc : Dennis M. Cohen, Chief Deputy County Executive
Lisa Santeramo, Deputy County Executive
Katie Horst, Director of Intergovernmental Relations

1948

Intro. Res. No. -2016
Introduced by the Presiding Officer

Laid on Table 11/9/16

RESOLUTION NO. -2016, MAKING A SEQRA DETERMINATION IN CONNECTION WITH THE PROPOSED INVASIVE SPECIES ERADICATION PROJECT AT CANAAN LAKE, TOWN OF BROOKHAVEN

WHEREAS, the Suffolk County Council on Environmental Quality (CEQ) reviewed a project designated as the "Proposed Invasive Species Eradication Project at Canaan Lake, Town of Brookhaven", pursuant to Local Law No. 22-1985, which project involves a phased approach to reduce the amount of invasive species in the Canaan Lake and to improve the lake's overall water quality; and

WHEREAS, Canaan Lake is a man-made 21 acre lake that has been significantly impacted with invasive aquatic species which are severely hampering the natural habitat and recreational uses of the lake; and

WHEREAS, the phased project approach includes the installation of a new culvert with an adjustable sluice gate which will allow for slow and controlled seasonal drawdown event(s) (anticipated to occur in the fall and winter months over an approximately two year period) of Canaan Lake; and

WHEREAS, the lake drawdown will be conducted to expose and potentially kill off some of the aquatic species and allow for the dry excavation and offsite disposal of some of the exposed built-up organic sediment; and

WHEREAS, after the excavation of the dry sediments the sluice gate will be gradually raised to allow for the slow and controlled refilling of Canaan Lake back to its normal water level; and

WHEREAS, depending on lake conditions and excavation results, the project may include more than one lake drawdown and excavation event; and

WHEREAS, an Environmental Assessment Form (EAF) was prepared and submitted to the CEQ office by the Suffolk County Department of Economic Development and Planning and a presentation was made by the Vice President of P.W. Grosser Consulting and subsequently sent out to all concerned parties; and

WHEREAS, at its October 19, 2016 meeting, the CEQ reviewed the EAF and information submitted by the Suffolk County Department of Economic Development and Planning; and

WHEREAS, the CEQ recommended that the above activity be considered a Type I Action, pursuant to the provisions of Title 6 NYCRR, Part 617 and Chapter 450 of the Suffolk County Code; and

WHEREAS, the CEQ has advised the County Legislature and the County Executive by memo dated October 20, 2016 of said recommendations; and

WHEREAS, Section 450-5(H) of the SUFFOLK COUNTY CODE requires the Presiding Officer to introduce legislation for an appropriate SEQRA determination; and

WHEREAS, the Suffolk County Legislature has reviewed the EAF and the CEQ recommendations; now, therefore, be it

1st **RESOLVED**, that this Legislature hereby determines that the Proposed Invasive Species Eradication Project at Canaan Lake, Town of Brookhaven constitutes a Type I Action, pursuant to the provisions of Title 6 NYCRR, Part 617 and Chapter 450 of the Suffolk County Code, that the proposed project will not have significant adverse impacts on the environment for the following reasons:

1) the proposed action will not exceed any of the criteria set forth in Title 6 NYCRR, Part 617.7, which sets forth thresholds for determining significant effect on the environment as demonstrated in the Environmental Assessment Form;

2) the proposal does not appear to significantly threaten any unique or highly valuable environmental or cultural resources as identified in or regulated by the Environmental Conservation Law of the State of New York or the Suffolk County Charter or the Suffolk County Code;

3) any and all required NYSDEC freshwater wetlands permits will be obtained as well as any and all required NYSDEC and/or Suffolk County Parks Department wetland mitigation/restoration plans and wildlife management plans;

4) the proposed project is being conducted in a phased approach which will allow for the evaluation of existing conditions and completed work to inform excavation procedures as well as possible future drawdown/excavation events;

5) all excavated materials will be sampled and disposed of in accordance with NYSDEC requirements;

6) the drawdown and refilling of Canaan Lake will be done at a slow and controlled rate and include downstream monitoring to avoid downstream flooding and to minimize turbidity increases; and

7) the proposed project is anticipated to result in a long term positive impact on the water quality in Canaan Lake by reducing the amount of invasive species and organic soft sediment which has built up in the lake;

and be it further

2nd **RESOLVED**, that a copy of this Resolution shall be filed with the Suffolk County Clerk, the initiating unit of said project, and with the CEQ; and be it further

3rd **RESOLVED**, that in accordance with Section C1-4(1)(d) of the SUFFOLK COUNTY CHARTER and Section 450-5(C)(4) of the SUFFOLK COUNTY CODE, the CEQ is

hereby directed to prepare and circulate a SEQRA notice of determination of non-significance in accordance with this Resolution.

DATED:

APPROVED BY:

_____

County Executive of Suffolk County

Date:

s:\res\s-invasive-species-canaan-lake

1949

Intro Res. No. -2016 Laid on Table 11/9/16

Introduced by Presiding Officer on request of the County Executive

RESOLUTION NO. -2016, AUTHORIZING EXECUTION OF AN AGREEMENT BY THE ADMINISTRATIVE HEAD OF SUFFOLK COUNTY SEWER DISTRICT NO. 3 – SOUTHWEST AND RONKONKOMA HUB (BR-1692)

WHEREAS, Ronkonkoma Hub in Ronkonkoma, New York is the proposed transit oriented development outside the boundary of Suffolk County Sewer District No. 3 – Southwest; and

WHEREAS, Ronkonkoma Hub has petitioned and requested the Administrative Head of the District for permission to discharge Four Hundred Thousand gallons per day (400,000 GPD); and

WHEREAS, it has been determined by the Administrative Head of the District that the District has wastewater treatment capacity of Four Hundred Thousand gallons per day (400,000 GPD) in excess of its own needs; and

WHEREAS, the connection is subject to the approval of the New York State Department of Environmental Conservation (NYSDEC); and

WHEREAS, the proposed flow has received the approval of the Suffolk County Sewer Agency (Resolution 31-2016) with a connection fee of Twelve Million Dollars ($12,000,000.00) for the said Four Hundred Thousand gallons per day (400,000 GPD) of capacity to the district; and

WHEREAS, pursuant to Title 6 NYCRR Part 617.5(c) (11) and (20), this project involves the extension of utility distribution facilities, including gas, electric, telephone, cable, water and sewer connections to render service in approved subdivisions or in connection with any action on this list; and routine or continuing agency administration and management, not including new programs or major reordering of priorities that may affect the environment; and

WHEREAS, it will be financially beneficial to Suffolk County Sewer District No. 3 – Southwest and Suffolk County, as well as in the environmental interest of all of Suffolk County, for the connection to be made; now therefore be it

1st **RESOLVED**, that pursuant to Section 617.5(c) (11) and (20), of the SEQRA regulations, the SEQRA requirements for this project have been met, and require no further action, now, therefore, be it further

2nd **RESOLVED**, that the Administrative Head of the District be and hereby is authorized, directed and empowered to enter into contracts and agreements with the developer for Ronkonkoma Hub upon such terms and conditions as he may deem necessary relating to connections to the District of lands adjacent to Suffolk County Sewer District No. 3 – Southwest and that they be required to post a surety bond or bonds and deposit cash or securities with the County Treasurer in those instances that the Administrative Head deems necessary to ensure performance of such agreements and contracts; and be it further

3rd **RESOLVED**, that the Suffolk County Department of Public Works is hereby authorized, empowered, and directed to take such action as may be Necessary, pursuant to section C8-2(L&M) of the Suffolk County Charter.

DATED:

APPROVED BY:

_____
County Executive of Suffolk County

Date:

1949

STATEMENT OF FINANCIAL IMPACT OF PROPOSED SUFFOLK COUNTY LEGISLATION

1. Type of Legislation

Resolution X Local Law _____ Charter Law _____

2. Title of Proposed Legislation

RESOLUTION NO. -2016, AUTHORIZING EXECUTION OF AGREEMENT BY THE ADMINISTRATIVE HEAD OF SUFFOLK COUNTY SEWER DISTRICT NO. 3 SOUTHWEST AND RONKONKOMA HUB (BR-1692)

3. Purpose of Proposed Legislation

To authorize execution of an agreement by the Administrative Head of Suffolk County Sewer District No. 3 Southwest and Ronkonkoma Hub (BR-1692), a transit oriented development seeking permission to discharge 400,000 GPD.

4. Will the Proposed Legislation Have a Fiscal Impact? Yes X No _____

5. If the answer to Item 4 is "yes," on what will it impact? (Circle appropriate category)

County	**Town**	**Economic Impact**
Village	**School District**	**Other (Specify):**
Library District	**Fire District**	• SCSD NO. 3– Southwest

6. If the answer to item 4 is "yes," Provide Detailed Explanation of Impact

The connection fee of Twelve Million Dollars ($12,000,000.00) ($30.00 per gallon per day), will be paid to the Sewer District.

7. Total Financial Cost of Funding over 5 Years on Each Affected Political or Other Subdivision.

NA

8. Proposed Source of Funding

NA

9. Timing of Impact

NA

10. Typed Name & Title of Preparer	11. Signature of Preparer	12. Date
Boris Rukovets, P.E. Special Projects Supervisor Theresa Lollo Budget Office		October 3, 2016 10/25/16

SCIN FORM 175b (10/95)

2016 PROPERTY TAX LEVY*
COST TO THE AVERAGE TAXPAYER

1949

GENERAL FUND

	2015 PROPERTY TAX LEVY	ESTIMATED 2016* COST TO AVG TAXPAYER	2015 AV TAX RATE PER $100	2015 FEV TAX RATE PER $1000
TOTAL	$0	$0.00		$0.000

POLICE DISTRICT AND DISTRICT COURT

	2015 PROPERTY TAX LEVY	ESTIMATED 2016* COST TO AVG TAXPAYER	2015 AV TAX RATE PER $100	2015 FEV TAX RATE PER $1000
TOTAL	$0	$0.00		$0.000

COMBINED

	2015 PROPERTY TAX LEVY	ESTIMATED 2016* COST TO AVG TAXPAYER	2015 AV TAX RATE PER $100	2015 FEV TAX RATE PER $1000
TOTAL	$0	$0.00		$0.000

** The Estimated 2016 Cost to Average Taxpayer is based upon the 2015 property tax levy and is provided for informational purposes only.*

NOTES:

1) SOURCE FOR NUMBER OF FAMILY PARCELS AND CORRESPONDING ASSESSED VALUATION: SUFFOLK COUNTY REAL PROPERTY, 2014.
2) SOURCE FOR TOTAL TAXABLE ASSESSED VALUATION FOR COUNTY PURPOSES: SCHEDULE A, REPORT OF ASSESSED VALUATION FOR 2014-2015.
3) SOURCE FOR EQUALIZATION RATES: 2014 COUNTY EQUALIZATION RATES ESTABLISHED BY THE NEW YORK STATE BOARD OF EQUALIZATION AND ASSESSMENTS.

To be completed by the Executive Budget Office

1949

SUFFOLK COUNTY SEWER AGENCY

RESOLUTION NO: 31 - 2016

AUTHORIZING THE FORMAL APPROVAL FOR THE CONNECTION OF RONKONKOMA HUB (BR-1692) TO SUFFOLK COUNTY SEWER DISTRICT NO. 3 - SOUTHWEST

WHEREAS, Ronkonkoma Hub is a proposed transit oriented development consisting of combination of residential units, office/medical and retail space and hospitality/conference/exhibition space in Ronkonkoma, New York, on a 53± acre property identified on the Suffolk County Tax Map as District 0200 Section 799.00 Block 03.00 Lots 032.000, 033.001, 033.002, 034.000, 035.000, 036.000, 037.000, 038.000, 039.000, 040.001, 040.002, 041.000, 042.000, 043.000, 044.000, 045.001, 049.000, 050.000, Block 04.00 Lots 044.000, 047.001, 048.000, 049.000, 051.001, 052.000, 053.000, 054.000; and District 0200 Section 800.00 Block 01.00 Lots 027.001, 028.000, 031.001, 033.001, 034.000, 035.007, 035.008, 035.009, 038.000, Block 02.00 Lots 009.000, 010.000, 011.000, 012.000, 013.000, 014.000, 015.000, 016.000, 017.000, 018.000, 019.000, 020.000, 021.000, 022.000, 023.000, 028.001, 028.003, 028.004.

WHEREAS, the Premises are not located within the boundaries of Suffolk County Sewer District No. 3 – Southwest (the "District"), or within the boundaries of any other municipal sewer district, and

WHEREAS, it is anticipated that Ronkonkoma Hub will generate a wastewater flow of Four Hundred Thousand gallons per day (400,000 GPD), and

WHEREAS, RONK HUB, LLC has applied to this Agency for permission to connect Ronkonkoma Hub to the sanitary sewerage facilities of the District, and

WHEREAS, the District's sewage treatment plant has sufficient capacity to accept the flow which is expected to emanate from Ronkonkoma Hub, and

WHEREAS, the connection of Ronkonkoma Hub to the District will be financially beneficial to the District, and environmentally beneficial to Suffolk County, and

WHEREAS, pursuant to Title 6 NYCRR Part 617.5(c) (11) and (20), this project involves the extension of utility distribution facilities, including gas, electric, telephone, cable, water and sewer connections to render service in approved subdivisions or in connection with any action on this list; and routine or continuing agency administration and management, not including new programs or major reordering of priorities that may affect the environment. No further action under SEQRA should be taken by the Sewer Agency, and

NOW, THEREFORE, IT IS

1st RESOLVED, that the SEQRA requirements for this project have been met, and the Town of Brookhaven has adopted a Findings Statement after establishing the action as Type I, and requires no further action, now, therefore, be it further

2nd RESOLVED, that Ronkonkoma Hub be permitted to connect to the sanitary sewerage facilities of the District, upon such terms and conditions as the Administrative Head of the District may impose, subject to the terms and conditions hereof, and it is further

3rd RESOLVED, that Four Hundred Thousand gallons per day (400,000 GPD), of capacity in the District's sewage treatment plant be allocated to Ronkonkoma Hub, and it is further

4th RESOLVED, that the connection authorized herein is subject to the approval of the Suffolk County Legislature and the New York State Department of Environmental Conservation, and it is further

5th RESOLVED, that the connection authorized herein is subject to the execution of an agreement (the "Connection Agreement") between Ronkonkoma Hub, the District, the Suffolk County Department of Public Works ("DPW"), the Suffolk County Department of Health Services, the County of Suffolk, and this Agency, which agreement shall contain such terms and conditions as the Administrative Head of the District shall determine, and it is further

6th RESOLVED, that the connection fee to be paid for Ronkonkoma Hub shall be paid upon the execution of the Connection Agreement at the rate of $30.00 per gallon of flow per day for a total of Twelve Million Dollars ($12,000,000.00), and it is further

7th RESOLVED, that Ronkonkoma Hub shall, at its sole cost, expense and effort, construct a sewage collection facility for the Ronkonkoma Hub project and shall offer to dedicate the said facility to this Agency, or to this Agency's nominee, at no charge, and it is further

8th RESOLVED, that Ronkonkoma Hub shall furnish a Letter of Credit, in form, wording and amount, and on such terms and conditions, as determined by this Agency's staff, as security for the construction of the sewage collection facility for Ronkonkoma Hub, as well as for all of the developer's obligations under the Connection Agreement, and it is further

9th RESOLVED, that this resolution shall become null and void, and of no further force or effect, without any further action by this Agency or notice to Ronkonkoma Hub if, within one (1) year from the date of the adoption hereof, an agreement in furtherance of the authorization granted herein (the Connection Agreement), in form and content satisfactory to the Chairman of this Agency, has not been negotiated and fully executed by all parties thereto.

(Suffolk County Sewer Agency Meeting – September 19, 2016)

1949

COUNTY OF SUFFOLK

STEVEN BELLONE
SUFFOLK COUNTY EXECUTIVE

DEPARTMENT OF PUBLIC WORKS

PHILIP A BERDOLT
DEPUTY COMMISSIONER

GILBERT ANDERSON, P.E.
COMMISSIONER

DARNELL TYSON, P.E.
DEPUTY COMMISSIONER

2016 Intergovernmental Relations Memorandum of Support

Title of Bill:

RESOLUTION NO. -2016, AUTHORIZING EXECUTION OF AGREEMENT BY THE ADMINISTRATIVE HEAD OF SUFFOLK COUNTY SEWER DISTRICT NO. 3 SOUTHWEST AND RONKONKOMA HUB (BR-1692)

Purpose or General Idea of Bill:

To authorize execution of an agreement by the Administrative Head of Suffolk County Sewer District No. 3 - Southwest and Ronkonkoma Hub (BR-1692), a transit oriented development seeking permission to discharge 400,000 GPD.

Summary of Specific Provisions:

Allow the connection of the project to SCSD No. 3 - Southwest

Justification:

Economic and environmental benefit

Fiscal Implications:

None

SCDPW Project: Ronkonkoma Hub **Project No.:** BR-1692

SUFFOLK COUNTY IS AN EQUAL OPPORTUNITY / AFFIRMATIVE ACTION EMPLOYER

335 YAPHANK AVENUE ■ YAPHANK, N.Y. 11980 ■ (631) 852-4204 FAX (631) 852-4659

COUNTY OF SUFFOLK

1949

STEVEN BELLONE
SUFFOLK COUNTY EXECUTIVE

DEPARTMENT OF PUBLIC WORKS

DARNELL TYSON, P.E.
DEPUTY COMMISSIONER

GILBERT ANDERSON, P.E.
COMMISSIONER

THOMAS G. VAUGHN
DEPUTY COMMISSIONER

MEMORANDUM

To: Jon Schneider, Deputy County Executive

From: Gilbert Anderson, P.E., Commissioner, SCDPW

Date: October 3, 2016

Subject: **RESOLUTION NO. -2016, AUTHORIZING EXECUTION OF AGREEMENT BY THE ADMINISTRATIVE HEAD OF SUFFOLK COUNTY SEWER DISTRICT NO. 3 – SOUTHWEST AND RONKONKOMA HUB (BR-1692)**

Attached is a draft resolution filed as **Reso-DPW-SA 31-2016 Ronkonkoma Hub (BR-1692)** and appropriate forms with the backup filed as **Backup- Reso-DPW-SA 31-2016 Ronkonkoma Hub (BR-1692) SCIN 175A&B**. This is a resolution authorizing the execution of a connection agreement by the Administrative Head of Suffolk County Sewer District No. 3 – Southwest with Ronkonkoma Hub (BR-1692).

Project Facts:

Type/units:	Up to 1450 garden apartments/condos; 360,000 SF office/medical; 195,000 SF retail; 60,000 SF flex space (hospitality/conf./exhibition)	Flow:	400,000 GPD
Area:	53±	SEQRA:	Complete
SCSD:	No. 3 – Southwest	Groundwater Zone:	I
SCTM No.	0200-799.00-0300-032.000, 033.001, 033.002, 034.000 through 039.000, 040.001, 040.002, 041.000, 042.000, 043.000, 044.000, 045.001, 049.000, 050.000; 0200-799.00-0400-044.000, 047.001, 048.000, 049.000, 051.001, 052.000, 053.000, 054.000; 0200-800.00-0200-009.000, 010.000 through 023.000, 028.001, 028.003, 028.004 & 0200-800.00-0100-027.001, 028.000, 031.001, 033.001, 034.000, 035.007, 035.008, 035.009, 038.000	Legislative District:	4th and 10th

cc: Dennis M. Cohen, Chief Deputy County Executive
Lisa Santeramo, Assistant Deputy County Executive
Katie Horst, Director of Intergovernmental Relations
John Donovan, P.E., SCDPW
Janice McGovern, P.E., SCDPW
Boris Rukovets, P.E., SCDPW
Chuck Jaquin, SCDPW
Robert A. Braun, Esq., SCDOL
Debra Kolyer, CE Office
Nick Paglia, Executive Analyst
CE Reso Review

1950

Intro. Res. No. - 2016 Laid on the Table 11/9/16
Introduced by Presiding Officer on request of the County Executive

RESOLUTION NO. - 2016, AMENDING THE 2016 ADOPTED OPERATING BUDGET TO ACCEPT AND APPROPRIATE 100% ADDITIONAL STATE AID FROM THE NEW YORK STATE OFFICE OF MENTAL HEALTH (NYS OMH) TO THE ASSOCIATION FOR MENTAL HEALTH AND WELLNESS FOR THE PURPOSE OF CONTINUING A PILOT PROGRAM IN SUFFOLK COUNTY TO ASSIST VETERANS

WHEREAS, the New York State Office of Mental Health (NYS OMH) has issued additional State Aid in the amount of $92,500 for a pilot program to assist veterans suffering from Post-Traumatic Stress Disorder (PTSD); and

WHEREAS, this funding was issued as multi-year funding and is available for use until June 30, 2018; and

WHEREAS, the NYS OMH has authorized Suffolk County to contract with The Association for Mental Health and Wellness to develop the project deliverables for the pilot program; and

WHEREAS; this additional funding is being authorized as a Special Legislative Grant for continuation of this program; and

WHEREAS, this funding is not currently included in the 2016 Adopted Operating Budget and needs to be appropriated; now, therefore be it

1st **RESOLVED**, that the County Comptroller be and hereby is authorized to accept and appropriate $92,500 in 100% additional State Aid as follows:

REVENUES:	AMOUNT
001-3493 Community Support Services	$92,500

ORGANIZATIONS:

Department of Health Services (HSV)
Division of Community Mental Hygiene Services
001-HSV-4332-4980

XORG	OBJECT NAME	2016 Adopted Budget	Increase/ Decrease	2016 Modified Budget
JQJ1	Association for Mental Health And Wellness Peer to Peer	$285,000	$92,500	$377,500

and be it further

2nd **RESOLVED**, that the County Executive be and hereby is authorized to execute a contract amendment with The Association for Mental Health and Wellness; and be it further

3rd **RESOLVED**, that this Legislature, being the lead agency under SEQRA and Chapter 279 of the Suffolk County Code, hereby determines that this resolution constitutes a Type II action.

DATED:

APPROVED BY:

_____
County Executive of Suffolk County
Date of Approval:

HSV# 69-2016

STATEMENT OF FINANCIAL IMPACT OF PROPOSED SUFFOLK COUNTY LEGISLATION

1950

1. Type of Legislation

Resolution X Local Law ____ Charter Law ____

2. Title of Proposed

Amending the 2016 Adopted Operating Budget to accept and appropriate 100% additional State Aid from the New York State Office of Mental Health (NYS OMH) to The Association for Mental Health and Wellness for the purpose of continuing a pilot program in Suffolk County to assist veterans.

3. Purpose or Proposed Legislation

This legislation is needed to accept and appropriate 100% additional State Aid from the New York State Office of Mental Health to The Association for Mental Health and Wellness for the continuation of a pilot program using individual and small group peer-to-peer counseling methods to assist veterans suffering from Post-Traumatic Stress Disorder (PTSD).

4. Will the Proposed Legislation Have a Fiscal Impact? **YES** ____ **NO** X

5. If the answer to item 4 is "yes", on what will it impact? (Circle appropriate category)

County	Town	Economic Impact
Village	School District	Other (Specify):
Library District	Fire District	

6. If the answer to item 4 is "yes", Provide Detailed Explanation of Impact:

Not applicable.

7. Total Financial Cost of Funding over 5 Years on Each Affected Political or Other Subdivision.

None

8. Proposed Source of Funding

100% State Aid from the New York State Office of Mental Health

9. Timing of Impact

Immediate upon approval of the resolution and execution of contract amendment with provider.

10. Typed Name & Title of Preparer	*11. Signature of Preparer*	*12. Date*
Susan B. Hodosky ***Principal Financial Analyst***	Susan B Hodosky	10/17/16
Suzanne Martin Pr Budget Examiner	Suzanne Martin	10-24-16

SCIN FORM 175b (10/95)

FINANCIAL IMPACT
2016 PROPERTY TAX LEVY*
COST TO THE AVERAGE TAXPAYER

1950

GENERAL FUND

	2015 PROPERTY TAX LEVY	ESTIMATED 2016* COST TO AVG TAXPAYER	2015 AV TAX RATE PER $100	2015 FEV TAX RATE PER $1000
TOTAL	$0	$0.00		$0.000

POLICE DISTRICT AND DISTRICT COURT

	2015 PROPERTY TAX LEVY	ESTIMATED 2016* COST TO AVG TAXPAYER	2015 AV TAX RATE PER $100	2015 FEV TAX RATE PER $1000
TOTAL	$0	$0.00		$0.000

COMBINED

	2015 PROPERTY TAX LEVY	ESTIMATED 2016* COST TO AVG TAXPAYER	2015 AV TAX RATE PER $100	2015 FEV TAX RATE PER $1000
TOTAL	$0	$0.00		$0.000

**** The Estimated 2016 Cost to Average Taxpayer is based upon the 2015 property tax levy and is provided for informational purposes only.***

NOTES:

1) SOURCE FOR NUMBER OF FAMILY PARCELS AND CORRESPONDING ASSESSED VALUATION: SUFFOLK COUNTY REAL PROPERTY, 2014.
2) SOURCE FOR TOTAL TAXABLE ASSESSED VALUATION FOR COUNTY PURPOSES: SCHEDULE A, REPORT OF ASSESSED VALUATION FOR 2014-2015.
3) SOURCE FOR EQUALIZATION RATES: 2014 COUNTY EQUALIZATION RATES ESTABLISHED BY THE NEW YORK STATE BOARD OF EQUALIZATION AND ASSESSMENTS.

To be completed by the Executive Budget Office

1950

COUNTY OF SUFFOLK

STEVEN BELLONE
SUFFOLK COUNTY EXECUTIVE

DEPARTMENT OF HEALTH SERVICES

JAMES L. TOMARKEN, MD, MPH, MBA, MSW
Commissioner

MEMORANDUM

To: James L. Tomarken, MD, MPH, MBA, MSW
Commissioner, Department of Health Services

From: **Ann Marie Csorny, LCSW**
Director, Division of Community Mental Hygiene Services

Date **October 5, 2016**

Subject: REQUEST FOR LEGISLATIVE RESOLUTION

The New York State Office of Mental Health has issued additional funding in the amount of $92,500 in 2016 for the continuation of a Pilot Program in Suffolk County to assist veterans suffering from Post-Traumatic Stress Disorder (PTSD). This funding is not currently included in the 2016 Suffolk County Operating Budget and needs to be added.

The Association for Mental Health & Wellness has been authorized by the New York State Office of Mental Health to contract with Suffolk County to develop project deliverables for this pilot program.

The Division is requesting a legislative resolution to add $92,500 in 100% State Aid to The Association for Mental Health & Wellness, so that they can continue to provide these critical services to veterans. I am attaching drafts of the fiscal impact statement, intro resolution and routing form as well as the Office of Mental Health's current 2016 state aid letter and the letter of support from the OMH Long Island Field Office

AF:HM
Enclosures
Cc: S. Hodosky, S.Reagan, D. Holtsford; B. Russo

Public Health
Prevent. Promote. Protect.

DIVISION OF COMMUNITY MENTAL HYGIENE
North County Complex, Building C928, 725 Veterans Highway, P.O. Box 6100, Hauppauge, NY 11788
(631) 853-8500 Fax (631) 853-3117

1950

ANDREW M. CUOMO
Governor

ANN MARIE T. SULLIVAN, M.D.
Commissioner

MARTHA A. CARLIN, PsyD
Director

October 4, 2016

Ms. Ann Marie Csorny, LCSW-R, Director
Suffolk County Department of Health Services
Division of Community Mental Hygiene Services
North County Complex - 725 Veterans Memorial Highway
Building C-928
Hauppauge, New York 11788

Re: Allocation of 100% State Aid for Veteran's Peer to Peer Initiative

Dear Ms. Csorny:

The New York State Office of Mental Health's 2016 State aid approval letter for the Suffolk County Department of Health Services, Division of Community Mental Hygiene Services includes an additional $92,500 in Legislative Addition-Veteran's Peer to Peer Initiative funds (OMH funding code 038F) to continue the pilot program for veterans suffering from Post-Traumatic Stress Disorder using individual and small group peer-to-peer counseling methods effective January 1, 2016. The New York State Senate Finance Committee has identified the Suffolk County Veterans Services Agency as the lead administering agency for this initiative. The OMH supports the allocation of these funds to The Association for Mental Health and Wellness to help facilitate this important initiative.

This funding will be closed out after June 30, 2018 and Program Code 1190 (Special Legislative Grant) should be used on all OMH financial reports. Please contact Mr. Shalendra Ramadhin at (631) 761-2508 should you have any questions.

Sincerely,

Martha Carlin, PsyD

Martha Carlin, PsyD.
Director-Long Island Field Office

cc: Helen Messemer
Shalendra Ramadhin
Barbara Russo

Aid to Localities Financial System

Attachment A
Funding Source Allocation Table
County Code: S2 County Name: Suffolk
Year: 2016

Funding Source	Code	Type	Prior Letter Allocation	Allocation Changes Since Prior Letter	Revised Current Fiscal Year Allocation	Annualized Value from Prior Letter	Annualized Value Changes from Prior Letter	Fiscal Year Revised Annualized Value	Beds
Local Assistance	001A	MHPFA	$146,580	$219	$146,799	$146,580	$292	$146,872	

Remarks

$219 represents the April- Dec 2016 value of the 0.2% COLA effective April 1, 2016. The full annual value is $292.

Community Support Services	014	MHPFA	$3,846,910	$801	$3,847,711	$3,844,308	$1,068	$3,845,376	

Remarks

$801 represents the April-December 2016 value of the 0.2% COLA on the CMHS Block Grant compliment (FSC041) effective April 1, 2016. The full annual value is $1,068.

Reduction of $28,618 in LFY 2016 (FAV$31,220) due to transfer of funds to 037A for 2/1/16 opening of wellness program.

Addition of $22,636 (FAV) for transfer of 1.1.15 and 4.1.15 compensation enhancements to permanent funding codes, in accordance with LGU direction. Detail of the increases has been previously provided to OMH Field Offices and LGUs.

Adult Case Management & ACT	034J	MHPFA	$980,964	$1,470	$982,434	$980,964	$1,960	$982,924	

Remarks

$1,470 represents the April- Dec 2016 value of the 0.2% COLA effective April 1, 2016. The full annual value is $1,960.

C&F Case Management	034K	MHPFA	$500,566	$774	$501,340	$516,296	$1,032	$517,328	

Remarks

$774 represents the April- Dec 2016 value of the 0.2% COLA effective April 1, 2016. The full annual value is $1,032.

Additional allocation of $47,190 (annualized) ($18,876 ICM and $28,314 SCM) related to State Aid Manager funding increase for Children's Case Management in support of the non-medicaid clients, effective 4/1/16.

CPEP	036	MHPFA	$0	$0	$0	$0	$0	$0	
Peer & Rehab. Sup.	037A	MHPFA	$664,019	$1,086	$665,105	$724,384	$1,448	$725,832	

Funding Source	Code	Type	Prior Letter Allocation	Allocation Changes Since Prior Letter	Revised Current Fiscal Year Allocation	Annualized Value from Prior Letter	Annualized Value Changes from Prior Letter	Fiscal Year Revised Annualized Value	Beds

Remarks

$1,086 represents the April- Dec 2016 value of the 0.2% COLA effective April 1, 2016. The full annual value is $1,448.

Addition of FAV$724,384 due to transfer of funds for the opening of for 2/1/16 wellness program. Program code 2750 (Recovery Center) should be used on all OMH financial reporting documents.

Addition of $664,019 in LFY 2016 (FAV$724,384) due to transfer of funds for 2/1/16 opening of wellness program. Program code 2750 (Recovery Center) should be used on all OMH financial reporting documents.

Addition of $664,019 in LFY 2016 (FAV$724,386) due to transfer of funds for 2/1/16 opening of wellness program. Program code 2750 (Recovery Center) should be used on all OMH financial reporting documents.

PROS State Aid	037P	MHPFA	$1,289,126	$1,915	$1,291,041	$1,281,376	$2,564	$1,283,940	

Remarks

$1,915 represents the April- Dec 2016 value of the 0.2% COLA effective April 1, 2016. The full annual value is $2,564.

Clarification of 4/1/16 reduction. Reduction of $38,750 in LFY 2016 (FAV$46,500) due to closure of Family Service League Journey House PROS on 3/1/16.

Reduction of $15,500 (FAV $46,500) due to closure of Family Service League Journey House PROS on 3/1/16.

Effective 1/1/2016, PROS Residual State Aid and PROS Vocational Initiative funding recalculated based upon monthly census data reported in CAIRS. CY 16 funding amounts are: Association for Mental Health and Wellness - Pollack Center for Recovery and Wellness State Aid $99,604 , Vocational Funding $94,432; Association for Mental Health and Wellness - Synergy Center for Recovery and Wellness State Aid $47,736, Vocational Funding $45,260; Family Service League, Inc. - Journey House State Aid $23,868, Vocational Funding $22,632; Federation of Organizations - Recovery Concepts at Babylon State Aid $38,100, Vocational Funding $36,120; Federation of Organizations - Recovery Concepts at Patchogue State Aid $61,508, Vocational Funding $58,312; Hands Across Long Island - HALI U State Aid $60,588, Vocational Funding $57,444; Family Service League, Inc. - Stepping Stones State Aid $27,084, Vocational Funding $25,676; Jewish Board of Family & Children Services ¿ Copiague State Aid $71,144, Vocational Funding $67,452; Maryhaven Center of Hope, Inc. - Maryhaven PROS East (Riverhead) State Aid $51,868, Vocational Funding $49,176; Pederson-Krag Center, Inc. - PK PROS East State Aid $86,292, Vocational Funding $81,812; Pederson-Krag Center, Inc. - PK PROS North State Aid $39,936, Vocational Funding $37,860; Skills Unlimited, Inc. - Success PROS State Aid $20,196, Vocational Funding $19,148; Phoenix Houses of Long Island, Inc. - Foundation for Integrated Recovery Services State Aid $34,428, Vocational Funding $32,640; Maryhaven Center of Hope, Inc. - Maryhaven PROS West (Yaphank) State Aid $19,280, Vocational Funding $18,280

Legislative Add: Veteran P2P Pilot Prog	038F	MHPFA	$285,000	$92,500	$377,500	$0	$92,500	$92,500	

1850

Funding Source	Code	Type	Prior Letter Allocation	Allocation Changes Since Prior Letter	Revised Current Fiscal Year Allocation	Annualized Value from Prior Letter	Annualized Value Changes from Prior Letter	Fiscal Year Revised Annualized Value	Beds

Remarks

2016-17 Legislative Add (001): This funding will be used for the Veteran Peer to Peer Support Pilot Program for veterans suffering from post-traumatic stress syndrome, other related combat stress disorders, or having other counseling needs, using individual and small group peer to peer counseling methods. The provider should use program code1190 (Special Legislative Grant) on all OMH financial reporting documents.

Last liability date is 10/1/16; no AV needed.

This is a Legislative grant ($285,000) as per the 2015-16 NYS Enacted Budget. This funding will be closed out after June 30, 2017. The funding is to support a pilot program for veterans suffering from PTSD using individual and small group peer-to-peer counseling methods. The program is to be administered by Suffolk County Veterans Service Agency. County should use Program Code 1190 (Special Legislative Grant) for OMH Financial Reporting.

Adult Family Support	039G	MHPFA	$24,260	$36	$24,296	$24,260	$48	$24,308	

Remarks

$36 represents the April- Dec 2016 value of the 0.2% COLA effective April 1, 2016. The full annual value is $48.

Forensics	039J	MHPFA	$84,000	$126	$84,126	$84,000	$168	$84,168	

Remarks

$126 represents the April- Dec 2016 value of the 0.2% COLA effective April 1, 2016. The full annual value is $168.

Effective 1/1/2016, additional funding of $84,000 (FAV) to create and/or expand transitional care coordination services focused on the forensic population at $6,000 per supported housing bed. Program code 1760 (Advocacy/Support Services) should be used on all OMH financial reporting documents.

Psych Rehab	039L	MHPFA	$4,797	$0	$4,797	$0	$0	$0	

Remarks

Reduction of $52,767 in LFY 2016 (FAV$57,564) due to transfer of funds to 037A for 2/1/16 opening of wellness program.

Clinical Infrastructure-Adult	039P	MHPFA	$827,700	$1,999	$829,699	$827,700	$2,664	$830,364	

1450

Funding Source	Code	Type	Prior Letter Allocation	Allocation Changes Since Prior Letter	Revised Current Fiscal Year Allocation	Annualized Value from Prior Letter	Annualized Value Changes from Prior Letter	Fiscal Year Revised Annualized Value	Beds

Remarks

$757 represents the April-December 2016 value of the 0.2% COLA on the CMHS Block Grant compliment (FSC041) effective April 1, 2016. The full annual value is $1,009.

$1,242 represents the April- Dec 2016 value of the 0.2% COLA effective April 1, 2016. The full annual value is $1,656.

Allocation increased by $15,014 (FAV=$30,028) from funding source code 200 (transfer) to support the SPOA program, per LGU request. Effective 01/01/2016.

The allocation funds an Adult Clinical Infrastructure program and is complemented with Federal CMHS Block Grant-Adult funds (FC 041).

Addition of $10,072 (FAV) for transfer of 1.1.15 and 4.1.15 compensation enhancements to permanent funding codes, in accordance with LGU direction. Detail of the increases has been previously provided to OMH Field Offices and LGUs.

Innovative Psychiatric Rehabilitation	039Q	MHPFA	$220,048	$330	$220,378	$220,048	$440	$220,488

Remarks

$330 represents the April- Dec 2016 value of the 0.2% COLA effective April 1, 2016. The full annual value is $440.

Addition of $2666(FAV=$5332) for transfer of 1.1.15 and 4.1.15 compensation enhancements to permanent funding codes, in accordance with LGU direction. Detail of the increases has been previously provided to OMH Field Offices and LGUs.

CMHS Block Grant Adult	041	F	$926,874	$139,553	$1,066,427	$926,874	$111,644	$1,038,518

1950

Funding Source	Code	Type	Prior Letter Allocation	Allocation Changes Since Prior Letter	Revised Current Fiscal Year Allocation	Annualized Value from Prior Letter	Annualized Value Changes from Prior Letter	Fiscal Year Revised Annualized Value	Beds

Remarks

The allocation includes funding for an Adult Clinical Infrastructure program ($504,301) and Community Support Programs ($534,217). These funds are complemented with Mental Hygiene Program Funds for Adult Clinical Infrastructure (FC 039P), and Commuinty Support Services (FC 014). Federal CMHS block grant funds are covered under CFDA Number 93.958. Please refer to OMH's Federal Funds Guidelines for important information about Federal certifications, audit reporting, monitoring, and restrictions and prohibitions on expenditures for both counties and subcontract providers.

CMHS block grant funding for the Community Support Services allocation is increased effective July 1, 2016 due to recent changes to New York State¿s Federal CMHS block grant allocation. The revised 2016 CMHS block grant allocation is $1,066,427 ($1,038,516 FAV).

The allocation includes funding for an Adult Clinical Infrastructure program ($504,301) and Community Support Programs ($422,573). These funds are complemented with Mental Hygiene Program Funds for Adult Clinical Infrastructure (FC 039P), and Commuinty Support Programs (FC 014). Federal CMHS block grant funds are covered under CFDA Number 93.958. Please refer to OMH's Federal Funds Guidelines for important information about Federal certifications, audit reporting, monitoring, and restrictions and prohibitions on expenditures for both counties and subcontract providers.

CMHS Block Grant C&F	044	F	$1,036,517	$137,504	$1,174,021	$1,036,517	$110,004	$1,146,521

Remarks

The allocation includes funding for a C&F Clinical Infrastructure program ($116,375), C&F Community Support Programs ($429,295), C&F Emergency Services ($225,000), and C&F MICA prevention programs ($375,851). These funds are complemented with General Funds for the C&F Clinical Infrastructure program (FC 046A), C&F Community Support Programs (FC 046L), and C&F Emergency Services (FC 046G). Federal CMHS block grant funds are covered under CFDA Number 93.958. Please refer to OMH's Federal Funds Guidelines for important information about Federal certifications, audit reporting, monitoring, and restrictions and prohibitions on expenditures for both counties and subcontract providers

CMHS block grant funding for the Community Support Services allocation is increased effective July 1, 2016 due to recent changes to New York State¿s Federal CMHS block grant allocation. The revised 2016 CMHS block grant allocation is $1,174,020 ($1,146,520 FAV).

The allocation includes funding for a C&F Clinical Infrastructure program ($116,375), C&F Community Support Programs ($319,291), C&F Emergency Services ($225,000), and C&F MICA prevention programs ($375,851). These funds are complemented with General Funds for the C&F Clinical Infrastructure program (FC 046A), C&F Community Support Programs (FC 046L), and C&F Emergency Services (FC 046G). Federal CMHS block grant funds are covered under CFDA Number 93.958. Please refer to OMH's Federal Funds Guidelines for important information about Federal certifications, audit reporting, monitoring, and restrictions and prohibitions on expenditures for both counties and subcontract providers

Clinical Infrastructure-C&F	046A	MHPFA	$272,992	$583	$273,575	$340,118	$912	$341,030

1450

Funding Source	Code	Type	Prior Letter Allocation	Allocation Changes Since Prior Letter	Revised Current Fiscal Year Allocation	Annualized Value from Prior Letter	Annualized Value Changes from Prior Letter	Fiscal Year Revised Annualized Value	Beds

Remarks

$175 represents the April-December 2016 value of the 0.2% COLA on the CMHS Block Grant compliment (FSC044) effective April 1, 2016. The full annual value is $233.

$408 represents the April- Dec 2016 value of the 0.2% COLA effective April 1, 2016. The full annual value is $546.

Addition of $656 (FAV) for transfer of 1.1.15 and 4.1.15 compensation enhancements to permanent funding codes, in accordance with LGU direction. Detail of the increases has been previously provided to OMH Field Offices and LGUs.

The allocation funds a C&F Clinical Infrastructure program

Emergency Services C&F	046G	MHPFA	$77,680	$455	$78,135	$77,680	$608	$78,288

Remarks

$338 represents the April-December 2016 value of the 0.2% COLA on the CMHS Block Grant compliment (FSC044) effective April 1, 2016. The full annual value is $450.

$117 represents the April- Dec 2016 value of the 0.2% COLA effective April 1, 2016. The full annual value is $156.

The allocation funds a Home-Based Crisis Intervention program.

Addition of $2,736 (FAV) for transfer of 1.1.15 and 4.1.15 compensation enhancements to permanent funding codes, in accordance with LGU direction. Detail of the increases has been previously provided to OMH Field Offices and LGUs.

Community Support Programs-C&F	046L	MHPFA	$1,556,340	$3,542	$1,559,882	$1,556,340	$4,724	$1,561,064

Remarks

$1,208 represents the April-December 2016 value of the 0.2% COLA on the CMHS Block Grant compliment (FSC044) effective April 1, 2016. The full annual value is $1,610.

$2,334 represents the April- Dec 2016 value of the 0.2% COLA effective April 1, 2016. The full annual value is $3,112.

The allocation includes funding for C&F Family Support Services, a Coordinated Children's Services Initiative program, community respite services, MICA prevention programs, and a School Based Mental Health program at the Huntington Intermediate, J. Taylor Finley Middle, and Woodhull Intermediate Schools in Huntington, NY, and the Riverhead Middle and Riverhead Alternative Schools in Riverhead, NY. This allocation is complemented with Federal CMHS Block Grant-C&F funds (FC 044).

Addition of $20,392 (FAV) for transfer of 1.1.15 and 4.1.15 compensation enhancements to permanent funding codes, in accordance with LGU direction. Detail of the increases has been previously provided to OMH Field Offices and LGUs.

Funding Source	Code	Type	Prior Letter Allocation	Allocation Changes Since Prior Letter	Revised Current Fiscal Year Allocation	Annualized Value from Prior Letter	Annualized Value Changes from Prior Letter	Fiscal Year Revised Annualized Value	Beds
PATH	048A	F	$256,638	$0	$256,638	$256,639	$0	$256,639	

Remarks

PATH funding to provide outreach, counseling and case management services to Suffolk County's homeless population. Federal PATH Grant funds are covered under CFDA Number 93.150. Counties and subcontract agencies are referred to OMH's Federal Funds Guidelines for important information about Federal certification, audit reporting, monitoring, and restrictions and prohibitions on expenditures.

2000 bed Capital Plan	072F	MHPFA	$533,035	$800	$533,835	$533,035	$1,068	$534,103	

Remarks

Includes increase related to the .2% COLA effective 4/1/16. Full annual value of the COLA is $1,066.

Supported Housing	078	MHPFA	$176,852	$266	$177,118	$176,852	$356	$177,208	

Remarks

Includes increase related to the .2% COLA effective 4/1/16. Full annual value of the COLA is $354.

Addition of $1,920 (FAV) for transfer of 1.1.15 and 4.1.15 compensation enhancements to permanent funding codes, in accordance with LGU direction. Detail of the increases has been previously provided to OMH Field Offices and LGUs.

CSP Miscellaneous	122	MHPFA	$636,242	$0	$636,242	$0	$0	$0	

Remarks

Vital Access Provider allocation of $248,000 to support one-time, non-recurring expenses for transition of Copiague clinic.

Allocation of $138,242 to support one-time, non-recurring start-up for transition of one (1) 68 slot ACT team.

Vital Access Provider allocation of $250,000 to support one-time, non-recurring expenses for transition of Central Islip clinic.

Expanded Community Support Adult	142A	MHPFA	$1,842,210	$2,915	$1,845,125	$2,125,142	$4,252	$2,129,394	

1950

Funding Source	Code	Type	Prior Letter Allocation	Allocation Changes Since Prior Letter	Revised Current Fiscal Year Allocation	Annualized Value from Prior Letter	Annualized Value Changes from Prior Letter	Fiscal Year Revised Annualized Value	Beds

Remarks

$2,915 represents the April- Dec 2016 value of the 0.2% COLA effective April 1, 2016. The full annual value is $4,252.

Allocation of $273,958 ($547,916 annualized) supports expansion of a Mobile Crisis Team ($136,420; $272,838 FAV) and expansion of a Mobile Residential Support Team ($137,539; $275,078 FAV), effective 7/1/2016. This team will support individuals during the transition process upon long term stay discharge. The program codes to be included on OMH's financial reports are Crisis Intervention (2680) for the Mobile Crisis Team expansion; and Advocacy/Support Services (1760) for the Mobile Residential Support Team expansion. These funds are part of the System Transformation Plan initiative which is intended to reduce the need for and length of costly psychiatric hospitalizations. The LGU is required to provide the OMH with monthly reports concerning the number of individuals and new individuals served by age group and county, month and year. Allocations may be adjusted based upon actual program performance.

Allocation supports: three mobile residential support teams ($758,740 annualized); a hospital alternative respite program ($532,590 annualized); a recovery center ($250,000 annualized), effective 7/1/2014; expansion of an existing 48 slot Assertive Community Treatment (ACT) Team to a 68 slot team ($35,896 annualized), effective 4/1/2016; and expansion of a Mobile Crisis Team ($272,840 annualized) and expansion of a Mobile Residential Support Team ($275,076 annualized), effective 7/1/2016. The program codes to be included on OMH's financial reports are: Advocacy/Support Services (1760), Non-Medicaid Care Coordination (2720), and Outreach (0690) for the residential support teams; Respite Services (0650) for the Hospital Alternative Respite program: Recovery Center (2750) for the East End Recovery Center; Assertive Community Treatment (0800) and Assertive Community Treatment Service Dollars (8810) for the ACT Team expansion; Crisis Intervention (2680) for the Mobile Crisis Team expansion; and Advocacy/Support Services (1760) for the Mobile Residential Support Team expansion. These funds are part of the System Transformation Plan initiative which is intended to reduce the need for and length of costly psychiatric hospitalizations. These funds must be reported separately on all OMH financial reports and must not be commingled with existing programs and OMH funding sources. The LGU is required to provide the OMH with monthly reports concerning the number of individuals and new individuals served by age group and county, month and year. Allocations may be adjusted based upon actual program performance.

Allocation increased by $26,922 ($35,896 FAV) due to expansion of one existing 48 slot ACT Team to a 68 slot ACT Team, effective 4/1/2016.

Allocation supports: three mobile residential support teams ($758,740 annualized); a hospital alternative respite program ($532,590 annualized); and a recovery center ($250,000 annualized), effective 7/1/2014. The 3 mobile support teams program codes to be included on OMH's financial reports are: Advocacy/Support Services (1760), Non-Medicaid Care Coordination (2720), and Outreach (0690). The Hospital Alternative Respite program code to be included on OMH's financial reports is Respite Services (0650). The East End Recovery Center program code to be included on OMH's financial reports is Recovery Center (2750). These funds are part of the System Transformation Plan initiative which is intended to reduce the need for and length of costly psychiatric hospitalizations. These funds must be reported separately on all OMH financial reports and must not be commingled with existing programs and OMH funding sources. The LGU is required to provide the OMH with monthly reports concerning the number of individuals and new individuals served by age group and county, month and year. Allocations may be adjusted based upon actual program performance.

Expanded Community Support C&Y	142B	MHPFA	$557,526	$837	$558,363	$557,527	$1,115	$558,642

1950

Funding Source	Code	Type	Prior Letter Allocation	Allocation Changes Since Prior Letter	Revised Current Fiscal Year Allocation	Annualized Value from Prior Letter	Annualized Value Changes from Prior Letter	Fiscal Year Revised Annualized Value	Beds

Remarks

$837 represents the April- Dec 2016 value of the 0.2% COLA effective April 1, 2016. The full annual value is $1,116.

Allocation funds 6 non-Medicaid care coordinators ($526,572 annualized) and 1.5 intensive case managers ($30,954 annualized), effective 7/1/2014. The 6 full time Non-Medicaid Care Coordinators program codes to be included on OMH's financial reports are: Non-Medicaid Care Coordination (2720) and Flexible Recipient Service Dollars (1230). The 1.5 C & F ICM managers program codes to be included on OMH's financial reports are: Intensive Case Management (1810) and ICM Service Dollars (1910). These funds are part of the System Transformation Plan initiative which is intended to reduce the need for and length of costly psychiatric hospitalizations. These funds must be reported separately on all OMH financial reports and must not be commingled with existing programs and OMH funding sources. The LGU is required to provide the OMH with monthly reports concerning the number of individuals and new individuals served by age group and county, month and year. Allocations may be adjusted based upon actual program performance.

Trans. Mgmt. Kendra's	170B	MHPFA	$203,464	$0	$203,464	$203,464	$0	$203,464	
MGP Admin Kendra's	170C	MHPFA	$52,020	$0	$52,020	$52,020	$0	$52,020	
Article 28&31 Closure Re-Invest. (Adult)	175A	MHPFA	$30,000	$45	$30,045	$30,000	$60	$30,060	

Remarks

$45 represents the April- Dec 2016 value of the 0.2% COLA effective April 1, 2016. The full annual value is $60.

The State aid allocation includes $30,000 (annualized) for peer support (to be reported as Advocacy/Support Services-1760), effective January 1, 2015. These funds are pursuant to the approved Article 28 closure reinvestment plan (Long Beach Medical Center/North Shore University Hospital/Partial Hospitalization Program operated by Pederson Krag). These funds must be reported separately on all OMH financial reports and must not be commingled with existing programs and OMH funding sources. The LGU is required to provide the OMH with monthly reports concerning the number of individuals and new individuals served by age group and county, month and year. Allocations may be adjusted based upon actual program performance.

Com. Reinvestment	200	MHPFA	$3,254,642	$4,803	$3,259,445	$3,201,676	$6,404	$3,208,080	

1050

Funding Source	Code	Type	Prior Letter Allocation	Allocation Changes Since Prior Letter	Revised Current Fiscal Year Allocation	Annualized Value from Prior Letter	Annualized Value Changes from Prior Letter	Fiscal Year Revised Annualized Value	Beds

Remarks

This adjustment reflects implementation of the .2% COLA included in the 2016-17 enacted NYS budget, effective 4/1/16. The October quarterly advance includes the increase amount for the period 4/1/16 - 12/31/16. Each quarter thereafter will receive one quarter of the value of the COLA increase.

Reduction of $15,014 (FAV=$30,028) for transfer to FC 039P. These funds have been identified by the LGU as underspent, and will serve to expand the funding of a SPOA program.

Reduction of $30,028 (FAV=$30,028) for transfer to FC 039P. These funds have been identified by the LGU as underspent, and will serve to expand the funding of a SPOA program.

Reduction of $582,634 in LFY 2016 (FAV$635,600) due to transfer of funds to 037A for 2/1/16 opening of wellness program.

Addition of $79,644 (FAV) for transfer of 1.1.15 and 4.1.15 compensation enhancements to permanent funding codes, in accordance with LGU direction. Detail of the increases has been previously provided to OMH Field Offices and LGUs.

Homeless/MICA	300	MHPFA	$768,947	$1,539	$770,486	$768,948	$2,047	$770,995	

Remarks

$384 represents the April- Dec 2016 value of the 0.2% COLA for PATH funding effective April 1, 2016. The full annual value is $512.

This adjustment reflects implementation of the .2% COLA included in the 2016-17 enacted NYS budget, effective 4/1/16. The October quarterly advance includes the increase amount for the period 4/1/16 - 12/31/16. Each quarter thereafter will receive one quarter of the value of the COLA increase.

Commissioner's Perf.	400	MHPFA	$475,012	$714	$475,726	$475,012	$952	$475,964	

Remarks

This adjustment reflects implementation of the .2% COLA included in the 2016-17 enacted NYS budget, effective 4/1/16. The October quarterly advance includes the increase amount for the period 4/1/16 - 12/31/16. Each quarter thereafter will receive one quarter of the value of the COLA increase.

Addition of $3,920 (FAV) for transfer of 1.1.15 and 4.1.15 compensation enhancements to permanent funding codes, in accordance with LGU direction. Detail of the increases has been previously provided to OMH Field Offices and LGUs.

Health Home	570	MHPFA	$3,712,120	$5,568	$3,717,688	$3,712,120	$7,424	$3,719,544	

Funding Source	Code	Type	Prior Letter Allocation	Allocation Changes Since Prior Letter	Revised Current Fiscal Year Allocation	Annualized Value from Prior Letter	Annualized Value Changes from Prior Letter	Fiscal Year Revised Annualized Value	Beds
Remarks									
$5,568 represents the April- Dec 2016 value of the 0.2% COLA effective April 1, 2016. The full annual value is $7,424									
Funding Reduction/COLA	965	MHPFA	$0	$0	$0	$0	$0	$0	
Remarks									
Effective 1/1/16, transfers from FSC 965 to permanent funding codes for 1.1.15 and 4.1.15 Direct Care/Direct Support Staff salary enhancements and 4.1.15 Clinical Staff salary enhancements.									
Grand Total:			**$25,243,081**	**$400,380**	**$25,643,461**	**$24,679,880**	**$355,754**	**$25,035,634**	

1450

1950

2016 Intergovernmental Relations
Memorandum of Support

TITLE OF BILL: Amending the 2016 Adopted Operating Budget to accept and appropriate 100% additional State Aid from the New York State Office of Mental Health (NYS OMH) to The Association for Mental Health and Wellness for the purpose of continuing a pilot program in Suffolk County to assist veterans.

PURPOSE OR GENERAL IDEA OF BILL: This legislation is needed to accept and appropriate 100% additional State Aid from the New York State Office of Mental Health to The Association for Mental Health and Wellness for the continuation of a pilot program using individual and small group peer-to-peer counseling methods to assist veterans suffering from Post-Traumatic Stress Disorder (PTSD).

SUMMARY OF SPECIAL PROVISIONS: None.

JUSTIFICATION: These additional funds will be allocated to the existing provider and will be used to continue counseling services for veterans who are suffering from post-traumatic stress disorder (PTSD).

FISCAL IMPLICATIONS: $92,500 in 100% additional State Aid will be added to the 2016 Adopted Operating Budget.

COUNTY OF SUFFOLK

1950

STEVEN BELLONE
SUFFOLK COUNTY EXECUTIVE

DEPARTMENT OF HEALTH SERVICES

JAMES L. TOMARKEN, MD, MPH, MBA, MSW
Commissioner

October 11, 2016

Jon Schneider, Deputy County Executive
County Executive's Office, 12th Floor
H. Lee Dennison Building
Veterans Memorial Highway
Hauppauge, NY 11788-0099

Dear Mr. Schneider:

I request the introduction of the enclosed Resolution to amend the 2016 Adopted Operating Budget to accept and appropriate 100% additional State Aid from the New York State Office of Mental Health to The Association for Mental Health and Wellness for the purpose of continuing a pilot program in Suffolk County to assist veterans. This pilot program uses individual and small group peer-to-peer counseling methods to assist veterans suffering from Post-Traumatic Stress Disorder (PTSD).

I enclose the financial impact statement and other materials for this Resolution. If you have any questions on the enclosed, please call Barbara Russo at 3-8533. Also, an e-mail version of this resolution was sent to CE RESO REVIEW and the file name is "Reso-HSV-MH Vets PTSD Additional Aid.docx."

Sincerely,

James Tomarken

James L. Tomarken, MD, MPH, MBA, MSW
Commissioner

Enclosures

C: Christina Capobianco, CPA, Deputy Commissioner
Barbara Marano, CPA, Executive Assistant for Finance & Administration
Jennifer Culp, MPA, Assistant to the Commissioner of Health Services
Ann Marie Csorny, Director, Division of Community Mental Hygiene Services
Barbara Russo, Principal Financial Analyst
Susan B. Hodosky, Principal Financial Analyst

Public Health
Prevent. Promote. Protect.

OFFICE OF THE COMMISSIONER
3500 Sunrise Highway, Suite 124, P. O. Box 9006, Great River, NY 11739-9006
Phone (631) 854-0000 Fax (631) 854-0108

1951

Intro. Res. No. - 2016

Laid on the Table 11/9/16

Introduced by Presiding Officer on request of the County Executive

RESOLUTION NO. - 2016, AMENDING THE 2016 ADOPTED OPERATING BUDGET TO ACCEPT AND APPROPRIATE 100% ADDITIONAL STATE AID FROM THE NEW YORK STATE OFFICE OF MENTAL HEALTH (NYS OMH) TO VARIOUS CONTRACT AGENCIES FOR A COST-OF-LIVING-ADJUSTMENT (COLA)

WHEREAS, the New York State Office of Mental Health (NYS OMH) has awarded additional State Aid in the amount of $26,918 for a 0.2% Cost-of-Living-Adjustment (COLA) effective April 1, 2016; and

WHEREAS, this 100% additional State Aid from the New York State Office of Mental Health is not currently included in the 2016 Adopted Operating Budget; now, therefore be it

1st **RESOLVED**, that the County Comptroller be and hereby is authorized to accept and appropriate $26,918 in additional State Aid as follows:

REVENUES:	AMOUNT
001-3493 Community Support Services	$26,918

ORGANIZATIONS:

Department of Health Services (HSV)
Division of Community Mental Hygiene Services
001-HSV-4330-4980

XORG	OBJECT NAME	2016 Adopted Budget	Increase/ Decrease	2016 Modified Budget
ADK2	Assn. for MHAW	$22,764	$34	$22,798
AGZ1	Family Service League	$176,741	$382	$177,123
AHH1	Family Service League	$215,494	$490	$215,984
AHH2	Family Service League	$37,375	$85	$37,460
AHM1	Federation of Org.	$557,615	$866	$558,481
AHN1	Federation of Org.	$8,810	$15	$8,825
AHO1	Federation of Org.	$446,863	$406	$447,269
AHP2	Federation of Org.	$785,997	$162	$786,159
AIM1	Hands Across L.I.	$108,902	$163	$109,065
AIN1	Hands Across L.I.	$205,203	$308	$205,511
ALC1	Maryhaven	$510,338	$107	$510,445
ALG3	Assn. for MHAW	$37,778	$57	$37,835
ALG4	Assn. for MHAW	$5,332	$8	$5,340
ALG5	Assn. for MHAW	$24,260	$36	$24,296
ANL2	Pederson Krag	$245,700	$331	$246,031
APK1	Sayville Project	$379,440	$574	$380,014
AQA2	Skills Unlimited	$479,681	$100	$479,781
CAD1	Family Service League	$164,797	$375	$165,172

DDD1	Assn. for MHAW	$77,305	$16	$77,321
GBD1	Federation of Org.	$350,622	$231	$350,853
GBF1	Assn. for MHAW	$80,828	$121	$80,949
GBF2	Assn. for MHAW	$26,955	$40	$26,995
GBG2	Federation of Org.	$34,107	$51	$34,158
GBG3	Federation of Org.	$116,892	$175	$117,067
GBQ1	Adelante of Suffolk	$63,100	$69	$63,169
GCY1	Federation of Org.	$39,014	$59	$39,073
GEC1	Pederson Krag	$90,417	$167	$90,584
GGF1	Pederson Krag	$442,249	$254	$442,503
GGG1	Pederson Krag	$304,801	$443	$305,244
GGH1	Pederson Krag	$14,900	$20	$14,920
GGQ1	Adelante of Suffolk	$54,256	$81	$54,337
GGR1	FREE	$165,792	$249	$166,041
GJP1	Federation of Org.	$727,955	$1,412	$729,367
GKR1	L.I. Families Together	$33,304	$76	$33,380
GKU1	Pederson Krag	$122,250	$140	$122,390
GNR1	Federation of Org.	$918,540	$1,390	$919,930
GNS1	Assn. for MHAW	$487,260	$737	$487,997
GNT1	Adelante of Suffolk	$49,663	$10	$49,673
GPA1	Federation of Org.	$171,657	$258	$171,915
GPB1	Phoenix House	$112,545	$169	$112,714
GPE1	Federation of Org.	$174,000	$210	$174,210
GPF1	Hands Across L.I.	$222,727	$232	$222,959
GPJ1	Family Service League	$304,004	$470	$304,474
GPK1	Family Service League	$111,582	$174	$111,756
GPM1	Pederson Krag	$153,308	$125	$153,433
GPN1	Pederson Krag	$90,417	$167	$90,584
GSV1	Federation of Org.	$690,366	$421	$690,787
GTL1	Federation of Org.	$48,130	$72	$48,202
GUR1	Federation of Org.	$994,893	$207	$995,100
GUT1	Family Service League	$1,267,544	$1,678	$1,269,222
GUU1	Pederson Krag	$227,010	$303	$227,313
GYZ1	FREE	$193,269	$467	$193,736
GZH1	Federation of Org.	$120,556	$250	$120,806
HAL1	Hands Across L.I.	$27,537	$41	$27,578
HAL2	Hands Across L.I.	$70,937	$106	$71,043
HYO1	Nassau Suffolk Law Svcs.	$199,761	$42	$199,803
JAO1	Assn. for MHAW	$194,036	$291	$194,327
JAP1	Assn. for MHAW	$92,996	$139	$93,135
JAR1	Family Service League	$52,760	$79	$52,839
JAS1	Family Service League	$7,750	$12	$7,762
JAT1	Federation of Org.	$74,220	$111	$74,331
JAU1	Federation of Org.	$119,820	$180	$120,000
JAV1	Hands Across L.I.	$118,032	$177	$118,209
JAW1	Maryhaven	$101,044	$151	$101,195
JAX1	Maryhaven	$37,560	$56	$37,616
JAY1	Pederson Krag	$126,078	$168	$126,246
JAZ1	Pederson Krag	$80,847	$108	$80,955
JDN1	Concern	$533,035	$800	$533,835
JGB1	Federation of Org.	$191,197	$287	$191,484

JGG1	Family Service League	$120,556	$250	$120,806
JIZ1	Skills Unlimited	$39,344	$59	$39,403
JJA1	Phoenix House	$67,068	$101	$67,169
JPE1	L.I. Home	$44,284	$66	$44,350
JPF1	Assn. for MHAW	$25,438	$38	$25,476
JUK1	Family Service League	$487,747	$926	$488,673
JWL1	EAC Network	$485,779	$346	$486,125
JWM1	Family & Children Assoc.	$214,495	$368	$214,863
JWN1	Family Service League	$248,752	$227	$248,979
JWO1	FREE	$572,591	$1,076	$573,667
JWP1	Assn. for MHAW	$250,000	$473	$250,473
JWR1	Federation of Org.	$127,046	$190	$127,236
JWT1	Concern	$597,565	$956	$598,521
JWU1	Options	$298,713	$478	$299,191
JWV1	Family Service League	$557,527	$837	$558,364
JWW1	Hands Across L.I.	$664,021	$1,086	$665,107
JXL1	FREE	$84,000	$126	$84,126
JXO1	PSCH	$81,900	$165	$82,065
JXP1	PSCH	$30,139	$83	$30,222
JXQ1	PSCH	$147,416	$127	$147,543
JXR1	PSCH	$101,600	$221	$101,821
JXS1	PSCH	$4,967	$10	$4,977
JXT1	PSCH	$52,250	$70	$52,320
JXU1	PSCH	$51,103	$63	$51,166
JXV1	PSCH	$30,139	$83	$30,222
JXX1	PSCH	$75,670	$152	$75,822
JXY1	PSCH	$42,026	$84	$42,110
JXZ1	PSCH	$26,949	$54	$27,003
JYA1	PSCH	$17,659	$4	$17,663
PKP1	Pederson Krag	$52,977	$8	$52,985

and be it further

2nd **RESOLVED**, that the County Executive be and hereby is authorized to execute contract amendments with all providers listed in this resolution; and be it further

3rd **RESOLVED**, that this Legislature, being the lead agency under SEQRA and Chapter 279 of the Suffolk County Code, hereby determines that this resolution constitutes a Type II action.

DATED:

APPROVED BY:

_____

County Executive of Suffolk County
Date of Approval:

HSV# 71-2016

STATEMENT OF FINANCIAL IMPACT OF PROPOSED SUFFOLK COUNTY LEGISLATION

1951

1. Type of Legislation

Resolution X Local Law ____ Charter Law ____

2. Title of Proposed Legislation

Amending the 2016 Adopted Operating Budget to accept and appropriate 100% additional State Aid from the New York State Office of Mental Health (NYS OMH) to various contract agencies for a Cost-of-Living-Adjustment (COLA).

3. Purpose or Proposed Legislation

This legislation is needed to accept and appropriate these additional funds for a 0.2% COLA to various contract agencies that provide mental health programs in Suffolk County.

4. Will the Proposed Legislation Have a Fiscal Impact? **YES** ____ **NO** X

5. If the answer to item 4 is "yes", on what will it impact? (Circle appropriate category)

County	Town	Economic Impact
Village	School District	Other (Specify):
Library District	Fire District	

6. If the answer to item 4 is "yes", Provide Detailed Explanation of Impact:

N/A

7. Total Financial Cost of Funding over 5 Years on Each Affected Political or Other Subdivision.

Not applicable

8. Proposed Source of Funding

100% State Aid from New York State Office of Mental Health.

9. Timing of Impact

Immediate upon approval of the resolution and execution of contract amendments with providers.

10. Typed Name & Title of Preparer	*11. Signature of Preparer*	*12. Date*
Susan B. Hodosky ***Principal Financial Analyst***	Susan B Hodosky	10/17/16
Suzanne Martin Pr Budget Examiner	Suzanne Martin	10-24-16

SCIN FORM 175b (10/95)

FINANCIAL IMPACT
2016 PROPERTY TAX LEVY*
COST TO THE AVERAGE TAXPAYER

1951

GENERAL FUND

	2015 PROPERTY TAX LEVY	ESTIMATED 2016* COST TO AVG TAXPAYER	2015 AV TAX RATE PER $100	2015 FEV TAX RATE PER $1000
TOTAL	$0	$0.00		$0.000

POLICE DISTRICT AND DISTRICT COURT

	2015 PROPERTY TAX LEVY	ESTIMATED 2016* COST TO AVG TAXPAYER	2015 AV TAX RATE PER $100	2015 FEV TAX RATE PER $1000
TOTAL	$0	$0.00		$0.000

COMBINED

	2015 PROPERTY TAX LEVY	ESTIMATED 2016* COST TO AVG TAXPAYER	2015 AV TAX RATE PER $100	2015 FEV TAX RATE PER $1000
TOTAL	$0	$0.00		$0.000

**** The Estimated 2016 Cost to Average Taxpayer is based upon the 2015 property tax levy and is provided for informational purposes only.***

NOTES:

1) SOURCE FOR NUMBER OF FAMILY PARCELS AND CORRESPONDING ASSESSED VALUATION: SUFFOLK COUNTY REAL PROPERTY, 2014.
2) SOURCE FOR TOTAL TAXABLE ASSESSED VALUATION FOR COUNTY PURPOSES: SCHEDULE A, REPORT OF ASSESSED VALUATION FOR 2014-2015.
3) SOURCE FOR EQUALIZATION RATES: 2014 COUNTY EQUALIZATION RATES ESTABLISHED BY THE NEW YORK STATE BOARD OF EQUALIZATION AND ASSESSMENTS.

To be completed by the Executive Budget Office

1951

COUNTY OF SUFFOLK

STEVEN BELLONE
SUFFOLK COUNTY EXECUTIVE

DEPARTMENT OF HEALTH SERVICES

JAMES L. TOMARKEN, MD, MPH, MBA, MSW
Commissioner

MEMORANDUM

To: James L. Tomarken, MD, MPH, MBA, MSW
Commissioner, Department of Health Services

From: Ann Marie Csomy, LCSW
Director, Division of Community Mental Hygiene Services

Date: **October 7, 2016**

Subject: Request for Legislative Resolution

The Division is requesting a Legislative Resolution to accept $26,918 in additional 100% state aid from the New York State Office of Mental Health for Cost of Living Adjustment (COLA) increases. This funding is to be allocated to various contract agencies per the attached OMH Field Office letter.

I am attaching drafts of the fiscal impact statement, intro resolution and routing form as well as the current OMH full state aid letter and Long Island Field Office letter which provide further specifics of the funding.

AMC/HM
Enclosures
Cc: S. Hodosky, S.Reagan, D. Holtsford, B. Russo

Public Health
Prevent. Promote. Protect.

DIVISION OF COMMUNITY MENTAL HYGIENE
North County Complex, Building C928, 725 Veterans Memorial Highway, P.O. Box 6100, Hauppauge, NY 11788
(631) 853-8500 FAX: (631) 853-3117

NEW YORK STATE OF OPPORTUNITY. | Long Island Field Office

1951

ANDREW M. CUOMO
Governor

ANN MARIE T. SULLIVAN, M.D.
Commissioner

MARTHA A. CARLIN, PsyD
Director

October 14, 2016

Ms. Ann Marie Csorny, LCSW-R, Director
Suffolk County Department of Health Services
Division of Community Mental Hygiene Services
725 Veterans Memorial Highway
North County Complex – C928
Hauppauge, NY 11788

RE: 0.2 Percent OMH COLA

Dear Ms. Csorny:

The New York State Office of Mental Health (OMH) is pleased to direct the addition of 2016 0.2% COLA to various programs and providers as set forth on the attached schedule totaling $26,918 for the period 4/1/2016 – 12/31/2016. These funds are included in your 2016 State Aid letter and represent 100 percent State participation rate with an effective date of April 1, 2016.

Please feel free to contact Shalendra Ramadhin at (631) 761-3334 or myself should you have any questions or concerns.

Sincerely,

Martha Carlin, PsyD

Martha Carlin, PsyD
Director – OMH Long Island Field Office

Cc: Shalendra Ramadhin
Barbara J. Russo
Helen Messemer

1951

Fund Code	Pseudo	Agency	Prog Code	Index Code	2016 Funding 4/1/16	COLA	COLA
1	HS LGU	HS DIRECT	0890	00	$ 146,580	$ 219	$ 219
01 Total					$ 146,580	$ 219	$ 219
14	GNT1	ADELANTE	0770	01	$ 49,663	$ 10	$ 10
14	AHP2	FEDERATION	0670	01	$ 117,307	$ 24	$ 24
14	AHP2	FEDERATION	0690	01	$ 547,483	$ 114	$ 114
14	AHO1	FEDERATION	0690	02	$ 81,587	$ 17	$ 17
14	AHP2	FEDERATION	1760	02	$ 114,795	$ 24	$ 24
14	GBD1	FEDERATION	1760	04	$ 227,969	$ 47	$ 47
14	AHP2	FEDERATION	1760	05	$ 6,412	$ -	$ -
14	GUR1	FEDERATION	0670	02	$ 994,893	$ 207	$ 207
14	HS AOT	HS DIRECT	1760	00	$ 114,967	$ 24	$ 24
14	HS CSS	HS DIRECT	2680	01	$ 257,698	$ 55	$ 55
14	HS CSS	HS DIRECT	0870	00	$ 63,473	$ 14	$ 14
14	ALC1	MARYHAVEN	0670	01	$ 253,038	$ 53	$ 53
14	ALC1	MARYHAVEN	6140	00	$ 257,300	$ 54	$ 54
14	DDD1	ASSOCIATION FOR MHAW	1760	05	$ 77,305	$ 16	$ 16
14	HYO1	NASSAU SUFFOLK LAW SRV	1760	02	$ 199,761	$ 42	$ 42
14	AQA4	SKILLS UNLIMITED	0670	00	$ 976	$ -	$ -
14	AQA2	SKILLS UNLIMITED	6140	01	$ 161,800	$ 34	$ 34
14	AQA2	SKILLS UNLIMITED	3340	01	$ 317,881	$ 66	$ 66
14 Total					$ 3,844,308	$ 801	$ 801
34J	GZH1	FEDERATION	0800	02	$ 87,388	$ 181	$ 181
34J	AHM1	FEDERATION	8810	00	$ 33,168	$ 69	$ 69
34J	GZH1	FEDERATION	8810	02	$ 33,168	$ 69	$ 69
34J	GPK1	FSL	0800	00	$ 62,548	$ 128	$ 128
34J	GPK1	FSL	8810	00	$ 22,112	$ 46	$ 46
34J	JGG1	FSL	0800	01	$ 87,388	$ 181	$ 181
34J	JGG1	FSL	8810	01	$ 33,168	$ 69	$ 69
34J	JWN1	FSL	0800	02	$ 80,106	$ 165	$ 165
34J	JWN1	FSL	8810	02	$ 30,404	$ 62	$ 62
34J	GEC1	PEDERSON KRAG	0800	00	$ 87,388	$ 181	$ 121
34J	JXP1	PSCH	0800	00	$ -	$ -	$ 60
34J	GEC1	PEDERSON KRAG	8810	00	$ 33,168	$ 69	$ 46
34J	JXP1	PSCH	8810	00	$ -	$ -	$ 23
34J	GPN1	PEDERSON KRAG	0800	00	$ 87,388	$ 181	$ 121
34J	JXV1	PSCH	0800	00	$ -	$ -	$ 60
34J	GPN1	PEDERSON KRAG	8810	00	$ 33,168	$ 69	$ 46
34J	JXV1	PSCH	8810	00	$ -	$ -	$ 23
34J	ASR1	SAYVILLE PROJECT	2720	00	$ 139,642	$ -	$ -
34J Total					$ 860,204	$ 1,470	$ 1,470
34K	AHM1	FEDERATION	2810	80	$ 13,468	$ 21	$ 21
34K	AHM1	FEDERATION	1910	80	$ 121,212	$ 187	$ 187
34K	GPJ1	FSL	6810	80	$ 243,414	$ 376	$ 376
34K	GPJ1	FSL	6910	80	$ 60,588	$ 94	$ 94
34K	HS ICMC	HS DIRECT	1810	80	$ 61,884	$ 96	$ 96
34K Total					$ 500,566	$ 774	$ 774
37A	JWW1	HALI	2750	01	$ 664,019	$ 1,086	$ 1,086
37A Total					$ 664,019	$ 1,086	$ 1,086
37P	JAO1	ASSOCIATION FOR MHAW	6340	01	$ 194,036	$ 291	$ 291
37P	JAP1	ASSOCIATION FOR MHAW	6340	02	$ 92,996	$ 139	$ 139

Fund Code	Pseudo	Agency	Prog Code	Index Code	2016 Funding 4/1/16	COLA	COLA
37P	JAT1	FEDERATION	6340	01	$ 74,220	$ 111	$ 111
37P	JAU1	FEDERATION	6340	00	$ 119,820	$ 180	$ 180
37P	JWR1	FEDERATION	6340	02	$ 127,046	$ 190	$ 190
37P	JAR1	FSL	6340	01	$ 52,760	$ 79	$ 79
37P	JAS1	FSL	6340	00	$ 7,750	$ 12	$ 12
37P	JAV1	HALI	6340	02	$ 118,032	$ 177	$ 177
37P	JAW1	MARYHAVEN	6340	00	$ 101,044	$ 151	$ 151
37P	JAX1	MARYHAVEN	6340	01	$ 37,560	$ 56	$ 56
37P	JAY1	PEDERSON KRAG	6340	00	$ 168,104	$ 252	$ 168
37P	JXY1	PSCH	6340	00	$ -	$ -	$ 84
37P	JAZ1	PEDERSON KRAG	6340	01	$ 77,796	$ 117	$ 78
37P	JXZ1	PSCH	6340	01	$ -	$ -	$ 39
37P	JJA1	PHOENIX HOUSE	6340	00	$ 67,068	$ 101	$ 101
37P	JIZ1	SKILLS UNLIMITED	6340	00	$ 39,344	$ 59	$ 59
37P Total					$ 1,277,678	$ 1,916	$ 1,918
39J	JXL1	FREE	1760	00	$ 84,000	126	126
39J Total					$ 84,000	126	126
39G	ALG5	ASSOCIATION FOR MHAW	1760	04	$ 24,260	$ 36	$ 36
39G Total					$ 24,260	$ 36	$ 36
39P	GSV1	FEDERATION	1400	00	$ 10,610	$ 26	$ 26
39P	GYZ1	FREE	1400	00	$ 193,269	$ 467	$ 467
39P	HS CAM	HS DIRECT	1400	00	$ 607,882	$ 1,468	$ 1,468
39P	JPF1	ASSOCIATION FOR MHAW	1400	00	$ 15,938	$ 38	$ 38
39P Total					$ 827,659	$ 1,999	$ 1,999
39Q	GGQ1	ADELANTE	0380	00	$ 54,256	$ 81	$ 81
39Q	GGR1	FREE	0380	00	$ 165,792	$ 249	$ 249
39Q Total					$ 220,048	$ 330	$ 330
46A	GSV1	FEDERATION	1400	00	$ 50,703	$ 108	$ 108
46A	GSV2	FEDERATION	1400	01	$ 134,252	$ 287	$ 287
46A	GPM1	PEDERSON KRAG	1400	00	$ 88,036	$ 188	$ 125
46A	JXU1	PSCH	1400	00	$ -	$ -	$ 63
46A Total					$ 272,991	$ 583	$ 583
46G	GUU1	PEDERSON KRAG	3040	00	$ 77,680	$ 455	$ 303
46G	JXX1	PSCH	3040	0	$ -	$ -	$ 152
46G Total					$ 77,680	$ 455	$ 455
46L	JWM1	FAMILY & CHILDREN ASSOC	1650	01	$ 161,589	$ 368	$ 368
46L	AGZ1	FSL	1760	00	$ 167,921	$ 382	$ 382
46L	AHH1	FSL	1650	00	$ 215,494	$ 490	$ 490
46L	AHH2	FSL	2990	00	$ 37,375	$ 85	$ 85
46L	CAD1	FSL	1650	01	$ 164,797	$ 375	$ 375
46L	JUK1	FSL	1510	00	$ 406,789	$ 926	$ 926
46L	HS ICMC	HS DIRECT	1810	80	$ -	$ -	$ -
46L	GKR1	LIFT	1650	00	$ 33,304	$ 76	$ 76
46L	GGF1	PEDERSON KRAG	1760	00	$ 77,344	$ 176	$ 117
46L	JXQ1	PSCH	1760	00	$ -	$ -	$ 59
46L	GGG1	PEDERSON KRAG	1650	00	$ 291,727	$ 664	$ 443
46L	JXR1	PSCH	1650	00	$ -	$ -	$ 221
46L Total					$ 1,556,340	$ 3,542	$ 3,542
72F	JDN1	CONCERN	8050	04	$ 177,679	$ 266	$ 266
72F	JDN1	CONCERN	8050	05	$ 177,678	$ 267	$ 267
72F	JDN1	CONCERN	8050	06	$ 177,678	$ 267	$ 267

Fund Code	Pseudo	Agency	Prog Code	Index Code	2016 Funding 4/1/16	COLA	COLA
72F Total					$ 523,055	$ 800	$ 800
78	GJP1	FEDERATION	0320	00	$ 88,575	$ 133	$ 133
78	GPB1	PHOENIX HOUSE	0320	00	$ 88,277	$ 133	$ 133
78 Total					$ 176,852	$ 266	$ 266
142A	JWO1	FREE	0650	00	$ 532,591	$ 1,008	$ 1,008
142A	GPE1	FEDERATION	2680	00	$ -	$ -	$ -
142A	GPF1	HALI	2680	01	$ -	$ -	$ -
142A	GKU1	PEDERSON KRAG	2680	00	$ -	$ -	$ -
142A	JXT1	PSCH	2680	00	$ -	$ -	$ -
142A	JWP1	ASSOCIATION FOR MHAW	2750	01	$ 250,000	$ 473	$ 473
142A	JWT1	CONCERN	1760	01	$ 252,914	$ 478	$ 478
142A	JWT1	CONCERN	1760	02	$ 252,913	$ 478	$ 478
142A	GPK1	FSL	0800	00	$ -	$ -	$ -
142A	GPK1	FSL	8810	00	$ -	$ -	$ -
142A	JWU1	OPTIONS	1760	00	$ 252,913	$ 478	$ 478
142A	HS HSV	HS DIRECT	9000	00	$ -	$ -	$ -
142A Total					$ 1,541,331	$ 2,915	$ 2,915
142B	JWV1	FSL	2720	01	$ 445,764	$ 669	$ 669
142B	JWV1	FSL	1230	80	$ 80,808	$ 121	$ 121
142B	JWV1	FSL	1810	80	$ 10,752	$ 17	$ 17
142B	JWV1	FSL	1910	80	$ 20,203	$ 30	$ 30
142B Total					$ 557,527	$ 837	$ 837
175A	JAZ1	PEDERSON KRAG	1760	04	$ 30,000	$ 45	$ 30
175A	JXZ1	PSCH	1760	04	$ -	$ -	$ 15
175A Total					$ 30,000	$ 45	$ 45
200	GBQ1	ADELANTE	1770	00	$ 45,953	$ 69	$ 69
200	ADK2	ASSOCIATION FOR MHAW	1760	01	$ 22,764	$ 34	$ 34
200	ALG3	ASSOCIATION FOR MHAW	1760	02	$ 37,778	$ 57	$ 57
200	ALG4	ASSOCIATION FOR MHAW	1760	03	$ 5,332	$ 8	$ 8
200	GBF1	ASSOCIATION FOR MHAW	1760	06	$ 80,828	$ 121	$ 121
200	GBF2	ASSOCIATION FOR MHAW	1760	07	$ 26,955	$ 40	$ 40
200	JWL1	EAC NETWORK	1970	00	$ 229,719	$ 345	$ 345
200	JWL1	EAC NETWORK	0860	00	$ 576	$ 1	$ 1
200	GTL1	FEDERATION	1230	80	$ 19,830	$ 30	$ 30
200	GTL1	FEDERATION	1230	00	$ 28,300	$ 42	$ 42
200	AHM1	FEDERATION	1760	09	$ 26,132	$ 39	$ 39
200	AHO1	FEDERATION	0690	02	$ 28,281	$ 42	$ 42
200	GBD1	FEDERATION	1760	04	$ 122,653	$ 184	$ 184
200	GBG2	FEDERATION	0650	01	$ 34,107	$ 51	$ 51
200	GBG3	FEDERATION	1770	00	$ 116,892	$ 175	$ 175
200	GCY1	FEDERATION	0650	02	$ 39,014	$ 59	$ 59
200	GPA1	FEDERATION	1760	07	$ 171,657	$ 258	$ 258
200	JGB1	FEDERATION	1760	01	$ 191,197	$ 287	$ 287
200	GPE1	FEDERATION	2680	00	$ 23,933	$ 36	$ 36
200	JWO1	FREE	0650	00	$ 7,216	$ 12	$ 12
200	AIM1	HALI	2770	02	$ 100,089	$ 150	$ 150
200	AIM1	HALI	1760	05	$ 8,813	$ 13	$ 13
200	AIN1	HALI	1760	02	$ 98,096	$ 147	$ 147
200	HAL1	HALI	1760	03	$ 27,537	$ 41	$ 41
200	HAL2	HALI	1770	01	$ 70,937	$ 106	$ 106
200	GPF1	HALI	2680	01	$ 20,877	$ 31	$ 31

Fund Code	Pseudo	Agency	Prog Code	Index Code	2016 Funding 4/1/16	COLA	COLA
200	HS AOT	HS DIRECT	1760	00	$ 155,516	$ 233	$ 233
200	HS CPEP	HS DIRECT	1680	00	$ 40,771	$ 62	$ 62
200	HS ICMA	HS DIRECT	2620	02	$ 26,978	$ 41	$ 41
200	HS LGURI	HS DIRECT	0860	00	$ 229,395	$ 345	$ 345
200	HS HSV	HS DIRECT	2100	00	$ 778,019	$ 1,167	$ 1,167
200	HS JAIL	HS DIRECT	2680	01	$ 25,520	$ 38	$ 38
200	JPE1	LI HOME	2200	00	$ 44,284	$ 66	$ 66
200	GGF1	PEDERSON KRAG	1760	00	$ 136,470	$ 205	$ 137
200	JXQ1	PSCH	1760	00	$ -	$ -	$ 68
200	GGH1	PEDERSON KRAG	1760	02	$ 19,867	$ 30	$ 20
200	JXS1	PSCH	1760	02	$ -	$ -	$ 10
200	GKU1	PEDERSON KRAG	2680	00	$ 126,476	$ 190	$ 127
200	JXT1	PSCH	2680	00	$ -	$ -	$ 63
200	PKP1	PEDERSON KRAG	1770	00	$ 8,027	$ 12	$ 8
200	JYA1	PSCH	1770	00	$ -	$ -	$ 4
200	GPB1	PHOENIX HOUSE	0320	00	$ 24,268	$ 36	$ 36
200 Total					$ 8,201,057	$ 4,803	$ 4,803
300	AHO1	FEDERATION	0690	02	$ 116,244	$ 233	$ 233
300	GJP1	FEDERATION	8050	00	$ 639,380	$ 1,279	$ 1,279
300	JWO1	FREE	0650	00	$ 13,323	$ 27	$ 27
300 Total					$ 768,947	$ 1,539	$ 1,539
400	AHN1	FEDERATION	1760	08	$ 8,810	$ 15	$ 15
400	AHO1	FEDERATION	0690	02	$ 76,113	$ 114	$ 114
400	GPE1	FEDERATION	2680	00	$ 116,067	$ 174	$ 174
400	JWO1	FREE	0650	00	$ 19,461	$ 29	$ 29
400	AIN1	HALI	1760	02	$ 107,107	$ 161	$ 161
400	GPF1	HALI	2680	01	$ 133,930	$ 201	$ 201
400	GKU1	PEDERSON KRAG	2680	00	$ 13,524	$ 20	$ 13
400	JXT1	PSCH	2680	00	$ -	$ -	$ 7
400 Total					$ 475,012	$ 714	$ 714
570	GNS1	ASSOCIATION FOR MHAW	2620	01	$ 332,404	$ 503	$ 503
570	GNS1	ASSOCIATION FOR MHAW	2740	01	$ 154,856	$ 234	$ 234
570	GNR1	FEDERATION	2620	03	$ 629,028	$ 952	$ 952
570	GNR1	FEDERATION	2740	03	$ 289,512	$ 438	$ 438
570	AHM1	FEDERATION	2740	00	$ 334,545	$ 506	$ 506
570	AHM1	FEDERATION	2850	01	$ 29,090	$ 44	$ 44
570	GUT1	FSL	2620	00	$ 749,245	$ 1,134	$ 1,134
570	GUT1	FSL	2740	00	$ 359,090	$ 544	$ 544
570	HS ICMA	HS DIRECT	2620	02	$ 93,184	$ 143	$ 143
570	ANL2	PEDERSON KRAG	2620	00	$ 219,872	$ 333	$ 222
570	JXO1	PSCH	2620	00	$ -	$ -	$ 111
570	ANL2	PEDERSON KRAG	2740	00	$ 107,728	$ 163	$ 109
570	JXO1	PSCH	2620	00	$ -	$ -	$ 54
570	APK1	SAYVILLE PROJECT	2620	00	$ 258,248	$ 391	$ 391
570	APK1	SAYVILLE PROJECT	2740	00	$ 121,192	$ 183	$ 183
570 Total					$ 3,677,994	$ 5,568	$ 4,668
Grand Total							

NEW YORK STATE OF OPPORTUNITY. | Office of Mental Health

Aid to Localities Financial System

Attachment A
Funding Source Allocation Table
County Code: S2 County Name: Suffolk
Year: 2016

Funding Source	Code	Type	Prior Letter Allocation	Allocation Changes Since Prior Letter	Revised Current Fiscal Year Allocation	Annualized Value from Prior Letter	Annualized Value Changes from Prior Letter	Fiscal Year Revised Annualized Value	Beds
Local Assistance	001A	MHPFA	$146,580	$219	$146,799	$146,580	$292	$146,872	

Remarks

$219 represents the April- Dec 2016 value of the 0.2% COLA effective April 1, 2016. The full annual value is $292.

Community Support Services	014	MHPFA	$3,846,910	$801	$3,847,711	$3,844,308	$1,068	$3,845,376	

Remarks

$801 represents the April-December 2016 value of the 0.2% COLA on the CMHS Block Grant compliment (FSC041) effective April 1, 2016. The full annual value is $1,068.

Reduction of $28,618 in LFY 2016 (FAV$31,220) due to transfer of funds to 037A for 2/1/16 opening of wellness program.

Addition of $22,636 (FAV) for transfer of 1.1.15 and 4.1.15 compensation enhancements to permanent funding codes, in accordance with LGU direction. Detail of the increases has been previously provided to OMH Field Offices and LGUs.

Adult Case Management & ACT	034J	MHPFA	$980,964	$1,470	$982,434	$980,964	$1,960	$982,924	

Remarks

$1,470 represents the April- Dec 2016 value of the 0.2% COLA effective April 1, 2016. The full annual value is $1,960.

C&F Case Management	034K	MHPFA	$500,566	$774	$501,340	$516,296	$1,032	$517,328	

Remarks

$774 represents the April- Dec 2016 value of the 0.2% COLA effective April 1, 2016. The full annual value is $1,032.

Additional allocation of $47,190 (annualized) ($18,876 ICM and $28,314 SCM) related to State Aid Manager funding increase for Children's Case Management in support of the non-medicaid clients, effective 4/1/16.

CPEP	036	MHPFA	$0	$0	$0	$0	$0	$0	
Peer & Rehab. Sup.	037A	MHPFA	$664,019	$1,086	$665,105	$724,384	$1,448	$725,832	

Funding Source	Code	Type	Prior Letter Allocation	Allocation Changes Since Prior Letter	Revised Current Fiscal Year Allocation	Annualized Value from Prior Letter	Annualized Value Changes from Prior Letter	Fiscal Year Revised Annualized Value	Beds

Remarks

$1,086 represents the April- Dec 2016 value of the 0.2% COLA effective April 1, 2016. The full annual value is $1,448.

Addition of FAV$724,384 due to transfer of funds for the opening of for 2/1/16 wellness program. Program code 2750 (Recovery Center) should be used on all OMH financial reporting documents.

Addition of $664,019 in LFY 2016 (FAV$724,384) due to transfer of funds for 2/1/16 opening of wellness program. Program code 2750 (Recovery Center) should be used on all OMH financial reporting documents.

Addition of $664,019 in LFY 2016 (FAV$724,386) due to transfer of funds for 2/1/16 opening of wellness program. Program code 2750 (Recovery Center) should be used on all OMH financial reporting documents.

PROS State Aid	037P	MHPFA	$1,289,126	$1,915	$1,291,041	$1,281,376	$2,564	$1,283,940	

Remarks

$1,915 represents the April- Dec 2016 value of the 0.2% COLA effective April 1, 2016. The full annual value is $2,564.

Clarification of 4/1/16 reduction. Reduction of $38,750 in LFY 2016 (FAV$46,500) due to closure of Family Service League Journey House PROS on 3/1/16.

Reduction of $15,500 (FAV $46,500) due to closure of Family Service League Journey House PROS on 3/1/16.

Effective 1/1/2016, PROS Residual State Aid and PROS Vocational Initiative funding recalculated based upon monthly census data reported in CAIRS. CY 16 funding amounts are: Association for Mental Health and Wellness - Pollack Center for Recovery and Wellness State Aid $99,604 , Vocational Funding $94,432; Association for Mental Health and Wellness - Synergy Center for Recovery and Wellness State Aid $47,736, Vocational Funding $45,260; Family Service League, Inc. - Journey House State Aid $23,868, Vocational Funding $22,632; Federation of Organizations - Recovery Concepts at Babylon State Aid $38,100, Vocational Funding $36,120; Federation of Organizations - Recovery Concepts at Patchogue State Aid $61,508, Vocational Funding $58,312; Hands Across Long Island - HALI U State Aid $60,588, Vocational Funding $57,444; Family Service League, Inc. - Stepping Stones State Aid $27,084, Vocational Funding $25,676; Jewish Board of Family & Children Services ¿ Copiague State Aid $71,144, Vocational Funding $67,452; Maryhaven Center of Hope, Inc. - Maryhaven PROS East (Riverhead) State Aid $51,868, Vocational Funding $49,176; Pederson-Krag Center, Inc. - PK PROS East State Aid $86,292, Vocational Funding $81,812; Pederson-Krag Center, Inc. - PK PROS North State Aid $39,936, Vocational Funding $37,860; Skills Unlimited, Inc. - Success PROS State Aid $20,196, Vocational Funding $19,148; Phoenix Houses of Long Island, Inc. - Foundation for Integrated Recovery Services State Aid $34,428, Vocational Funding $32,640; Maryhaven Center of Hope, Inc. - Maryhaven PROS West (Yaphank) State Aid $19,280, Vocational Funding $18,280

Legislative Add: Veteran P2P Pilot Prog	038F	MHPFA	$285,000	$92,500	$377,500	$0	$92,500	$92,500	

Funding Source	Code	Type	Prior Letter Allocation	Allocation Changes Since Prior Letter	Revised Current Fiscal Year Allocation	Annualized Value from Prior Letter	Annualized Value Changes from Prior Letter	Fiscal Year Revised Annualized Value	Beds

Remarks

2016-17 Legislative Add (001): This funding will be used for the Veteran Peer to Peer Support Pilot Program for veterans suffering from post-traumatic stress syndrome, other related combat stress disorders, or having other counseling needs, using individual and small group peer to peer counseling methods. The provider should use program code1190 (Special Legislative Grant) on all OMH financial reporting documents.

Last liability date is 10/1/16; no AV needed.

This is a Legislative grant ($285,000) as per the 2015-16 NYS Enacted Budget. This funding will be closed out after June 30, 2017. The funding is to support a pilot program for veterans suffering from PTSD using individual and small group peer-to-peer counseling methods. The program is to be administered by Suffolk County Veterans Service Agency. County should use Program Code 1190 (Special Legislative Grant) for OMH Financial Reporting.

Adult Family Support	039G	MHPFA	$24,260	$36	$24,296	$24,260	$48	$24,308

Remarks

$36 represents the April- Dec 2016 value of the 0.2% COLA effective April 1, 2016. The full annual value is $48.

Forensics	039J	MHPFA	$84,000	$126	$84,126	$84,000	$168	$84,168

Remarks

$126 represents the April- Dec 2016 value of the 0.2% COLA effective April 1, 2016. The full annual value is $168.

Effective 1/1/2016, additional funding of $84,000 (FAV) to create and/or expand transitional care coordination services focused on the forensic population at $6,000 per supported housing bed. Program code 1760 (Advocacy/Support Services) should be used on all OMH financial reporting documents.

Psych Rehab	039L	MHPFA	$4,797	$0	$4,797	$0	$0	$0

Remarks

Reduction of $52,767 in LFY 2016 (FAV$57,564) due to transfer of funds to 037A for 2/1/16 opening of wellness program.

Clinical Infrastructure-Adult	039P	MHPFA	$827,700	$1,999	$829,699	$827,700	$2,664	$830,364

151

Funding Source	Code	Type	Prior Letter Allocation	Allocation Changes Since Prior Letter	Revised Current Fiscal Year Allocation	Annualized Value from Prior Letter	Annualized Value Changes from Prior Letter	Fiscal Year Revised Annualized Value	Beds

Remarks

$757 represents the April-December 2016 value of the 0.2% COLA on the CMHS Block Grant compliment (FSC041) effective April 1, 2016. The full annual value is $1,009.

$1,242 represents the April- Dec 2016 value of the 0.2% COLA effective April 1, 2016. The full annual value is $1,656.

Allocation increased by $15,014 (FAV=$30,028) from funding source code 200 (transfer) to support the SPOA program, per LGU request. Effective 01/01/2016.

The allocation funds an Adult Clinical Infrastructure program and is complemented with Federal CMHS Block Grant-Adult funds (FC 041).

Addition of $10,072 (FAV) for transfer of 1.1.15 and 4.1.15 compensation enhancements to permanent funding codes, in accordance with LGU direction. Detail of the increases has been previously provided to OMH Field Offices and LGUs.

Innovative Psychiatric Rehabilitation	039Q	MHPFA	$220,048	$330	$220,378	$220,048	$440	$220,488

Remarks

$330 represents the April- Dec 2016 value of the 0.2% COLA effective April 1, 2016. The full annual value is $440.

Addition of $2666(FAV=$5332) for transfer of 1.1.15 and 4.1.15 compensation enhancements to permanent funding codes, in accordance with LGU direction. Detail of the increases has been previously provided to OMH Field Offices and LGUs.

CMHS Block Grant Adult	041	F	$926,874	$139,553	$1,066,427	$926,874	$111,644	$1,038,518

Funding Source	Code	Type	Prior Letter Allocation	Allocation Changes Since Prior Letter	Revised Current Fiscal Year Allocation	Annualized Value from Prior Letter	Annualized Value Changes from Prior Letter	Fiscal Year Revised Annualized Value	Beds

Remarks

The allocation includes funding for an Adult Clinical Infrastructure program ($504,301) and Community Support Programs ($534,217). These funds are complemented with Mental Hygiene Program Funds for Adult Clinical Infrastructure (FC 039P), and Commuinty Support Services (FC 014). Federal CMHS block grant funds are covered under CFDA Number 93.958. Please refer to OMH's Federal Funds Guidelines for important information about Federal certifications, audit reporting, monitoring, and restrictions and prohibitions on expenditures for both counties and subcontract providers.

CMHS block grant funding for the Community Support Services allocation is increased effective July 1, 2016 due to recent changes to New York State¿s Federal CMHS block grant allocation. The revised 2016 CMHS block grant allocation is $1,066,427 ($1,038,516 FAV).

The allocation includes funding for an Adult Clinical Infrastructure program ($504,301) and Community Support Programs ($422,573). These funds are complemented with Mental Hygiene Program Funds for Adult Clinical Infrastructure (FC 039P), and Commuinty Support Programs (FC 014). Federal CMHS block grant funds are covered under CFDA Number 93.958. Please refer to OMH's Federal Funds Guidelines for important information about Federal certifications, audit reporting, monitoring, and restrictions and prohibitions on expenditures for both counties and subcontract providers.

CMHS Block Grant C&F	044	F	$1,036,517	$137,504	$1,174,021	$1,036,517	$110,004	$1,146,521

Remarks

The allocation includes funding for a C&F Clinical Infrastructure program ($116,375), C&F Community Support Programs ($429,295), C&F Emergency Services ($225,000), and C&F MICA prevention programs ($375,851). These funds are complemented with General Funds for the C&F Clinical Infrastructure program (FC 046A), C&F Community Support Programs (FC 046L), and C&F Emergency Services (FC 046G). Federal CMHS block grant funds are covered under CFDA Number 93.958. Please refer to OMH's Federal Funds Guidelines for important information about Federal certifications, audit reporting, monitoring, and restrictions and prohibitions on expenditures for both counties and subcontract providers

CMHS block grant funding for the Community Support Services allocation is increased effective July 1, 2016 due to recent changes to New York State¿s Federal CMHS block grant allocation. The revised 2016 CMHS block grant allocation is $1,174,020 ($1,146,520 FAV).

The allocation includes funding for a C&F Clinical Infrastructure program ($116,375), C&F Community Support Programs ($319,291), C&F Emergency Services ($225,000), and C&F MICA prevention programs ($375,851). These funds are complemented with General Funds for the C&F Clinical Infrastructure program (FC 046A), C&F Community Support Programs (FC 046L), and C&F Emergency Services (FC 046G). Federal CMHS block grant funds are covered under CFDA Number 93.958. Please refer to OMH's Federal Funds Guidelines for important information about Federal certifications, audit reporting, monitoring, and restrictions and prohibitions on expenditures for both counties and subcontract providers

Clinical Infrastructure-C&F	046A	MHPFA	$272,992	$583	$273,575	$340,118	$912	$341,030

1051

Funding Source	Code	Type	Prior Letter Allocation	Allocation Changes Since Prior Letter	Revised Current Fiscal Year Allocation	Annualized Value from Prior Letter	Annualized Value Changes from Prior Letter	Fiscal Year Revised Annualized Value	Beds

Remarks

$175 represents the April-December 2016 value of the 0.2% COLA on the CMHS Block Grant compliment (FSC044) effective April 1, 2016. The full annual value is $233.

$408 represents the April- Dec 2016 value of the 0.2% COLA effective April 1, 2016. The full annual value is $546.

Addition of $656 (FAV) for transfer of 1.1.15 and 4.1.15 compensation enhancements to permanent funding codes, in accordance with LGU direction. Detail of the increases has been previously provided to OMH Field Offices and LGUs.

The allocation funds a C&F Clinical Infrastructure program

Emergency Services C&F	046G	MHPFA	$77,680	$455	$78,135	$77,680	$608	$78,288

Remarks

$338 represents the April-December 2016 value of the 0.2% COLA on the CMHS Block Grant compliment (FSC044) effective April 1, 2016. The full annual value is $450.

$117 represents the April- Dec 2016 value of the 0.2% COLA effective April 1, 2016. The full annual value is $156.

The allocation funds a Home-Based Crisis Intervention program.

Addition of $2,736 (FAV) for transfer of 1.1.15 and 4.1.15 compensation enhancements to permanent funding codes, in accordance with LGU direction. Detail of the increases has been previously provided to OMH Field Offices and LGUs.

Community Support Programs-C&F	046L	MHPFA	$1,556,340	$3,542	$1,559,882	$1,556,340	$4,724	$1,561,064

Remarks

$1,208 represents the April-December 2016 value of the 0.2% COLA on the CMHS Block Grant compliment (FSC044) effective April 1, 2016. The full annual value is $1,610.

$2,334 represents the April- Dec 2016 value of the 0.2% COLA effective April 1, 2016. The full annual value is $3,112.

The allocation includes funding for C&F Family Support Services, a Coordinated Children's Services Initiative program, community respite services, MICA prevention programs, and a School Based Mental Health program at the Huntington Intermediate, J. Taylor Finley Middle, and Woodhull Intermediate Schools in Huntington, NY, and the Riverhead Middle and Riverhead Alternative Schools in Riverhead, NY. This allocation is complemented with Federal CMHS Block Grant-C&F funds (FC 044).

Addition of $20,392 (FAV) for transfer of 1.1.15 and 4.1.15 compensation enhancements to permanent funding codes, in accordance with LGU direction. Detail of the increases has been previously provided to OMH Field Offices and LGUs.

151

Funding Source	Code	Type	Prior Letter Allocation	Allocation Changes Since Prior Letter	Revised Current Fiscal Year Allocation	Annualized Value from Prior Letter	Annualized Value Changes from Prior Letter	Fiscal Year Revised Annualized Value	Beds
PATH	048A	F	$256,638	$0	$256,638	$256,639	$0	$256,639	

Remarks

PATH funding to provide outreach, counseling and case management services to Suffolk County's homeless population. Federal PATH Grant funds are covered under CFDA Number 93.150. Counties and subcontract agencies are referred to OMH's Federal Funds Guidelines for important information about Federal certification, audit reporting, monitoring, and restrictions and prohibitions on expenditures.

2000 bed Capital Plan	072F	MHPFA	$533,035	$800	$533,835	$533,035	$1,068	$534,103	

Remarks

Includes increase related to the .2% COLA effective 4/1/16. Full annual value of the COLA is $1,066.

Supported Housing	078	MHPFA	$176,852	$266	$177,118	$176,852	$356	$177,208	

Remarks

Includes increase related to the .2% COLA effective 4/1/16. Full annual value of the COLA is $354.

Addition of $1,920 (FAV) for transfer of 1.1.15 and 4.1.15 compensation enhancements to permanent funding codes, in accordance with LGU direction. Detail of the increases has been previously provided to OMH Field Offices and LGUs.

CSP Miscellaneous	122	MHPFA	$636,242	$0	$636,242	$0	$0	$0	

Remarks

Vital Access Provider allocation of $248,000 to support one-time, non-recurring expenses for transition of Copiague clinic.

Allocation of $138,242 to support one-time, non-recurring start-up for transition of one (1) 68 slot ACT team.

Vital Access Provider allocation of $250,000 to support one-time, non-recurring expenses for transition of Central Islip clinic.

Expanded Community Support Adult	142A	MHPFA	$1,842,210	$2,915	$1,845,125	$2,125,142	$4,252	$2,129,394	

Funding Source	Code	Type	Prior Letter Allocation	Allocation Changes Since Prior Letter	Revised Current Fiscal Year Allocation	Annualized Value from Prior Letter	Annualized Value Changes from Prior Letter	Fiscal Year Revised Annualized Value	Beds

Remarks

$2,915 represents the April- Dec 2016 value of the 0.2% COLA effective April 1, 2016. The full annual value is $4,252.

Allocation of $273,958 ($547,916 annualized) supports expansion of a Mobile Crisis Team ($136,420; $272,838 FAV) and expansion of a Mobile Residential Support Team ($137,539; $275,078 FAV), effective 7/1/2016. This team will support individuals during the transition process upon long term stay discharge. The program codes to be included on OMH's financial reports are Crisis Intervention (2680) for the Mobile Crisis Team expansion; and Advocacy/Support Services (1760) for the Mobile Residential Support Team expansion. These funds are part of the System Transformation Plan initiative which is intended to reduce the need for and length of costly psychiatric hospitalizations. The LGU is required to provide the OMH with monthly reports concerning the number of individuals and new individuals served by age group and county, month and year. Allocations may be adjusted based upon actual program performance.

Allocation supports: three mobile residential support teams ($758,740 annualized); a hospital alternative respite program ($532,590 annualized); a recovery center ($250,000 annualized), effective 7/1/2014; expansion of an existing 48 slot Assertive Community Treatment (ACT) Team to a 68 slot team ($35,896 annualized), effective 4/1/2016; and expansion of a Mobile Crisis Team ($272,840 annualized) and expansion of a Mobile Residential Support Team ($275,076 annualized), effective 7/1/2016. The program codes to be included on OMH's financial reports are: Advocacy/Support Services (1760), Non-Medicaid Care Coordination (2720), and Outreach (0690) for the residential support teams; Respite Services (0650) for the Hospital Alternative Respite program; Recovery Center (2750) for the East End Recovery Center; Assertive Community Treatment (0800) and Assertive Community Treatment Service Dollars (8810) for the ACT Team expansion; Crisis Intervention (2680) for the Mobile Crisis Team expansion; and Advocacy/Support Services (1760) for the Mobile Residential Support Team expansion. These funds are part of the System Transformation Plan initiative which is intended to reduce the need for and length of costly psychiatric hospitalizations. These funds must be reported separately on all OMH financial reports and must not be commingled with existing programs and OMH funding sources. The LGU is required to provide the OMH with monthly reports concerning the number of individuals and new individuals served by age group and county, month and year. Allocations may be adjusted based upon actual program performance.

Allocation increased by $26,922 ($35,896 FAV) due to expansion of one existing 48 slot ACT Team to a 68 slot ACT Team, effective 4/1/2016.

Allocation supports: three mobile residential support teams ($758,740 annualized); a hospital alternative respite program ($532,590 annualized); and a recovery center ($250,000 annualized), effective 7/1/2014. The 3 mobile support teams program codes to be included on OMH's financial reports are: Advocacy/Support Services (1760), Non-Medicaid Care Coordination (2720), and Outreach (0690). The Hospital Alternative Respite program code to be included on OMH's financial reports is Respite Services (0650). The East End Recovery Center program code to be included on OMH's financial reports is Recovery Center (2750). These funds are part of the System Transformation Plan initiative which is intended to reduce the need for and length of costly psychiatric hospitalizations. These funds must be reported separately on all OMH financial reports and must not be commingled with existing programs and OMH funding sources. The LGU is required to provide the OMH with monthly reports concerning the number of individuals and new individuals served by age group and county, month and year. Allocations may be adjusted based upon actual program performance.

Expanded Community Support C&Y	142B	MHPFA	$557,526	$837	$558,363	$557,527	$1,115	$558,642	

Funding Source	Code	Type	Prior Letter Allocation	Allocation Changes Since Prior Letter	Revised Current Fiscal Year Allocation	Annualized Value from Prior Letter	Annualized Value Changes from Prior Letter	Fiscal Year Revised Annualized Value	Beds

Remarks

$837 represents the April- Dec 2016 value of the 0.2% COLA effective April 1, 2016. The full annual value is $1,116.

Allocation funds 6 non-Medicaid care coordinators ($526,572 annualized) and 1.5 intensive case managers ($30,954 annualized), effective 7/1/2014. The 6 full time Non-Medicaid Care Coordinators program codes to be included on OMH's financial reports are: Non-Medicaid Care Coordination (2720) and Flexible Recipient Service Dollars (1230). The 1.5 C & F ICM managers program codes to be included on OMH's financial reports are: Intensive Case Management (1810) and ICM Service Dollars (1910). These funds are part of the System Transformation Plan initiative which is intended to reduce the need for and length of costly psychiatric hospitalizations. These funds must be reported separately on all OMH financial reports and must not be commingled with existing programs and OMH funding sources. The LGU is required to provide the OMH with monthly reports concerning the number of individuals and new individuals served by age group and county, month and year. Allocations may be adjusted based upon actual program performance.

Trans. Mgmt. Kendra's	170B	MHPFA	$203,464	$0	$203,464	$203,464	$0	$203,464
MGP Admin Kendra's	170C	MHPFA	$52,020	$0	$52,020	$52,020	$0	$52,020
Article 28&31 Closure Re-Invest. (Adult)	175A	MHPFA	$30,000	$45	$30,045	$30,000	$60	$30,060

Remarks

$45 represents the April- Dec 2016 value of the 0.2% COLA effective April 1, 2016. The full annual value is $60.

The State aid allocation includes $30,000 (annualized) for peer support (to be reported as Advocacy/Support Services-1760), effective January 1, 2015. These funds are pursuant to the approved Article 28 closure reinvestment plan (Long Beach Medical Center/North Shore University Hospital/Partial Hospitalization Program operated by Pederson Krag). These funds must be reported separately on all OMH financial reports and must not be commingled with existing programs and OMH funding sources. The LGU is required to provide the OMH with monthly reports concerning the number of individuals and new individuals served by age group and county, month and year. Allocations may be adjusted based upon actual program performance.

Com. Reinvestment	200	MHPFA	$3,254,642	$4,803	$3,259,445	$3,201,676	$6,404	$3,208,080

Funding Source	Code	Type	Prior Letter Allocation	Allocation Changes Since Prior Letter	Revised Current Fiscal Year Allocation	Annualized Value from Prior Letter	Annualized Value Changes from Prior Letter	Fiscal Year Revised Annualized Value	Beds

Remarks

This adjustment reflects implementation of the .2% COLA included in the 2016-17 enacted NYS budget, effective 4/1/16. The October quarterly advance includes the increase amount for the period 4/1/16 - 12/31/16. Each quarter thereafter will receive one quarter of the value of the COLA increase.

Reduction of $15,014 (FAV=$30,028) for transfer to FC 039P. These funds have been identified by the LGU as underspent, and will serve to expand the funding of a SPOA program.

Reduction of $30,028 (FAV=$30,028) for transfer to FC 039P. These funds have been identified by the LGU as underspent, and will serve to expand the funding of a SPOA program.

Reduction of $582,634 in LFY 2016 (FAV$635,600) due to transfer of funds to 037A for 2/1/16 opening of wellness program.

Addition of $79,644 (FAV) for transfer of 1.1.15 and 4.1.15 compensation enhancements to permanent funding codes, in accordance with LGU direction. Detail of the increases has been previously provided to OMH Field Offices and LGUs.

Homeless/MICA	300	MHPFA	$768,947	$1,539	$770,486	$768,948	$2,047	$770,995

Remarks

$384 represents the April- Dec 2016 value of the 0.2% COLA for PATH funding effective April 1, 2016. The full annual value is $512.

This adjustment reflects implementation of the .2% COLA included in the 2016-17 enacted NYS budget, effective 4/1/16. The October quarterly advance includes the increase amount for the period 4/1/16 - 12/31/16. Each quarter thereafter will receive one quarter of the value of the COLA increase.

Commissioner's Perf.	400	MHPFA	$475,012	$714	$475,726	$475,012	$952	$475,964

Remarks

This adjustment reflects implementation of the .2% COLA included in the 2016-17 enacted NYS budget, effective 4/1/16. The October quarterly advance includes the increase amount for the period 4/1/16 - 12/31/16. Each quarter thereafter will receive one quarter of the value of the COLA increase.

Addition of $3,920 (FAV) for transfer of 1.1.15 and 4.1.15 compensation enhancements to permanent funding codes, in accordance with LGU direction. Detail of the increases has been previously provided to OMH Field Offices and LGUs.

Health Home	570	MHPFA	$3,712,120	$5,568	$3,717,688	$3,712,120	$7,424	$3,719,544

Funding Source	Code	Type	Prior Letter Allocation	Allocation Changes Since Prior Letter	Revised Current Fiscal Year Allocation	Annualized Value from Prior Letter	Annualized Value Changes from Prior Letter	Fiscal Year Revised Annualized Value	Beds
Remarks									
$5,568 represents the April- Dec 2016 value of the 0.2% COLA effective April 1, 2016. The full annual value is $7,424									
Funding Reduction/COLA	965	MHPFA	$0	$0	$0	$0	$0	$0	
Remarks									
Effective 1/1/16, transfers from FSC 965 to permanent funding codes for 1.1.15 and 4.1.15 Direct Care/Direct Support Staff salary enhancements and 4.1.15 Clinical Staff salary enhancements.									
Grand Total:			**$25,243,081**	**$400,380**	**$25,643,461**	**$24,679,880**	**$355,754**	**$25,035,634**	

2016 Intergovernmental Relations
Memorandum of Support

1951

TITLE OF BILL: Amending the 2016 Adopted Operating Budget to accept and appropriate 100% additional State Aid from the New York State Office of Mental Health (NYS OMH) to various contract agencies for a Cost-of-Living-Adjustment (COLA).

PURPOSE OR GENERAL IDEA OF BILL: This legislation is needed to accept and appropriate these additional funds for a 0.2% COLA to various contract agencies that provide mental health programs in Suffolk County.

SUMMARY OF SPECIAL PROVISIONS: None.

JUSTIFICATION: This legislation will accept and appropriate 100% additional State Aid from the New York State Office of Mental Health (NYS OMH) to various contract agencies for a COLA.

FISCAL IMPLICATIONS: $26,918 in additional State Aid will be added to the 2016 Adopted Operating Budget.

COUNTY OF SUFFOLK

1951

STEVEN BELLONE
SUFFOLK COUNTY EXECUTIVE

DEPARTMENT OF HEALTH SERVICES

JAMES L. TOMARKEN, MD, MPH, MBA, MSW
Commissioner

October 12, 2016

Jon Schneider, Deputy County Executive
County Executive's Office, 12th Floor
H. Lee Dennison Building
Veterans Memorial Highway
Hauppauge, NY 11788-0099

Dear Mr. Schneider:

I request the introduction of the enclosed Resolution to amend the 2016 Adopted Operating Budget to accept and appropriate 100% additional State Aid from the New York State Office of Mental Health (NYS OMH) to various contract agencies for a Cost-of-Living-Adjustment (COLA). This legislation will accept and appropriate these additional funds for a 0.2% COLA to various contract agencies that provide mental health programs in Suffolk County.

I enclose the financial impact statement and other materials for this Resolution. If you have any questions on the enclosed, please call Barbara Russo at 3-8533. Also, an e-mail version of this resolution was sent to CE RESO REVIEW and the file name is "Reso-HSV-MH Agencies COLA.docx."

Sincerely,

James L. Tomarken, MD, MPH, MBA, MSW
Commissioner

Enclosures

C: Christina Capobianco, CPA, Deputy Commissioner
Barbara Marano, CPA, Executive Assistant for Finance & Administration
Jennifer Culp, MPA, Assistant to the Commissioner of Health Services
Ann Marie Csorny, Director, Division of Community Mental Hygiene Services
Barbara Russo, Principal Financial Analyst
Susan B. Hodosky, Principal Financial Analyst

Public Health
Prevent. Promote. Protect.

OFFICE OF THE COMMISSIONER
3500 Sunrise Highway, Suite 124, P. O. Box 9006, Great River, NY 11739-9006
Phone (631) 854-0000 Fax (631) 854-0108

1952

Intro. Res. No. - 2016 Laid on the Table 11/9/16

Introduced by Presiding Officer on request of the County Executive

RESOLUTION NO. - 2016, AMENDING THE 2016 ADOPTED OPERATING BUDGET TO ACCEPT AND APPROPRIATE 100% ADDITIONAL FEDERAL PASS-THROUGH AID FROM THE NEW YORK STATE OFFICE OF MENTAL HEALTH (NYS OMH) TO VARIOUS CONTRACT AGENCIES FOR COMMUNITY MENTAL HEALTH SERVICES

WHEREAS, the New York State Office Of Mental Health (NYS OMH) has issued additional Federal pass-through aid in the amount of $223,462 due to an increase in the Community Mental Health Services (CMHS) Block Grant funding effective July 1, 2016; and

WHEREAS, these additional funds are to be allocated to contract agencies that provide both Adult and Children & Youth community mental health support programs; and

WHEREAS, this additional 100% Federal pass-through aid is not currently included in the 2016 Operating Budget; now, therefore be it

1st **RESOLVED**, that the County Comptroller be and hereby is authorized to accept and appropriate $223,462 in additional Federal pass-through aid as follows:

REVENUES:	AMOUNT
001-4490 Mental Health Federal Aid	$223,462

ORGANIZATIONS:

Department of Health Services (HSV)
Division of Community Mental Hygiene Services
001-HSV-4330-4980

XORG	OBJECT NAME	2016 Adopted Budget	Increase/ Decrease	2016 Modified Budget
AGZ1	Family Service League	$176,741	$1,171	$177,912
GGF1	Pederson Krag	$442,249	$24,930	$467,179
GGG1	Pederson Krag	$304,801	$7,607	$312,408
GPM1	Pederson Krag	$153,308	$7,719	$161,027
GSV1	Federation of Org.	$690,366	$74,499	$764,865
GUS1	Pederson Krag	$3,000	$301	$3,301
GUU1	Pederson Krag	$227,010	$14,924	$241,934
JPF1	Assn. for MHAW	$25,438	$1,430	$26,868
JRT1	Family Service League	$61,932	$8,216	$70,148
JUK1	Family Service League	$487,747	$10,740	$498,487
JWM1	Family & Children Assoc.	$214,495	$7,018	$221,513
JXQ1	PSCH	$147,416	$24,930	$172,346
JXR1	PSCH	$101,600	$7,606	$109,206
JXU1	PSCH	$51,103	$7,719	$58,822
JXW1	PSCH	$1,000	$301	$1,301
JXX1	PSCH	$75,670	$14,924	$90,594

JYA1	PSCH	$17,659	$4,713	$22,372
PKP1	Pederson Krag	$52,977	$4,714	$57,691

and be it further

2nd **RESOLVED**, that the County Executive be and hereby is authorized to execute contract amendments with all providers listed in this resolution; and be it further

3rd **RESOLVED**, that this Legislature, being the lead agency under SEQRA and Chapter 279 of the Suffolk County Code, hereby determines that this resolution constitutes a Type II action.

DATED:

APPROVED BY:

_____

County Executive of Suffolk County
Date of Approval:

HSV# 70-2016

STATEMENT OF FINANCIAL IMPACT OF PROPOSED SUFFOLK COUNTY LEGISLATION

1952

1. Type of Legislation

Resolution X Local Law ____ Charter Law ____

2. Title of Proposed Legislation

Amending the 2016 Adopted Operating Budget to accept and appropriate 100% additional Federal pass-through aid from the New York State Office of Mental Health (NYS OMH) to various contract agencies for community mental health services.

3. Purpose or Proposed Legislation

This legislation will accept and appropriate the additional funds to contract agencies for programs that provide comprehensive, community-based mental health services to adults with serious mental illness and children with serious emotional disturbance.

4. Will the Proposed Legislation Have a Fiscal Impact? **YES** ____ **NO** X

5. If the answer to item 4 is "yes", on what will it impact? (Circle appropriate category)

County	Town	Economic Impact
Village	School District	Other (Specify):
Library District	Fire District	

6. If the answer to item 4 is "yes", Provide Detailed Explanation of Impact:

N/A

7. Total Financial Cost of Funding over 5 Years on Each Affected Political or Other Subdivision.

Not applicable

8. Proposed Source of Funding

100% Federal pass-through aid from NYS Office of Mental Health

9. Timing of Impact

Immediate upon approval of the resolution and execution of contract amendments with provider agencies

10. Typed Name & Title of Preparer	*11. Signature of Preparer*	*12. Date*
Susan B. Hodosky ***Principal Financial Analyst***	Susan B Hodosky	10/17/16
Suzanne MARTIN Pr Budget Examiner	Suzanne Martin	10-24-16

SCIN FORM 175b (10/95)

FINANCIAL IMPACT
2016 PROPERTY TAX LEVY*
COST TO THE AVERAGE TAXPAYER

1952

GENERAL FUND

	2015 PROPERTY TAX LEVY	ESTIMATED 2016* COST TO AVG TAXPAYER	2015 AV TAX RATE PER $100	2015 FEV TAX RATE PER $1000
TOTAL	$0	$0.00		$0.000

POLICE DISTRICT AND DISTRICT COURT

	2015 PROPERTY TAX LEVY	ESTIMATED 2016* COST TO AVG TAXPAYER	2015 AV TAX RATE PER $100	2015 FEV TAX RATE PER $1000
TOTAL	$0	$0.00		$0.000

COMBINED

	2015 PROPERTY TAX LEVY	ESTIMATED 2016* COST TO AVG TAXPAYER	2015 AV TAX RATE PER $100	2015 FEV TAX RATE PER $1000
TOTAL	$0	$0.00		$0.000

**** The Estimated 2016 Cost to Average Taxpayer is based upon the 2015 property tax levy and is provided for informational purposes only.***

NOTES:

1) SOURCE FOR NUMBER OF FAMILY PARCELS AND CORRESPONDING ASSESSED VALUATION: SUFFOLK COUNTY REAL PROPERTY, 2014.
2) SOURCE FOR TOTAL TAXABLE ASSESSED VALUATION FOR COUNTY PURPOSES: SCHEDULE A, REPORT OF ASSESSED VALUATION FOR 2014-2015.
3) SOURCE FOR EQUALIZATION RATES: 2014 COUNTY EQUALIZATION RATES ESTABLISHED BY THE NEW YORK STATE BOARD OF EQUALIZATION AND ASSESSMENTS.

To be completed by the Executive Budget Office

1952

COUNTY OF SUFFOLK

STEVEN BELLONE
SUFFOLK COUNTY EXECUTIVE

DEPARTMENT OF HEALTH SERVICES

JAMES L. TOMARKEN, MD, MPH, MBA, MSW
Commissioner

MEMORANDUM

To: James L. Tomarken, MD, MPH, MBA, MSW
Commissioner, Department of Health Services

From: Ann Marie Csorny, LCSW
Director, Division of Community Mental Hygiene Services

Date: **October 7, 2016**

Subject: Request for Legislative Resolution

The Division is requesting a Legislative Resolution to accept $223,462 in additional 100% state aid from the New York State Office of Mental Health for CMHS Block Grant increases. This funding is to be allocated to various contract agencies per the attached OMH Field Office letter.

I am attaching drafts of the fiscal impact statement, intro resolution and routing form as well as the current OMH full state aid letter and Long Island Field Office letter which provide further specifics of the funding.

AMC/HM
Enclosures
Cc: S. Hodosky, S.Reagan, D. Holtsford, B. Russo

Public Health
Prevent. Promote. Protect.

DIVISION OF COMMUNITY MENTAL HYGIENE
North County Complex, Building C928, 725 Veterans Memorial Highway, P.O. Box 6100, Hauppauge, NY 11788
(631) 853-8500 FAX: (631) 853-3117

1952

NEW YORK STATE OF OPPORTUNITY. | Long Island Field Office

ANDREW M. CUOMO
Governor

ANN MARIE T. SULLIVAN, M.D.
Commissioner

MARTHA A. CARLIN, PsyD
Director

October 11, 2016

Ms. Ann Marie Csorny, LCSW-R, Director
Suffolk County Department of Health Services
Division of Community Mental Hygiene Services
725 Veterans Memorial Highway
North County Complex – C928
Hauppauge, NY 11788

RE: Federal Funds Block Grant

Dear Ms. Csorny:

The New York State Office of Mental Health (OMH) is pleased to direct the allocation of additional Federal Fiscal Year 16 CMHS Block Grant increase for Community Support Service programs to various providers as set forth on the attached schedule. These funds are included in your 2016 State Aid letter (OMH funding code 041F and 044F) and represent 100 percent Federal participation rate with an effective date of July 1, 2016.

Funding Code	Amount
041F	$ 85,958
044F	$137,504

Please feel free to contact Shalendra Ramadhin at (631) 761-3334 or myself should you have any questions or concerns.

Sincerely,

Martha Carlin, PsyD

Martha Carlin, PsyD
Director – OMH Long Island Field Office

Cc: Shalendra Ramadhin
Barbara J. Russo
Helen Messemer

1952

Fund Code	Pseudo	Agency	Prog Code	Index Code	2016 Funding 7/1/16	Block Grant Inc	Block Grant Inc
41	JPF1	ASSOCIATION FOR MHAW	1400	00	$ 9,500	$ 1,430	$ 1,430
41	GSV1	FEDERATION	1400	00	$ 494,801	$ 74,499	$ 74,499
41	HS AOT	HS DIRECT	1760	00	$ 355,964	$ 53,595	$ 53,595
41	GUS1	PEDERSON KRAG	1760	03	$ 4,000	$ 602	$ 301
41	JXW1	PSCH	1760	03	$ -	$ -	$ 301
41	PKP1	PEDERSON KRAG	1770	00	$ 62,609	$ 9,427	$ 4,714
41	JYA1	PSCH	1770	00	$ -	$ -	$ 4,713
41 Total					$ 926,874	$ 139,553	$ 139,553
44	JWM1	FAMILY & CHILDREN ASSOC	1650	01	$ 52,906	$ 7,018	$ 7,018
44	JUK1	FSL	1510	00	$ 80,958	$ 10,740	$ 10,740
44	JRT1	FSL	1650	02	$ 61,932	$ 8,216	$ 8,216
44	AGZ1	FSL	1760	00	$ 8,820	$ 1,171	$ 1,171
44	GPM1	PEDERSON KRAG	1400	00	$ 116,375	$ 15,438	$ 7,719
44	JXU1	PSCH	1400	00	$ -	$ -	$ 7,719
44	GGG1	PEDERSON KRAG	1650	00	$ 114,674	$ 15,213	$ 7,607
44	JXR1	PSCH	1650	00	$ -	$ -	$ 7,606
44	GGF1	PEDERSON KRAG	1760	00	$ 375,851	$ 49,860	$ 24,930
44	JXQ1	PSCH	1760	00	$ -	$ -	$ 24,930
44	GUU1	PEDERSON KRAG	3040	00	$ 225,000	$ 29,848	$ 14,924
44	JXX1	PSCH	3040	00	$ -	$ -	$ 14,924
44 Total					$ 1,036,516	$ 137,504	$ 137,504

NEW YORK STATE OF OPPORTUNITY. | Office of Mental Health

Aid to Localities Financial System

Attachment A
Funding Source Allocation Table
County Code: 52 County Name: Suffolk
Year: 2016

Print Date : 09/20/2016 11:45 AM
Printed By : LPALDXH

Funding Source	Code	Type	Prior Letter Allocation	Allocation Changes Since Prior Letter	Revised Current Fiscal Year Allocation	Annualized Value from Prior Letter	Annualized Value Changes from Prior Letter	Fiscal Year Revised Annualized Value	Beds
Local Assistance	001A	MHPFA	$146,580	$219	$146,799	$146,580	$292	$146,872	

Remarks

$219 represents the April- Dec 2016 value of the 0.2% COLA effective April 1, 2016. The full annual value is $292.

Community Support Services	014	MHPFA	$3,846,910	$801	$3,847,711	$3,844,308	$1,068	$3,845,376	

Remarks

$801 represents the April-December 2016 value of the 0.2% COLA on the CMHS Block Grant compliment (FSC041) effective April 1, 2016. The full annual value is $1,068.

Reduction of $28,618 in LFY 2016 (FAV$31,220) due to transfer of funds to 037A for 2/1/16 opening of wellness program.

Addition of $22,636 (FAV) for transfer of 1.1.15 and 4.1.15 compensation enhancements to permanent funding codes, in accordance with LGU direction. Detail of the increases has been previously provided to OMH Field Offices and LGUs.

Adult Case Management & ACT	034J	MHPFA	$980,964	$1,470	$982,434	$980,964	$1,960	$982,924	

Remarks

$1,470 represents the April- Dec 2016 value of the 0.2% COLA effective April 1, 2016. The full annual value is $1,960.

C&F Case Management	034K	MHPFA	$500,566	$774	$501,340	$516,296	$1,032	$517,328	

Remarks

$774 represents the April- Dec 2016 value of the 0.2% COLA effective April 1, 2016. The full annual value is $1,032.

Additional allocation of $47,190 (annualized) ($18,876 ICM and $28,314 SCM) related to State Aid Manager funding increase for Children's Case Management in support of the non-medicaid clients, effective 4/1/16.

CPEP	036	MHPFA	$0	$0	$0	$0	$0	$0	
Peer & Rehab. Sup.	037A	MHPFA	$664,019	$1,086	$665,105	$724,384	$1,448	$725,832	

1452

Funding Source	Code	Type	Prior Letter Allocation	Allocation Changes Since Prior Letter	Revised Current Fiscal Year Allocation	Annualized Value from Prior Letter	Annualized Value Changes from Prior Letter	Fiscal Year Revised Annualized Value	Beds

Remarks

$1,086 represents the April- Dec 2016 value of the 0.2% COLA effective April 1, 2016. The full annual value is $1,448.

Addition of FAV$724,384 due to transfer of funds for the opening of for 2/1/16 wellness program. Program code 2750 (Recovery Center) should be used on all OMH financial reporting documents.

Addition of $664,019 in LFY 2016 (FAV$724,384) due to transfer of funds for 2/1/16 opening of wellness program. Program code 2750 (Recovery Center) should be used on all OMH financial reporting documents.

Addition of $664,019 in LFY 2016 (FAV$724,386) due to transfer of funds for 2/1/16 opening of wellness program. Program code 2750 (Recovery Center) should be used on all OMH financial reporting documents.

PROS State Aid	037P	MHPFA	$1,289,126	$1,915	$1,291,041	$1,281,376	$2,564	$1,283,940	

Remarks

$1,915 represents the April- Dec 2016 value of the 0.2% COLA effective April 1, 2016. The full annual value is $2,564.

Clarification of 4/1/16 reduction. Reduction of $38,750 in LFY 2016 (FAV$46,500) due to closure of Family Service League Journey House PROS on 3/1/16.

Reduction of $15,500 (FAV $46,500) due to closure of Family Service League Journey House PROS on 3/1/16.

Effective 1/1/2016, PROS Residual State Aid and PROS Vocational Initiative funding recalculated based upon monthly census data reported in CAIRS. CY 16 funding amounts are: Association for Mental Health and Wellness - Pollack Center for Recovery and Wellness State Aid $99,604 , Vocational Funding $94,432; Association for Mental Health and Wellness - Synergy Center for Recovery and Wellness State Aid $47,736, Vocational Funding $45,260; Family Service League, Inc. - Journey House State Aid $23,868, Vocational Funding $22,632; Federation of Organizations - Recovery Concepts at Babylon State Aid $38,100, Vocational Funding $36,120; Federation of Organizations - Recovery Concepts at Patchogue State Aid $61,508, Vocational Funding $58,312; Hands Across Long Island - HALI U State Aid $60,588, Vocational Funding $57,444; Family Service League, Inc. - Stepping Stones State Aid $27,084, Vocational Funding $25,676; Jewish Board of Family & Children Services ¿ Copiague State Aid $71,144, Vocational Funding $67,452; Maryhaven Center of Hope, Inc. - Maryhaven PROS East (Riverhead) State Aid $51,868, Vocational Funding $49,176; Pederson-Krag Center, Inc. - PK PROS East State Aid $86,292, Vocational Funding $81,812; Pederson-Krag Center, Inc. - PK PROS North State Aid $39,936, Vocational Funding $37,860; Skills Unlimited, Inc. - Success PROS State Aid $20,196, Vocational Funding $19,148; Phoenix Houses of Long Island, Inc. - Foundation for Integrated Recovery Services State Aid $34,428, Vocational Funding $32,640; Maryhaven Center of Hope, Inc. - Maryhaven PROS West (Yaphank) State Aid $19,280, Vocational Funding $18,280

Legislative Add: Veteran P2P Pilot Prog	038F	MHPFA	$285,000	$92,500	$377,500	$0	$92,500	$92,500	

1452

Funding Source	Code	Type	Prior Letter Allocation	Allocation Changes Since Prior Letter	Revised Current Fiscal Year Allocation	Annualized Value from Prior Letter	Annualized Value Changes from Prior Letter	Fiscal Year Revised Annualized Value	Beds

Remarks

2016-17 Legislative Add (001): This funding will be used for the Veteran Peer to Peer Support Pilot Program for veterans suffering from post-traumatic stress syndrome, other related combat stress disorders, or having other counseling needs, using individual and small group peer to peer counseling methods. The provider should use program code1190 (Special Legislative Grant) on all OMH financial reporting documents.

Last liability date is 10/1/16; no AV needed.

This is a Legislative grant ($285,000) as per the 2015-16 NYS Enacted Budget. This funding will be closed out after June 30, 2017. The funding is to support a pilot program for veterans suffering from PTSD using individual and small group peer-to-peer counseling methods. The program is to be administered by Suffolk County Veterans Service Agency. County should use Program Code 1190 (Special Legislative Grant) for OMH Financial Reporting.

Adult Family Support	039G	MHPFA	$24,260	$36	$24,296	$24,260	$48	$24,308	

Remarks

$36 represents the April- Dec 2016 value of the 0.2% COLA effective April 1, 2016. The full annual value is $48.

Forensics	039J	MHPFA	$84,000	$126	$84,126	$84,000	$168	$84,168	

Remarks

$126 represents the April- Dec 2016 value of the 0.2% COLA effective April 1, 2016. The full annual value is $168.

Effective 1/1/2016, additional funding of $84,000 (FAV) to create and/or expand transitional care coordination services focused on the forensic population at $6,000 per supported housing bed. Program code 1760 (Advocacy/Support Services) should be used on all OMH financial reporting documents.

Psych Rehab	039L	MHPFA	$4,797	$0	$4,797	$0	$0	$0	

Remarks

Reduction of $52,767 in LFY 2016 (FAV$57,564) due to transfer of funds to 037A for 2/1/16 opening of wellness program.

Clinical Infrastructure-Adult	039P	MHPFA	$827,700	$1,999	$829,699	$827,700	$2,664	$830,364	

1452

Funding Source	Code	Type	Prior Letter Allocation	Allocation Changes Since Prior Letter	Revised Current Fiscal Year Allocation	Annualized Value from Prior Letter	Annualized Value Changes from Prior Letter	Fiscal Year Revised Annualized Value	Beds

Remarks

$757 represents the April-December 2016 value of the 0.2% COLA on the CMHS Block Grant compliment (FSC041) effective April 1, 2016. The full annual value is $1,009.

$1,242 represents the April- Dec 2016 value of the 0.2% COLA effective April 1, 2016. The full annual value is $1,656.

Allocation increased by $15,014 (FAV=$30,028) from funding source code 200 (transfer) to support the SPOA program, per LGU request. Effective 01/01/2016.

The allocation funds an Adult Clinical Infrastructure program and is complemented with Federal CMHS Block Grant-Adult funds (FC 041).

Addition of $10,072 (FAV) for transfer of 1.1.15 and 4.1.15 compensation enhancements to permanent funding codes, in accordance with LGU direction. Detail of the increases has been previously provided to OMH Field Offices and LGUs.

Innovative Psychiatric Rehabilitation	039Q	MHPFA	$220,048	$330	$220,378	$220,048	$440	$220,488

Remarks

$330 represents the April- Dec 2016 value of the 0.2% COLA effective April 1, 2016. The full annual value is $440.

Addition of $2666(FAV=$5332) for transfer of 1.1.15 and 4.1.15 compensation enhancements to permanent funding codes, in accordance with LGU direction. Detail of the increases has been previously provided to OMH Field Offices and LGUs.

CMHS Block Grant Adult	041	F	$926,874	$139,553	$1,066,427	$926,874	$111,644	$1,038,518

1962

Funding Source	Code	Type	Prior Letter Allocation	Allocation Changes Since Prior Letter	Revised Current Fiscal Year Allocation	Annualized Value from Prior Letter	Annualized Value Changes from Prior Letter	Fiscal Year Revised Annualized Value	Beds

Remarks

The allocation includes funding for an Adult Clinical Infrastructure program ($504,301) and Community Support Programs ($534,217). These funds are complemented with Mental Hygiene Program Funds for Adult Clinical Infrastructure (FC 039P), and Community Support Services (FC 014). Federal CMHS block grant funds are covered under CFDA Number 93.958. Please refer to OMH's Federal Funds Guidelines for important information about Federal certifications, audit reporting, monitoring, and restrictions and prohibitions on expenditures for both counties and subcontract providers.

CMHS block grant funding for the Community Support Services allocation is increased effective July 1, 2016 due to recent changes to New York State¿s Federal CMHS block grant allocation. The revised 2016 CMHS block grant allocation is $1,066,427 ($1,038,516 FAV).

The allocation includes funding for an Adult Clinical Infrastructure program ($504,301) and Community Support Programs ($422,573). These funds are complemented with Mental Hygiene Program Funds for Adult Clinical Infrastructure (FC 039P), and Community Support Programs (FC 014). Federal CMHS block grant funds are covered under CFDA Number 93.958. Please refer to OMH's Federal Funds Guidelines for important information about Federal certifications, audit reporting, monitoring, and restrictions and prohibitions on expenditures for both counties and subcontract providers.

CMHS Block Grant C&F	044	F	$1,036,517	$137,504	$1,174,021	$1,036,517	$110,004	$1,146,521	

Remarks

The allocation includes funding for a C&F Clinical Infrastructure program ($116,375), C&F Community Support Programs ($429,295), C&F Emergency Services ($225,000), and C&F MICA prevention programs ($375,851). These funds are complemented with General Funds for the C&F Clinical Infrastructure program (FC 046A), C&F Community Support Programs (FC 046L), and C&F Emergency Services (FC 046G). Federal CMHS block grant funds are covered under CFDA Number 93.958. Please refer to OMH's Federal Funds Guidelines for important information about Federal certifications, audit reporting, monitoring, and restrictions and prohibitions on expenditures for both counties and subcontract providers

CMHS block grant funding for the Community Support Services allocation is increased effective July 1, 2016 due to recent changes to New York State¿s Federal CMHS block grant allocation. The revised 2016 CMHS block grant allocation is $1,174,020 ($1,146,520 FAV).

The allocation includes funding for a C&F Clinical Infrastructure program ($116,375), C&F Community Support Programs ($319,291), C&F Emergency Services ($225,000), and C&F MICA prevention programs ($375,851). These funds are complemented with General Funds for the C&F Clinical Infrastructure program (FC 046A), C&F Community Support Programs (FC 046L), and C&F Emergency Services (FC 046G). Federal CMHS block grant funds are covered under CFDA Number 93.958. Please refer to OMH's Federal Funds Guidelines for important information about Federal certifications, audit reporting, monitoring, and restrictions and prohibitions on expenditures for both counties and subcontract providers

Clinical Infrastructure-C&F	046A	MHPFA	$272,992	$583	$273,575	$340,118	$912	$341,030	

1052

Funding Source	Code	Type	Prior Letter Allocation	Allocation Changes Since Prior Letter	Revised Current Fiscal Year Allocation	Annualized Value from Prior Letter	Annualized Value Changes from Prior Letter	Fiscal Year Revised Annualized Value	Beds

Remarks

$175 represents the April-December 2016 value of the 0.2% COLA on the CMHS Block Grant compliment (FSC044) effective April 1, 2016. The full annual value is $233.

$408 represents the April- Dec 2016 value of the 0.2% COLA effective April 1, 2016. The full annual value is $546.

Addition of $656 (FAV) for transfer of 1.1.15 and 4.1.15 compensation enhancements to permanent funding codes, in accordance with LGU direction. Detail of the increases has been previously provided to OMH Field Offices and LGUs.

The allocation funds a C&F Clinical Infrastructure program

Emergency Services C&F	046G	MHPFA	$77,680	$455	$78,135	$77,680	$608	$78,288

Remarks

$338 represents the April-December 2016 value of the 0.2% COLA on the CMHS Block Grant compliment (FSC044) effective April 1, 2016. The full annual value is $450.

$117 represents the April- Dec 2016 value of the 0.2% COLA effective April 1, 2016. The full annual value is $156.

The allocation funds a Home-Based Crisis Intervention program.

Addition of $2,736 (FAV) for transfer of 1.1.15 and 4.1.15 compensation enhancements to permanent funding codes, in accordance with LGU direction. Detail of the increases has been previously provided to OMH Field Offices and LGUs.

Community Support Programs-C&F	046L	MHPFA	$1,556,340	$3,542	$1,559,882	$1,556,340	$4,724	$1,561,064

Remarks

$1,208 represents the April-December 2016 value of the 0.2% COLA on the CMHS Block Grant compliment (FSC044) effective April 1, 2016. The full annual value is $1,610.

$2,334 represents the April- Dec 2016 value of the 0.2% COLA effective April 1, 2016. The full annual value is $3,112.

The allocation includes funding for C&F Family Support Services, a Coordinated Children's Services Initiative program, community respite services, MICA prevention programs, and a School Based Mental Health program at the Huntington Intermediate, J. Taylor Finley Middle, and Woodhull Intermediate Schools in Huntington, NY, and the Riverhead Middle and Riverhead Alternative Schools in Riverhead, NY. This allocation is complemented with Federal CMHS Block Grant-C&F funds (FC 044).

Addition of $20,392 (FAV) for transfer of 1.1.15 and 4.1.15 compensation enhancements to permanent funding codes, in accordance with LGU direction. Detail of the increases has been previously provided to OMH Field Offices and LGUs.

1452

NEW YORK STATE OF OPPORTUNITY. | Office of Mental Health

Aid to Localities Financial System

Attachment A
Funding Source Allocation Table
County Code: 52 County Name: Suffolk
Year: 2016

Funding Source	Code	Type	Prior Letter Allocation	Allocation Changes Since Prior Letter	Revised Current Fiscal Year Allocation	Annualized Value from Prior Letter	Annualized Value Changes from Prior Letter	Fiscal Year Revised Annualized Value	Beds
PATH	048A	F	$256,638	$0	$256,638	$256,639	$0	$256,639	

Remarks

PATH funding to provide outreach, counseling and case management services to Suffolk County's homeless population. Federal PATH Grant funds are covered under CFDA Number 93.150. Counties and subcontract agencies are referred to OMH's Federal Funds Guidelines for important information about Federal certification, audit reporting, monitoring, and restrictions and prohibitions on expenditures.

2000 bed Capital Plan	072F	MHPFA	$533,035	$800	$533,835	$533,035	$1,068	$534,103	

Remarks

Includes increase related to the .2% COLA effective 4/1/16. Full annual value of the COLA is $1,066.

Supported Housing	078	MHPFA	$176,852	$266	$177,118	$176,852	$356	$177,208	

Remarks

Includes increase related to the .2% COLA effective 4/1/16. Full annual value of the COLA is $354.

Addition of $1,920 (FAV) for transfer of 1.1.15 and 4.1.15 compensation enhancements to permanent funding codes, in accordance with LGU direction. Detail of the increases has been previously provided to OMH Field Offices and LGUs.

CSP Miscellaneous	122	MHPFA	$636,242	$0	$636,242	$0	$0	$0	

Remarks

Vital Access Provider allocation of $248,000 to support one-time, non-recurring expenses for transition of Copiague clinic.

Allocation of $138,242 to support one-time, non-recurring start-up for transition of one (1) 68 slot ACT team.

Vital Access Provider allocation of $250,000 to support one-time, non-recurring expenses for transition of Central Islip clinic.

Expanded Community Support Adult	142A	MHPFA	$1,842,210	$2,915	$1,845,125	$2,125,142	$4,252	$2,129,394	

10952

Funding Source	Code	Type	Prior Letter Allocation	Allocation Changes Since Prior Letter	Revised Current Fiscal Year Allocation	Annualized Value from Prior Letter	Annualized Value Changes from Prior Letter	Fiscal Year Revised Annualized Value	Beds

Remarks

$2,915 represents the April- Dec 2016 value of the 0.2% COLA effective April 1, 2016. The full annual value is $4,252.

Allocation of $273,958 ($547,916 annualized) supports expansion of a Mobile Crisis Team ($136,420; $272,838 FAV) and expansion of a Mobile Residential Support Team ($137,539; $275,078 FAV), effective 7/1/2016. This team will support individuals during the transition process upon long term stay discharge. The program codes to be included on OMH's financial reports are Crisis Intervention (2680) for the Mobile Crisis Team expansion; and Advocacy/Support Services (1760) for the Mobile Residential Support Team expansion. These funds are part of the System Transformation Plan initiative which is intended to reduce the need for and length of costly psychiatric hospitalizations. The LGU is required to provide the OMH with monthly reports concerning the number of individuals and new individuals served by age group and county, month and year. Allocations may be adjusted based upon actual program performance.

Allocation supports: three mobile residential support teams ($758,740 annualized); a hospital alternative respite program ($532,590 annualized); a recovery center ($250,000 annualized), effective 7/1/2014; expansion of an existing 48 slot Assertive Community Treatment (ACT) Team to a 68 slot team ($35,896 annualized), effective 4/1/2016; and expansion of a Mobile Crisis Team ($272,840 annualized) and expansion of a Mobile Residential Support Team ($275,076 annualized), effective 7/1/2016. The program codes to be included on OMH's financial reports are: Advocacy/Support Services (1760), Non-Medicaid Care Coordination (2720), and Outreach (0690) for the residential support teams; Respite Services (0650) for the Hospital Alternative Respite program: Recovery Center (2750) for the East End Recovery Center; Assertive Community Treatment (0800) and Assertive Community Treatment Service Dollars (8810) for the ACT Team expansion; Crisis Intervention (2680) for the Mobile Crisis Team expansion; and Advocacy/Support Services (1760) for the Mobile Residential Support Team expansion. These funds are part of the System Transformation Plan initiative which is intended to reduce the need for and length of costly psychiatric hospitalizations. These funds must be reported separately on all OMH financial reports and must not be commingled with existing programs and OMH funding sources. The LGU is required to provide the OMH with monthly reports concerning the number of individuals and new individuals served by age group and county, month and year. Allocations may be adjusted based upon actual program performance.

Allocation increased by $26,922 ($35,896 FAV) due to expansion of one existing 48 slot ACT Team to a 68 slot ACT Team, effective 4/1/2016.

Allocation supports: three mobile residential support teams ($758,740 annualized); a hospital alternative respite program ($532,590 annualized); and a recovery center ($250,000 annualized), effective 7/1/2014. The 3 mobile support teams program codes to be included on OMH's financial reports are: Advocacy/Support Services (1760), Non-Medicaid Care Coordination (2720), and Outreach (0690). The Hospital Alternative Respite program code to be included on OMH's financial reports is Respite Services (0650). The East End Recovery Center program code to be included on OMH's financial reports is Recovery Center (2750). These funds are part of the System Transformation Plan initiative which is intended to reduce the need for and length of costly psychiatric hospitalizations. These funds must be reported separately on all OMH financial reports and must not be commingled with existing programs and OMH funding sources. The LGU is required to provide the OMH with monthly reports concerning the number of individuals and new individuals served by age group and county, month and year. Allocations may be adjusted based upon actual program performance.

Expanded Community Support C&Y	142B	MHPFA	$557,526	$837	$558,363	$557,527	$1,115	$558,642	

Funding Source	Code	Type	Prior Letter Allocation	Allocation Changes Since Prior Letter	Revised Current Fiscal Year Allocation	Annualized Value from Prior Letter	Annualized Value Changes from Prior Letter	Fiscal Year Revised Annualized Value	Beds

Remarks

$837 represents the April- Dec 2016 value of the 0.2% COLA effective April 1, 2016. The full annual value is $1,116.

Allocation funds 6 non-Medicaid care coordinators ($526,572 annualized) and 1.5 intensive case managers ($30,954 annualized), effective 7/1/2014. The 6 full time Non-Medicaid Care Coordinators program codes to be included on OMH's financial reports are: Non-Medicaid Care Coordination (2720) and Flexible Recipient Service Dollars (1230). The 1.5 C & F ICM managers program codes to be included on OMH's financial reports are: Intensive Case Management (1810) and ICM Service Dollars (1910). These funds are part of the System Transformation Plan initiative which is intended to reduce the need for and length of costly psychiatric hospitalizations. These funds must be reported separately on all OMH financial reports and must not be commingled with existing programs and OMH funding sources. The LGU is required to provide the OMH with monthly reports concerning the number of individuals and new individuals served by age group and county, month and year. Allocations may be adjusted based upon actual program performance.

Trans. Mgmt. Kendra's	170B	MHPFA	$203,464	$0	$203,464	$203,464	$0	$203,464
MGP Admin Kendra's	170C	MHPFA	$52,020	$0	$52,020	$52,020	$0	$52,020
Article 28&31 Closure Re-invest. (Adult)	175A	MHPFA	$30,000	$45	$30,045	$30,000	$60	$30,060

Remarks

$45 represents the April- Dec 2016 value of the 0.2% COLA effective April 1, 2016. The full annual value is $60.

The State aid allocation includes $30,000 (annualized) for peer support (to be reported as Advocacy/Support Services-1760), effective January 1, 2015. These funds are pursuant to the approved Article 28 closure reinvestment plan (Long Beach Medical Center/North Shore University Hospital/Partial Hospitalization Program operated by Pederson Krag). These funds must be reported separately on all OMH financial reports and must not be commingled with existing programs and OMH funding sources. The LGU is required to provide the OMH with monthly reports concerning the number of individuals and new individuals served by age group and county, month and year. Allocations may be adjusted based upon actual program performance.

Com. Reinvestment	200	MHPFA	$3,254,642	$4,803	$3,259,445	$3,201,676	$6,404	$3,208,080

1452

Funding Source	Code	Type	Prior Letter Allocation	Allocation Changes Since Prior Letter	Revised Current Fiscal Year Allocation	Annualized Value from Prior Letter	Annualized Value Changes from Prior Letter	Fiscal Year Revised Annualized Value	Beds

Remarks

This adjustment reflects implementation of the .2% COLA included in the 2016-17 enacted NYS budget, effective 4/1/16. The October quarterly advance includes the increase amount for the period 4/1/16 - 12/31/16. Each quarter thereafter will receive one quarter of the value of the COLA increase.

Reduction of $15,014 (FAV=$30,028) for transfer to FC 039P. These funds have been identified by the LGU as underspent, and will serve to expand the funding of a SPOA program.

Reduction of $30,028 (FAV=$30,028) for transfer to FC 039P. These funds have been identified by the LGU as underspent, and will serve to expand the funding of a SPOA program.

Reduction of $582,634 in LFY 2016 (FAV$635,600) due to transfer of funds to 037A for 2/1/16 opening of wellness program.

Addition of $79,644 (FAV) for transfer of 1.1.15 and 4.1.15 compensation enhancements to permanent funding codes, in accordance with LGU direction. Detail of the increases has been previously provided to OMH Field Offices and LGUs.

Homeless/MICA	300	MHPFA	$768,947	$1,539	$770,486	$768,948	$2,047	$770,995

Remarks

$384 represents the April- Dec 2016 value of the 0.2% COLA for PATH funding effective April 1, 2016. The full annual value is $512.

This adjustment reflects implementation of the .2% COLA included in the 2016-17 enacted NYS budget, effective 4/1/16. The October quarterly advance includes the increase amount for the period 4/1/16 - 12/31/16. Each quarter thereafter will receive one quarter of the value of the COLA increase.

Commissioner's Perf.	400	MHPFA	$475,012	$714	$475,726	$475,012	$952	$475,964

Remarks

This adjustment reflects implementation of the .2% COLA included in the 2016-17 enacted NYS budget, effective 4/1/16. The October quarterly advance includes the increase amount for the period 4/1/16 - 12/31/16. Each quarter thereafter will receive one quarter of the value of the COLA increase.

Addition of $3,920 (FAV) for transfer of 1.1.15 and 4.1.15 compensation enhancements to permanent funding codes, in accordance with LGU direction. Detail of the increases has been previously provided to OMH Field Offices and LGUs.

Health Home	570	MHPFA	$3,712,120	$5,568	$3,717,688	$3,712,120	$7,424	$3,719,544

1952

Attachment A
Funding Source Allocation Table
County Code: 52 County Name: Suffolk
Year: 2016

Funding Source	Code	Type	Prior Letter Allocation	Allocation Changes Since Prior Letter	Revised Current Fiscal Year Allocation	Annualized Value from Prior Letter	Annualized Value Changes from Prior Letter	Fiscal Year Revised Annualized Value	Beds
Remarks									
$5,568 represents the April- Dec 2016 value of the 0.2% COLA effective April 1, 2016. The full annual value is $7,424									
Funding Reduction/COLA	965	MHPFA	$0	$0	$0	$0	$0	$0	
Remarks									
Effective 1/1/16, transfers from FSC 965 to permanent funding codes for 1.1.15 and 4.1.15 Direct Care/Direct Support Staff salary enhancements and 4.1.15 Clinical Staff salary enhancements.									
Grand Total:			**$25,243,081**	**$400,380**	**$25,643,461**	**$24,679,880**	**$355,754**	**$25,035,634**	

1952

1952

2016 Intergovernmental Relations
Memorandum of Support

TITLE OF BILL: Amending the 2016 Adopted Operating Budget to accept and appropriate 100% additional Federal pass-through aid from the New York State Office of Mental Health to various contract agencies for community mental health services.

PURPOSE OR GENERAL IDEA OF BILL: This legislation will accept and appropriate the additional funds to contract agencies for programs that provide comprehensive, community-based mental health services to adults with serious mental illness and children with serious emotional disturbance.

SUMMARY OF SPECIAL PROVISIONS: None.

JUSTIFICATION: This legislation will accept and appropriate additional funds to various contract agencies to be used to enhance existing community support service programs.

FISCAL IMPLICATIONS: $223,462 in additional Federal pass-through aid will be added to the 2016 Adopted Operating Budget.

COUNTY OF SUFFOLK

1952

STEVEN BELLONE
SUFFOLK COUNTY EXECUTIVE

DEPARTMENT OF HEALTH SERVICES

JAMES L. TOMARKEN, MD, MPH, MBA, MSW
Commissioner

October 11, 2016

Jon Schneider, Deputy County Executive
County Executive's Office, 12th Floor
H. Lee Dennison Building
Veterans Memorial Highway
Hauppauge, NY 11788-0099

Dear Mr. Schneider:

I request the introduction of the enclosed Resolution to amend the 2016 Adopted Operating Budget to accept and appropriate 100% additional Federal pass-through aid from the New York State Office of Mental Health to various contract agencies for community mental health services. This legislation will accept and appropriate the additional funds to contract agencies for programs that provide comprehensive, community-based mental health services to adults with serious mental illness and children with serious emotional disturbance.

I enclose the financial impact statement and other materials for this Resolution. If you have any questions on the enclosed, please call Barbara Russo at 3-8533. Also, an e-mail version of this resolution was sent to CE RESO REVIEW and the file name is "Reso-HSV-MH Federal Funds.docx."

Sincerely,

James Tomarken

James L. Tomarken, MD, MPH, MBA, MSW
Commissioner

Enclosures

C: Christina Capobianco, CPA, Deputy Commissioner
Barbara Marano, CPA, Executive Assistant for Finance & Administration
Jennifer Culp, MPA, Assistant to the Commissioner of Health Services
Ann Marie Csorny, Director, Division of Community Mental Hygiene Services
Barbara Russo, Principal Financial Analyst
Susan B. Hodosky, Principal Financial Analyst

Public Health
Prevent. Promote. Protect.

OFFICE OF THE COMMISSIONER
3500 Sunrise Highway, Suite 124, P. O. Box 9006, Great River, NY 11739-9006
Phone (631) 854-0000 Fax (631) 854-0108

1953

Intro. Res. No. -2016

Laid on Table 11/9/16

Introduced by Presiding Officer Gregory

RESOLUTION NO. -2016, DIRECTING THE DEPARTMENT OF PARKS, RECREATION AND CONSERVATION TO ADDRESS ILLEGAL DUMPING IN COUNTY PARKS

WHEREAS, the County of Suffolk owns thousands of acres of parkland, allowing residents to enjoy the outdoors and its natural beauty; and

WHEREAS, in recent years, illegal dumping of toxic materials has been discovered in areas throughout the County, most recently at West Hills County Park; and

WHEREAS, illegal dumping introduces pesticides, asbestos, heavy metals and dangerous chemicals into the environment; and

WHEREAS, to ensure the safety of our County parks, the Department of Parks, Recreation and Conservation should survey all County parks to determine if any illegal dumping occurred beyond what was found at West Hills County Park; and

WHEREAS, a dedicated hotline should also be established to allow members of the public to report suspected illegal dumping on County parkland to the Department of Parks, Recreation and Conservation for further investigation; now, therefore be it

1st **RESOLVED**, that the Department of Parks, Recreation and Conservation ("the Department") is hereby authorized, empowered and directed to survey all County parks for indications that illegal dumping has occurred; and be it further

2nd **RESOLVED**, that the Commissioner of the Department shall report its finding in writing to the County Executive, the Clerk of the Legislature and each member of the County Legislature, within one hundred twenty (120) days of the effective date of this resolution; and be it further

3rd **RESOLVED**, that the Department shall establish a dedicated telephone hotline number where residents can report suspected illegal dumping on County parkland and post information about the hotline with the number on its departmental website within 90 days of the effective date of this resolution; and be it further

4th **RESOLVED**, that this Legislature, being the State Environmental Quality Review Act (SEQRA) lead agency, hereby finds and determines that this resolution constitutes a Type II action pursuant to Section 617.5(c)(20), (21) and (27) of Title 6 of the NEW YORK CODE OF RULES AND REGULATIONS (6 NYCRR) and within the meaning of Section 8-0109(2) of the NEW YORK ENVIRONMENTAL CONSERVATION LAW as a promulgation of regulations, rules, policies, procedures, and legislative decisions in connection with continuing agency administration, management and information collection, and the Suffolk County Council on Environmental Quality (CEQ) is hereby directed to circulate any appropriate SEQRA notices of determination of non-applicability or non-significance in accordance with this resolution.

DATED:

Into. Res. No. 1954-16 Laid on Table 11/9/16

Introduced by Presiding Officer on request of the County Executive

RESOLUTION NO. -2016, ACCEPTING AND APPROPRIATING 100% FUNDING FROM THE NEW YORK STATE OFFICE OF CHILDREN AND FAMILY SERVICES (OCFS) TO IMPLEMENT THE TANF NON RESIDENTIAL DOMESTIC VIOLENCE PROGRAM IN THE DEPARTMENT OF SOCIAL SERVICES AND AUTHORIZING THE COUNTY EXECUTIVE AND THE COMMISSIONER OF SOCIAL SERVICES TO EXECUTE A CONTRACT

WHEREAS, the New York State Office of Children and Family Services (OCFS) has designated Suffolk County as the recipient of 100% Federal funding in the amount of $47,601 to increase the independence and self-sufficiency of TANF eligible victims and their children based on the service plan developed by the Victims Information Bureau of Suffolk (VIBS); and

WHEREAS, NYS OCFS authorized this special allocation for Suffolk County consistent with a plan submitted by VIBS that included a description of the services and programs to be provided with the TANF monies for TANF eligible victims of domestic violence and their children; and

WHEREAS, these TANF funds will be used for enhanced or expanded core and/or optional Non-Residential Domestic Violence Services provided by VIBS, an approved Non-Residential Domestic Violence Program, only for persons with incomes up to 200% of the poverty level.

WHEREAS, it is the intention of the Suffolk County Department of Social Services to work with VIBS to administer this allocation to increase the independence and self-sufficiency of TANF eligible victims and their children; and

WHEREAS, this special allocation award is 100% Federal funded; and

WHEREAS, the grant period for this award is October 1, 2015 through June 30, 2017; and

WHEREAS, this additional 100% Federal Aid is not currently included in the 2016 Adopted Operating Budget; now, therefore be it

1st RESOLVED, that the County Comptroller be and hereby is authorized to accept and appropriate said grant funds as follows:

REVENUES:		$47,601
001-4610	FEDERAL AID: Social Services Administration	47,601

ORGANIZATIONS:

Social Services
Family and Children's Services
001-DSS-6034

4000 – Contractual Expenses	$47,601
4770 – GSG1 – Victims Information Bureau of Suffolk	47,601

and be it further

2nd **RESOLVED**, that the County Executive and the Commissioner of Social Services be and they hereby are authorized to execute a contract with the aforementioned agency; and be it further

3rd **RESOLVED**, that this Legislature, being the lead agency under the State Environmental Quality Review Act ("SEQRA"), N.Y. Environmental Conservation Law Article 8 and Chapter 450 of the Suffolk County Code, hereby finds and determines that this resolution constitutes a Type II action pursuant to Section 617.5(c)(20) and (27) of Title 6 of the NEW YORK CODE OF RULES AND REGULATIONS ("NYCRR") in that the action constitutes routine or continuing agency administration and management, not including new programs or major reordering of priorities that may affect the environment. The Suffolk County Council on Environmental Quality (CEQ) is hereby directed to circulate any appropriate SEQRA notices of determination of non-applicability or non-significance in accordance with this resolution hat this Legislature, being the lead agency under SEQRA and Chapter 279 of the Suffolk County Code, hereby determines that this resolution constitutes a Type II action.

DATED:

APPROVED BY:

_____

County Executive of Suffolk County

Date:

STATEMENT OF FINANCIAL IMPACT
OF PROPOSED SUFFOLK COUNTY LEGISLATION

1954

1. Type of Legislation

Resolution X Local Law ____ Charter Law ____

2. Title of Proposed Legislation

Accepting and appropriating 100% funding from the New York State Office of Children and Family Services (OCFS) to implement the TANF Non Residential Domestic Violence Program in the Department of Social Services and authorizing the County Executive and the Commissioner of Social Services to execute a contract.

3. Purpose of Proposed Legislation

TANF funds will be used for enhanced or expanded core and/or optional Non-Residential Domestic Violence Services provided by VIBS, an approved Non-Residential Domestic Violence Program, only for persons with incomes up to 200% of the poverty level.

4. Will the Proposed Legislation Have a Fiscal Impact? Yes ____ No X

5. If the answer to item 4 is "yes", on what will it impact? (circle appropriate category)

County	Town	Economic Impact
Village	School District	Other (specify):
Library District	Fire District	

6. If the answer to item 5 is "yes", Provide Detailed Explanation of Impact.

7. Total Financial Cost of Funding over 5 Years on Each Affected Political of other Subdivision.

The New York State Office of Children and Family Services (OCFS) designated Suffolk County as the recipient of 100% Federal funding in the amount of $47,601. The contract/program will be terminated if the funds are not re-authorized.

8. Proposed Source of Funding.

NYS OCFS grant with 100% funding.

9. Timing of Impact.

Immediate

10. Typed Name & Title of Preparer	11. Signature of Preparer	12. Date
Kenneth Knappe Chief Management Analyst	Kenneth Knappe	September 27, 2016

SCIN FORM 175b (10/95)

Debra Kolyer
Budget Office 10/26/16

COST TO THE AVERAGE TAXPAYER

1954

GENERAL FUND

	2015 PROPERTY TAX LEVY	ESTIMATED 2016* COST TO AVG TAXPAYER	2015 AV TAX RATE PER $100	2015 FEV TAX RATE PER $1000
TOTAL	$0	$0.00		$0.000

POLICE DISTRICT AND DISTRICT COURT

	2015 PROPERTY TAX LEVY	ESTIMATED 2016* COST TO AVG TAXPAYER	2015 AV TAX RATE PER $100	2015 FEV TAX RATE PER $1000
TOTAL	$0	$0.00		$0.000

COMBINED

	2015 PROPERTY TAX LEVY	ESTIMATED 2016* COST TO AVG TAXPAYER	2015 AV TAX RATE PER $100	2015 FEV TAX RATE PER $1000
TOTAL	$0	$0.00		$0.000

** The Estimated 2016 Cost to Average Taxpayer is based upon the 2015 property tax levy and is provided for informational purposes only.*

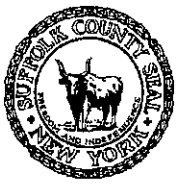
NOTES:

1) SOURCE FOR NUMBER OF FAMILY PARCELS AND CORRESPONDING ASSESSED VALUATION: SUFFOLK COUNTY REAL PROPERTY, 2014.
2) SOURCE FOR TOTAL TAXABLE ASSESSED VALUATION FOR COUNTY PURPOSES: SCHEDULE A, REPORT OF ASSESSED VALUATION FOR 2014-2015.
3) SOURCE FOR EQUALIZATION RATES: 2014 COUNTY EQUALIZATION RATES ESTABLISHED BY THE NEW YORK STATE BOARD OF EQUALIZATION AND ASSESSMENTS.

To be completed by the Executive Budget Office

COUNTY OF SUFFOLK

1954



STEVEN BELLONE
SUFFOLK COUNTY EXECUTIVE

DEPARTMENT OF SOCIAL SERVICES

JOHN F. O'NEILL
COMMISSIONER

2016 INTERGOVERNMENTAL RELATIONS MEMORANDUM OF SUPPORT

TITLE OF BILL:

Accepting and appropriating 100% funding from the New York State Office of Children and Family Services (OCFS) to implement the TANF Non Residential Domestic Violence program in the Department Of Social Services and authorizing the County Executive and the Commissioner of Social Services to execute a contract

PURPOSE OR GENERAL IDEA OF BILL:

The New York State Office of Children and Family Services (OCFS) has designated Suffolk County as the recipient of 100% Federal funding in the amount of $47,601 to increase the independence and self-sufficiency of TANF eligible victims and their children based on the service plan developed by the Victims Information Bureau of Suffolk (VIBS).

SUMMARY OF SPECIFIC PROVISIONS:

Funds will be used for enhanced or expanded core and/or optional Non-Residential Domestic Violence Services provided by VIBS, an approved Non-Residential Domestic Violence Program, only for persons with incomes up to 200% of the poverty level.

JUSTIFICATION:

It is the intention of the Suffolk County Department of Social Services to work with VIBS to administer this allocation for enhanced or expanded core and/or optional non-residential domestic violence services.

FISCAL IMPLICATIONS:

A 100% Federal grant provides pass through funding for this organization.

P.O. BOX 18100
HAUPPAUGE, NY 11788-8900

www.suffolkcountyny.gov/departments/socialservices

1954

Office of Children and Family Services

Andrew M. Cuomo
Governor

52 WASHINGTON STREET
RENSSELAER, NY 12144

Sheila J. Poole
Acting Commissioner

Local Commissioners Memorandum

Transmittal:	16-OCFS-LCM-12
To:	Local District Commissioners
Issuing Division/Office:	Division of Child Welfare and Community Services Division of Administration
Date:	June 14, 2016
Subject:	**TANF Funding for Non-Residential Domestic Violence Services for SFY 2016-17**
Contact Person(s):	See Section VI
Attachments:	Attachment A: SFY 2016-17 TANF Non-Residential Domestic Violence Services Allocations Attachment B: TANF SFY 2016-17 Non-Residential Domestic Violence Services Plan and Allocation Attestation Attachment C: Federal TANF Funding Guidelines and Claiming Instructions

I. Purpose

The purpose of this Local Commissioners Memorandum (LCM) is to notify local departments of social services (LDSSs) of their allocations for non-residential domestic violence (DV) services administered through the New York State Office of Children and Family Services (OCFS). The enacted budget for State Fiscal Year (SFY) 2016-2017 includes a Temporary Assistance for Needy Families (TANF) appropriation of $3,000,000 for the provision of non-residential domestic violence services. The allocation for each LDSS is listed in Attachment A of this document.

II. Background

TANF funding for non-residential domestic violence services is administered by OCFS. In addition to using their TANF appropriations, LDSSs have the option of also dedicating a portion of their Flexible Fund for Family Services (FFFS) allocations to further support non-residential domestic violence services.

III. Allocation Methodology

TANF funding for non-residential domestic violence services is allocated to LDSSs based on program needs and the LDSSs' prior year allocation. Each LDSS receives a base allocation of $24,999. Additional funds are allocated based on the LDSS's previous

domestic violence expenditures and claiming history. Please see Attachment A for a complete list of LDSS allocations.

IV. Program Implications and Federal TANF Funding Guidelines

These TANF funds must be used for enhanced or expanded core and/or optional non-residential domestic violence services provided by OCFS approved non-residential domestic violence programs, but can be used only for services provided to persons with incomes at or below 200 percent of the poverty level. For a complete list of approved non-residential domestic violence programs, refer to the OCFS website at http://ocfs.ny.gov/main/dv/providerlist.asp.

Each LDSS must complete Attachment B, TANF 2016-17 Non-Residential Domestic Violence Services Plan and Allocation Attestation, indicating whether the LDSS intends to access its TANF allocation. If the LDSS intends to use the funds, it must submit a plan for each approved non-residential domestic violence service provider that will receive money from this allocation. The plans must provide a description of the programs and services to be provided with the TANF monies for TANF eligible victims of domestic violence and their children.

Note: Funds cannot be used for medical services, domestic violence hotline services, domestic violence outreach/educational services, or capital expenditures.

Please send the signed and dated Attachment B and plans by **July 30, 2016,** by mail to:

Pamela Jobin
New York State Office of Children and Family Services
Bureau of Program and Community Development
52 Washington Street, Room 334 North
Rensselaer, NY 12144-2834

As in previous years, these funds must be expended and claimed in accordance with the federal TANF Funding Guidelines and Claiming Instructions (see Attachment C).

V. Claiming Instructions and Periods

All expenditures from the non-residential domestic violence services allocation should be claimed in accordance with the LDSS's non-residential domestic violence services approved plan.

The expenditures for the approved non-residential domestic violence services projects should be claimed through the RF17 claim package for special project claiming. Administrative and/or contracted costs associated with approved plans should be identified as F17 functional costs and reported on the Schedule D, LDSS-2347, *DSS Administrative Expenses Allocation and Distribution by Function and Program*, in the F17 column in the RF2A claim package. The individual project costs must also be reported on the LDSS-4975A, *RF17 Worksheet, Distribution of Allocated Costs to Other Reimbursable Programs*, using the project label *Non Res DV 15* from the drop down menu on the Automated Claiming System (ACS).

Salary and non-salary costs of staff should be charged to the RF17 claim package. LDSS staff whose responsibilities include both non-residential domestic violence services and

other tasks or services must be time studied. Only costs related to non-residential domestic violence services should be charged to the RF17 claim package for non-residential domestic violence services.

Non-salary administrative costs are reported with the appropriate object of expense(s) on the LDSS-923B Summary-Administrative (page 1), *Schedule of Payments for Expenses Other Than Salaries for Other Reimbursable Programs*. Program costs are reported as an object of expense 37 - Special Project Program Expense on the LDSS-923B Summary-Program (page 2), *Schedule of Payments for Expenses Other Than Salaries for Other Reimbursable Programs*. The expenditures should be entered in the RF17 Claim Package based on the guidelines noted in Attachment C.

Total project costs and shares should be reported on the LDSS-4975, *Monthly Statement of Special Project Claims Federal and State Aid (RF-17).*

Instructions for the Schedule D and RF17 claim package are found in Chapters 7 and 18 respectively of the *Fiscal Reference Manual* (FRM), Volume 3. The FRMs are available online at http://otda.state.nyenet/bfdm/finance/.

Costs are claimed at 100 percent federal share for clients in receipt of TANF or who are eligible for TANF with incomes at or below 200 percent of the federal poverty level. Reimbursement is available up to the limit of the allocation. Costs over the allocation will be regular domestic violence services expenditures, which are subject to 98 percent of 50 percent state reimbursement net of any available Title XX funds.

Because of federal reporting requirements, LDSSs must report program and administrative costs separately for claiming purposes. The RF17 claim package permits reporting both types of expenditures on the same form.

Under federal TANF regulations, there is a 15 percent limitation for costs claimed for administrative activities. Under the same regulations, certain activities that were previously considered administrative are now classified as program costs and, therefore, are not subject to the 15 percent cap. The guidelines on which costs are administrative and which are non-administrative are in Attachment C.

The funds announced in this LCM for SFY 2016-2017 (DV Round 15), which are labeled on the RF-17 as *Non Res DV 15*, must be spent by June 30, 2017, and Final Accepted in ACS by August 15, 2017. These funds are to be used to reimburse expenses incurred beginning October 1, 2015, and ending June 30, 2017, with claim packages Final Accepted in ACS by August 15, 2017.

Additionally, unexpended balances of non-residential domestic violence services funding from DV 11 (SFY 2012-13 funding) labeled as *Non Res DV 11* can be claimed on the RF17 for expenditures incurred from October 1, 2014, through June 30, 2016, with claim packages Final Accepted in ACS by August 15, 2016. Any LDSSs that have remaining *Non Res DV 11* allocations should spend down those balances prior to claiming for *Non Res DV 12, 13, 14,* or *15* monies. OCFS may reallocate any unspent funds from an LDSS to other LDSSs that have claims in excess of their allocations.

Unexpended balances from DV 12 (SFY 2013-14 funding) labeled as *Non Res DV 12*, from DV 13 (SFY 2014-15 funding) labeled as *Non Res DV 13*, and from DV 14 (SFY 2015-16 funding) labeled as *Non Res DV 14* can be claimed on the RF17 for expenditures

incurred from October 1, 2015, through June 30, 2017, with claim packages Final Accepted in ACS by August 15, 2017. Any LDSS that has remaining *Non Res DV 12*, *DV 13*, and *DV 14* allocations should spend down those balances prior to claiming for *Non Res DV 15* monies. OCFS may reallocate any unspent funds from an LDSS to other LDSSs that have claims in excess of their allocations.

VI. Contact Persons

Programmatic questions should be directed either to the OCFS home office staff listed below or to the appropriate OCFS regional office, Division of Child Welfare and Community Services staff:

Home Office

*Pamela Jobin, Assistant Director, Bureau of Program and Community Development at (518) 474-4787, Pamela.Jobin@ocfs.ny.gov .

Regional Offices

Buffalo	Tina Cook	Tina.Cook@ocfs.ny.gov	(716) 847-3145
Rochester	Karen Buck	Karen.Buck@ocfs.ny.gov	(585) 238-8201
Syracuse	Sara Simon	Sara.Simon@ocfs.ny.gov	(315) 423-1200
Albany	Colleen Fischer	Colleen.Fischer@ocfs.ny.gov	(518) 486-7078
Spring Valley	Yolanda Désarmé	Yolanda.Desarme@ocfs.ny.gov	(845) 708-2498
NYC	Ronni Fuchs	Ronni.Fuchs@ocfs.ny.gov	(212) 383-1788

Any questions concerning claiming procedures should be directed to the OTDA Bureau of Financial Services by email or telephone:

Dan Stuhlman (Regions I-IV)	Dan.Stuhlman@otda.ny.gov	(518) 474-7549
Michael Simon (Regions V-VI)	Michael.Simon@otda.ny.gov	(212) 961-8250

Issued By:

/s/ Laura M. Velez

Name: Laura M. VeleZ
Title: Deputy Commissioner
Division/Office: Child Welfare and Community Services

/s/ Derek J. Holtzclaw

Name: Derek J. Holtzclaw
Title: Associate Commissioner for Financial Administration
Division/Office: Administration

COUNTY OF SUFFOLK

STEVE BELLONE
SUFFOLK COUNTY EXECUTIVE

1954

DEPARTMENT OF SOCIAL SERVICES

JOHN F. O' NEILL
Commissioner

Memorandum

To: Jon Schneider, Deputy County Executive

From: John F. O' Neill, Commissioner
Department of Social Services

Date: September 27, 2016

Subject: **REQUEST FOR LEGISLATIVE RESOLUTION**
Accepting 100% pass through funding from the NYS OCFS to implement the TANF Non Residential Domestic Violence program and execute a contract.

I am requesting that the attached legislative resolution be submitted at the next meeting of the Suffolk County Legislature.

ACCEPTING AND APPROPRIATING 100% FUNDING FROM THE NEW YORK STATE OFFICE OF CHILDREN AND FAMILY SERVICES (OCFS) TO IMPLEMENT THE TANF NON RESIDENTIAL DOMESTIC VIOLENCE PROGRAM IN THE DEPARTMENT OF SOCIAL SERVICES AND AUTHORIZING THE COUNTY EXECUTIVE AND THE COMMISSIONER OF SOCIAL SERVICES TO EXECUTE A CONTRACT

We received notification from the New York State Office of Children and Family Services that Suffolk County was awarded 100% funding in the amount of $47,601 to be used for enhanced or expanded core and/or optional Non-Residential Domestic Violence Services provided by VIBS, an approved Non-Residential Domestic Violence Program, only for persons with incomes up to 200% of the poverty level.

Attached please find the above referenced resolution, the introduction form (SCIN Form 175a), the fiscal impact statement (SCIN Form 175b), and the grant approval notification from NYS. The copies relating to this resolution are titled "Reso-DSS-VIBS- 2016-17." If you have any questions, please contact Kenneth Knappe at 854-9939.

Enc.

ec: CE Reso. Review Distribution List

BOX 18100 HAUPPAUGE, N.Y. 11788 – 8900 (631)854-9935

1954

ATTACHMENT A

SFY 2016-2017
TANF NON-RESIDENTIAL DOMESTIC VIOLENCE SERVICES ALLOCATIONS

District	Allocation		District	Allocation
Albany	$25,294		Ontario	$24,999
Allegany	$24,999		Orange	$25,260
Broome	$24,999		Orleans	$24,999
Cattaraugus	$24,999		Oswego	$24,999
Cayuga	$24,999		Otsego	$24,999
Chautauqua	$34,406		Putnam	$24,999
Chemung	$24,999		Rensselaer	$24,999
Chenango	$24,999		Rockland	$24,999
Clinton	$24,999		St. Lawrence	$24,999
Columbia	$24,999		Saratoga	$24,999
Cortland	$24,999		Schenectady	$24,999
Delaware	$24,999		Schoharie	$24,999
Dutchess	$50,700		Schuyler	$24,999
Erie	$143,883		Seneca	$24,999
Essex	$24,999		Steuben	$24,999
Franklin	$24,999		Suffolk	$47,601
Fulton	$24,999		Sullivan	$24,999
Genesee	$24,999		Tioga	$24,999
Greene	$24,999		Tompkins	$24,999
Hamilton	$24,999		Ulster	$24,999
Herkimer	$24,999		Warren	$24,999
Jefferson	$24,999		Washington	$24,999
Lewis	$24,999		Wayne	$24,999
Livingston	$24,999		Westchester	$55,101
Madison	$24,999		Wyoming	$24,999
Monroe	$73,017		Yates	$24,999
Montgomery	$24,999			
Nassau	$28,522		Rest of State	$1,750,138
Niagara	$34,822			
Oneida	$60,389		New York City	$1,249,862
Onondaga	$46,188			
			Statewide Totals	$3,000,000

ATTACHMENT B

TANF SFY 2016-2017 NON-RESIDENTIAL DOMESTIC VIOLENCE SERVICES PLAN AND ALLOCATION ATTESTATION

DISTRICT:

TANF PLAN CONTACT PERSON:

PHONE # AND EMAIL ADDRESS:

Check one:

☐ By signing this form, I am attesting that the social services district will use its TANF 2016-2017 allocation for enhanced or expanded core and/or optional non-residential domestic violence services provided by approved non-residential domestic violence programs only for persons with incomes at or below 200 percent of the poverty level as outlined in the attached, and expenditures will continue to be in accordance with federal TANF guidelines.

☐ By signing this form, I am attesting that the social services district will not be accessing its TANF 2016-17 allocation for non-residential domestic violence services.

Name of approved non-residential domestic violence service provider(s) that will receive these funds, and contact person and telephone number or email address:

Program:	Contact Person and Contact Information:
1.	
2.	
3.	

For each provider listed, provide a brief description of the services that will be supported with this allocation, using additional sheets as required. (Note: These funds cannot be used to supplant existing federal, state and/ or local funding sources):

_____ _____

Commissioner Signature Date

Send signed Attachment B with any additional sheets by **July 30, 2016,** by mail to:

Pamela Jobin
New York State Office of Children and Family Services
Bureau of Program and Community Development
52 Washington Street, Room 334 North
Rensselaer, NY 12144-2796

ATTACHMENT C

FEDERAL TANF FUNDING GUIDELINES AND CLAIMING INSTRUCTIONS

Federal TANF Funding Guidelines

Eligible Families/Individuals

- Funds may be used for families, children and non-custodial parents who are at or below 200 percent of the federal poverty level, and otherwise meet the TANF categorical eligibility standards including the *Personal Responsibility and Work Opportunity Reconciliation Act* (PRWORA) U.S. citizenship or qualified alien requirements. For the SFY 2016-17 allocation, the income standards are noted below:

2016 HHS Poverty Guidelines
SOURCE: *Federal Register*, Volume 81, Number 15, Monday, January 25, 2016, pp. 4036-4037

Persons in Family Unit	Poverty Level	200% of Poverty
1	$11,880	$23,760
2	$16,020	$32,040
3	$20,160	$40,320
4	$24,300	$48,600
5	$28,440	$56,880
6	$32,580	$65,160
7	$36,730	$73,460
8	$40,890	$81,780
For each additional person, add	$4,160	$8,320

Allowable Costs

- Allowable services must meet the federal definition of non-assistance.

- The funds may not be used for assistance. Assistance for federal purposes consists of any payment or benefit designed to meet ongoing basic needs – food, clothing, shelter, utilities, household goods, personal care items, and general incidental expenses. Assistance also includes supportive services such as transportation or child care provided to unemployed recipients. Assistance paid to a person receiving family assistance or non-cash safety net assistance/federal participation is counted toward the 60-month TANF time limit. Assistance counts toward the support offset.

- Funds may be utilized to provide transportation services only when those services are incidental to the services being provided to people receiving TANF-funded public assistance (PA). With regard to transportation services, transportation is permissible for employment or other allowable activities, as long as the program of transportation does not constitute assistance or, if it does constitute assistance, it is only provided to people receiving PA.

- Funds cannot be used for medical services, domestic violence hotline services, domestic violence outreach/educational services, and capital expenditures.

Cost Allocation

- Costs must be allocated to benefiting programs when more than one program is involved in a particular activity, whether done by LDSS staff or contractor staff. Cost allocation rules are now contained in 2 CFR Part 200, which supersedes and streamlines prior federal guidance including the Fiscal Reference Manuals and Federal Circular OMB A-87 for LDSSs; OMB Circular A-122; OMB Circular and OMB Circular A-21.

Administrative Costs 15 Percent Rule

- The maximum amount the state can spend on administration is 15 percent against the TANF Block Grant after transfer to other block grants. This limitation applies to the non-residential domestic violence allocation for SFY 2016-17.

- The federal definition of administration is different from the state definition. When TANF costs are claimed to the federal government, they must be claimed according to the federal definition. The final federal regulations exclude direct costs, including salaries and benefits costs for staff providing program services and the direct administrative costs associated with providing these services (e.g., supplies, equipment, travel, postage, utilities, rental costs, and maintenance). For example, the cost of providing diversion benefits and services, providing program information to clients, screening and assessment, development of employability plans, work activities, post-employment services, work supports, and case management services, including those involving child welfare case management, except for performing the eligibility determinations, are all excluded from the definition, and should be claimed as program expenditures. Also excluded are costs for contracts devoted entirely to program activities.

- The revised definition specifically includes contract costs (except those excluded totally or in part as program activities), all indirect and overhead costs, and activities related to eligibility determinations within the definition of administrative costs. The following are examples of administrative costs included in the regulations:

    - Salaries and benefits of staff performing administrative and coordination functions
    - Preparation of program plans, budgets, and schedules
    - Monitoring programs and projects
    - Fraud and abuse units
    - Procurement activities
    - Public relations
    - Services related to accounting, litigation, audits, management of property, payroll, and personnel
    - Costs for the goods and services required for administration of the program (supplies, equipment, travel postage, utilities, office rent, and maintenance), provided that such costs are not excluded as program services

- Travel costs incurred for official business and not excluded as program costs
- Management information systems not related to tracking and monitoring (such as state payroll staff)
- Preparing reports and other documents

Overhead and A-87 costs must be budgeted for any program for which LDSS staff is budgeted.

Claiming Instructions (repeated from Page 2 of the LCM)

All expenditures against the non-residential domestic violence services allocation should be claimed in accordance with the LDSS's non-residential domestic violence services approved plan.

The expenditures for the approved non-residential domestic violence services projects should be claimed through the RF17 claim package for special project claiming. Administrative and/or contracted costs associated with approved plans should be identified as F17 functional costs and reported on the Schedule D, *DSS Administrative Expenses Allocation and Distribution by Function and Program* (LDSS-2347), in the F17 column in the RF2A claim package. The individual project costs must also be reported on the LDSS-4975A, *RF17 Worksheet, Distribution of Allocated Costs to Other Reimbursable Programs*, using the project label *Non Res DV 15* from the drop down menu on the Automated Claiming System (ACS).

Salary and non-salary costs of staff should be charged to the RF17 claim package. LDSS staff whose responsibilities include both non-residential domestic violence services and other tasks or services must be time studied. Only costs related to non-residential domestic violence services should be charged to the RF17 claim package for non-residential domestic violence services.

Non-salary administrative costs are reported with the appropriate object of expense(s) on the LDSS-923B Summary-Administrative (page 1), *Schedule of Payments for Expenses Other Than Salaries for Other Reimbursable Programs*. Program costs are reported as object of expense 37 - Special Project Program Expense on the LDSS-923B Summary-Program (page 2), *Schedule of Payments for Expenses Other Than Salaries for Other Reimbursable Programs*. The expenditures should be entered in the RF17 Claim Package based on the guidelines noted in Attachment C.

Total project costs and shares should be reported on the LDSS-4975, *Monthly Statement of Special Project Claims Federal and State Aid (RF-17)*.

Instructions for the Schedule D and RF17 claim package are found in Chapters 7 and 18 respectively of the *Fiscal Reference Manual* (FRM), Volume 3. The FRMs are available on-line at http://otda.state.nyenet/bfdm/finance/.

Costs are claimed at the 100 percent federal share for clients in receipt of TANF or who are eligible for TANF with incomes at or below 200 percent of the federal poverty level. Reimbursement is available up to the limit of the allocation. Costs over the allocation will become regular domestic violence services expenditures, which are subject to 98 percent of 50 percent state reimbursement net of any available Title XX funds.

Because of federal reporting requirements, the LDSS must report both program and administrative costs for claiming purposes. The RF17 claim package permits the reporting of both types of expenditures on the same form.

Under federal TANF regulations, there is a 15 percent limitation for costs that may be claimed for administrative activities. Under the same regulations, certain activities that are normally considered administrative are now classified as program costs under these federal rules and, therefore, are not subject to the 15 percent cap. The guidelines on which costs are administrative and which are non-administrative are noted above.

Claiming Periods (repeated from Page 2 of the LCM)

All expenditures against the non-residential domestic violence services allocation should be claimed in accordance with the LDSS's non-residential domestic violence services approved plan.

The expenditures for the non-residential domestic violence projects should be claimed through the RF17 claim package for special project claiming. Administrative and/or contracted costs associated with approved plans should be identified as F17 functional costs and reported on the Schedule D, *DSS Administrative Expenses Allocation and Distribution by Function and Program* (LDSS-2347), in the F17 column in the RF2A claim package. The individual project costs must also be reported on the LDSS-4975A, *RF17 Worksheet, Distribution of Allocated Costs to Other Reimbursable Programs*, using the project label *Non Res DV 15* from the drop down menu on the Automated Claiming System (ACS).

1954

Checklist for Approving TANF Funding for Non-Residential Domestic Violence Services
SFY _2016-2017_____

*****Complete one checklist for each program******

County: Suffolk

Agency (Non-Residential Provider) Name: VIBS

Reviewer: John Chimento

Date: 9/1/2016

*Please check **Yes** or **No** for each question. If no to any question, **please explain** in the space provided.*

☒ Yes ☐ No 1. Attachment B received and signed by Commissioner or designee.

☒ Yes ☐ No 2. Proposed services are provided by an OCFS approved non-residential program.
Comments:

☒ Yes ☐ No 3. There is sufficient information to document that the funds will be used for enhanced or expanded core and/or optional non-residential services.
http://ocfs.ny.gov/main/dv/regulations.asp
- Information & referral
- Advocacy
- DV Counseling
- Support Groups (Optional)
- Children's Services (Optional)
- Transportation*(Optional)
- Translation (Optional)

Comments:

☒ Yes ☐ No 4. The eligibility criteria for funds is for TANF eligible vicitms of domestic violence and their familes (Federal TANF guidelines

1954

indicate familes, children and non-custodial parents who are at or below 200 percent of the federal poverty level and otherwise meet the TANF categoricial eligibility standards including Personal Responsibility and Work Opportunity Reconcilliation Act (PRWORA), US citizenship or qualified alien requirements).
Comments:

☒ Yes ☐ No 5. There is sufficient information that describes the services provided.
Comments:

☒ Yes ☐ No 6. Funds are not used for:
- Medical services
- Domestic Violence Hotline services
- Domestic Violence outreach/educational services
- Capital expenditures.

Comments:

☒ Yes ☐ No 7. Funds are not used for assistance. Assistance for federal purposed defined as any payment of benefit designed to meet ongoing basic needs – food, clothing, shelter, utilities, household goods, personal care items, and general incidental expenses.
Comments:

☒ Yes ☐ No 8. Funds are not used for assistance. Assistance for federal purpose defined as any payment of benefit designed to meet ongoing basic needs – also includes supportive

1954

services such as transportation or child care provided to unemployed reciepients.
Comments:

☒ Yes ☐ No 9. If transportation is included as a service, it is not as assistance. Transportation is permissive for employment or other allowable activities. *Transportation so the victim can secure legal, medical, or housing service (i.e. appointments).
Comments:

Action:

☐ Disapproved

☒ Approved 9/1/2016

☐ Revision Needed:

Intro. Res. No. 1955-2016 Laid on Table 11/9/2016
Introduced by Presiding Officer, on request of the County Executive

RESOLUTION NO. -2016, ACCEPTING AND APPROPRIATING A GRANT IN THE AMOUNT OF $950,000 IN FEDERAL PASS-THROUGH AND NEW YORK STATE FUNDING FROM THE NEW YORK STATE DEPARTMENT OF TRANSPORTATION FOR THE LONG ISLAND EXPRESSWAY HIGH OCCUPANCY VEHICLE LANE ENFORCEMENT PROGRAM IN SUFFOLK COUNTY WITH 100% SUPPORT

WHEREAS, the New York State Department of Transportation (DOT) has made $950,000 available to Suffolk County for the continued Suffolk County enforcement efforts targeting the High Occupancy Vehicle (HOV) lane regulations on the Long Island Expressway in Suffolk County; and

WHEREAS, the operational period of the Program will be from March 2, 2016, through March 1, 2017; and

WHEREAS, $10,000 in vehicle use costs for this program have been included in the Suffolk County 2016 Operating Budget; and

WHEREAS, remaining funds have not been included in the Suffolk County Operating Budget for 2016; now, therefore be it

1st **RESOLVED**, that the County Comptroller and County Treasurer be and they hereby are authorized to accept and appropriate said grant funds as follows:

LIE/HOV ENFORCEMENT PROGRAM 2016

REVENUES:

Fund	Department	Unit	Revenue Code	Amount
115	POL	3259	3392	190,000
115	POL	3259	4231	760,000

ORGANIZATIONS:

Police Department (POL)
LIE/HOV Enforcement Program 2016
115-POL-3259

1000-PERSONNEL SERVICES: $732,533

Fund	Dept	Budget Type	Unit	Object	Activity	Description	Amount
115	POL	DEG	3259	1120	0000	Overtime Salaries	732,533

4300-TRAVEL: $10,636

Fund	Dept	Budget Type	Unit	Object	Activity	Description	Amount
115	POL	DEG	3259	4310	0000	Employee Misc. Expense	10,636

8000-EMPLOYEE BENEFITS: $196,831

Fund	Dept	Budget Type	Unit	Object	Activity	Description	Amount
115	POL	DEG	3259	8280	0000	Retirement	185,138
115	POL	DEG	3259	8330	0000	Social Security	11,693

and be it further

2nd **RESOLVED**, that this Legislature, being the State Environmental Quality Review Act (SEQRA) lead agency, hereby finds and determines that this resolution constitutes a Type II action pursuant to Section 617.5(c)(20), (21) and (27) of Title 6 of the New York Code of Rules and Regulations (6 NYCRR) and within the meaning of Section 8-0109(2) of the New York Environmental Conservation Law as a promulgation of regulations, rules, policies, procedures and legislative decisions in connection with continuing agency administration, management and information collection, and the Suffolk County Council of Environmental Quality (CEQ) is hereby directed to circulate any appropriate SEQRA notices of determination of non-applicability or non-significance in accordance with this resolution; and be it further

3rd **RESOLVED**, that the County Executive be and hereby is authorized to execute the grant agreement between Suffolk County and the New York State Department of Transportation.

DATED:

APPROVED BY:

_____

County Executive of Suffolk County

Date:

1955

STATEMENT OF FINANCIAL IMPACT
OF PROPOSED SUFFOLK COUNTY LEGISLATION

1. Type of Legislation

Resolution XX Local Law _____ Charter Law

2. Title of Proposed Legislation

Accepting and appropriating a grant in the amount of $950,000 in Federal pass-through and New York State funding from the New York State Department of Transportation for the Long Island Expressway High Occupancy Vehicle Lane enforcement program in Suffolk County with 100% support.

3. Purpose of Proposed Legislation

To accept $950,000 from the New York State Department of Transportation for the provision of enhanced enforcement patrols by Suffolk County targeting HOV lane regulations.

4. Will the Proposed Legislation Have a Fiscal Impact? **Yes XX No**

5. If the answer to item 4 is "yes", on what will it impact? (circle appropriate category)

County	**Town**	**Economic Impact**
Village	**School District**	**Other (Specify):**
Library District	**Fire District**	

6. If the answer to item 5 is "yes", Provide Detailed Explanation of Impact

The resolution provides $950,000 for participation in the LIE/HOV 2016 program.

7. Total Financial Cost of Funding over 5 Years on Each Affected Political or Other Subdivision.

The funds provided by this grant must be expended between March 2, 2016 and March 1, 2017.

8. Proposed Source of Funding

New York State Department of Transportation

9. Timing of Impact

Effective upon adoption.

10. Typed Name & Title of Preparer	11. Signature of Preparer	12. Date
Sarah Furey Sr. Grants Analyst	S. Furey	10/12/16

1955

2016 INTERGOVERNMENTAL RELATIONS
MEMORANDUM OF SUPPORT

TITLE OF BILL: Accepting and appropriating a grant in the amount of $950,000 in Federal pass-through and New York State funding from the New York State Department of Transportation for the Long Island Expressway High Occupancy Vehicle Lane enforcement program in Suffolk County with 100% support

PURPOSE OR GENERAL IDEA OF BILL: To accept a grant award of $950,000 to enhance Suffolk County's enforcement efforts targeting the HOV lane regulations on the Long Island Expressway in Suffolk County.

SUMMARY OF SPECIFIC PROVISIONS: This legislation will allow the County to accept funding in the amount of $950,000 from the New York State Department of Transportation which will be used to support dedicated overtime enforcement patrols targeting HOV lane regulations on the Long Island Expressway in Suffolk County.

JUSTIFICATION: The Long Island Expressway (LIE) runs through Suffolk County for the entire length of the Police District and maintains the High Occupancy Vehicle (HOV) Lane until Exit 64 when the HOV lane terminates. HOV lanes generally increase the person-carrying capacity of highway corridors, induce modal shift, improve efficiency and economy of public transit operations, reduce total travel time and fuel consumption and reduce urban and suburban congestions. Enforcement of lane regulations has been a key element in the successful operation of HOV lanes.

FISCAL IMPLICATIONS: None

COORDINATION OF GRANT APPLICATION OR CONTRACT County of Suffolk		DATE 10/12/16
Submitting Department/Agency Suffolk County Police Department	Location 30 Yaphank Avenue, Yaphank	1955
Contact Person In Department/Agency Sarah Furey Sr. Grants Analyst	Telephone Number 852-6042	Grant Application Due Date N/A

Instructions: Applicant will complete all items on this form. If an item is not applicable, enter "NA". If additional space is needed, insert an asterisk (*) in the item box and attach additional information on an 8 ½" X 11" sheet cross referenced to the item.

I. BACKGROUND INFORMATION

1. Grant Title **LIE/HOV Enforcement Program 2016**

2. Statutory Legislation (Public Law No. & Title & Department Administering Grant Program): Moving Ahead for Progress in the 21st Century (P.L. 112-141), and 2016 NY State Budget, administered by the NYS Dept. of Transportation

3. Grant/Contract Status (Check One Box)
A. _ __New Program Application
B. _ __Renewal Application
C. _X_ Supplemental (Specify) Supplemental Agreement No. 5 to Contract No. C032055
D. ___Extension of Funding Period
E. ___Contract

4. General Purpose of Grant/Contract (Describe briefly. If it is a refunding, please attach a recent progress report, including summary of goal attainment.).
To accept and appropriate grant funds in the amount of $950,000 for the provision of dedicated law enforcement efforts targeting HOV lane regulations.

5. County Departments/Agencies Affected (Include any with similar operational programs, regardless of their eligibility for this program.) Suffolk County Police Department, Suffolk County Sheriff's Office

II. BUDGET INFORMATION

1. Term of Contract From 3/2/2016 To: 3/1/2017

2. Financial Assistance Requested

SOURCE	*FIFTEENTH FUNDING CYCLE*		*SIXTEENTH FUNDING CYCLE*		*SEVENTEENTH FUNDING CYCLE*	
	Amount	**Percent**	**Amount**	**Percent**	**Amount**	**Percent**
Federal	$688,800	80%	$710,000	80%	$760,000	80%
State	$172,200	20%	$177,000	20%	$190,000	20%
Private	$	%	$	%	$	%
County	$	%	$	%	$	%
Total	$861,000	100%	$887,000	100%	$950,000	100%

3. Explanation of Requested County Financial Assistance

Category	*Total Requested*	*Personnel Costs Requested*	*Non-Personnel Costs Requested*
TOTAL COUNTY SHARE:	$ None	$0 1955	$0
A. Cash Contribution	$	$	$
B. In-Kind Contribution	$	$	$

4. Total Number of New Positions Requested 0

5. Can This Program Be Refunded by the Proposed Non-County Sources?

X YES NO

6. Estimated Expected Additional Indirect Costs (Costs to County not delineated in Budget Request, for example, added overhead, capital expenditures required as a result of project activity, associated administrative costs, etc.)

Some additional indirect costs resulting from administration of the grant contract will be incurred.

7. What Do You Anticipate Happening When the Federal, State and/or Private Financial Assistance is Discontinued (That is, program termination, reduced services, financial implications, layoffs, etc.)?

In the event that another source of outside funding is not found, continuance of this program will be re-evaluated based on community need and available resources of the Department.

8. Attach a List of Potential Subcontractors, If any, outlining the purpose of each subcontract (That is, 456 and 490 account items; use an additional 8 ½" X 11" sheet).

III. COUNTY EXECUTIVE'S OFFICE REVIEW

1. Intergovernmental Relations Division Review:	Approved Disapproved	2. Signature of Coordinator	3. Date
4. Comments			
5. Budget Office Review:	Approved Disapproved	6. Signature of Budget Director	7. Date
8. Comments			

SCIN FORM 164

GRANT BUDGET ANALYSIS	COUNTY BUDGET YEAR 2016			Page 1 of 3
CATEGORY	APPROPRIATION NUMBER GRANTOR FUNDS	APPROPRIATION NUMBER COUNTY FUNDS	APPROPRIATION NUMBER IN-KIND CONTRIBUTION	REMARKS
1000 PERSONAL SERVICES:	**732,533**			
1100 Permanent Salaries				
1110 Interim Salaries				
1120 Overtime Salaries	732,533			
2000 EQUIPMENT:				
2010 Furniture & Fixtures				
2020 Office Machines				
2090 Radio and Communication				
2500 Other Equip Not Otherwise				
3000 SUPPLIES MATERIALS & OTHERS:				
3010 Office Supplies				
3020 Postage				
3030 Photostat, Photograph, Blueprint				
3040 Printing				
3160 Computer Software				
3500 Other Unclassified				
3680 Repairs: Special Equipment				
4000 UTILITIES:				
4010 Telephone & Telegraph				
4015 Cellular Communications				
4210 Computer Services				
4300 TRAVEL:	**10,637**			
4310 Employee Misc - Expenses	10,637			
4330 Travel Employee Contracts				
4340 Travel Other Contracts				

SCIN Form 164D (10-80)

955

GRANT BUDGET ANALYSIS	COUNTY BUDGET YEAR 2016			Page 2 of 3
CATEGORY	APPROPRIATION NUMBER GRANTOR FUNDS	APPROPRIATION NUMBER COUNTY FUNDS	APPROPRIATION NUMBER IN-KIND CONTRIBUTION	REMARKS
4400 FEES FOR FACILITIES 4410 Rent: Offices & Buildings				
4500 FEES FOR SERVICES: 4560 Fees for Services, Non-Employees 4770 Special Services				
4900 CONTRACTED SERVICES (LIST)				
8000 EMPLOYEE BENEFITS: 8280 Retirement 8300 Insurance: Worker Compensation 8330 Social Security 8360 Health Insurance 8380 Dental Insurance	**196,831** 185,138 11,693			
OTHER (List Source & Brief Explanation) Vehicle Use Reimbursement	**10,000** 10,000			1455

I certify that the above in-kind contribution are not currently being used to support other grants

SCIN Form 164D (10-80)

DETAIL LISTING OF 1000 ACCOUNT PERSONAL SERVICES

TITLE OF POSITION	GRADE / STEP	SALARY	EMPLOYEE NAME	SOURCE OF FUNDING BY %		
				GRANTOR	COUNTY	IN-KIND
Police Officer	6	104.99/HR OT	Various	100		
Principal Clerk	14 / 7	44.46/ HR OT	Various	100		
Head Clerk	18/12	53.54/HR OT	Various	100		
Lieutenant		137.87/HR OT	Various	100		
Sergeant		123.86/HR OT	Various	100		

1955

SCIN Form 164D (10-80)

COORDINATION OF GRANT APPLICATION OR CONTRACT County of Suffolk		DATE 10/12/16
Submitting Department/Agency Suffolk County Police Department	Location 30 Yaphank Avenue, Yaphank	1955
Contact Person In Department/Agency Sarah Furey Sr. Grants Analyst	Telephone Number 852-6042	Grant Application Due Date N/A

Instructions: Applicant will complete all items on this form. If an item is not applicable, enter "NA". If additional space is needed, insert an asterisk (*) in the item box and attach additional information on an 8 ½" X 11" sheet cross referenced to the item.

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2. Statutory Legislation (Public Law No. & Title & Department Administering Grant Program): Moving Ahead for Progress in the 21st Century (P.L. 112-141), and 2016 NY State Budget, administered by the NYS Dept. of Transportation

3. Grant/Contract Status (Check One Box)
A. ___New Program Application
B. ___Renewal Application
C. _X_ Supplemental (Specify) Supplemental Agreement No. 5 to Contract No. C032055
D. ___Extension of Funding Period
E. ___Contract

4. General Purpose of Grant/Contract (Describe briefly. If it is a refunding, please attach a recent progress report, including summary of goal attainment.).
To accept and appropriate grant funds in the amount of $950,000 for the provision of dedicated law enforcement efforts targeting HOV lane regulations.

5. County Departments/Agencies Affected (Include any with similar operational programs, regardless of their eligibility for this program.) Suffolk County Police Department, Suffolk County Sheriff's Office

II. BUDGET INFORMATION

1. Term of Contract From 3/2/2016 To: 3/1/2017

2. Financial Assistance Requested

SOURCE	*FIFTEENTH FUNDING CYCLE*		*SIXTEENTH FUNDING CYCLE*		*SEVENTEENTH FUNDING CYCLE*	
	Amount	**Percent**	**Amount**	**Percent**	**Amount**	**Percent**
Federal	$688,800	80%	$710,000	80%	$760,000	80%
State	$172,200	20%	$177,000	20%	$190,000	20%
Private	$	%	$	%	$	%
County	$	%	$	%	$	%
Total	$861,000	100%	$887,000	100%	$950,000	100%

SCIN FORM 164

3. Explanation of Requested County Financial Assistance

Category	*Total Requested*	*Personnel Costs Requested*	*Non-Personnel Costs Requested*
TOTAL COUNTY SHARE:	$ None	$0 1955	$0
A. Cash Contribution	$	$	$
B. In-Kind Contribution	$	$	$

4. Total Number of New Positions Requested 0

5. Can This Program Be Refunded by the Proposed Non-County Sources?

X YES NO

6. Estimated Expected Additional Indirect Costs (Costs to County not delineated in Budget Request, for example, added overhead, capital expenditures required as a result of project activity, associated administrative costs, etc.)

Some additional indirect costs resulting from administration of the grant contract will be incurred.

7. What Do You Anticipate Happening When the Federal, State and/or Private Financial Assistance is Discontinued (That is, program termination, reduced services, financial implications, layoffs, etc.)?

In the event that another source of outside funding is not found, continuance of this program will be re-evaluated based on community need and available resources of the Department.

8. Attach a List of Potential Subcontractors, If any, outlining the purpose of each subcontract (That is, 456 and 490 account items; use an additional 8 ½" X 11" sheet).

III. COUNTY EXECUTIVE'S OFFICE REVIEW

1. Intergovernmental Relations Division Review:	Approved Disapproved	2. Signature of Coordinator	3. Date
4. Comments			
5. Budget Office Review:	Approved Disapproved	6. Signature of Budget Director	7. Date
8. Comments			

SCIN FORM 164

1955

Sponsor: **Suffolk County**
PIN: **0229.39** BIN: **NA**
Comptroller's Contract No. **C032055**
Supplemental Agreement No. **6**
Date Prepared: **8/12/2016** By: (KAT)
Initials

Press F1 for instructions in the blank fields:

SUPPLEMENTAL AGREEMENT No. 6 to C032055 *(Comptroller's Contract No.)*

This Supplemental Agreement is by and between:

the New York State Department of Transportation ("NYSDOT"), having its principal office at 50 Wolf Road, Albany, NY 12232, on behalf of New York State ("State");

and

Suffolk County (the Sponsor)
Acting by and through the **Suffolk County Police & Suffolk County Sheriff's**
with its office at **30 Yaphank Avenue, NY11980 And**, **100 Center Drive, Riverhead NY 11901.**

This amends the existing Agreement between the parties in the following respects only *(check applicable categories):*

☒ Amends a previously adopted Schedule A by *(check as applicable)*:

- ☐ amending a project description
- ☐ amending the contract end date
- ☐ amending the scheduled funding by:
  - ☐ adding additional funding (*check and enter the # phase(s) as applicable)*:
    - ☐ adding phase _____ which covers eligible costs incurred on/after __/__/____
    - ☐ adding phase _____ which covers eligible costs incurred on/after __/__/____
  - ☒ increasing funding for a project phase(s)
  - ☒ adding a pin extension
  - ☐ change from Non-Marchiselli to Marchiselli
  - ☐ deleting/reducing funding for a project phase(s)
  - ☐ other (_____)

☒ Amends a previously adopted Schedule "B" (Phases, Sub-phase/Tasks, and Allocation of Responsibility)

☒ Amends a previously adopted Agreement by adding Appendix 2-S – Iran Divestment Act:

☐ Amends the text of the Agreement as follows *(insert text below)*:

_____

1955

Sponsor: **Suffolk County**
PIN: **0229.39** BIN: **NA**
Comptroller's Contract No. **C032055**
Supplemental Agreement No. **6**
Date Prepared: **8/12/2016** By: (KAT)
Initials

Press F1 for instructions in the blank fields:

IN WITNESS WHEREOF, the parties have caused this Agreement to be executed by their duly authorized officials as of the date first above written.

SPONSOR:

By: _____

Print Name: _____

Title: _____

SPONSOR ATTORNEY:

By: _____

Print Name: _____

STATE OF NEW YORK
)ss.:
COUNTY OF ***Suffolk***

On this _____ day of _____, 20___ before me personally came _____ to me known, who, being by me duly sworn did depose ond say that he/she resides at _____; that he/she is the _____ of the Municipal/Sponsor Corporation described in and which executed the above instrument; (except New York City) that it was executed by order of the _____ of said Municipal/Sponsor Corporation pursuant to a resolution which was duly adopted on _____ and which a certified copy is attached and made a part hereof; and that he/she signed his/her name thereto by like order.

_____
Notory Public

APPROVED FOR NYSDOT:

BY: _____
For Commissioner of Transportation

Agency Certification: In addition to the acceptance of this contract I also certify that original copies of this signature page will be attached to all other exact copies of this contract.

Date: _____

APPROVED AS TO FORM:
STATE OF NEW YORK ATTORNEY GENERAL

By: _____
Assistant Attorney General

COMPTROLLER'S APPROVAL:

By: _____
For the New York State Comptroller
Pursuant to State Finance Law '112

NYSDOT/Local Agreement - Schedule A for PIN 0229.39

OSC Municipal Contract #: C032055

Contract Start Date: 12/19/2008 (mm/dd/yyyy) **Contract End Date:** 3/1/2017 (mm/dd/yyyy)
☐ *Check, if date changed from the last Schedule A*

Purpose: ☐ Original Standard Agreement ☒ Supplemental Schedule A No. 6

Agreement Type: ☒ Locally Administered Municipality/Sponsor (Contract Payee): Suffolk County 1955
Other Municipality/Sponsor (if applicable):

☐ State Administered *List participating Municipality(ies) and the % of cost share for each and indicate by checkbox which Municipality this Schedule A applies.*
☐ Municipality: % of Cost share
☐ Municipality: % of Cost share
☐ Municipality: % of Cost share

Authorized Project Phase(s) to which this Schedule applies: ☐ PE/Design ☐ ROW Incidentals ☐ ROW Acquisition ☒ Construction/CI/CS

Work Type: HWY SAFETY **County** (If different from Municipality): SUFFOLK

Project Description ☐ *(Check, if changed from last Schedule A):* I495 HOV Lanes Enforcement
Additional Project Description (if required): By Suffolk County's Police and Sherriffs
Marchiselli Eligible ☐ Yes ☒ No

Approved Marchiselli Allocations in Legislature's Comprehensive List FOR All PHASES *To compute Total Costs in the last row and column, right click in each field and select "Update Field."*

Check box to indicate change from last Schedule A	State Fiscal Year(s)	Project Phase			TOTAL
		PE/Design	ROW (RI & RA)	Construction/CI/CS	
☐	Cumulative total for all prior SFYs	$	$	$	$ 0.00
☐	Current SFY	$	$	$	$ 0.00
Authorized Allocations to Date		$ 0.00	$ 0.00	$ 0.00	$ 0.00

A. Summary of allocated MARCHISELLI Program Costs FOR ALL PHASES *For each PIN Fiscal Share below, show current costs on the rows indicated as "Current.". Show the old costs from the previous Schedule A on the row indicated as "Old." To compute Total Current Costs in the last row, right click in each field and select "Update Field."*

PIN Fiscal Share	"Current" or "Old" entry indicator	Federal Funding Program	Total Costs	FEDERAL Participating Share and Percentage	STATE MARCHISELLI Match	LOCAL Matching Share	LOCAL DEPOSIT AMOUNT (Required only if State Administered)
	Current		$	$	$	$	$
	Old		$	$	$	$	$
	Current		$	$	$	$	$
	Old		$	$	$	$	$
	Current		$	$	$	$	$
	Old		$	$	$	$	$
	Current		$	$	$	$	$
	Old		$	$	$	$	$
	Current		$	$	$	$	$
	Old		$	$	$	$	$
	Current		$	$	$	$	$
	Old		$	$	$	$	$
TOTAL CURRENT COSTS:			$ 0.00	$ 0.00	$ 0.00	$ 0.00	$ 0.00

NYSDOT/Local Agreement – Schedule A

B. Summary of Other (including Non-allocated MARCHISELLI) Participating Costs FOR ALL PHASES *For each PIN Fiscal Share, show current costs on the rows indicated as "Current.". Show the old costs from the previous Schedule A on the row indicated as "Old." To compute Total Current Costs in last row, right click in each field and select "Update Field."*

Other PIN Fiscal Shares	'Current' or 'Old' entry indicator	Funding Source	1955 TOTAL	Other FEDERAL	Other STATE	Other LOCAL
0228.71.32D	Current	STP	$1,507,539.00	$1,206,031.20	$301,507.80	$
	Old	STP	$1,507,539.00	$1,206,031.20	$301,507.80	$
0228.71.32E	Current	NHS	$788,000.00	$630,400.00	$157,600.00	$
	Old	NHS	$788,000.00	$630,400.00	$157,600.00	$
0229.39.321	Current	NHS	$912,000.00	$729,600.00	$182,400.00	$
	Old	NHS	$912,000.00	$729,600.00	$182,400.00	$
0229.39.321	Current	NHS	$836,000.00	$668,800.00	$167,200.00	$
	Old	NHS	$836,000.00	$668,800.00	$167,200.00	$
0229.39.321	Current	NHS	$861,000.00	$688,800.00	$172,200.00	$
	Old	NHS	$861,000.00	$689,800.00	$172,200.00	$
0229.39.322	Current	NHS	$877,000.00	$701,600.00	$175,400.00	$
	Old	NHS	$877,000.00	$701,600.00	$175,400.00	$
0229.39.	Current	Ä FORMDROPDOWN ⊥	$950,000.00	$760,000.00	$190,000.00	$
	Old		$	$	$	$
	Current		$	$	$	$
	Old		$	$	$	$
TOTAL CURRENT COSTS:			$6,731,539.00	$5,385,231.20	$1,346,307.80	$ 0.00

C. Total Local Deposit(s) Required for State Administered Projects:	$0.00

D. Total Project Costs *To compute Total Costs in the last column, right click in the field and select "Update Field."*

Total FEDERAL Cost	Total STATE MARCHISELLI Cost	Total Other STATE Cost	Total LOCAL Cost	Total Costs (all sources)
$5,385,231.20	$	$1,346,307.8	$	$6,731,539.00

E. Point of Contact for Questions Regarding this Schedule A (Must be completed)	Name: K. Taylor Phone No: 631-904-3088

See Agreement (or Supplemental Agreement Cover) for required contract signatures.

NYSDOT/Local Agreement – Schedule A

1955

Footnotes: (See CADB Website for link to sample footnotes)

- This suplemental is necessary to continue dedicated police enforcement of the HOV lanes in Suffolk County
- from March 1 2016 to September 1, 2016
-
-
-
-
-
-
-
-
-

APPENDIX 2-S
IRAN DIVESTMENT ACT

1955

As a result of the Iran Divestment Act of 2012 (Act), Chapter 1 of the 2012 Laws of New York, a new provision has been added to the State Finance Law (SFL), § 165-a, effective April 12, 2012. Under the Act, the Commissioner of the Office of General Services (OGS) will be developing a list (prohibited entities list) of "persons" who are engaged in "investment activities in Iran" (both are defined terms in the law). Pursuant to SFL § 165-a(3)(b), the initial list is expected to be issued no later than 120 days after the Act's effective date, at which time it will be posted on the OGS website.

By entering into a renewal or extension of this Contract, Contractor (or any assignee) certifies that once the prohibited entities list is posted on the OGS website, it will not utilize on such Contract any subcontractor that is identified on the prohibited entities list.

Additionally, Contractor understands that during the term of the Contract, should NYSDOT receive information that a person is in violation of the above-referenced certification NYSDOT will offer the person an opportunity to respond. If the person fails to demonstrate that it has ceased its engagement in the investment which is in violation of the Act within 90 days after the determination of such violation, then NYSDOT shall take such action as may be appropriate including, but not limited to, imposing sanctions, seeking compliance, recovering damages, or declaring the Contractor in default.

NYSDOT reserves the right to reject any renewal, extension or request for assignment for an entity that appears on the prohibited entities list hereafter and to pursue a responsibility review with respect to any entity that is granted a contract extension/renewal or assignment and appears on the prohibited entities list thereafter.

SCHEDULE B - WORK PROGRAM AND BUDGET
SUFFOLK COUNTY HOV ENFORCEMENT
PIN 0229.39, Contract C032055 – Supplemental Agreement No. 6
Contract Term: March 2, 2016– March 1, 2017

1955

PROGRAM TASKS	METHOD & BASIS FOR COMPENSATION	UPSET AMOUNT (incl COLA)
Task 1: **Project Management** Schedule and implement the Dedicated Enforcement Program. Provide monthly enforcement schedules to the Department.	Cost reimbursement based on hourly cost for staff at the following hourly rates, subject to an additional fringe rate: **Police Personnel:** Principal Clerk- pay rate $44.46 per hour + fringe (24.75%) Head Clerk– pay rate $53.54 per hour + fringe (24.75%) Lieutenant – pay rate $137.87 per hour + fringe (25.95%) Police Officer – pay rate $104.99 per hour + fringe (25.95%) **Sheriff's Office Personnel:** Administrator I (Sheriff's Office) – pay rate $57.77 per hour + fringe (24.75%) Senior Account Clerk Typist (Sheriff's Office) – pay rate $44.46 per hour + fringe (24.75%) Senior Clerk Typist (Sheriff's Office) – pay rate $39.44 per hour + fringe (24.75%) Principal Account Clerk (Sheriff's Office) – pay rate $51.14 per hour + fringe (24.75%) Senior Account Clerk (Sheriff's Office) – pay rate $41.76 per hour + fringe (24.75%) Sheriff's Deputy II– pay rate $73.80 per hour + fringe (24.75%)	$28,500

Task 2: **Enforcement** Provide for orderly and safe operation of the LIE HOV lanes between Nassau/Suffolk Line and Exit 64 dissuading motorists from disobeying HOV rules of operation. Provide other special HOV enforcement needs as determined by the Department including HOV-lane closure for sweeping and extra HOV enforcement for low compliance areas.	Cost reimbursement based on cost per four (4) hour tour of duty as follows, subject to an additional fringe rate of 25.95 % for Police Personnel and 24.75 % for Deputy Sheriffs; and additional cost of $12 where warranted: 1955 **Police Personnel:** Police Officer – $524.93 + $136.22 + $12 ** = $673.14 Sergeant – $619.28 + $160.70 + $12 ** = $791.98 Lieutenant – $689.33 + $178.88 + $12 ** = $880.20 **Sheriff's Office Personnel:** Sheriff's Deputy I – $306.08 + $75.75 + $12 ** = $393.83 Sheriff's Deputy II – $369 + $91.33 + $12 ** = $472.33 ** The $12 is included only if 4 hour tour is utilized.	$883,000
Task 3: **Equipment Use** Provide reimbursement of operating costs for vehicle usage for HOV enforcement.	Cost reimbursement based on $10.65 per hour of usage.	$10,000
Task 4: Evaluation In consultation with the Department, monitor, review, and evaluate the Enforcement Program. Provide monthly reports to the Department summarizing the previous month's enforcement activities, including the actual number of tours, related costs, and the number and reason for stops.	Cost reimbursement based on hourly cost for staff at the following hourly rates, subject to an additional fringe rate of 25.95% for Police Personnel and 24.75 % for Deputy Sheriffs: **Police Personnel:** Lieutenant – pay rate $137.87 per hour + fringe (25.95%) **Sheriff's Office Personnel:** Sheriff's Deputy II- pay rate $73.80 per hour + fringe (24.75%) Sheriff's Deputy I- pay rate $61.22 per hour + fringe (24.75%)	$19,000

Task 5: Training To allow Sheriff's Deputies unfamiliar with the program to be trained in HOV Lane enforcement operations by experienced Suffolk Police Department or other trained staff.	Cost reimbursement based on hourly cost for staff at the following hourly rates, subject to an additional fringe rate of 25.95 % for Police Personnel and 24.75 % for Deputy Sheriffs: **Police Personnel:** 1955 Sergeant – pay rate $123.86 + $ 32.14 fringe + $3 ** = $159.00 p/hr. **Sheriff's Office Personnel:** Sheriff's Deputy I – pay rate $61.22 + $ 10.10 fringe + $3 ** = $53.91 p/hr. Sheriff's Deputy II – pay rate $73.80 + $ 12.18 fringe + $3 ** = $64.38 p/hr. ** The $3 is included only if 4 hour tour is utilized. Titles not listed are not eligible for reimbursement under this task.	$9,500
	Maximum Amount Payable TOTAL	$950,000

COUNTY OF SUFFOLK
NEW YORK

1955

POLICE DEPARTMENT

TIMOTHY D. SINI
POLICE COMMISSIONER

MEMORANDUM

TO: **Jon Schneider, Deputy County Executive**
Suffolk County Executive's Office

FROM: **Robert G. Cassagne, Chief of Support Services**
Suffolk County Police Department

DATE: **October 12, 2016**

SUBJECT: **Resolution Packet for the LIE/HOV Enforcement Program 2016 Grant**

Attached please find the following for the New York State Department of Transportation sponsored **LIE/HOV Enforcement Program 2016** grant:

- Draft Resolution
- Memorandum of Support
- Grant SCIN Forms
- Request for Introduction of Legislation
- Financial Impact Statement
- Copy of the proposed amendment contract between Suffolk County and the New York State Department of Transportation

Request to have this resolution laid on the table at the November 22, 2016 meeting in order to ensure passage at the December 6 meeting. It is essential that the resolution be passed this year in order to correctly charge back grant costs incurred this year to the grant appropriation.

Electronic copies of this packet are also being forwarded to the Federal and State Aid Claims Unit for review. Electronic copies of the resolution and SCIN forms will be transmitted to CE RESO REVIEW, and one hard copy will be delivered. The original grant contract will be submitted to your office upon approval of the resolution.

If you have any questions concerning this resolution package, please contact Sarah Furey, Senior Grants Analyst, at 852-6042 or Susan Krause, Grants Analyst, at 852-6601.

Thank you for your assistance with this project.

RC/sf
Attachments

Intro. Res. No. 1956-16 Laid on Table 11/9/16

Introduced by Presiding Officer, on request of the County Executive

RESOLUTION NO. -2016, ACCEPTING AND APPROPRIATING A GRANT FROM THE NEW YORK STATE DIVISION OF CRIMINAL JUSTICE SERVICES TO THE SUFFOLK COUNTY DEPARTMENT OF PROBATION FOR THE PAROLE REENTRY TASK FORCE GRANT PROGRAM WITH 100% SUPPORT

WHEREAS, the New York State Division of Criminal Justice Services has awarded to Suffolk County $363,840, to the Suffolk County Probation Department for continuation of the currently funded Reentry Task Forces operating in New York; and

WHEREAS, said funding will support the operation of the Suffolk County Reentry Task Force. The Suffolk County Reentry Task Force provides services to parolees recently released from state incarceration. The goals of the Suffolk County Reentry Task Force are: to reduce offender recidivism and increase public safety; to maintain a county-based service delivery system that addresses criminogenic and stabilization needs of moderate to high-risk persons and special populations released from prison; and to provide cognitive behavioral intervention (CBI) and/or employment readiness services; and

WHEREAS, the grant period for the award is October 1, 2016 through September 30, 2017; and

WHEREAS, the Parole Reentry Task Force grant includes funding for the Department of Probation to continue the employment of two (2) positions total; two (2) Program Coordinator-Criminal Justice; and

WHEREAS, these positions already exist unfunded in the 2017 Requested Budget; and now, therefore be it

1st **RESOLVED**, that the County Comptroller be and hereby is authorized to accept $363,840 and appropriate said grand funds as follows:

Parole Reentry Task Force 16/17 - $363,840

REVENUES:

Fund	Department	Unit	Revenue Code	Amount
001	PRO	3134	3321	$363,840

Suffolk County Probation Department
Parole Reentry Task Force 16/17
001-PRO-3134

1000-Pesonnel Services $132,010

Fund	Dept.	Budget Type	Unit	Object	Activity	Description	Amount
001	PRO	DEG	3134	1110	0000	Personnel	$132,010

3000-Supplies, Material and Other Expenses $12,812.00

Fund	Dept.	Budget Type	Unit	Object	Activity	Description	Amount
001	PRO	DEG	3134	3010	0000	Office Supplies	$2,312
001	PRO	DEG	3134	3500	0000	Other Unclassified	$10,000
001	PRO	DEG	3134	3510	0000	Rent: business Machines	$500

4000-Contractual Services $167,000.00

Fund	Dept.	Budget Type	Unit	Object	Activity	Description	Amount
001	PRO	DEG	3134	4340	0000	Mandated Travel for Task Force	$3,000
001	PRO	DEG	3134	4560	0000	Fee for service non-employee	$9,000
001	PRO	DEG	3134	4980	0000	Contracted Service Agencies	$155,000

Employee Benefits

8000-Employee Benefits $52,018.00

Fund	Dept.	Budget Type	Unit	Object	Activity	Description	Amount
001	EMP	DE	9030	8330	0000	Social Security	$10,099
001	EMP	ME	9010	8280	0000	Retirement	$22,573
001	EMP	DE	9080	8380	0000	Welfare Fund	$2,912
039	EMP	ODE	9060	8360	0000	Major Medical Claims	$16,434

Interfund Transfer
Transfer to Employee Medical Health Plan
001-IFT-039 - $16,434.00

9000-Interfund Transfers $16,434.00

Fund	Dept.	Budget Type	Unit	Object	Activity	Description	Amount
001	IFT	DE	E039	8360	0000	Major Medical Claims	$16,434

and be it further

2nd **RESOLVED**, that the following interfund revenues for Employee Medical Health Plan be accepted as follows:

Fund	Department	Unit	Revenue Code	Amount
039	PRO	3134	3321	$16,434

and be it further

3rd **RESOLVED**, that the following positions be and they hereby are continued in the Department of Probation and funded by the Parole Reentry Task Force Grant

Position No.	Spec No.	Position Title	JC	GR	No.
01-3172-0100-0010/0200	0259	Program Coordinator-Criminal Justice	C	24	2

and be it

4th **RESOLVED**, that nothing contained herein shall be construed as obligating or committing the County of Suffolk to continue the employment of the individuals filling the positions created by this Resolution at the conclusion of the grant funding provided for such position created by said grant

5th **RESOLVED**, that the County Executive is authorized to executed the New York State Division of Criminal Justice Services award contract, NYS Comptroller's Number C523850, as necessary, to secure said funds; and further

6th **RESOLVED**, that this Legislature, being the lead agency under the State Environmental Quality Review Act ("SEQRA"), N.Y. Environmental Conservation Law Article 8 and Chapter 450 of the Suffolk County Code, hereby finds and determines that this resolution constitutes a Type II action pursuant to Section 617.5(c)(20) and (27) of Title 6 of the NEW YORK CODE OF RULES AND REGULATIONS ("NYCRR") in that the action constitutes routine or continuing agency administration and management, not including new programs or major reordering of priorities that may affect the environment. The Suffolk County Council on Environmental Quality (CEQ) is hereby directed to circulate any appropriate SEQRA notices of determination of non-applicability or non-significance in accordance with this resolution.

DATED:

APPROVED BY:

_____

County Executive of Suffolk County
Date:

STATEMENT OF FINANCIAL IMPACT
OF PROPOSED SUFFOLK COUNTY LEGISLATION

1956

1. Type of Legislation

Resolution__X__ Local Law____ Charter Law____

2. Title of Proposed Legislation

Accepting and appropriating a grant from the New York State Division of Criminal Justice Services to the Suffolk County Department of Probation for the Parole Reentry Task Force Grant Program with 100% support.

3. Purpose of Legislation

To accept and appropriate $363,840 of said grant funding to support the operation of the Suffolk County Reentry Task Force and the community-based services for parolees referred to the Suffolk County Reentry Task Force. These expenses include two full-time reentry coordinator positions, conferences, training consultant, office supplies, bus tickets, and funding for contract agencies as identified in the award contract or approved by New York State Division of Criminal Justice Services. Grant period for the award is October 1, 2016 through September 30, 2017

4. Will the Proposed Legislation Have a Fiscal Impact? **Yes_X_** **No____**

5. If the answer to item 5 is "yes", on what will it impact? **(Circle appropriate category)**

County X Town Economic Impact

Village School District Other (Specify):

Library District Fire District

6. If the answer to item 5 is "yes", provide Detailed Explanation of Impact

Acceptance of these grant funds has a positive impact on the Revenues budgeted in 2016 and 2017. At the time of budget preparation it was unknown total funding for the new grant contract period.

7. Total Financial Cost of Funding over 5 Years on Each Affected Political or Other Subdivision
None to the County.

8. Proposed Source of Funding

001-3321 Reentry Task Force

9. Timing of Impact Immediate

10. Typed Name & Title of Preparer	11. Signature of Preparer	12. Date
Robert Marmo, Ph.D. Chief Planner		October 13, 2016

Debra Kolyer
Chief Financial Analyst
10/27/16

1956

2016 INTERGOVERNMENTAL RELATIONS
MEMORANDUM OF SUPPORT

TITLE OF BILL: Accepting and appropriating a grant from the New York State Division of Criminal Justice Services to the Suffolk County Department of Probation for the Parole Reentry Task Force Grant Program with 100% support.

PURPOSE OR GENERAL IDEA OF BILL: To accept and appropriate $363,840 in grant funding to support the operation of the Suffolk County Reentry Task Force. The Suffolk County Reentry Task Force provides services to parolees recently released from state incarceration. The goals of the Suffolk County Reentry Task Force are: to reduce offender recidivism and increase public safety; to maintain a county-based service delivery system that addresses criminogenic and stabilization needs of moderate to high-risk persons and special populations released from prison; and to provide cognitive behavioral intervention (CBI) and/or employment readiness services; and

SUMMARY OF SPECIFIC PROVISIONS: This legislation will allow the County to accept and appropriate $363,840 of state funds awarded to the Department of Probation for its participation in the Parole Reentry Task Force Grant Program. Grant period for the award is October 1, 2016 through September 30, 2017.

JUSTIFICATION: Suffolk County Reentry Task Force will continue to assist offenders in accessing services upon return to the community from incarceration and provide additional resources, specifically for services not funded through other funding streams. This project will enhance services to: 1) assess all re-entry participants for linkage to appropriate services; 2) educate service providers to deliver comprehensive treatment and programming to a forensic population; 3) link participants to necessary services; and 4) provide cognitive behavioral interventions and employment readiness services

NEW YORK STATE | Division of Criminal Justice Services

ANDREW M. CUOMO
Governor

MICHAEL C. GREEN
Executive Deputy Commissioner

1956

September 22, 2016

Mr. Dennis Cohen
Chief Deputy County Executive
Suffolk County
H. Lee Dennison Bldg., 12th Floor
100 Veterans Highway
Hauppauge, NY 11788

Re: Project Title: Suffolk County Re-entry Task Force Enhanced SVCS
Contract Number: C523850
Project ID Number: RE15-1028-E02

Dear Mr. Cohen:

I am pleased to inform you that the NYS Division of Criminal Justice Services (DCJS) has awarded Suffolk County a grant to continue the DCJS County Re-entry Task Force (CRTF) program referenced above in the amount of $363,840. The funding amount and performance milestones will be for a 12-month contract term, effective October 1, 2016 through September 30, 2017. This funding is contingent on the availability of state funds and subject to the approval of agreed upon performance milestones.

An amendment record in the DCJS Grants Management System (GMS), with the Project ID # listed above, has been developed to facilitate your contract renewal. Attached is the *2016 – 17 Contract Renewal and Reporting Instructions* which provides additional information. Please note that all items must be submitted to DCJS via GMS by October 6, 2016. For program questions, please contact Bernard Wilson at (518) 485-5137 or bernard.wilson@dcjs.ny.gov. _Additionally, the GMS help desk can be contacted at (518) 457-9787 to assist you with GMS or other contract requirements.

Thank you for your continued work to make New York the safest state in the nation.

Very truly yours,

Michael C. Green
Executive Deputy Commissioner

MCG:btl:nb
cc: Patrice Dlhopolsky, Director of Probation
Robert M. Maccarone, Deputy Commissioner and Director
Attachments:
1. Appendix B-1 - *Program Performance Milestones and Costs* Worksheet
2. *2016 Contract Renewal and Reporting Instructions*
3. *Budget Detail Worksheet and Budget Narrative Guide*

COUNTY OF SUFFOLK

1956

STEVEN BELLONE
SUFFOLK COUNTY EXECUTIVE

DEPARTMENT OF PROBATION

PATRICE S. DLHOPOLSKY
DIRECTOR

TO: Jon Schneider, Deputy County Executive
Suffolk County Executive's Office

FROM: Robert C. Marmo, Chief Planner
Suffolk County Department of Probation

DATE: October 13, 2016

SUBJECT: Resolution Packet for Parole Reentry Task Force and Grant Program

---

Attached for your review and consideration is an Introductory Resolution to accept and appropriate grant funding from New York State Division of Criminal Justice Services for the Parole Reentry Task Force Grant Program in the amount of $363,840.

If you have any questions please feel free to contact me at 2-5105.

YAPHANK AVENUE
PO BOX 205
YAPHANK, NEW YORK 11980
(631) 852 – 6824/6825

Intro. Res. No. 1957-16 Laid on the Table 11/9/16
Introduced by Presiding Officer on request of the County Executive

RESOLUTION NO. –2016, AMENDING THE 2016 OPERATING BUDGET AND APPROPRIATING FUNDS IN CONNECTION WITH BONDING FOR A SETTLEMENT FOR A LIABILITY CASE AGAINST THE COUNTY

WHEREAS, the Ways and Means Committee has approved a settlement for a negligence action against the County arising out of a vehicular accident for the amount of Two Million Eight Hundred Ninety Four Thousand Fifty Eight ($2,894,058) Dollars; and

WHEREAS, the above settlement is a mandated expense that must be paid by the County; and

WHEREAS, sufficient funds to satisfy lawsuits, orders, judgments and settlements are not available in the 2016 Operating Budget; and

WHEREAS, the County Legislature, by Resolution of even date herewith, has authorized the issuance of Two Million Eight Hundred Ninety Four Thousand Fifty Eight ($2,894,058) Dollars in Suffolk County Serial Bonds to cover the cost of the above referenced settlement; now, therefore be it

1st RESOLVED, that the settlement for the total sum of Two Million Eight Hundred Ninety Four Thousand Fifty Eight ($2,894,058) Dollars be bonded and paid under the authority of the Office of Risk Management, County Department of Law, in conjunction with the County Department of Audit and Control and the County Executive's Budget Office; and be it further

2nd RESOLVED, that the proceeds of Two Million Eight Hundred Ninety Four Thousand Fifty Eight ($2,894,058) Dollars in Suffolk County Serial Bonds be and are hereby appropriated as follows:

REVENUES:
038-2780 Proceeds: Debt $2,894,058

APPROPRIATIONS:

Miscellaneous
Auto Liability Insurance
038-MSC-1915
Mandated

8505 – Settlements $2,894,058

DATED:

APPROVED BY:

_____
County Executive of the County of Suffolk

Date of Approval:

1957

STATEMENT OF FINANCIAL IMPACT
OF PROPOSED SUFFOLK COUNTY LEGISLATION

1. Type of Legislation

Resolution ___X___ Local Law _____ Charter Law _____

2. Title of Proposed Legislation

AMENDING THE 2016 OPERATING BUDGET AND APPROPRIATING FUNDS IN CONNECTION WITH BONDING FOR A SETTLEMENT FOR A LIABILITY CASE AGAINST THE COUNTY

3. Purpose of Proposed Legislation

See above.

4. Will the Proposed Legislation Have a Fiscal Impact? **Yes** ___X___ **No** _____

5. If the answer to item 4 is "yes", on what will it impact? (circle appropriate category)

County **Town** **Economic Impact**

Village **School District** **Other (Specify):**

Library District **Fire District**

6. If the answer to item 5 is "yes", Provide Detailed Explanation of Impact

SERIAL BONDS WILL BE ISSUED TO FINANCE THIS SETTLEMENT. PRINCIPAL AND INTEREST COSTS WILL BE INCURRED OVER THE LIFE OF THE BONDS. .

7. Total Financial Cost of Funding over 5 Years on Each Affected Political or Other Subdivision.

SEE ATTACHED DEBT SCHEDULE

8. Proposed Source of Funding

SERIAL BONDS

9. Timing of Impact

IT IS ANTICIPATED THAT BONDS WILL BE ISSUED SPRING OF 2017, AND DEBT SERVICE WILL COMMENCE SPRING 2018. THERE IS NO FISCAL IMPACT IN 2016 AND 2017. FISCAL IMPACT WILL BE IN THE 2018 OPERATING BUDGET.

10. Typed Name & Title of Preparer	11. Signature of Preparer	12. Date
Diane E. Weyer Chief Financial Analyst		October 31, 2016

SCIN FORM 175b (10/95)

FINANCIAL IMPACT
2016 PROPERTY TAX LEVY
COST TO THE AVERAGE TAXPAYER 1957

GENERAL FUND

	2016 PROPERTY TAX LEVY	2016 COST TO AVG TAXPAYER	2016 FV TAX RATE PER $1000
TOTAL	**$0**	**$0.00**	$0.000

POLICE DISTRICT AND DISTRICT COURT

	2016 PROPERTY TAX LEVY	2016 COST TO AVG TAXPAYER	2016 FV TAX RATE PER $1000
TOTAL	**$0**	**$0.00**	$0.000

COMBINED

	2016 PROPERTY TAX LEVY	2016 COST TO AVG TAXPAYER	2016 FV TAX RATE PER $1000
TOTAL	**$0**	**$0.00**	$0.000

NOTES:
1) SOURCE FOR NUMBER OF FAMILY PARCELS AND CORRESPONDING ASSESSED VALUATION: SUFFOLK REAL PROPERTY, 2015.
2) SOURCE FOR TOTAL TAXABLE ASSESSED VALUATION FOR COUNTY PURPOSES: SCHEDULE A, REPOR ASSESSED VALUATION FOR 2015-2016.
3) SOURCE FOR EQUALIZATION RATES: 2015 COUNTY EQUALIZATION RATES ESTABLISHED BY THE NEW YORK STATE BOARD OF EQUALIZATION AND ASSESSMENTS.

To be completed by the Executive Budget Office

FINANCIAL IMPACT
2017 PROPERTY TAX LEVY*
COST TO THE AVERAGE TAXPAYER

1957

GENERAL FUND

	2016 PROPERTY TAX LEVY	ESTIMATED 2017* COST TO AVG TAXPAYER	2016 AV TAX RATE PER $100	2016 FV TAX RATE PER $1000
TOTAL	**$0**	**$0.00**		$0.000

POLICE DISTRICT AND DISTRICT COURT

	2016 PROPERTY TAX LEVY	ESTIMATED 2017* COST TO AVG TAXPAYER	2016 AV TAX RATE PER $100	2016 FV TAX RATE PER $1000
TOTAL	**$0**	**$0.00**		$0.000

COMBINED

	2016 PROPERTY TAX LEVY	ESTIMATED 2017* COST TO AVG TAXPAYER	2016 AV TAX RATE PER $100	2016 FV TAX RATE PER $1000
TOTAL	**$0**	**$0.00**		$0.000

***The estimated 2017 Cost to Average Taxpayer is based upon the 2016 property tax levy and is provided for informational purposes only.**

NOTES:
1) SOURCE FOR NUMBER OF FAMILY PARCELS AND CORRESPONDING ASSESSED VALUATION: SUFFOLK COUNTY REAL PROPERTY, 2015.
2) SOURCE FOR TOTAL TAXABLE ASSESSED VALUATION FOR COUNTY PURPOSES: SCHEDULE A, REPORT OF ASSESSED VALUATION FOR 2015-2016.
3) SOURCE FOR EQUALIZATION RATES: 2015 COUNTY EQUALIZATION RATES ESTABLISHED BY THE NEW YORK STATE BOARD OF EQUALIZATION AND ASSESSMENTS.

To be completed by the Executive Budget Office

FINANCIAL IMPACT
2018 PROPERTY TAX LEVY*
COST TO THE AVERAGE TAXPAYER

1957

GENERAL FUND

	2016 PROPERTY TAX LEVY	ESTIMATED 2018* COST TO AVG TAXPAYER	2016 AV TAX RATE PER $100	2016 FV TAX RATE PER $1000
TOTAL	**$614,445**	**$1.17**		$0.002

POLICE DISTRICT AND DISTRICT COURT

	2016 PROPERTY TAX LEVY	ESTIMATED 2018* COST TO AVG TAXPAYER	2016 AV TAX RATE PER $100	2016 FV TAX RATE PER $1000
TOTAL	**$0**	**$0.00**		$0.000

COMBINED

	2016 PROPERTY TAX LEVY	ESTIMATED 2018* COST TO AVG TAXPAYER	2016 AV TAX RATE PER $100	2016 FV TAX RATE PER $1000
TOTAL	**$614,445**	**$1.17**		$0.002

***The estimated 2018 Cost to Average Taxpayer is based upon the 2016 property tax levy and is provided for informational purposes only.**

NOTES:
1) SOURCE FOR NUMBER OF FAMILY PARCELS AND CORRESPONDING ASSESSED VALUATION: SUFFOLK COUNTY REAL PROPERTY, 2015.
2) SOURCE FOR TOTAL TAXABLE ASSESSED VALUATION FOR COUNTY PURPOSES: SCHEDULE A, REPORT OF ASSESSED VALUATION FOR 2015-2016.
3) SOURCE FOR EQUALIZATION RATES: 2015 COUNTY EQUALIZATION RATES ESTABLISHED BY THE NEW YORK STATE BOARD OF EQUALIZATION AND ASSESSMENTS.

To be completed by the Executive Budget Office

Suffolk County

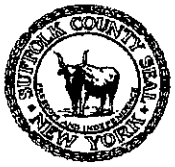
General Obligation Serial Bonds
Level Debt

1951

Term of Bonds	5
Amount to Bond:	$2,894,058

Date	Coupon	Principal	Interest	Total Debt Service	Fiscal Debt Service
11/1/2016					
11/1/2017	2.000%	$555,839.60	$58,604.67	$614,444.28	$614,444.28
			$23,674.46	$23,674.46	
11/1/2018	2.000%	$567,095.36	$23,674.46	$590,769.82	$614,444.28
			$17,932.62	$17,932.62	
11/1/2019	2.000%	$578,579.04	$17,932.62	$596,511.66	$614,444.28
			$12,074.51	$12,074.51	
11/1/2020	2.000%	$590,295.26	$12,074.51	$602,369.77	$614,444.28
			$6,097.77	$6,097.77	
11/1/2021	2.125%	$602,248.74	$6,097.77	$608,346.51	$614,444.28
11/1/2022		$2,894,058.00	$178,163.39	$3,072,221.39	$3,072,221.39
11/1/2023					
11/1/2024					
11/1/2025					
11/1/2026					
11/1/2027					
11/1/2028					
11/1/2029					
11/1/2030					
11/1/2031					
11/1/2032					
11/1/2033					
11/1/2034					

COUNTY OF SUFFOLK



1957

STEVEN BELLONE
SUFFOLK COUNTY EXECUTIVE

DENNIS M. BROWN
COUNTY ATTORNEY

DEPARTMENT OF LAW
DIVISION OF RISK MANAGEMENT

TO: Jon Schneider
Deputy County Executive

FROM: Dennis M. Brown
County Attorney

DATE: October 13, 2016

RE: Bond Resolution

Enclosed you will find drafts for two resolutions for bonding $2,894,058 for the settlement of an auto liability case and $800,000 for the settlement of a general liability case. Both settlements were approved by the Ways and Means committee. Payment must be made within 90 days of receipt closing papers. This memo is to request the processing of the resolutions.

Should you require any additional information, please do not hesitate to contact me.

LOCATION:
H. LEE DENNISON BLDG. – 7TH FLOOR
VETERANS MEMORIAL HIGHWAY

MAILING ADDRESS:
P.O. BOX 6100
HAUPPAUGE, NY 11788-0099

Liability (631) 853-4700
Risk Mgmt. & Liability Fax (631) 853-4956
Workers Compensation Fax (631) 853-4789

1958

Intro Res. No. -2016 Laid on Table 11/9/16

Introduced by Presiding Officer on request of the County Executive

RESOLUTION NO. -2016, ACCEPTING AND APPROPRIATING FUNDS FOR A 100% U.S. DEPARTMENT OF LABOR EMPLOYMENT AND TRAINING ADMINISTRATION GRANT FOR A PROJECT ENTITLED – LINKING TO EMPLOYMENT ACTIVITIES PRE-RELEASE SPECIALIZED AMERICAN JOB CENTERS (AJCS) – 2 GRANT

WHEREAS, the U.S. Department of Labor has awarded a grant in the amount of $489,901 to the Suffolk County Department of Labor, Licensing and Consumer Affairs to provide case management services to locally incarcerated offenders with employability skills.

WHEREAS, this grant is funded by the U.S. Department of Labor, for the express purpose of providing case management services to locally incarcerated offenders with employability skills; and

WHEREAS, this grant funding covers the period October 1, 2016 through September 30, 2018; and

WHEREAS, these funds have not been included in the 2016 Adopted Operating Budget; and

WHEREAS, these funds are 100% federally funded; now, therefore be it

1ST **RESOLVED,** that the County Executive be and hereby is authorized to execute related agreements; and it be further

2nd **RESOLVED**, that the County Comptroller is hereby authorized to accept and appropriate said grant funds as follows:

REVENUES:	AMOUNT
320- LAB - 4790 Federal Aid: Various Labor Programs	$489,901

ORGANIZATIONS:

Department of Labor (LAB)
Workforce Innovation and Opportunity Act
320-6301

1000 PERSONAL SERVICES	**$329,380**
1111 – Interim Salaries	$329,380
3000 SUPPLIES	**$2,400**
3010 Office Supplies	600
3020 Postage	400
3500 Unclassified	1,400
4000 CONTRACTUAL EXPENSES	**$15,039**
4340 – Travel: Other	1,389
4560 – Fees for Services-Non Employees	13,650

8000 – EMPLOYEE BENEFITS	**$79,676**
8330 – Social Security	25,198
8380 – Benefit Fund	6,406
8280 – Retirement	48,072
INTERFUND EXPENSE	**$63,406**
320-IFT-E039-9600 – Transfer to Fund 039	63,406
INTERFUND REVENUE	**$63,406**
039-IFT-E039-R320	63,406

and be it further

3rd **RESOLVED**, that this Legislature, being the lead agency under the State Environmental Quality Review Act ("SEQRA"), Environmental Conservation Law Article 8, hereby finds and determines that this law constitutes a Type II action, pursuant to Volume 6 of New York Code of Rules and Regulations ("NYCRR") Section 617.5 (c) (25) and (27), in that the resolution concerns purchase of furnishings, equipment and supplies, other than land, radioactive material, pesticides, herbicides or other hazardous materials, and adoption of a local legislative decision in connection with the same; as a Type II action, the Legislature has no further responsibilities under SEQRA; and be it further

DATED:

APPROVED BY:_____

County Executive of Suffolk County
Date:

1958

STATEMENT OF FINANCIAL IMPACT
OF PROPOSED SUFFOLK COUNTY LEGISLATION

1. Type of Legislation

Resolution _X_ Local Law __ Charter Law

2. Title of Proposed Legislation: Accepting and appropriating funds for a 100% U.S. Department of Labor Employment and Training Administration grant for a project entitled – Linking to Employment Activities Pre-Release Specialized American Job Centers (AJCS) – 2 Grant

3. Purpose of Proposed Legislation
To provide case management services to locally incarcerated offenders with employability skills.

4. Will the Proposed Legislation Have a Fiscal Impact? Yes ____ No_X_

5. If the Answer to item 4 is "yes", on what will it impact? (check appropriate category)

_____ County	_____Town	_____Economic Impact
_____ Village	_____School District	_____Other (Specify
_____ Library District	_____Fire District	_X_NOT APPLICABLE

6. If the answer to item 4 is "yes", Provide Detailed Explanation of Impact

7. Total Financial Cost of Funding over 5 Years on Each Affected Political or Subdivision.

8. Proposed Source of Funding
U.S. Department of Labor

9. Timing of Impact
Upon adoption of Resolution.

10. Typed Name & Title of Preparer	11. Signature of Preparer	12. Date
BARBARA D'AMICO DIRECTOR OF FINANCE	Barbara D'Amico	October 13, 2016

SCIN FORM 175b (10/95)

D.K.L. 10/26/16
Budget Office

2016 PROPERTY TAX LEVY*
COST TO THE AVERAGE TAXPAYER

1958

GENERAL FUND

	2015 PROPERTY TAX LEVY	ESTIMATED 2016* COST TO AVG TAXPAYER	2015 AV TAX RATE PER $100	2015 FEV TAX RATE PER $1000
TOTAL	$0	$0.00		$0.000

POLICE DISTRICT AND DISTRICT COURT

	2015 PROPERTY TAX LEVY	ESTIMATED 2016* COST TO AVG TAXPAYER	2015 AV TAX RATE PER $100	2015 FEV TAX RATE PER $1000
TOTAL	$0	$0.00		$0.000

COMBINED

	2015 PROPERTY TAX LEVY	ESTIMATED 2016* COST TO AVG TAXPAYER	2015 AV TAX RATE PER $100	2015 FEV TAX RATE PER $1000
TOTAL	$0	$0.00		$0.000

** The Estimated 2016 Cost to Average Taxpayer is based upon the 2015 property tax levy and is provided for informational purposes only.*

NOTES:

1) SOURCE FOR NUMBER OF FAMILY PARCELS AND CORRESPONDING ASSESSED VALUATION: SUFFOLK COUNTY REAL PROPERTY, 2014.
2) SOURCE FOR TOTAL TAXABLE ASSESSED VALUATION FOR COUNTY PURPOSES: SCHEDULE A, REPORT OF ASSESSED VALUATION FOR 2014-2015.
3) SOURCE FOR EQUALIZATION RATES: 2014 COUNTY EQUALIZATION RATES ESTABLISHED BY THE NEW YORK STATE BOARD OF EQUALIZATION AND ASSESSMENTS.

To be completed by the Executive Budget Office

2016 INTERGOVERNMENTAL RELATIONS
MEMORANDUM OF SUPPORT 1958

TITLE OF BILL:

Accepting and appropriating funds for a 100% U.S. Department of Labor Employment and Training Administration Grant for a project entitled – Linking to Employment Activities Pre-Release Specialized American Job Centers (AJCS) – 2 Grant.

PURPOSE OR GENERAL IDEAL OF BILL:

To accept and appropriate funds for a 100% Federal funded grant for the period of October 1, 2016 through September 30, 2018 and to provide case management services to locally incarcerated offenders with employability skills.

SUMMARY OF SPECIFIC PROVISIONS:

Funds issued are to be used to provide a continuum of employment, training, education, and support services pre-release and post-release for locally incarcerated offenders.

JUSTIFICATION:

The Suffolk County Department of Labor, Licensing and Consumer Affairs has successfully managed workforce development programs for more than 40 years and is well positioned, along with its partners, to carry out the requirements set forth in this grant. These funds will be used to formalize the consortium's mission, expand the existing services provided in the jail, dedicate case management staff at each of Suffolk County One-Stop Center locations and task full-time personnel to coordinate and facilitate the provision of services within and outside of the jails.

FISCAL IMPLICATIONS:

Additional revenue to County with no funding required by County.

1958

U.S. DEPARTMENT OF LABOR EMPLOYMENT AND TRAINING ADMINISTRATION (DOL/ETA)	***NOTICE OF AWARD (NOA)***

Under the authority of the ***Workforce Innovation and Opportunity Act, P.L. 113-28***, this grant or agreement is entered into between the above named ***Grantor Agency*** and the following named ***Awardee***, for a project entitled - ***LINKING TO EMPLOYMENT ACTIVITIES PRE-RELEASE SPECIALIZED AMERICAN JOB CENTERS (AJCS) - 2 GRANT. .***

Name & Address of Awardee:
SUFFOLK COUNTY DEPT. OF LABOR, LICENSING & CONSUMER AFFAIRS
725 VETERANS MEMORIAL HIGHWAY, P.O. BOX 6100
HAUPPAUGE, NEW YORK 11788

Federal Award Id. No. (FAIN): PE-29746-16-60-A-36
CFDA #: 17.270- Reintegration of Ex-Offenders
Amount:$489,901.00
EIN: 116000464
DUNS #: 089393060

Accounting Code: 1630-2016-0501741617BD201601740008165XF002A0000AOWI00AOWI00-A90200-410023-ETA-DEFAULT TASK-

The Period of Performance shall be from **October 01, 2016 thru September 30, 2018**.
Total Government's Financial Obligation is **$489,901.00** (unless other wise amended).

Payments will be made under the Payments Management System, and can be automatically drawn down by the awardee on an as needed basis covering a forty-eight (48) hour period.

In performing its responsibilities under this grant agreement, the awardee hereby certifies and assures that it will fully comply with all applicable Statute(s), and the following regulations and cost principles, including any subsequent amendments:

Uniform Administrative Requirements, Cost Principles, and Audit Requirements:
2 CFR Part 200; Uniform Administrative Requirements, Cost Principles, and Audit Requirements; Final Rule
2 CFR Part 2900; DOL Exceptions to 2 CFR Part 200;

Other Requirements (Included within this NOA):

Condition(s) of Award (if applicable)
Federal Award Terms, including attachments

Contact Information

The Federal Project Officer (FPO) assigned to this grant is Jacy Woodruff. Jacy Woodruff will serve as your first line point of contact and can be contacted via e-mail - *woodruff.jacy.b@dol.gov*. If your FPO is not available, please call your Regional Office at 617-788-0170 for assistance.

The awardee's signature below certifies full compliance with all terms and conditions as well as all applicable Statues(s), grant regulations, guidance, and certifications.

Signature of Approving Official - ***AWARDEE***

See SF-424 for Signature
No Additional Signature Required

Signature of Approving Official - ***DOL / ETA***

MELISSA ABDULLAH, September 28, 2016
Grant Officer

COUNTY OF SUFFOLK

1958

STEVEN BELLONE
SUFFOLK COUNTY EXECUTIVE

FRANK NARDELLI
COMMISSIONER
DEPARTMENT OF LABOR, LICENSING &
CONSUMER AFFAIRS
725 VETERANS MEMORIAL HIGHWAY
HAUPPAUGE, N.Y. 11788

ADDRESS CORRESPONDENCE TO:
P.O. BOX 6100
HAUPPAUGE, N.Y. 11788-0099
e-mail:sc.dol@suffolkcountyny.gov
PHONE # (631) 853-6600
www.suffolkcountyny.gov/labor

MEMORANDUM

TO: Jon Schneider, Deputy County Executive
Suffolk County Executive's Office

FROM: Frank Nardelli, Commissioner FN

DATE: October 13, 2016

RE: INTRODUCTORY RESOLUTION

Attached please find the following Introductory Resolution for the next Legislative meeting:

RESOLUTION NO. -16, **ACCEPTING AND APPROPRIATING FUNDS FOR A 100% U.S. DEPARTMENT OF LABOR EMPLOYMENT AND TRAINING ADMINISTRATION GRANT FOR A PROJECT ENTITLED – LINKING TO EMPLOYMENT ACTIVITIES PRE-RELEASE SPECIALIZED AMERICAN JOB CENTERS (AJCS) – 2 GRANT**

AN E-MAIL VERSION WILL BE SENT TO CE RESO REVIEW UNDER THE TITLE "RESO-LLCA-AJCS-2 GRANT."

Thank you for your assistance.

* * *

FN:dv
Attachment

Intro. Res. No. 1959-2016 Laid on Table 11/9/2016
Introduced by Presiding Officer, on request of the County Executive

RESOLUTION NO. -2016, AUTHORIZING EXECUTION OF AN AGREEMENT BY THE ADMINISTRATIVE HEAD OF SUFFOLK COUNTY SEWER DISTRICT NO. 3 – SOUTHWEST AND 40 MELVILLE PARK ROAD (HU-1703)

WHEREAS, 40 Melville Park Road is an existing industrial building located in Melville, New York outside the boundary of Suffolk County Sewer District No. 3 – Southwest; and

WHEREAS, 40 Melville Park Road has petitioned and requested the Administrative Head of the District for permission to discharge Two Thousand Eight Hundred Sixty-Five gallons per day (2,865 GPD); and

WHEREAS, it has been determined by the Administrative Head of the District that the District has wastewater treatment capacity of Two Thousand Eight Hundred Sixty-Five gallons per day (2,865 GPD) in excess of its own needs; and

WHEREAS, the connection is subject to the approval of the New York State Department of Environmental Conservation (NYSDEC); and

WHEREAS, the proposed flow has received the approval of the Suffolk County Sewer Agency (Resolution No. 32-2016) with a connection fee of Eighty-Five Thousand Nine Hundred Fifty Dollars ($85,950) for the said Two Thousand Eight Hundred Sixty-Five gallons per day (2,865 GPD) of capacity to the district; and

WHEREAS, pursuant to Title 6 NYCRR Part 617.5(c) (11) and (20), this project involves the extension of utility distribution facilities, including gas, electric, telephone, cable, water and sewer connections to render service in approved subdivisions or in connection with any action on this list; and routine or continuing agency administration and management, not including new programs or major reordering of priorities that may affect the environment; and

WHEREAS, it will be financially beneficial to Suffolk County Sewer District No. 3 – Southwest and Suffolk County, as well as in the environmental interest of all of Suffolk County, for the connection to be made; now, therefore be it

1st **RESOLVED**, that pursuant to Section 617.5(c) (11) and (20), of the SEQRA regulations, the SEQRA requirements for this project have been met, and require no further action; and be it further

2nd **RESOLVED**, that the Administrative Head of the District be and hereby is authorized, directed and empowered to enter into contracts and agreements with the developer for 40 Melville Park Rd. upon such terms and conditions as he may deem necessary relating to connections to the District of lands adjacent to Suffolk County Sewer District No. 3 – Southwest and that they be required to post a surety bond or bonds and deposit cash or securities with the County Comptroller in those instances that the Administrative Head deems necessary to ensure performance of such agreements and contracts; and be it further

3rd **RESOLVED**, that the Suffolk County Department of Public Works is hereby authorized, empowered, and directed to take such action as may be Necessary, pursuant to section C8-2(L&M) of the Suffolk County Charter.

DATED:

APPROVED BY:

_____

County Executive of Suffolk County

Date:

SUFFOLK COUNTY SEWER AGENCY

RESOLUTION NO. 32 - 2016

1959

GRANTING FORMAL APPROVAL
FOR THE CONNECTION OF
40 MELVILLE PARK RD. (HU-1703)
TO SUFFOLK SEWER DISTRICT NO. 3 – SOUTHWEST

WHEREAS, 40 Melville Park Rd. is an existing industrial building situated in Melville, New York, on property identified on the Suffolk County Tax Map as District 04.00, Section 268.00, Block 01.00, Lot 008.000, and

WHEREAS, the sewage flow from 40 Melville Park Rd. is expected to be Two Thousand Eight Hundred Sixty-Five gallons per day (2,865 GPD), and

WHEREAS, 40 Melville Park Rd. is not located within the boundaries of Suffolk County Sewer District No. 3 – Southwest (the "District"), or within the boundaries of any other municipal sewer district, and

WHEREAS, Melville Park Road LLC has applied to this Agency for permission to connect its Two Thousand Eight Hundred Sixty-Five gallons per day (2,865 GPD), of flow to the sanitary sewerage facilities of the District, and

WHEREAS, the District's sewage treatment plant has sufficient capacity to accept the proposed flow of Two Thousand Eight Hundred Sixty-Five gallons per day (2,865 GPD) which is expected to emanate from 40 Melville Park Rd., and

WHEREAS, the connection of 40 Melville Park Rd. to the District will be financially beneficial to the District, and environmentally beneficial to Suffolk County, and

WHEREAS, pursuant to Title 6 NYCRR Part 617.5(c) (11) and (20), this project involves the extension of utility distribution facilities, including gas, electric, telephone, cable, water and sewer connections to render service in approved subdivisions or in connection with any action on this list; and routine or continuing agency administration and management, not including new programs or major reordering of priorities that may affect the environment. No further action under SEQRA should be taken by the Sewer Agency, and

NOW, THEREFORE, IT IS

1st RESOLVED, that SEQRA requirements for this project have been met, and requires no further action, now, therefore, be it further

2nd RESOLVED, that 40 Melville Park Rd. be permitted to connect to the sanitary sewerage facilities of the District, upon such terms and conditions as the Administrative Head of the District may impose, subject to the terms and conditions hereof, and it is further

1959

3rd RESOLVED, that Two Thousand Eight Hundred Sixty-Five gallons per day (2,865 GPD) of capacity in the District's sewage treatment plant be allocated to 40 Melville Park Rd., and it is further

4th RESOLVED, that the connection authorized herein is subject to the approval of the Suffolk County Legislature and the New York State Department of Environmental Conservation, and it is further

5th RESOLVED, that the connection authorized herein is subject to the execution of an agreement (the "Connection Agreement") between the owner of 40 Melville Park Rd., the District, the Suffolk County Department of Public Works ("DPW"), the Suffolk County Department of Health Services, the County of Suffolk, and this Agency, which agreement shall contain such terms and conditions as the Administrative Head of the District shall determine, and it is further

6th RESOLVED, that the connection fee to be paid for 40 Melville Park Rd. shall be paid upon the execution of the Connection Agreement at the rate of $30.00 per gallon of flow per day for a total of $85,950.00, and it is further

7th RESOLVED, that Melville Park Road LLC shall, at its sole cost, expense and effort, construct a sewage collection facility for 40 Melville Park Rd. and shall offer to dedicate the said facility to this Agency, or to this Agency's nominee, at no charge, and it is further

8th RESOLVED, that Melville Park Road LLC shall furnish a Letter of Credit, in form, wording and amount, and on such terms and conditions, as determined by this Agency's staff, as security for the construction of the sewage collection facility for 40 Melville Park Rd., as well as for all of the developer's obligations under the Connection Agreement, and it is further

9th RESOLVED, that this resolution shall become null and void, and of no further force or effect, without any further action by this Agency or notice to Melville Park Road LLC if, within one (1) year from the date of the adoption hereof, an agreement in furtherance of the authorization granted herein (the Connection Agreement), in form and content satisfactory to the Chairman of this Agency, has not been negotiated and fully executed by all parties thereto.

(Suffolk County Sewer Agency Meeting September 19, 2016)

COUNTY OF SUFFOLK

1959

STEVEN BELLONE
SUFFOLK COUNTY EXECUTIVE

DEPARTMENT OF PUBLIC WORKS

DARNELL TYSON, P.E.
DEPUTY COMMISSIONER

GILBERT ANDERSON, P.E.
COMMISSIONER

THOMAS G. VAUGHN
DEPUTY COMMISSIONER

MEMORANDUM

To: Jon Schneider, Deputy County Executive

From: Gilbert Anderson, P.E., Commissioner, SCDPW

Date: October 17, 2016

Subject: **RESOLUTION NO. -2016, AUTHORIZING EXECUTION OF AGREEMENT BY THE ADMINISTRATIVE HEAD OF SUFFOLK COUNTY SEWER DISTRICT NO. 3 – SOUTHWEST AND 40 MELVILLE PARK RD. (HU-1703)**

Attached is a draft resolution filed as **Reso-DPW-SA 32-2016 40 Melville Park Rd. (HU-1703)** and appropriate forms with the backup filed as **Backup- Reso-DPW-SA 32-2016 40 Melville Park Rd. (HU-1703) SCIN 175A&B**. This is a resolution authorizing the execution of a connection agreement by the Administrative Head of Suffolk County Sewer District No. 3 – Southwest with 40 Melville Park Rd. (HU-1703).

Project Facts:

Type/units:	Restaurant	Flow:	2,865 GPD
Area:	3.87±	SEQRA:	Complete
SCSD:	No. 3 – Southwest	Groundwater Zone	I
SCTM No.:	0400-26800-0100-008.000	Legislative District:	17th

cc: Dennis M. Cohen, Chief Deputy County Executive
Lisa Santeramo, Assistant Deputy County Executive
Katie Horst, Director of Intergovernmental Relations
John Donovan, P.E., SCDPW
Janice McGovern, P.E., SCDPW
Boris Rukovets, P.E., SCDPW
Chuck Jaquin, SCDPW
Robert A. Braun, Esq., SCDOL
Debra Kolyer, CE Office
Nick Paglia, Executive Analyst
Elizabeth Duffy, SCDPW
CE Reso Review

STATEMENT OF FINANCIAL IMPACT
OF PROPOSED SUFFOLK COUNTY LEGISLATION

1959

1. Type of Legislation

Resolution X Local Law _____ Charter Law _____

2. Title of Proposed Legislation

RESOLUTION NO. -2016, AUTHORIZING EXECUTION OF AGREEMENT BY THE ADMINISTRATIVE HEAD OF SUFFOLK COUNTY SEWER DISTRICT NO. 3 SOUTHWEST AND 40 MELVILLE PARK RD. (HU-1703)

3. Purpose of Proposed Legislation

To authorize execution of an agreement by the Administrative Head of Suffolk County Sewer District No. 3 - Southwest with 40 Mellville Park Rd. (HU-1703), an existing industrial building in Melville, NY, seeking permission to discharge 2,865 GPD.

4. Will the Proposed Legislation Have a Fiscal Impact? Yes X No _____

5. If the answer to Item 4 is "yes," on what will it impact? (Circle appropriate category)

County	**Town**	**Economic Impact**
Village	**School District**	**Other (Specify):**
Library District	**Fire District**	• SCSD No. 3 – Southwest

6. If the answer to item 4 is "yes," Provide Detailed Explanation of Impact

The connection fee of Eighty Five Thousand Nine Hundred Fifty Dollars ($85,950.00) ($30.00 per gallon per day), will be paid to the Sewer District.

7. Total Financial Cost of Funding over 5 Years on Each Affected Political or Other Subdivision.

NA

8. Proposed Source of Funding

NA

9. Timing of Impact

NA

10. Typed Name & Title of Preparer	11. Signature of Preparer	12. Date
Boris Rukovets, P.E. Special Projects Supervisor Theresa Lollo Budget Office		October 17, 2016 10/31/16

SCIN FORM 175b (10/95)

COST TO THE AVERAGE TAXPAYER

1959

GENERAL FUND

	2015 PROPERTY TAX LEVY	ESTIMATED 2016* COST TO AVG TAXPAYER	2015 AV TAX RATE PER $100	2015 FEV TAX RATE PER $1000
TOTAL	$0	$0.00		$0.000

POLICE DISTRICT AND DISTRICT COURT

	2015 PROPERTY TAX LEVY	ESTIMATED 2016* COST TO AVG TAXPAYER	2015 AV TAX RATE PER $100	2015 FEV TAX RATE PER $1000
TOTAL	$0	$0.00		$0.000

COMBINED

	2015 PROPERTY TAX LEVY	ESTIMATED 2016* COST TO AVG TAXPAYER	2015 AV TAX RATE PER $100	2015 FEV TAX RATE PER $1000
TOTAL	$0	$0.00		$0.000

** The Estimated 2016 Cost to Average Taxpayer is based upon the 2015 property tax levy and is provided for informational purposes only.*

NOTES:

1) SOURCE FOR NUMBER OF FAMILY PARCELS AND CORRESPONDING ASSESSED VALUATION: SUFFOLK COUNTY REAL PROPERTY, 2014.
2) SOURCE FOR TOTAL TAXABLE ASSESSED VALUATION FOR COUNTY PURPOSES: SCHEDULE A, REPORT OF ASSESSED VALUATION FOR 2014-2015.
3) SOURCE FOR EQUALIZATION RATES: 2014 COUNTY EQUALIZATION RATES ESTABLISHED BY THE NEW YORK STATE BOARD OF EQUALIZATION AND ASSESSMENTS.

To be completed by the Executive Budget Office

COUNTY OF SUFFOLK

1959

STEVEN BELLONE
SUFFOLK COUNTY EXECUTIVE

DEPARTMENT OF PUBLIC WORKS

PHILIP A BERDOLT
DEPUTY COMMISSIONER

GILBERT ANDERSON, P.E.
COMMISSIONER

DARNELL TYSON, P.E.
DEPUTY COMMISSIONER

2016 Intergovernmental Relations Memorandum of Support

Title of Bill:

RESOLUTION NO. -2016, AUTHORIZING EXECUTION OF AGREEMENT BY THE ADMINISTRATIVE HEAD OF SUFFOLK COUNTY SEWER DISTRICT NO. 3 SOUTHWEST AND 40 MELVILLE PARK RD. (HU-1703)

Purpose or General Idea of Bill:

To authorize execution of an agreement by the Administrative Head of Suffolk County Sewer District No. 3 - Southwest with 40 Mellville Park Rd. (HU-1703), an existing industrial building in Melville, NY, seeking permission to discharge 2,865 GPD.

Summary of Specific Provisions:

Allow the connection of the project to SCSD No. 3 - Southwest

Justification:

Economic and environmental benefit

Fiscal Implications:

None

SCDPW Project: 40 Mellville Park Rd. **Project No.:** HU-1703

SUFFOLK COUNTY IS AN EQUAL OPPORTUNITY / AFFIRMATIVE ACTION EMPLOYER

335 YAPHANK AVENUE ■ YAPHANK, N.Y. 11980 ■ (631) 852-4204 FAX (631) 852-4659

1960

Intro Res. No. -2016 Laid on Table 11/9/16
Introduced by Presiding Officer on request of the County Executive

RESOLUTION NO. -2016, AUTHORIZING EXECUTION OF AN AGREEMENT BY THE ADMINISTRATIVE HEAD OF SUFFOLK COUNTY SEWER DISTRICT NO. 16 – YAPHANK MUNICIPAL AND J.J. FOLEY FACILITY (BR-1707)

WHEREAS, J.J. Foley Facility in Yaphank, New York is an existing medical building connected to the Suffolk County Sewer District No. 16 – Yaphank Municipal; and

WHEREAS, J.J. Foley Facility was originally constructed as a Suffolk County municipal facility, and was connected to the Suffolk County sewerage treatment plant servicing the Yaphank County Center, and

WHEREAS, J.J. Foley Facility was previously allocated Thirty Thousand gallons per day (30,000 gpd) of capacity at said sewerage treatment plant, and

WHEREAS, on September 13, 2013, Suffolk County Sewer District No. 16 – Yaphank Municipal (the "District"), was formed permitting non-municipal out-of-District connections, and

WHEREAS, J.J. Foley Facility ceased to function as a municipal facility and has subsequently been approved for sale to Brookhaven Memorial Hospital Medical Center with said sewer allocation, and

WHEREAS, Brookhaven Memorial Hospital Medical Center has petitioned and requested the Administrative Head of the District for permission to discharge additional Twelve Thousand gallons per day (12,000 GPD) of flow to J.J. Foley Facility, thereby increasing the allocated capacity to Forty-Two Thousand gallons per day (42,000 gpd), and

WHEREAS, J.J. Foley Facility will be privately owned and is no longer a municipal facility and, therefore, requires execution of a Sewer Agency agreement and must pay the connection fee for any additional capacity in excess of the previously allocated capacity, and

WHEREAS, it has been determined by the Administrative Head of the District that the District has wastewater treatment capacity of Twelve Thousand gallons per day (12,000 GPD) in excess of its own needs; and

WHEREAS, the connection is subject to the approval of the New York State Department of Environmental Conservation (NYSDEC); and

WHEREAS, the proposed flow has received the approval of the Suffolk County Sewer Agency (Resolution 35-2016) with a connection fee of Three Hundred Sixty Thousand Dollars ($360,000.00) for the said Twelve Thousand gallons per day (12,000 GPD) of capacity to the district; and

WHEREAS, it will be financially beneficial to Suffolk County Sewer District No. 3 – Southwest and Suffolk County, as well as in the environmental interest of all of Suffolk County, for the connection to be made; now therefore be it

1st **RESOLVED**, that pursuant to Section 617.5(c) (11) and (20), of the SEQRA regulations, this project is a Type II Action, and requires no further action, now, therefore, be it further

2nd **RESOLVED**, that the Administrative Head of the District be and hereby is authorized, directed and empowered to enter into contracts and agreements with the Brookhaven Memorial Hospital Medical Center upon such terms and conditions as he may deem necessary relating to connections to the District of lands adjacent to Suffolk County Sewer District No. 16 – Yaphank Municipal and that they be required to post a surety bond or bonds and deposit cash or securities with the County Comptroller in those instances that the Administrative Head deems necessary to ensure performance of such agreements and contracts; and be it further

3rd **RESOLVED**, that the Suffolk County Department of Public Works is hereby authorized, empowered, and directed to take such action as may be Necessary, pursuant to section C8-2(L&M) of the Suffolk County Charter.

DATED:

APPROVED BY:

_____
County Executive of Suffolk County

Date:

1960

SUFFOLK COUNTY SEWER AGENCY

RESOLUTION NO. 35 - 2016
AUTHORIZING ADDITIONAL CAPACITY FOR THE CONNECTION OF J.J. FOLEY FACILITY (BR 1707) TO SUFFOLK COUNTY SEWER DISTRICT NO. 16 – YAPHANK MUNICIPAL

WHEREAS, J.J. Foley Facility, an existing medical building located on 14.08± acres of land in Yaphank, New York and connected to the County Sewer District No. 16 – Yaphank Municipal. The aforementioned building is located on property identified on the Suffolk County Tax Map as District 0200, Section 742.00, Block 01.00, Lots 003.001 and 003.002, and

WHEREAS, J.J. Foley Facility was originally constructed as a Suffolk County municipal facility, and was connected to the Suffolk County sewerage treatment plant servicing the Yaphank County Center, and

WHEREAS, J.J. Foley Facility was previously allocated Thirty Thousand gallons per day (30,000 gpd) of capacity at said sewerage treatment plant, and

WHEREAS, on September 13, 2013, Suffolk County Sewer District No. 16 – Yaphank Municipal (the "District"), was formed permitting non-municipal out-of-District connections, and

WHEREAS, J.J. Foley Facility ceased to function as a municipal facility and has subsequently been approved for sale to Brookhaven Memorial Hospital Medical Center with said sewer allocation, and

WHEREAS, Brookhaven Memorial Hospital Medical Center has determined that additional capacity is required and has applied to this Agency for approval to allocate an added Twelve Thousand gallons per day (12,000 GPD) of flow to J.J. Foley Facility, thereby increasing the allocated capacity to Forty-Two Thousand gallons per day (42,000 gpd), and

WHEREAS, J.J. Foley Facility will be privately owned and is no longer a municipal facility and, therefore, requires execution of a Sewer Agency agreement and must pay the connection fee for any additional capacity in excess of the previously allocated capacity, and

WHEREAS, the District's sewage treatment plant has sufficient capacity to accept the proposed wastewater flow of Forty-Two Thousand gallons per day (42,000 GPD) which is expected to emanate from J.J. Foley Facility, and

WHEREAS, the connection of J.J. Foley Facility to Suffolk County Sewer District No. 16 – Yaphank Municipal will be financially beneficial for the sewer district and continue to be environmentally beneficial to Suffolk County, and

1960

WHEREAS, pursuant to Title 6 NYCRR Part 617.5(c) (11) and (20), this project involves the extension of utility distribution facilities, including gas, electric, telephone, cable, water and sewer connections to render service in approved subdivisions or in connection with any action on this list; and routine or continuing agency administration and management, not including new programs or major reordering of priorities that may affect the environment. No further action under SEQRA should be taken by the Sewer Agency, and

NOW, THEREFORE, IT IS

1st RESOLVED, that the SEQRA requirements for this project have been met, and requires no further action, now, therefore, be it further

2nd RESOLVED, that the connection authorized herein is subject to the approval of the Suffolk County Legislature and the New York State Department of Environmental Conservation, and it is further

3rd RESOLVED, that the connection authorized herein is subject to the execution of an agreement (the "Connection Agreement") between Brookhaven Memorial Hospital Medical Center, the District, the Suffolk County Department of Public Works ("DPW"), the Suffolk County Department of Health Services, the County of Suffolk, and this Agency, which agreement shall contain such terms and conditions as the Administrative Head of the District shall determine, and it is further

4th RESOLVED, that the connection fee to be paid for the J.J. Foley Facility shall be paid upon the execution of the Connection Agreement at the rate of $30.00 per gallon per day for a total of Three Hundred Sixty Thousand Dollars ($360,000.00), prior to execution of the Connection Agreement, and it is further

5th RESOLVED, that Brookhaven Memorial Hospital Medical Center shall offer to dedicate the sewage collection facility to this Agency, or to this Agency's nominee, at no charge, and it is further

6th RESOLVED, that this resolution shall become null and void, and of no further force or effect, without any further action by this Agency or notice to Brookhaven Memorial Hospital Medical Center if within one (1) year from the date of the adoption hereof, an agreement in furtherance of the authorization granted herein (the Connection Agreement), in form and content satisfactory to the Chairman of this Agency, has not been negotiated and fully executed by all parties thereto.

Suffolk County Sewer Agency Meeting (September 19, 2016)

COUNTY OF SUFFOLK

1960

STEVEN BELLONE
SUFFOLK COUNTY EXECUTIVE

DEPARTMENT OF PUBLIC WORKS

DARNELL TYSON, P.E.
DEPUTY COMMISSIONER

GILBERT ANDERSON, P.E.
COMMISSIONER

THOMAS G. VAUGHN
DEPUTY COMMISSIONER

MEMORANDUM

To: Jon Schneider, Deputy County Executive

From: Gilbert Anderson, P.E., Commissioner, SCDPW

Date: October 17, 2016

Subject: **RESOLUTION NO. -2016, AUTHORIZING EXECUTION OF AGREEMENT BY THE ADMINISTRATIVE HEAD OF SUFFOLK COUNTY SEWER DISTRICT NO. 16 – YAPHANK MUNICIPAL AND J.J. FOLEY FACILITY (BR-1707)**

Attached is a draft resolution filed as **Reso-DPW-SA 35-2016 J.J. Foley Facility (BR-1707)** and appropriate forms with the backup filed as **Backup- Reso-DPW-SA 35-2016 J.J. Foley Facility (BR-1707) SCIN 175A&B**. This is a resolution authorizing the execution of a connection agreement by the Administrative Head of Suffolk County Sewer District No. 16 – Yaphank Municipal with J.J. Foley Facility (BR-1707).

Project Facts:

Type/units:	Mixed Use Medical Facility – Assisted Living, Substance Abuse, General Medical Office, Dialysis Center	Flow:	42,000 GPD
Area:	14.08±	SEQRA:	Complete
SCSD:	No. 16 – Yaphank Municipal	Groundwater Zone	VI
SCTM No.:	0200-74200-0100-003.001 & 003.002	Legislative District:	3rd

cc: Dennis M. Cohen, Chief Deputy County Executive
Lisa Santeramo, Assistant Deputy County Executive
Katie Horst, Director of Intergovernmental Relations
John Donovan, P.E., SCDPW
Janice McGovern, P.E., SCDPW
Boris Rukovets, P.E., SCDPW
Chuck Jaquin, SCDPW
Robert A. Braun, Esq., SCDOL
Debra Kolyer, CE Office
Nick Paglia, Executive Analyst
Elizabeth Duffy, SCDPW
CE Reso Review

STATEMENT OF FINANCIAL IMPACT
OF PROPOSED SUFFOLK COUNTY LEGISLATION

1960

1. Type of Legislation

Resolution X Local Law _____ Charter Law _____

2. Title of Proposed Legislation

RESOLUTION NO. -2016, AUTHORIZING EXECUTION OF AGREEMENT BY THE ADMINISTRATIVE HEAD OF SUFFOLK COUNTY SEWER DISTRICT NO. 16 - YAPHANK MUNICIPAL AND J.J.FOLEY FACILITY (BR-1707)

3. Purpose of Proposed Legislation

To authorize execution of an agreement by the Administrative Head of Suffolk County Sewer District No. 16 - Yaphank Municipal and J.J. Foley Facility (BR-1707), an existing medical building connected to SCSD No. 16 seeking permission to discharge 42,000 GPD.
J.J. Foley Facility was previously allocated 30,000 GPD of capacity at SCSD No. 16 and has been recently approved for sale to Brookhaven Memorial Hospital Medical Center with that allocation and has requested an approval of an additional capacity of 12,000 GPD.

4. Will the Proposed Legislation Have a Fiscal Impact? Yes X No _____

5. If the answer to Item 4 is "yes," on what will it impact? (Circle appropriate category)

County	**Town**	**Economic Impact**
Village	**School District**	**Other (Specify):**
Library District	**Fire District**	• SCSD NO. 16– Yaphank Municipal

6. If the answer to item 4 is "yes," Provide Detailed Explanation of Impact

The connection fee of Three Hundred Sixty Thousand Dollars ($360,000.00) ($30.00 per gallon per day) for the additional capacity of 12,000 GPD requested by the Facility will be paid to the Sewer District.

7. Total Financial Cost of Funding over 5 Years on Each Affected Political or Other Subdivision.

NA

8. Proposed Source of Funding

NA

9. Timing of Impact

NA

10. Typed Name & Title of Preparer	11. Signature of Preparer	12. Date
Boris Rukovets, P.E. Special Projects Supervisor Theresa Lollo Budget Office		October 17, 2016 10/31/16

SCIN FORM 175b (10/95)

COST TO THE AVERAGE TAXPAYER

1960

GENERAL FUND

	2015 PROPERTY TAX LEVY	ESTIMATED 2016* COST TO AVG TAXPAYER	2015 AV TAX RATE PER $100	2015 FEV TAX RATE PER $1000
TOTAL	$0	$0.00		$0.000

POLICE DISTRICT AND DISTRICT COURT

	2015 PROPERTY TAX LEVY	ESTIMATED 2016* COST TO AVG TAXPAYER	2015 AV TAX RATE PER $100	2015 FEV TAX RATE PER $1000
TOTAL	$0	$0.00		$0.000

COMBINED

	2015 PROPERTY TAX LEVY	ESTIMATED 2016* COST TO AVG TAXPAYER	2015 AV TAX RATE PER $100	2015 FEV TAX RATE PER $1000
TOTAL	$0	$0.00		$0.000

** The Estimated 2016 Cost to Average Taxpayer is based upon the 2015 property tax levy and is provided for informational purposes only.*

NOTES:

1) SOURCE FOR NUMBER OF FAMILY PARCELS AND CORRESPONDING ASSESSED VALUATION: SUFFOLK COUNTY REAL PROPERTY, 2014.
2) SOURCE FOR TOTAL TAXABLE ASSESSED VALUATION FOR COUNTY PURPOSES: SCHEDULE A, REPORT OF ASSESSED VALUATION FOR 2014-2015.
3) SOURCE FOR EQUALIZATION RATES: 2014 COUNTY EQUALIZATION RATES ESTABLISHED BY THE NEW YORK STATE BOARD OF EQUALIZATION AND ASSESSMENTS.

To be completed by the Executive Budget Office

COUNTY OF SUFFOLK

1960

STEVEN BELLONE
SUFFOLK COUNTY EXECUTIVE

DEPARTMENT OF PUBLIC WORKS

PHILIP A BERDOLT
DEPUTY COMMISSIONER

GILBERT ANDERSON, P.E.
COMMISSIONER

DARNELL TYSON, P.E.
DEPUTY COMMISSIONER

2016 Intergovernmental Relations Memorandum of Support

Title of Bill:

RESOLUTION NO. -2016, AUTHORIZING EXECUTION OF AGREEMENT BY THE ADMINISTRATIVE HEAD OF SUFFOLK COUNTY SEWER DISTRICT NO. 16 - YAPHANK MUNICIPAL AND J.J. FOLEY FACILITY (BR-1707)

Purpose or General Idea of Bill:

To authorize execution of an agreement by the Administrative Head of Suffolk County Sewer District No. 16 - Yaphank Municipal and J.J. Foley Facility (BR-1707), a medical building connected to SCSD No. 16 seeking permission to discharge a total flow of 42,000 GPD (of which 30,000 GPD was approved previously and 12,000 GPD is the additional capacity requested by the Facility).

Summary of Specific Provisions:

Allow the connection of the project to SCSD No. 16 - Yaphank Municipal

Justification:

Economic and environmental benefit

Fiscal Implications:

None

SCDPW Project: J.J. Foley Facility **Project No.:** BR-1707

SUFFOLK COUNTY IS AN EQUAL OPPORTUNITY / AFFIRMATIVE ACTION EMPLOYER

335 YAPHANK AVENUE ■ YAPHANK, N.Y. 11980 ■ (631) 852-4204 FAX (631) 852-4659

1961

Intro Res. No. -2016 Laid on Table 11/9/16

Introduced by Presiding Officer on request of the County Executive

RESOLUTION NO. -2016, AUTHORIZING EXECUTION OF AN AGREEMENT BY THE ADMINISTRATIVE HEAD OF SUFFOLK COUNTY SEWER DISTRICT NO. 3 – SOUTHWEST WITH 18 LINCOLN AVE (1477.1-014)

WHEREAS, 18 Lincoln Ave is an existing residential building located in Wyandanch, New York outside the boundary of Suffolk County Sewer District No. 3 – Southwest; and

WHEREAS, a sewer collection system has been constructed along the Wyandanch Commercial Corridor which will connect to the Suffolk County Sewer District No. 3 – Southwest via the collection system, and

WHEREAS, the owner of 18 Lincoln Ave, has petitioned and requested the Administrative Head of the District for permission to discharge Three Hundred gallons per day (300 GPD) of wastewater, and

WHEREAS, it has been determined by the Administrative Head of the District that the District has wastewater treatment capacity of Three Hundred gallons per day (300 GPD) in excess of its own needs; and

WHEREAS, the connection is subject to the approval of the New York State Department of Environmental Conservation (NYSDEC); and

WHEREAS, the proposed flow has received the approval of the Suffolk County Sewer Agency (Resolution 34-2016) and pursuant to the Connection Agreement for the construction and connection of the sewer system in the Wyandanch Commercial Corridor, connections made from designated parcels to the sewer system during the first five years after its completion would be charged no connection fee (Local Law 11-2010), and the subject parcel is one of the designated parcels, and

WHEREAS, it will be in the environmental interest of all of Suffolk County, for the connection to be made; now therefore be it

1st **RESOLVED**, that pursuant Local Law 11-2010, (RESOLUTION NO. 229 -2010, ADOPTING LOCAL LAW NO. 11 -2010, A LOCAL LAW AMENDING CHAPTER 424 (SEWERS) TO WAIVE SEWER CONNECTION FEES FOR ECONOMIC REVITALIZATION IN URBAN RENEWAL AREAS) the connection fee for this project is waived as it meets the established criteria, now, therefore, be it further

2nd **RESOLVED**, that pursuant to Section 617.5(c) (11) and (20), of the SEQRA regulations, this project is a Type II Action, and requires no further action, now, therefore, be it further

3rd **RESOLVED**, that the Administrative Head of the District be and hereby is authorized, directed and empowered to enter into contracts and agreements with the owner 18 Lincoln Ave upon such terms and conditions as he may deem necessary relating to connections to the District of lands adjacent to Suffolk County Sewer District No. 3 – Southwest and that the owner of 18 Lincoln Ave be required to post a surety bond or bonds and deposit cash or securities with the County Comptroller in those instances that the Administrative Head deems necessary to ensure performance of such agreements and contracts.

4th **RESOLVED**, that the County Department of Public Works is hereby authorized, empowered, and directed to take such action as may be Necessary, pursuant to section C8-2(L&M) of the Suffolk County Charter.

DATED:

APPROVED BY:

_____

County Executive of Suffolk County
Date of Approval:

SUFFOLK COUNTY SEWER AGENCY

RESOLUTION NO: 34 - 2016

AUTHORIZING THE FORMAL APPROVAL FOR THE CONNECTION OF 18 LINCOLN AVE (BA-1477.1-014) TO SUFFOLK COUNTY SEWER DISTRICT NO. 3 - SOUTHWEST

1961

WHEREAS, 18 Lincoln Ave is an existing residential building situated on Straight Path in Wyandanch, New York, on property identified on the Suffolk County Tax Map as District 0100, Section 079.00, Block 04.00, Lot 028.002, and

WHEREAS, the Premises is not located within the boundaries of Suffolk County Sewer District No. 3 – Southwest (the "District"), or within the boundaries of any other municipal sewer district, but is located within the Agency project "Wyandanch Commercial Corridor" (WCC), and

WHEREAS, Pursuant to the agreement for the construction and connection of the main in the Wyandanch Commercial Corridor, connections made from designated parcels to the main during the first five years after its completion would be charged no connection fee, and the subject parcel is one of the designated parcels, and

WHEREAS, it is anticipated that 18 Lincoln Ave will generate a wastewater flow of Three Hundred gallons per day (300 GPD), and

WHEREAS, the property owner has applied to this Agency for permission to connect 18 Lincoln Ave to the sanitary sewerage facilities of the District, and

WHEREAS, the District's sewage treatment plant has sufficient capacity to accept the flow which is expected to emanate from 18 Lincoln Ave, and

WHEREAS, the connection of 18 Lincoln Ave to the District will be environmentally beneficial to Suffolk County, and

WHEREAS, Pursuant to Title 6 NYCRR Part 617.5(c) (11) and (20), this project involved the extension of utility distribution facilities, including gas, electric, telephone, cable, water and sewer connections to render service in approved subdivisions or in connection with any action on this list; and routine or continuing agency administration and management, not including new programs or major reordering of priorities that may affect the environment. No further action under SEQRA should be taken by the Sewer Agency, and

NOW, THEREFORE, IT IS

1st RESOLVED, that the SEQRA requirements for this project have been met, and requires no further action, now, therefore, be it further

2nd RESOLVED, that 18 Lincoln Ave be permitted to connect to the sanitary sewerage facilities of the District, upon such terms and conditions as the Administrative Head of the District may impose, subject to the terms and conditions hereof, and it is further

3rd RESOLVED, that Three Hundred gallons per day (300 GPD), of capacity in the District's sewage treatment plant be allocated to 18 Lincoln Ave, and it is further

4th RESOLVED, that the connection authorized herein is subject to the approval of the Suffolk County Legislature and the New York State Department of Environmental Conservation, and it is further

5th RESOLVED, that the connection authorized herein is subject to the execution of an agreement (the "Connection Agreement") between the owner of 18 Lincoln Ave, the District, the Suffolk County Department of Public Works ("DPW"), the Suffolk County Department of Health Services, the County of Suffolk, and this Agency, which agreement shall contain such terms and conditions as the Administrative Head of the District shall determine, and it is further

6th RESOLVED, that Pursuant to the agreement for the construction and connection of the main in the Wyandanch Commercial Corridor, connections made from designated parcels to the collection system during the first five years after its completion would be charged no connection fee, and the subject parcel is one of the designated parcels, and it is further

7th RESOLVED, that the owner of 18 Lincoln Ave shall, at its sole cost, expense and effort, construct a sewage collection facility for 18 Lincoln Ave and shall offer to dedicate the said facility to this Agency, or to this Agency's nominee, at no charge, and it is further

8th RESOLVED, that the owner of 18 Lincoln Ave shall furnish a Letter of Credit, in form, wording and amount, and on such terms and conditions, as determined by this Agency's staff, as security for the construction of the sewage collection facility for 18 Lincoln Ave, as well as for all of the developer's obligations under the Connection Agreement, and it is further

9th RESOLVED, that this resolution shall become null and void, and of no further force or effect, without any further action by this Agency or notice to the owner of 18 Lincoln Ave if, within one (1) year from the date of the adoption hereof, an agreement in furtherance of the authorization granted herein (the Connection Agreement), in form and content satisfactory to the Chairman of this Agency, has not been negotiated and fully executed by all parties thereto.

(Suffolk County Sewer Agency Meeting – September 19, 2016)

COUNTY OF SUFFOLK

1961

STEVEN BELLONE
SUFFOLK COUNTY EXECUTIVE

DEPARTMENT OF PUBLIC WORKS

DARNELL TYSON, P.E.
DEPUTY COMMISSIONER

GILBERT ANDERSON, P.E.
COMMISSIONER

THOMAS G. VAUGHN
DEPUTY COMMISSIONER

MEMORANDUM

To: Jon Schneider, Deputy County Executive

From: Gilbert Anderson, P.E., Commissioner, SCDPW

Date: October 17, 2016

Subject: **RESOLUTION NO. -2016, AUTHORIZING EXECUTION OF AGREEMENT BY THE ADMINISTRATIVE HEAD OF SUFFOLK COUNTY SEWER DISTRICT NO. 3 – SOUTHWEST AND 18 LINCOLN AVE (BA-1477.1-014)**

Attached is a draft resolution filed as **Reso-DPW-SA 34-2016 18 Lincoln Ave (BA-1477.1-014)** and appropriate forms with the backup filed as **Backup- Reso-DPW-SA 34-2016 18 Lincoln Ave (BA-1477.1-014) SCIN 175A&B**. This is a resolution authorizing the execution of a connection agreement by the Administrative Head of Suffolk County Sewer District No. 3 – Southwest with 18 Lincoln Ave (BA-1477.1-014).

Project Facts:

Type/units:	Residential Building (Single Family)	Flow:	300 GPD
Area:	0.18±	SEQRA:	Complete
SCSD:	No. 3 – Southwest	Groundwater Zone	I
SCTM No.:	0100-00790-0400-028.002	Legislative District:	15th

cc: Dennis M. Cohen, Chief Deputy County Executive
Lisa Santeramo, Assistant Deputy County Executive
Katie Horst, Director of Intergovernmental Relations
John Donovan, P.E., SCDPW
Janice McGovern, P.E., SCDPW
Boris Rukovets, P.E., SCDPW
Chuck Jaquin, SCDPW
Robert A. Braun, Esq., SCDOL
Debra Kolyer, CE Office
Nick Paglia, Executive Analyst
Elizabeth Duffy, SCDPW
CE Reso Review

STATEMENT OF FINANCIAL IMPACT
OF PROPOSED SUFFOLK COUNTY LEGISLATION

1961

1. **Type of Legislation**

Resolution X Local Law _____ Charter Law _____

2. **Title of Proposed Legislation**

RESOLUTION NO. -2016, AUTHORIZING EXECUTION OF AGREEMENT BY THE ADMINISTRATIVE HEAD OF SUFFOLK COUNTY SEWER DISTRICT NO. 3 SOUTHWEST AND 18 LINCOLN AVE (BA-1477.1-014)

3. **Purpose of Proposed Legislation**

To authorize execution of an agreement by the Administrative Head of Suffolk County Sewer District No. 3 - Southwest with 18 Lincoln Ave (BA-1477.1-014), an existing residential building in Wyandanch, NY, seeking permission to discharge 300 GPD.

4. **Will the Proposed Legislation Have a Fiscal Impact?** Yes _____ No X

5. **If the answer to Item 4 is "yes," on what will it impact? (Circle appropriate category)**

County	**Town**	**Economic Impact**
Village	**School District**	**Other (Specify):**
Library District	**Fire District**	• SCSD No. 3 – Southwest

6. **If the answer to item 4 is "yes," Provide Detailed Explanation of Impact**

Pursuant to the agreement for the construction and connection of the main in the Wyandanch Commercial Corridor and Local Law 11-2010, connections made from designated parcels to the main during the first five years after its completion would be charged no connection fee, and the subject parcel is one of the designated parcels. (Wyandanch Rising)

7. **Total Financial Cost of Funding over 5 Years on Each Affected Political or Other Subdivision.**

NA

8. **Proposed Source of Funding**

NA

9. **Timing of Impact**

NA

10. **Typed Name & Title of Preparer**	11. **Signature of Preparer**	12. **Date**
Boris Rukovets, P.E. Special Projects Supervisor Theresa Lollo Budget Office		October 17, 2016 10/31/16

SCIN FORM 175b (10/95)

COST TO THE AVERAGE TAXPAYER

1961

GENERAL FUND

	2015 PROPERTY TAX LEVY	ESTIMATED 2016* COST TO AVG TAXPAYER	2015 AV TAX RATE PER $100	2015 FEV TAX RATE PER $1000
TOTAL	$0	$0.00		$0.000

POLICE DISTRICT AND DISTRICT COURT

	2015 PROPERTY TAX LEVY	ESTIMATED 2016* COST TO AVG TAXPAYER	2015 AV TAX RATE PER $100	2015 FEV TAX RATE PER $1000
TOTAL	$0	$0.00		$0.000

COMBINED

	2015 PROPERTY TAX LEVY	ESTIMATED 2016* COST TO AVG TAXPAYER	2015 AV TAX RATE PER $100	2015 FEV TAX RATE PER $1000
TOTAL	$0	$0.00		$0.000

* *The Estimated 2016 Cost to Average Taxpayer is based upon the 2015 property tax levy and is provided for informational purposes only.*

NOTES:

1) SOURCE FOR NUMBER OF FAMILY PARCELS AND CORRESPONDING ASSESSED VALUATION: SUFFOLK COUNTY REAL PROPERTY, 2014.
2) SOURCE FOR TOTAL TAXABLE ASSESSED VALUATION FOR COUNTY PURPOSES: SCHEDULE A, REPORT OF ASSESSED VALUATION FOR 2014-2015.
3) SOURCE FOR EQUALIZATION RATES: 2014 COUNTY EQUALIZATION RATES ESTABLISHED BY THE NEW YORK STATE BOARD OF EQUALIZATION AND ASSESSMENTS.

To be completed by the Executive Budget Office

COUNTY OF SUFFOLK

1961

STEVEN BELLONE
SUFFOLK COUNTY EXECUTIVE

DEPARTMENT OF PUBLIC WORKS

PHILIP A BERDOLT
DEPUTY COMMISSIONER

GILBERT ANDERSON, P.E.
COMMISSIONER

DARNELL TYSON, P.E.
DEPUTY COMMISSIONER

2016 Intergovernmental Relations Memorandum of Support

Title of Bill:

RESOLUTION NO. -2016, AUTHORIZING EXECUTION OF AGREEMENT BY THE ADMINISTRATIVE HEAD OF SUFFOLK COUNTY SEWER DISTRICT NO. 3 SOUTHWEST AND 18 LINCOLN AVE (BA-1477.1-014)

Purpose or General Idea of Bill:

To authorize execution of an agreement by the Administrative Head of Suffolk County Sewer District No. 3 - Southwest with 18 Lincoln Ave (BA-1477.1-014), an existing residential building in Wyandanch, NY, seeking permission to discharge 300 GPD.

(Wyandanch Rising)

Summary of Specific Provisions:

Allow the connection of the project to SCSD #3 - Southwest via the Wyandanch Commercial Corridor sewer collection system.

Justification:

Economic and environmental benefit

Fiscal Implications:

None

SCDPW Project: 18 Lincoln Ave **Project No.:** BA-1477.1-014

SUFFOLK COUNTY IS AN EQUAL OPPORTUNITY / AFFIRMATIVE ACTION EMPLOYER

335 YAPHANK AVENUE ■ YAPHANK, N.Y. 11980 ■ (631) 852-4204 FAX (631) 852-4659

1962

Intro Res. No. -2016 Laid on Table 11/9/16

Introduced by Presiding Officer on request of the County Executive

RESOLUTION NO. -2016, AUTHORIZING EXECUTION OF AN AGREEMENT BY THE ADMINISTRATIVE HEAD OF SUFFOLK COUNTY SEWER DISTRICT NO. 3 – SOUTHWEST WITH WYANDANCH VILLAGE – BUILDING E1 (1477.1-014)

WHEREAS, Wyandanch Village – Building E1 is a proposed garden apartment subdivision and a restaurant located in Wyandanch, New York, outside the boundary of Suffolk County Sewer District No. 3 – Southwest; and

WHEREAS, a sewer collection system has been constructed along the Wyandanch Commercial Corridor which will connect to the Suffolk County Sewer District No. 3 – Southwest via the collection system, and

WHEREAS, Wyandanch Village – Building E1, has petitioned and requested the Administrative Head of the District for permission to discharge Twenty Six Thousand Eight Hundred Forty-Five gallons per day (26,845 GPD) of wastewater, and

WHEREAS, it has been determined by the Administrative Head of the District that the District has wastewater treatment capacity of Twenty Six Thousand Eight Hundred Forty-Five gallons per day (26,845 GPD) in excess of its own needs; and

WHEREAS, the connection is subject to the approval of the New York State Department of Environmental Conservation (NYSDEC); and

WHEREAS, the proposed flow has received the approval of the Suffolk County Sewer Agency (Resolution 39-2016) and pursuant to the Connection Agreement for the construction and connection of the sewer system in the Wyandanch Commercial Corridor, connections made from designated parcels to the sewer system during the first five years after its completion would be charged no connection fee (Local Law 11-2010), and the subject parcel is one of the designated parcels, and

WHEREAS, it will be in the environmental interest of all of Suffolk County, for the connection to be made; now therefore be it

1st **RESOLVED**, that pursuant Local Law 11-2010, (RESOLUTION NO. 229 -2010, ADOPTING LOCAL LAW NO. 11 -2010, A LOCAL LAW AMENDING CHAPTER 424 (SEWERS) TO WAIVE SEWER CONNECTION FEES FOR ECONOMIC REVITALIZATION IN URBAN RENEWAL AREAS) the connection fee for this project is waived as it meets the established criteria, now, therefore, be it further

2nd **RESOLVED**, that pursuant to Section 617.5(c) (11) and (20), of the SEQRA regulations, this project is a Type II Action, and requires no further action, now, therefore, be it further

3rd **RESOLVED**, that the Administrative Head of the District be and hereby is authorized, directed and empowered to enter into contracts and agreements with the developer for Wyandanch Village - Building E1 upon such terms and conditions as he may deem necessary relating to connections to the District of lands adjacent to Suffolk County Sewer District No. 3 – Southwest and that they be required to post a surety bond or bonds and deposit cash or securities with the County Comptroller in those instances that the Administrative Head deems necessary to ensure performance of such agreements and contracts.

4th **RESOLVED**, that the County Department of Public Works is hereby authorized, empowered, and directed to take such action as may be Necessary, pursuant to section C8-2(L&M) of the Suffolk County Charter.

DATED:

APPROVED BY:

_____
County Executive of Suffolk County

Date:

SUFFOLK COUNTY SEWER AGENCY

RESOLUTION NO: 39 - 2016

1962

AUTHORIZING THE FORMAL APPROVAL FOR THE CONNECTION OF WYANDANCH VILLAGE BUILDING E1 (BA-1477.1-013) TO SUFFOLK COUNTY SEWER DISTRICT NO. 3 - SOUTHWEST

WHEREAS, Wyandanch Village Building E1 is a proposed garden apartment subdivision and a restaurant situated on Straight Path in Wyandanch, New York, on property identified on the Suffolk County Tax Map as District 0100, Section 040.00, Block 02.00, Lot 048.006, and

WHEREAS, the Premises is not located within the boundaries of Suffolk County Sewer District No. 3 – Southwest (the "District"), or within the boundaries of any other municipal sewer district, but is located within the Agency project "Wyandanch Commercial Corridor" (WCC), and

WHEREAS, Pursuant to the agreement for the construction and connection of the main in the WCC, connections made from designated parcels to the main during the first five years after its completion would be charged no connection fee, and the subject parcel is one of the designated parcels, and

WHEREAS, Wyandanch Village Building E1 was approved by this Agency to discharge Twenty-Five Thousand Three Hundred Fifty gallons per day (25,350 GPD) on September 19, 2016 (33-2016), and

WHEREAS, Wyandanch Village Building E1 has applied for Formal Approval to discharge an additional One Thousand Four Hundred Ninety-Five gallons per day (1,495 GPD) bringing the total flow to Twenty Six Thousand Eight Hundred Forty-Five gallons per day (26,845 GPD), to the sanitary sewerage facilities of the District, and

WHEREAS, the District's sewage treatment plant has sufficient capacity to accept the flow which is expected to emanate from Wyandanch Village Building E1, and

WHEREAS, the connection of Wyandanch Village Building E1 to the District will be environmentally beneficial to Suffolk County, and

WHEREAS, Pursuant to Title 6 NYCRR Part 617.5(c) (11) and (20), this project involved the extension of utility distribution facilities, including gas, electric, telephone, cable, water and sewer connections to render service in approved subdivisions or in connection with any action on this list; and routine or continuing agency administration and management, not including new programs or major reordering of priorities that may affect the environment. No further action under SEQRA should be taken by the Sewer Agency, and

NOW, THEREFORE, IT IS

1st RESOLVED, that the SEQRA requirements for this project have been met, and requires no further action, now, therefore, be it further

2nd RESOLVED, that Wyandanch Village Building E1 be permitted to connect to the sanitary sewerage facilities of the District, upon such terms and conditions as the Administrative Head of the District may impose, subject to the terms and conditions hereof, and it is further

3rd RESOLVED, that a total of Twenty Six Thousand Eight Hundred Forty-Five gallons per day (26,845 GPD) of capacity in the District's sewage treatment plant be allocated to Wyandanch Village Building E1, and it is further

4th RESOLVED, that the connection authorized herein is subject to the approval of the Suffolk County Legislature and the New York State Department of Environmental Conservation, and it is further

5th RESOLVED, that the connection authorized herein is subject to the execution of an agreement (the "Connection Agreement") between the Wyandanch Village Building E1, the District, the Suffolk County Department of Public Works ("DPW"), the Suffolk County Department of Health Services, the County of Suffolk, and this Agency, which agreement shall contain such terms and conditions as the Administrative Head of the District shall determine, and it is further

6th RESOLVED, that Pursuant to the agreement for the construction and connection of the main in the WCC, connections made from designated parcels to the collection system during the first five years after its completion would be charged no connection fee, and the subject parcel is one of the designated parcels, and it is further

7th RESOLVED, that the Wyandanch Village Building E1 shall, at its sole cost, expense and effort, construct a sewage collection facility for Wyandanch Village Building E1 and shall offer to dedicate the said facility to this Agency, or to this Agency's nominee, at no charge, and it is further

8th RESOLVED, that the Wyandanch Village Building E1 shall furnish a Letter of Credit, in form, wording and amount, and on such terms and conditions, as determined by this Agency's staff, as security for the construction of the sewage collection facility for Wyandanch Village Building E1, as well as for all of the developer's obligations under the Connection Agreement, and it is further

9th RESOLVED, that this resolution shall become null and void, and of no further force or effect, without any further action by this Agency or notice to the owner of Wyandanch Village Building E1 if, within one (1) year from the date of the adoption hereof, an agreement in furtherance of the authorization granted herein (the Connection Agreement), in form and content satisfactory to the Chairman of this Agency, has not been negotiated and fully executed by all parties thereto.

(Suffolk County Sewer Agency Meeting – October 17, 2016)

COUNTY OF SUFFOLK

1962

STEVEN BELLONE
SUFFOLK COUNTY EXECUTIVE

DEPARTMENT OF PUBLIC WORKS

DARNELL TYSON, P.E.
DEPUTY COMMISSIONER

GILBERT ANDERSON, P.E.
COMMISSIONER

THOMAS G. VAUGHN
DEPUTY COMMISSIONER

MEMORANDUM

To: Jon Schneider, Deputy County Executive

From: Gilbert Anderson, P.E., Commissioner, SCDPW

Date: October 17, 2016

Subject: **RESOLUTION NO. -2016, AUTHORIZING EXECUTION OF AGREEMENT BY THE ADMINISTRATIVE HEAD OF SUFFOLK COUNTY SEWER DISTRICT NO. 3 – SOUTHWEST AND WYANDANCH VILLAGE – BUILDING E1 (BA-1477.1-013)**

Attached is a draft resolution filed as **Reso-DPW-SA 39-2016 Wyandanch Village – Building E1 (BA-1477.1-013)** and appropriate forms with the backup filed as **Backup- Reso-DPW-SA 39-2016 Wyandanch Village – Building E1 (BA-1477.1-013) SCIN 175A&B**. This is a resolution authorizing the execution of a connection agreement by the Administrative Head of Suffolk County Sewer District No. 3 – Southwest with Wyandanch Village - Building E1 (BA-1477.1-013).

Project Facts:

Type/units:	119 Garden Apartments and Restaurant	Flow:	26,845 GPD
Area:	1.425±	SEQRA:	Complete
SCSD:	No. 3 – Southwest	Groundwater Zone	I
SCTM No.:	0100-00400-0200-048.006	Legislative District:	15th

cc: Dennis M. Cohen, Chief Deputy County Executive
Lisa Santeramo, Assistant Deputy County Executive
Katie Horst, Director of Intergovernmental Relations
John Donovan, P.E., SCDPW
Janice McGovern, P.E., SCDPW
Boris Rukovets, P.E., SCDPW
Chuck Jaquin, SCDPW
Robert A. Braun, Esq., SCDOL
Debra Kolyer, CE Office
Nick Paglia, Executive Analyst
Elizabeth Duffy, SCDPW
CE Reso Review

STATEMENT OF FINANCIAL IMPACT OF PROPOSED SUFFOLK COUNTY LEGISLATION

1962

1. Type of Legislation

Resolution X Local Law _____ Charter Law _____

2. Title of Proposed Legislation

RESOLUTION NO. -2016, AUTHORIZING EXECUTION OF AGREEMENT BY THE ADMINISTRATIVE HEAD OF SUFFOLK COUNTY SEWER DISTRICT NO. 3 SOUTHWEST AND WYANDANCH VILLAGE - BUILDING E1 (BA-1477.1-013)

3. Purpose of Proposed Legislation

To authorize execution of an agreement by the Administrative Head of Suffolk County Sewer District No. 3 Southwest with Wyandanch Village - Building E1 (BA-1477.1-013), a proposed garden apartment subdivision and a restaurant in Wyandanch, NY, seeking permission to discharge 26,845 GPD.

4. Will the Proposed Legislation Have a Fiscal Impact?

Yes _____ No X

5. If the answer to Item 4 is "yes," on what will it impact? (Circle appropriate category)

County	**Town**	**Economic Impact**
Village	**School District**	**Other (Specify):**
Library District	**Fire District**	• SCSD No. 3 – Southwest

6. If the answer to item 4 is "yes," Provide Detailed Explanation of Impact

Pursuant to the agreement for the construction and connection of the main in the Wyandanch Commercial Corridor and Local Law 11-2010, connections made from designated parcels to the main during the first five years after its completion would be charged no connection fee, and the subject parcel is one of the designated parcels. (Wyandanch Rising)

7. Total Financial Cost of Funding over 5 Years on Each Affected Political or Other Subdivision.

NA

8. Proposed Source of Funding

NA

9. Timing of Impact

NA

10. Typed Name & Title of Preparer	11. Signature of Preparer	12. Date
Boris Rukovets, P.E. Special Projects Supervisor Theresa Lollo Budget Office		October 17, 2016 10/31/16

SCIN FORM 175b (10/95)

COST TO THE AVERAGE TAXPAYER

1962

GENERAL FUND

	2015 PROPERTY TAX LEVY	ESTIMATED 2016* COST TO AVG TAXPAYER	2015 AV TAX RATE PER $100	2015 FEV TAX RATE PER $1000
TOTAL	$0	$0.00		$0.000

POLICE DISTRICT AND DISTRICT COURT

	2015 PROPERTY TAX LEVY	ESTIMATED 2016* COST TO AVG TAXPAYER	2015 AV TAX RATE PER $100	2015 FEV TAX RATE PER $1000
TOTAL	$0	$0.00		$0.000

COMBINED

	2015 PROPERTY TAX LEVY	ESTIMATED 2016* COST TO AVG TAXPAYER	2015 AV TAX RATE PER $100	2015 FEV TAX RATE PER $1000
TOTAL	$0	$0.00		$0.000

* *The Estimated 2016 Cost to Average Taxpayer is based upon the 2015 property tax levy and is provided for informational purposes only.*

NOTES:

1) SOURCE FOR NUMBER OF FAMILY PARCELS AND CORRESPONDING ASSESSED VALUATION: SUFFOLK COUNTY REAL PROPERTY, 2014.
2) SOURCE FOR TOTAL TAXABLE ASSESSED VALUATION FOR COUNTY PURPOSES: SCHEDULE A, REPORT OF ASSESSED VALUATION FOR 2014-2015.
3) SOURCE FOR EQUALIZATION RATES: 2014 COUNTY EQUALIZATION RATES ESTABLISHED BY THE NEW YORK STATE BOARD OF EQUALIZATION AND ASSESSMENTS.

To be completed by the Executive Budget Office

COUNTY OF SUFFOLK

1962

STEVEN BELLONE
SUFFOLK COUNTY EXECUTIVE

DEPARTMENT OF PUBLIC WORKS

PHILIP A BERDOLT
DEPUTY COMMISSIONER

GILBERT ANDERSON, P.E.
COMMISSIONER

DARNELL TYSON, P.E.
DEPUTY COMMISSIONER

2016 Intergovernmental Relations Memorandum of Support

Title of Bill:

RESOLUTION NO. -2016, AUTHORIZING EXECUTION OF AGREEMENT BY THE ADMINISTRATIVE HEAD OF SUFFOLK COUNTY SEWER DISTRICT NO. 3 SOUTHWEST AND WYANDANCH VILLAGE - BUILDING E1 (BA-1477.1-013)

Purpose or General Idea of Bill:

To authorize execution of an agreement by the Administrative Head of Suffolk County Sewer District No. 3 - Southwest with Wyandanch Village - Building E1 (BA-1477.1-013), a proposed garden apartment subdivision and a restaurant in Wyandanch, NY, seeking permission to discharge 26,845 GPD.
(Wyandanch Rising)

Summary of Specific Provisions:

Allow the connection of the project to SCSD #3 - Southwest via the Wyandanch Commercial Corridor sewer collection system.

Justification:

Economic and environmental benefit

Fiscal Implications:

None

SCDPW Project: Wyandanch Village - Building E1 **Project No.:** BA-1477.1-013

SUFFOLK COUNTY IS AN EQUAL OPPORTUNITY / AFFIRMATIVE ACTION EMPLOYER

335 YAPHANK AVENUE ■ YAPHANK, N.Y. 11980 ■ (631) 852-4204 FAX (631) 852-4659

Intro. Res. No. 1963-2016
Introduced by Presiding Officer, on request of the County Executive

Laid on Table 11/9/2016

RESOLUTION NO. -2016, AUTHORIZING EXECUTION OF AN AGREEMENT BY THE ADMINISTRATIVE HEAD OF SUFFOLK COUNTY SEWER DISTRICT NO. 3 – SOUTHWEST AND 569 BROADHOLLOW ROAD (HU-1700)

WHEREAS, 569 Broadhollow Road is a proposed restaurant located at 569 Broadhollow Road in Melville, New York outside the boundary of Suffolk County Sewer District No. 3 – Southwest; and

WHEREAS, 569 Broadhollow Road has petitioned and requested the Administrative Head of the District for permission to discharge Seven Thousand Three Hundred Twenty gallons per day (7,320 GPD); and

WHEREAS, it has been determined by the Administrative Head of the District that the District has wastewater treatment capacity of Seven Thousand Three Hundred Twenty gallons per day (7,320 GPD) in excess of its own needs; and

WHEREAS, the connection is subject to the approval of the New York State Department of Environmental Conservation (NYSDEC); and

WHEREAS, the proposed flow has received the approval of the Suffolk County Sewer Agency (Resolution No. 40-2016) with a connection fee of Two Hundred Nineteen Thousand Six Hundred Dollars ($219,600) for the said Seven Thousand Three Hundred Twenty gallons per day (7,320 GPD) of capacity to the district; and

WHEREAS, pursuant to Title 6 NYCRR Part 617.5(c) (11) and (20), this project involves the extension of utility distribution facilities, including gas, electric, telephone, cable, water and sewer connections to render service in approved subdivisions or in connection with any action on this list; and routine or continuing agency administration and management, not including new programs or major reordering of priorities that may affect the environment; and

WHEREAS, it will be financially beneficial to Suffolk County Sewer District No. 3 – Southwest and Suffolk County, as well as in the environmental interest of all of Suffolk County, for the connection to be made; now, therefore be it

1st **RESOLVED**, that pursuant to Section 617.5(c) (11) and (20), of the SEQRA regulations, the SEQRA requirements for this project have been met, and require no further action; and be it further

2nd **RESOLVED**, that the Administrative Head of the District be and hereby is authorized, directed and empowered to enter into contracts and agreements with the developer for 569 Broadhollow Road upon such terms and conditions as he may deem necessary relating to connections to the District of lands adjacent to Suffolk County Sewer District No. 3 – Southwest and that they be required to post a surety bond or bonds and deposit cash or securities with the County Comptroller in those instances that the Administrative Head deems necessary to ensure performance of such agreements and contracts; and be it further

3rd **RESOLVED**, that the Suffolk County Department of Public Works is hereby authorized, empowered, and directed to take such action as may be Necessary, pursuant to section C8-2(L&M) of the Suffolk County Charter.

DATED:

APPROVED BY:

_____

County Executive of Suffolk County

Date:

SUFFOLK COUNTY SEWER AGENCY

RESOLUTION NO. 40 - 2016

1963

GRANTING FORMAL APPROVAL FOR THE CONNECTION OF 569 BROADHOLLOW RD. (HU-1700) TO SUFFOLK SEWER DISTRICT NO. 3 – SOUTHWEST

WHEREAS, 569 Broadhollow Rd is a proposed restaurant, located in Melville, New York, on property identified on the Suffolk County Tax Maps as District 04.00, Section 267.00, Block 01.00, Lots 056.000 & 057.000, and

WHEREAS, the sewage flow from 569 Broadhollow Rd is expected to be Seven Thousand Three Hundred Twenty gallons per day (7,320 GPD), and

WHEREAS, 569 Broadhollow Rd is not located within the boundaries of Suffolk County Sewer District No. 3 – Southwest (the "District"), or within the boundaries of any other municipal sewer district, and

WHEREAS, 569 Broadhollow Rd has applied to this Agency for permission to connect its Seven Thousand Three Hundred Twenty gallons per day (7,320 GPD) of flow to the sanitary sewerage facilities of the District, and

WHEREAS, the District's sewage treatment plant has sufficient capacity to accept the proposed flow of Seven Thousand Three Hundred Twenty gallons per day (7,320 GPD) which is expected to emanate from 569 Broadhollow Rd, and

WHEREAS, the connection of 569 Broadhollow Rd to the District will be financially beneficial to the District, and environmentally beneficial to Suffolk County, and

WHEREAS, pursuant to Title 6 NYCRR Part 617.5(c) (11) and (20), this project involves the extension of utility distribution facilities, including gas, electric, telephone, cable, water and sewer connections to render service in approved subdivisions or in connection with any action on this list; and routine or continuing agency administration and management, not including new programs or major reordering of priorities that may affect the environment. No further action under SEQRA should be taken by the Sewer Agency, and

NOW, THEREFORE, IT IS

1st RESOLVED, that SEQRA requirements for this project have been met, and requires no further action, now, therefore, be it further

2nd RESOLVED, that 569 Broadhollow Rd be permitted to connect to the sanitary sewerage facilities of the District, upon such terms and conditions as the Administrative Head of the District may impose, subject to the terms and conditions hereof, and it is further

3rd RESOLVED, that Seven Thousand Three Hundred Twenty gallons per day (7,320 GPD) of capacity in the District's sewage treatment plant be allocated to 569 Broadhollow Rd., and it is further

4th RESOLVED, that the connection authorized herein is subject to the approval of the Suffolk County Legislature and the New York State Department of Environmental Conservation, and it is further

5th RESOLVED, that the connection authorized herein is subject to the execution of an agreement (the "Connection Agreement") between the owner of 569 Broadhollow Rd., the District, the Suffolk County Department of Public Works ("DPW"), the Suffolk County Department of Health Services, the County of Suffolk, and this Agency, which agreement shall contain such terms and conditions as the Administrative Head of the District shall determine, and it is further

6th RESOLVED, that the connection fee to be paid for 569 Broadhollow Rd. shall be paid upon the execution of the Connection Agreement at the rate of $30.00 per gallon of flow per day for a total of Two Hundred Nineteen Thousand Six Hundred Dollars ($219,600.00), and it is further

7th RESOLVED, that 569 Broadhollow Rd. shall, at its sole cost, expense and effort, construct a sewage collection facility for 569 Broadhollow Rd. and shall offer to dedicate the said facility to this Agency, or to this Agency's nominee, at no charge, and it is further

8th RESOLVED, that 569 Broadhollow Rd. shall furnish a Letter of Credit, in form, wording and amount, and on such terms and conditions, as determined by this Agency's staff, as security for the construction of the sewage collection facility for 569 Broadhollow Rd., as well as for all of the developer's obligations under the Connection Agreement, and it is further

9th RESOLVED, that this resolution shall become null and void, and of no further force or effect, without any further action by this Agency or notice to 569 Broadhollow Rd. if, within one (1) year from the date of the adoption hereof, an agreement in furtherance of the authorization granted herein (the Connection Agreement), in form and content satisfactory to the Chairman of this Agency, has not been negotiated and fully executed by all parties thereto.

(Suffolk County Sewer Agency Meeting October 17, 2016)

1963

STATEMENT OF FINANCIAL IMPACT OF PROPOSED SUFFOLK COUNTY LEGISLATION

1. Type of Legislation

Resolution X Local Law _____ Charter Law _____

2. Title of Proposed Legislation

RESOLUTION NO. -2016, AUTHORIZING EXECUTION OF AGREEMENT BY THE ADMINISTRATIVE HEAD OF SUFFOLK COUNTY SEWER DISTRICT NO. 3 SOUTHWEST AND 569 BROADHOLLOW RD. (HU-1700)

3. Purpose of Proposed Legislation

To authorize execution of an agreement by the Administrative Head of Suffolk County Sewer District No. 3 - Southwest with 569 Broadhollow Rd. (HU-1700), a proposed restaurant in Melville, NY, seeking permission to discharge 7,320 GPD.

4. Will the Proposed Legislation Have a Fiscal Impact? Yes X No _____

5. If the answer to Item 4 is "yes," on what will it impact? (Circle appropriate category)

County	**Town**	**Economic Impact**
Village	**School District**	**Other (Specify):**
Library District	**Fire District**	• SCSD No. 3 – Southwest

6. If the answer to item 4 is "yes," Provide Detailed Explanation of Impact

The connection fee of Two Hundred Nineteen Thousand Six Hundred Dollars ($219,600.00) ($30.00 per gallon per day), will be paid to the Sewer District.

7. Total Financial Cost of Funding over 5 Years on Each Affected Political or Other Subdivision.

NA

8. Proposed Source of Funding

NA

9. Timing of Impact

NA

10. Typed Name & Title of Preparer	11. Signature of Preparer	12. Date
Boris Rukovets, P.E. Special Projects Supervisor Theresa Dolls Budget Office		October 17, 2016 10/31/16

SCIN FORM 175b (10/95)

COST TO THE AVERAGE TAXPAYER

1463

GENERAL FUND

	2015 PROPERTY TAX LEVY	ESTIMATED 2016* COST TO AVG TAXPAYER	2015 AV TAX RATE PER $100	2015 FEV TAX RATE PER $1000
TOTAL	$0	$0.00		$0.000

POLICE DISTRICT AND DISTRICT COURT

	2015 PROPERTY TAX LEVY	ESTIMATED 2016* COST TO AVG TAXPAYER	2015 AV TAX RATE PER $100	2015 FEV TAX RATE PER $1000
TOTAL	$0	$0.00		$0.000

COMBINED

	2015 PROPERTY TAX LEVY	ESTIMATED 2016* COST TO AVG TAXPAYER	2015 AV TAX RATE PER $100	2015 FEV TAX RATE PER $1000
TOTAL	$0	$0.00		$0.000

** The Estimated 2016 Cost to Average Taxpayer is based upon the 2015 property tax levy and is provided for informational purposes only.*

NOTES:

1) SOURCE FOR NUMBER OF FAMILY PARCELS AND CORRESPONDING ASSESSED VALUATION: SUFFOLK COUNTY REAL PROPERTY, 2014.
2) SOURCE FOR TOTAL TAXABLE ASSESSED VALUATION FOR COUNTY PURPOSES: SCHEDULE A, REPORT OF ASSESSED VALUATION FOR 2014-2015.
3) SOURCE FOR EQUALIZATION RATES: 2014 COUNTY EQUALIZATION RATES ESTABLISHED BY THE NEW YORK STATE BOARD OF EQUALIZATION AND ASSESSMENTS.

To be completed by the Executive Budget Office

COUNTY OF SUFFOLK

1963

STEVEN BELLONE
SUFFOLK COUNTY EXECUTIVE

DEPARTMENT OF PUBLIC WORKS

PHILIP A BERDOLT
DEPUTY COMMISSIONER

GILBERT ANDERSON, P.E.
COMMISSIONER

DARNELL TYSON, P.E.
DEPUTY COMMISSIONER

2016 Intergovernmental Relations Memorandum of Support

Title of Bill:

RESOLUTION NO. -2016, AUTHORIZING EXECUTION OF AGREEMENT BY THE ADMINISTRATIVE HEAD OF SUFFOLK COUNTY SEWER DISTRICT NO. 3 SOUTHWEST AND 569 BROADHOLLOW RD (HU-1700)

Purpose or General Idea of Bill:

To authorize execution of an agreement by the Administrative Head of Suffolk County Sewer District No. 3 - Southwest with 569 Broadhollow Rd. (HU-1700), a proposed restaurant in Melville, NY, seeking permission to discharge 7,320 GPD.

Summary of Specific Provisions:

Allow the connection of the project to SCSD No. 3 - Southwest

Justification:

Economic and environmental benefit

Fiscal Implications:

None

SCDPW Project: 569 Broadhollow Rd. **Project No.:** HU-1700

SUFFOLK COUNTY IS AN EQUAL OPPORTUNITY / AFFIRMATIVE ACTION EMPLOYER

335 YAPHANK AVENUE ■ YAPHANK, N.Y. 11980 ■ (631) 852-4204 FAX (631) 852-4659

COUNTY OF SUFFOLK

1963

STEVEN BELLONE
SUFFOLK COUNTY EXECUTIVE

DEPARTMENT OF PUBLIC WORKS

DARNELL TYSON, P.E.
DEPUTY COMMISSIONER

GILBERT ANDERSON, P.E.
COMMISSIONER

THOMAS G. VAUGHN
DEPUTY COMMISSIONER

MEMORANDUM

To: Jon Schneider, Deputy County Executive

From: Gilbert Anderson, P.E., Commissioner, SCDPW

Date: October 17, 2016

Subject: **RESOLUTION NO. -2016, AUTHORIZING EXECUTION OF AGREEMENT BY THE ADMINISTRATIVE HEAD OF SUFFOLK COUNTY SEWER DISTRICT NO. 3 – SOUTHWEST AND 569 BROADHOLLOW RD. (HU-1700)**

Attached is a draft resolution filed as **Reso-DPW-SA 40-2016 569 Broadhollow Rd. (HU-1700)** and appropriate forms with the backup filed as **Backup- Reso-DPW-SA 40-2016 569 Broadhollow Rd. (HU-1700) SCIN 175A&B**. This is a resolution authorizing the execution of a connection agreement by the Administrative Head of Suffolk County Sewer District No. 3 – Southwest with 569 Broadhollow Rd. (HU-1700).

Project Facts:

Type/units:	Restaurant	Flow:	7,320 GPD
Area:	1.98±	SEQRA:	Complete
SCSD:	No. 3 – Southwest	Groundwater Zone	I
SCTM No.:	0400-26700-0100-056.000 & 057.000	Legislative District:	17th

cc: Dennis M. Cohen, Chief Deputy County Executive
Lisa Santeramo, Assistant Deputy County Executive
Katie Horst, Director of Intergovernmental Relations
John Donovan, P.E., SCDPW
Janice McGovern, P.E., SCDPW
Boris Rukovets, P.E., SCDPW
Chuck Jaquin, SCDPW
Robert A. Braun, Esq., SCDOL
Debra Kolyer, CE Office
Nick Paglia, Executive Analyst
Elizabeth Duffy, SCDPW
CE Reso Review

Intro. Res. No. 1964-16 Laid on Table 11/9/16

Introduced by Presiding Officer on request of the County Executive

RESOLUTION NO. –2016, ACCEPTING AND APPROPRIATING 100% FEDERAL PASS-THROUGH GRANT FUNDS FROM THE NYS DIVISION OF HOMELAND SECURITYAND EMERGENCY SERVICES IN THE AMOUNT OF $102,000 FOR THE 2016 HAZMAT GRANT PROGRAM ADMINISTERED BY THE SUFFOLK COUNTY DEPARTMENT OF FIRE, RESCUE & EMERGENCY SERVICES AND TO EXECUTE GRANT RELATED AGREEMENTS

WHEREAS, the New York State Division of Homeland Security and Emergency Services has awarded Suffolk County federal funds under the 2016 HazMat Grant Program to be implemented by the Suffolk County Department of Fire, Rescue & Emergency Services; and,

WHEREAS, the 2016 HazMat Grant Program will provide funds to the Department of Fire, Rescue & Emergency Services to promote the development of regional partnerships among the State HazMat community; to build sustainable CBRNE Detection, Response, and Decontamination Capabilities; and to enhance the standing of the State's HazMat teams within FEMA's typing system; and

WHEREAS, this grant is for a thirty-six (36) month period from 09/1/2016 through 08/31/19 in which the County will receive 100% grant funding in the amount of $102,000 for the 2016 HazMat Grant Program; and,

WHEREAS, said funds have not been included in the 2016 Operating Budget; and now therefore be it

1st RESOLVED, the County Comptroller be and hereby is authorized to accept $102,000 and appropriate said grant funds as follows:

2016 HazMat Grant Program - $102,000

REVENUES	AMOUNT
001-FRE-4308 – 2016 HazMat Grant	$102,000

ORGANIZATIONS

Suffolk County Dept of Fire, Rescue & Emergency Services
2016 HazMat Grant Program
001-FRE-3420 - $102,000

2000 – Equipment	$81,900
2260 – Public Safety Equipment	$81,900
3000 – Supplies	$20,100
3680 – Repairs and Maintenance: Special Equipment	$20,100

2nd RESOLVED, that the County Executive be and hereby is authorized to execute related agreements; and be it further

3rd RESOLVED, that this Legislature, being the State Environmental Quality Review Act (SEQRA) lead agency, hereby finds and determines that this resolution constitutes a Type II action pursuant to Section 617.5(C)(20), (21) and (27) of the Title 6 of the New York Code of Rules and Regulations (6 NYCRR) and within the meaning of Section 8-0109(2) of the New York Environmental Conservation Law as a promulgation of regulations, rules, policies, procedures, and legislative decisions in connection with continuing agency administration, management and information collection, and the Suffolk County Council of Environmental Quality (CEQ) is hereby directed to circulate any appropriate SEQRA notices of determination of non applicability or non-significance in accordance with this resolution.

DATED:

APPROVED BY:

_____

County Executive of Suffolk County

Date of Approval:

1169

STATEMENT OF FINANCIAL IMPACT OF PROPOSED SUFFOLK COUNTY LEGISLATION

1. Type of Legislation

Local Law: _____ Charter Law: _____ Resolution: ___X_____

2. Title of Proposed Legislation

ACCEPTING AND APPROPRIATING 100% FEDERAL PASS-THROUGH GRANT FUNDS FROM THE NYS DIVISION OF HOMELAND SECURITYAND EMERGENCY SERVICES IN THE AMOUNT OF $102,000 FOR THE 2016 HAZ MAT GRANT PROGRAM ADMINISTERED BY THE SUFFOLK COUNTY DEPARTMENT OF FIRE, RESCUE & EMERGENCY SERVICES AND TO EXECUTE GRANT RELATED AGREEMENTS

3. Purpose of Proposed Legislation

The 2016 HazMat Grant Program will provide funds to the Department of Fire, Rescue & Emergency Services to promote the development of regional partnerships among the State HazMat community; to build sustainable CBRNE Detection, Response, and Decontamination Capabilities; and to enhance the standing of the State's HazMat teams within FEMA's typing system; and,

Accept and appropriate 2016 HazMat Grant funding in the SC Department of FRES.

THE NEW YORK STATE DIVISON OF HOMELAND SECURITY AND EMERGENCY SERVICES HAS REQUESTED THAT THE CONTRACT IS SIGNED PRIOR TO THE END OF THE YEAR. IN ADDITION, CURRENT DEPARTMENTAL NEEDS FOR HAZMAT EQUIPMENT, SUPPLIES, AND MAINTENANCE MAKE THE IMMEDIATE ACCPETANCE AND APPROPRIATION OF THESE GRANT FUNDS A CRITICAL MATTER FOR THE COUNTY. THEREFORE, IT IS REQUESTED THIS RESOLUTION BE PROCESSED PURSUANT TO LOCAL LAW 40 REGULATIONS.

4. Will the Proposed Legislation Have a Fiscal Impact? Yes _____ No __X__

5. If the answer to item 5 is "yes", on what will it impact? (Circle appropriate category)

County	Town	Economic Impact
Village	School District	Other (Specify):
Library District	Fire District	

6. If the answer to item 5 is "yes", Provide Detailed Explanation of Impact.

7. Total Financial Cost of Funding over 5 Years on Each Affected Political or Other Subdivision

$102,000 – FRES

8. Proposed Source of Funding

NYS Division of Homeland Security & Emergency Services pass-through of US Dept of Homeland Security

9. Timing of Impact: 09/1/2016 through 08/31/2019

10. Typed Name & Title of Preparer	11. Signature of Preparer	12. Date
Jared A. Cirillo, Senior Grants Analyst		October 7, 2016

SCIN FORM 175b (10/95)

10/31/16

FINANCIAL IMPACT
2016 PROPERTY TAX LEVY
COST TO THE AVERAGE TAXPAYER

1964

GENERAL FUND

	2016 PROPERTY TAX LEVY	2016 COST TO AVG TAXPAYER	2016 FV TAX RATE PER $1000
TOTAL	**$0**	**$0.00**	$0.000

POLICE DISTRICT AND DISTRICT COURT

	2016 PROPERTY TAX LEVY	2016 COST TO AVG TAXPAYER	2016 FV TAX RATE PER $1000
TOTAL	**$0**	**$0.00**	$0.000

COMBINED

	2016 PROPERTY TAX LEVY	2016 COST TO AVG TAXPAYER	2016 FV TAX RATE PER $1000
TOTAL	**$0**	**$0.00**	$0.000

NOTES:

1) SOURCE FOR NUMBER OF FAMILY PARCELS AND CORRESPONDING ASSESSED VALUATION: SUFFOLK COUNTY REAL PROPERTY, 2015.
2) SOURCE FOR TOTAL TAXABLE ASSESSED VALUATION FOR COUNTY PURPOSES: SCHEDULE A, REPORT OF ASSESSED VALUATION FOR 2015-2016.
3) SOURCE FOR EQUALIZATION RATES: 2015 COUNTY EQUALIZATION RATES ESTABLISHED BY THE NEW YORK STATE BOARD OF EQUALIZATION AND ASSESSMENTS.

To be completed by the Executive Budget Office

NEW YORK STATE | Homeland Security and Emergency Services

1964

ANDREW M. CUOMO
Governor

JOHN P. MELVILLE
Commissioner

July 29, 2016

RECEIVED
AUG 10 2016
OFFICE OF THE
SUFFOLK COUNTY EXECUTIVE
HAUPPAUGE

RECEIVED AUG 16 2016

The Honorable Steven Bellone
Suffolk County Executive
100 Veterans Memorial Highway
P.O. Box 6100
Hauppauge, NY 11788

Dear Mr. Bellone:

I am pleased to inform you that Suffolk County and its regional partnership is awarded $102,000 under the FY2016 HazMat Grant Program. Funding for this grant is provided by the U.S. Department of Homeland Security, Federal Emergency Management Agency (FEMA). The New York State Division of Homeland Security and Emergency Services (DHSES) will administer this funding on behalf of FEMA. Please note that the contract for this award will be executed upon the State's receipt of the official grant award from FEMA. The performance period for this grant is expected to be from September 1, 2016 through August 31, 2019.

The FY2016 HazMat application documents and grant guidance are being sent to your designated program points of contact. In order for DHSES to provide these critical funds to you as quickly as possible, your application must be submitted to DHSES no later than September 9, 2016. If you need assistance in completing your application, please contact DHSES's Grant Program Administration Office at (866) 837-9133.

Thank you for your continued support of New York State's homeland security efforts. DHSES remains committed to providing you with outstanding support in the administration of your homeland security programs. If you have any questions, please contact me at (518) 242-5000 or my Director of Grants, Shelley Wahrlich, at (518) 402-2123.

Sincerely,

John P. Melville
Commissioner

COUNTY OF SUFFOLK

JOSEPH F. WILLIAMS
COMMISSIONER

DR. DONALD G. LYNCH
CHIEF FIRE MARSHAL

GREGORY C. MINIUTTI
CHIEF OF COMMUNICATIONS

1964

STEVEN BELLONE
COUNTY EXECUTIVE

JOHN G. JORDAN SR.
DEPUTY COMMISSIONER

EDWARD C. SCHNEYER
DIRECTOR OF EMERGENCY PREPAREDNESS

JOEL VETTER
CHIEF OF SUPPORT SERVICES

DEPARTMENT OF FIRE, RESCUE AND EMERGENCY SERVICES

TO: Jon Schneider
Deputy County Executive

FROM: Joseph F. Williams
Commissioner

DATE: October 7, 2016

SUBJECT: Request for Introductory Resolution Pursuant to Local Law 40: FY2016 HazMat Grant

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Enclosed for further processing is an introductory resolution and supporting documents to accept and appropriate $102,000 in funding from the US Department of Homeland Security through the NYS Division of Homeland Security and Emergency Services for the FY2016 HazMat Grant.

The grant provides funding to promote the development of regional partnerships among the State HazMat community; to build sustainable CBRNE Detection, Response, and Decontamination Capabilities; and to enhance the standing of the State's HazMat teams within FEMA's typing system.

THE NEW YORK STATE DIVISON OF HOMELAND SECURITY AND EMERGENCY SERVICES HAS REQUESTED THAT THE CONTRACT IS SIGNED PRIOR TO THE END OF THE YEAR. IN ADDITION, CURRENT DEPARTMENTAL NEEDS FOR HAZMAT EQUIPMENT, SUPPLIES, AND MAINTENANCE MAKE THE IMMEDIATE ACCPETANCE AND APPROPRIATION OF THESE GRANT FUNDS A CRITICAL MATTER FOR THE COUNTY. THEREFORE, IT IS REQUESTED THIS RESOLUTION BE PROCESSED PURSUANT TO LOCAL LAW 40 REGULATIONS.

If you have any questions, please contact Joel Vetter at x24856 or Jared Cirillo of my office at x25058.

JFW:jac

Enclosures

MAILING ADDRESS:
PO BOX 127
YAPHANK, NEW YORK 11980-0127

LOCATION:
102 EAST AVENUE
YAPHANK, NEW YORK

COMMISSIONER'S OFFICE: (631) 852-4850
FIRE MARSHAL'S OFFICE: (631) 852-4855
EMERGENCY MGMT OFFICE: (631) 852-4900
COMM. CENTER (24-HOUR): (631) 852-4815
FAX: (631) 852-4861

2016 INTERGOVERNMENTAL RELATIONS
MEMORANDUM OF SUPPORT
2016 HAZMAT GRANT 1964

TITLE OF BILL: An act to accept and appropriate 100% Federal pass-through grant funds from the NYS Division of Homeland Security and Emergency Services in the amount of $102,000 for the 2016 HazMat Grant Program administered by the Suffolk County Department of Fire, Rescue & Emergency Services and to execute grant-related agreements.

PURPOSE OR GENERAL IDEA OF BILL: To provide funds to the Department of Fire, Rescue & Emergency Services to promote the development of regional partnerships among the State HazMat community; to build sustainable CBRNE Detection, Response, and Decontamination Capabilities; and to enhance the standing of the State's HazMat teams within FEMA's typing system.

SUMMARY OF SPECIFIC PROVISIONS: This legislation will allow Suffolk County FRES to work within the local, state and federal levels to develop a collaborative regional Type II level hazmat response for all of Suffolk County. It allows us to maintain robust partnerships for education, training and true capacity-building for our team. HazMat 2016 funds will be utilized to sustain our capabilities to detect, respond to, and decontaminate areas affected by CBRNE.

JUSTIFICATION: The acceptance of the HazMat 2016 grant funds is vital for the Suffolk County Department of Fire, Rescue & Emergency Services to protect our residents from Chemical, Biological, Radiological, Nuclear, and high-yield Explosives (CBRNE). Fostering collaboration between levels of government is critical to ensuring a most successful defense from and reaction to such crises. It is our intention, for example, to incorporate our current decontamination strike team, suit rescue teams and radiation surveillance capacity within the initial automatic response in comparison to past practice of awaiting local request. With the HazMat 2016 funds, we will purchase HazMat detection and mitigation equipment. Funds will also be used to maintain and calibrate HazMat equipment. We will continually work to refine our response efforts based on hazardous assessments and review of deficiencies of current procedure and/or equipment after planned exercises or spontaneous events.

THE NEW YORK STATE DIVISON OF HOMELAND SECURITY AND EMERGENCY SERVICES HAS REQUESTED THAT THE CONTRACT IS SIGNED PRIOR TO THE END OF THE YEAR. IN ADDITION, CURRENT DEPARTMENTAL NEEDS FOR HAZMAT EQUIPMENT, SUPPLIES, AND MAINTENANCE MAKE THE IMMEDIATE ACCPETANCE AND APPROPRIATION OF THESE GRANT FUNDS A CRITICAL MATTER FOR THE COUNTY. THEREFORE, IT IS REQUESTED THIS RESOLUTION BE PROCESSED PURSUANT TO LOCAL LAW 40 REGULATIONS.

FISCAL IMPLICATIONS: None

STATE AGENCY New York State Division of Homeland Security and Emergency Services 1220 Washington Avenue Building 7A Suite 710 Albany, NY 12242	NYS COMPTROLLER'S NUMBER: C193069 (Contract Number) ORIGINATING AGENCY CODE: 01077 1964
GRANTEE/CONTRACTOR: (Name & Address) Suffolk County H Lee Dennison Building 100 Veterans Memorial Highway Hauppauge, NY 11788	TYPE OF PROGRAMS: WM2016 SHSP CFDA NUMBER: 97.067 DHSES NUMBERS: WM16193069
FEDERAL TAX IDENTIFICATION NO: 11-6000464 MUNICIPALITY NO: (if applicable) 470100000 000 SFS VENDER NO: 1000000809 DUN & BRADSTREET NO: 065949190	INITIAL CONTRACT PERIOD: FROM 09/01/2016 TO 08/31/2019 FUNDING AMOUNT FOR INITIAL PERIOD: $102,000.00
STATUS: Contractor is not a sectarian entry. Contractor is not a not-for-profit organization.	MULTI-YEAR TERM: (if applicable)
CHARITIES REGISTRATION NUMBER: N/A (Enter number of Exempt) if "Exempt" is entered above, reason for exemption. n/a Contractor has ____ has not ____ timely filed with the Attorney General's Charities Bureau all required periodic or annual written reports.	APPENDIX ATTACHED AND PART OF THIS AGREEMENT ___ APPENDIX A Standard Clauses required by the Attorney General for all State contracts _X_ APPENDIX A1 Agency-specific Clauses _X_ APPENDIX B Budget _X_ APPENDIX C Payment and Reporting Schedule _X_ APPENDIX D Program Workplan and Special Conditions ___ APPENDIX X Modification Agreement Form (to accompany modified appendices for changes in terms or considerations on an existing period or for renewal periods) ___ DHSES-55 Budget Amendment/Grant Extension Request ___ Other - Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion

IN WITNESS THEREOF, the parties hereto have electronically executed or approved this AGREEMENT on the dates of their signatures.

NYS Division of Homeland Security and Emergency Services

BY: , Date:

State Agency Certification: "In addition to the acceptance of this contract, I also certify that original copies of this signature page will be attached to all other exact copies of this contract".

GRANTEE:

BY: Mr. Dennis M. Cohen , Chief Deputy County Executive Date:

ATTORNEY GENERAL'S SIGNATURE Title: Date:	COMPTROLLER'S SIGNATURE Title: Date:

Project No.
HM16-1011-D00

Grantee Name
Suffolk County

1964

10/07/2016

SHSP

Award Contract
Project No.
HM16-1011-D00

Grantee Name
Suffolk County

10/07/2016

SHSP

Award Contract
Project No.
HM16-1011-D00

Grantee Name
Suffolk County

10/07/2016

NEW YORK STATE
DIVISION OF HOMELAND SECURITY AND EMERGENCY SERVICES
GRANT CONTRACT

APPENDIX A-1

The Contract is hereby made by and between the State of New York, acting by and through the New York State Division of Homeland Security and Emergency Services (DHSES or State Agency) and the public or private entity ('Contractor' or 'Subrecipient') identified on the face page hereof (Face Page).

WITNESSETH:

WHEREAS, the State has the authority to regulate and provide funding for the establishment and operation of program services, design or the execution and performance of construction projects, as applicable and desires to contract with skilled parties possessing the necessary resources to provide such services or work, as applicable; and

WHEREAS, the Contractor is ready, willing and able to provide such program services or the execution and performance of construction projects and possesses or can make available all necessary qualified personnel, licenses, facilities and expertise to perform or have performed the services or work, as applicable, required pursuant to the terms of the Contract;

NOW THEREFORE, in consideration of the promises, responsibilities, and covenants herein, the State and the Contractor agree as follows:

STANDARD TERMS AND CONDITIONS

I. GENERAL TERMS AND CONDITIONS

A. Executory Clause: In accordance with Section 41 of the State Finance Law, the State shall have no liability under the Contract to the Contractor, or to anyone else, beyond funds appropriated and available for the Contract.

B. Required Approvals: In accordance with Section 112 of the State Finance Law (or, if the Contract is with the State University of New York (SUNY) or City University of New York (CUNY), Section 355 or Section 6218 of the Education Law), if the Contract exceeds $50,000 (or $85,000 for contracts let by the Office of General Services, or the minimum thresholds agreed to by the Office of the State Comptroller (OSC) for certain SUNY and CUNY contracts), or if this is an amendment for any amount to a contract which, as so amended, exceeds said statutory amount including, but not limited to, changes in amount, consideration, scope or contract term identified on the Face Page (Contract Term), it shall not be valid, effective or binding upon the State until it has been approved by, and filed with, the New York Attorney General Contract Approval Unit (AG) and OSC. If, by the Contract, the State agrees to give something other than money when the value or reasonably estimated value of such consideration exceeds $10,000, it shall not be valid, effective or binding upon the State until it has been approved by, and filed with, the AG and OSC.

Budget Changes: An amendment that would result in a transfer of funds among program activities or budget categories that does not affect the amount, consideration, scope or other terms of such contract may be subject to the approval of the Offices of the State Comptroller and Attorney General where the amount of such modification is, as a portion of the total value of the contract, equal to or greater than ten percent for contracts of less than five million dollars, or five percent for contracts of more than five million dollars; and, in addition, such amendment may be subject to prior approval by the applicable State Agency as detailed in Appendix C (Payment and Reporting Schedule).

C. Contract Parts: This Contract incorporates the face pages attached, this Appendix and all of the marked Appendices identified on the face page hereof.

D. Order of Precedence: In the event of a conflict among (i) the terms of the Contract (including any and all Appendices and amendments) or (ii) between the terms of the Contract and the original request for proposal, the program application or other Appendix that was completed and executed by the Contractor in connection with the Contract, the order of precedence is as follows:

1. Appendix A-1

2. Modifications to the Face Page

3. Modifications to Appendices B, C and D

4. The Face Page

5. Appendices B, C and D

6. Other attachments, including, but not limited to, the request for proposal or program application

964

E. Governing Law: This Contract shall be governed by the laws of the State of New York except where the Federal Supremacy Clause requires otherwise.

F. Funding: Funding for the entire Contract Period shall not exceed the funding amount specified as 'Funding Amount for the Initial Period' on the Face Page hereof or as subsequently revised to reflect an approved renewal or cost amendment. Funding for the initial and subsequent periods of the Contract shall not exceed the applicable amounts specified in the applicable Appendix B form (Budget).

G. Contract Period: The period of this Contract shall be as specified on the face page hereof.

H. Contract Performance: The Contractor shall perform all services or work, as applicable, and comply with all provisions of the Contract to the satisfaction of the State. The Contractor shall provide services or work, as applicable, and meet the program objectives summarized in Appendix D (Work Plan and Special Conditions) in accordance with the provisions of the Contract, relevant laws, rules and regulations, administrative, program and fiscal guidelines, and where applicable, operating certificate for facilities or licenses for an activity or program. For federally-funded grants, DHSES will conduct an evaluation to determine risks posted by Contractors in managing federal awards. Consistent with 2 CFR §200.331, the results of the evaluation may result in the imposition special conditions to this Contract including but not limited to increased monitoring, suspension of reimbursements and cancellation of the Contract.

I. Modifications: To modify the Contract, the parties shall revise or complete the appropriate appendix form(s). Any change in the amount of consideration to be paid, change in scope, or change in the term, is subject to the approval of the NYS Office of the State Comptroller. Any other modifications shall be processed in accordance with DHSES guidelines as stated in this Contract.

J. Severability: Any provision of the Contract that is held to be invalid, illegal or unenforceable in any respect by a court of competent jurisdiction, shall be ineffective only to the extent of such invalidity, illegality or unenforceability, without affecting in any way the remaining provisions hereof; provided, however, that the parties to the Contract shall attempt in good faith to reform the Contract in a manner consistent with the intent of any such ineffective provision for the purpose of carrying out such intent. If any provision is held void, invalid or unenforceable with respect to particular circumstances, it shall nevertheless remain in full force and effect in all other circumstances.

K. Interpretation: The headings in the Contract are inserted for convenience and reference only and do not modify or restrict any of the provisions herein. All personal pronouns used herein shall be considered to be gender neutral. The Contract has been made under the laws of the State of New York, and the venue for resolving any disputes hereunder shall be in a court of competent jurisdiction of the State of New York.

L. Notice:

1. All notices, except for notices of termination, shall be in writing and shall be transmitted either:

a. by certified or registered United States mail, return receipt requested;

b. by facsimile transmission;

c. by personal delivery;

d. by expedited delivery service; or

e. by e-mail.

2. Notices to the State shall be addressed to the Program Office.

3. Notices to the Contractor shall be addressed to the Contractor's designee.

4. Any such notice shall be deemed to have been given either at the time of personal delivery or, in the case of expedited delivery services or certified or registered United States mail, as of the date of first attempted delivery at the address and in the manner provided herein, or in the case of facsimile transmission or e-mail, upon receipt.

5. The parties may, from time to time, specify any new or different e-mail address, facsimile number or address in the United States as their

1964

address for purpose of receiving notice under the Contract by giving fifteen (15) calendar days prior written notice to the other party sent in accordance herewith. The parties agree to mutually designate individuals as their respective representatives for the purposes of receiving notices under the Master Contract. Additional individuals may be designated in writing by the parties for purposes of implementation, administration, billing and resolving issues and/or disputes.

M. Service of Process: In addition to the methods of service allowed by the State Civil Practice Law & Rules (CPLR), Contractor hereby consents to service of process upon it by registered or certified mail, return receipt requested. Service hereunder shall be complete upon Contractor's actual receipt of process or upon the State's receipt of the return thereof by the United States Postal Service as refused or undeliverable. Contractor must promptly notify the State, in writing, of each and every change of address to which service of process can be made. Service by the State to the last known address shall be sufficient. The Contractor shall have thirty (30) calendar days after service hereunder is complete in which to respond.

N. Set-Off Rights: The State shall have all of its common law, equitable, and statutory rights of set-off. These rights shall include, but not be limited to, the State's option to withhold, for the purposes of set-off, any moneys due to the Contractor under the Contract up to any amounts due and owing to the State with regard to the Contract, any other contract with any State department or agency, including any contract for a term commencing prior to the term of the Contract, plus any amounts due and owing to the State for any other reason including, without limitation, tax delinquencies, fee delinquencies, or monetary penalties relative thereto. The State shall exercise its set-off rights in accordance with normal State practices including, in cases of setoff pursuant to an audit, the finalization of such audit by DHSES, its representatives, or OSC.

O. Indemnification: The Contractor shall be solely responsible and answerable in damages for any and all accidents and/or injuries to persons (including death) or property arising out of or related to the services to be rendered by the Contractor or its subcontractors pursuant to this Contract. The Contractor shall indemnify and hold harmless the State and its officers and employees from claims, suits, actions, damages and cost of every nature arising out of the provision of services pursuant to the Contract.

P. Non-Assignment Clause: In accordance with Section 138 of the State Finance Law, the Contract may not be assigned by the Contractor or its right, title or interest therein assigned, transferred, conveyed, sublet, or otherwise disposed of without the State's previous written consent, and attempts to do so shall be considered to be null and void. Notwithstanding the foregoing, such prior written consent of an assignment of a contract, let pursuant to Article XI of the State Finance Law, may be waived at the discretion of DHSES and with the concurrence of OSC, where the original contract was subject to OSC's approval, where the assignment is due to a reorganization, merger, or consolidation of the Contractor's business entity or enterprise. The State retains its right to approve an assignment and to require that the merged contractor demonstrate its responsibility to do business with the State. The Contractor may, however, assign its right to receive payments without the State's prior written consent unless the Contract concerns Certificates of Participation pursuant to Article 5-A of the State Finance Law.

Q. Legal Action: No litigation or regulatory action shall be brought against the federal government, the State of New York, DHSES or against any county or other local government entity with the funds provided under the Contract. The term 'litigation' shall include commencing or threatening to commence a lawsuit, joining or threatening to join as a party to ongoing litigation, or requesting any relief from any of the federal government, the State of New York, DHSES or any county or other local government entity. The term 'regulatory action' shall include commencing or threatening to commence a regulatory proceeding, or requesting any regulatory relief from any of the State of New York, the State Agency, or any county, or other local government entity.

R. No Arbitration: Disputes involving the Contract, including the breach or alleged breach thereof, may not be submitted to binding arbitration (except where statutorily authorized), but must, instead, be heard in a court of competent jurisdiction of the State of New York.

S. Secular Purpose: Services performed pursuant to the Contract are secular in nature and shall be performed in a manner that does not discriminate on the basis of religious belief, or promote or discourage adherence to religion in general or particular religious beliefs.

T. Partisan Political Activity and Lobbying: Funds provided pursuant to the Contract shall not be used for any partisan political activity, or for activities that attempt to influence legislation or election or defeat of any candidate for public office.

U. Reciprocity and Sanctions Provisions: The Contractor is hereby notified that if its principal place of business is located in a country, nation, province, state, or political subdivision that penalizes New York State vendors, and if the goods or services it offers shall be substantially produced or performed outside New York State, the Omnibus Procurement Act 1994 and 2000 amendments (Chapter 684 and Chapter 383, respectively) require that it be denied contracts which it would otherwise obtain.[2]

V. Reporting Fraud and Abuse: Contractor acknowledges that it has reviewed information on how to prevent, detect, and report fraud, waste and abuse of public funds, including information about the federal False Claims Act, the New York State False Claims Act and whistleblower protections.

W. Non-Collusive Bidding: By submission of this bid, the Contractor and each person signing on behalf of the Contractor certifies, and in the case of a joint bid each party thereto certifies as to its own organization, under penalty of perjury, that to the best of his or her knowledge and belief that its bid was arrived at independently and without collusion aimed at restricting competition. The Contractor further affirms that, at the time the Contractor submitted its bid, an authorized and responsible person executed and delivered to the State a non-collusive binding certification on the Contractor's behalf.

X. Federally Funded Grants: All of the specific federal requirements that are applicable to the Contract are identified in Section V

1964

(FEDERALLY FUNDED GRANT REQUIREMENTS) of this Appendix. To the extent that the Contract is funded in whole or part with federal funds, (i) the provisions of the Contract that conflict with federal rules, federal regulations, or federal program specific requirements shall not apply and (ii) the Contractor agrees to comply with all applicable federal rules, regulations and program specific requirements including, but not limited to, those provisions that are set forth in Section V (FEDERALLY FUNDED GRANT REQUIREMENTS) of this Appendix. To the extent that section V (FEDERALLY FUNDED GRANT REQUIREMENTS) conflict with any other provisions of the Contract, the federal requirements of Section V shall supersede all other provisions of the Contract where required.

Y. The Contractor must meet the program objectives summarized in the Program Work Plan and Special Conditions (Appendix D) to the satisfaction of DHSES in accordance with provisions of the Contract, relevant laws, rules and regulations, administrative and fiscal guidelines and, where applicable, operating certificates for facilities or license for an activity or program.

II. TERM, TERMINATION AND SUSPENSION

A. Term: The term of the Contract shall be as specified on the Face Page, unless terminated sooner as provided herein.

B. Renewal:

1. General Renewal: The Contract may consist of successive periods on the same terms and conditions, as specified within the Contract (a 'Simplified Renewal Contract'). Each additional or superseding period shall be on the forms specified by the State and shall be incorporated in the Contract.

2. Renewal Notice to Not-for-Profit Contractors:

a. Pursuant to State Finance Law §179-t, if the Contract is with a not-for-profit Contractor and provides for a renewal option, the State shall notify the Contractor of the State's intent to renew or not to renew the Contract no later than ninety (90) calendar days prior to the end of the term of the Contract, unless funding for the renewal is contingent upon enactment of an appropriation. If funding for the renewal is contingent upon enactment of an appropriation, the State shall notify the Contractor of the State's intent to renew or not to renew the Contract the later of: (1) ninety (90) calendar days prior to the end of the term of the Contract, and (2) thirty (30) calendar days after the necessary appropriation becomes law. Notwithstanding the foregoing, in the event that the State is unable to comply with the time frames set forth in this paragraph due to unusual circumstances beyond the control of the State ('Unusual Circumstances'), no payment of interest shall be due to the not-for-profit Contractor. For purposes of State Finance Law §179-t, 'Unusual Circumstances' shall not mean the failure by the State to (i) plan for implementation of a program, (ii) assign sufficient staff resources to implement a program, (iii) establish a schedule for the implementation of a program or (iv) anticipate any other reasonably foreseeable circumstance.

b. Notification to the not-for-profit Contractor of the State's intent to not renew the Contract must be in writing in the form of a letter, with the reason(s) for the non-renewal included. If the State does not provide notice to the not-for-profit Contractor of its intent not to renew the Contract as required in this Section and State Finance Law §179-t, the Contract shall be deemed continued until the date the State provides the necessary notice to the Contractor, in accordance with State Finance Law §179-t. Expenses incurred by the not-for-profit Contractor during such extension shall be reimbursable under the terms of the Contract.

C. Termination:

1. Grounds:

a. Mutual Consent: The Contract may be terminated at any time upon mutual written consent of the State and the Contractor.

b. Cause: The State may terminate the Contract immediately, upon written notice of termination to the Contractor, if the Contractor fails to comply with any of the terms and conditions of the Contract and/or with any laws, rules, regulations, policies, or procedures that are applicable to the Contract.

c. Non-Responsibility: In accordance with the provisions of this Contract, the State may make a final determination that the Contractor is non-responsible (Determination of Non-Responsibility). In such event, the State may terminate the Contract at the Contractor's expense, complete the contractual requirements in any manner the State deems advisable and pursue available legal or equitable remedies for breach.

d. Convenience: The State may terminate the Contract in its sole discretion upon thirty (30) calendar days prior written notice.

e. Lack of Funds: If for any reason the State or the Federal government terminates or reduces its appropriation to the applicable State Agency entering into the Contract or fails to pay the full amount of the allocation for the operation of one or more programs funded under this Contract, the Contract may be terminated or reduced at DHSES's discretion, provided that no such reduction or termination shall apply to allowable costs already incurred by the Contractor where funds are available to DHSES for payment of such costs. Upon termination or reduction of the Contract, all remaining funds paid to the Contractor that are not subject to allowable costs already incurred by the Contractor shall be returned to DHSES. In any event, no liability shall be incurred by the State (including DHSES) beyond monies available for the purposes of the Contract. The Contractor acknowledges that any funds due to DHSES or the State of New York because of disallowed expenditures after audit shall be the Contractor's responsibility.

1964

f. Force Majeure: The State may terminate or suspend its performance under the Contract immediately upon the occurrence of a 'force majeure'. For purposes of the Contract, 'Force majeure' shall include, but not be limited to, natural disasters, war, rebellion, insurrection, riot, strikes, lockout and any unforeseen circumstances and acts beyond the control of the State which render the performance of its obligations impossible.

2. Notice of Termination:

a. Service of notice: Written notice of termination shall be sent by:

i. personal messenger service; or

ii. certified mail, return receipt requested and first class mail.

b. Effective date of termination: The effective date of the termination shall be the later of (i) the date indicated in the notice and (ii) the date the notice is received by the Contractor, and shall be established as follows:

i. if the notice is delivered by hand, the date of receipt shall be established by the receipt given to the Contractor or by affidavit of the individual making such hand delivery attesting to the date of delivery; or

ii. if the notice is delivered by registered or certified mail, by the receipt returned from the United States Postal Service, or if no receipt is returned, five (5) business days from the date of mailing of the first class letter, postage prepaid, in a depository under the care and control of the United States Postal Service.

3. Effect of Notice and Termination on State's Payment Obligations:

a. Upon receipt of notice of termination, the Contractor agrees to cancel, prior to the effective date of any prospective termination, as many outstanding obligations as possible, and agrees not to incur any new obligations after receipt of the notice without approval by the State.

b. The State shall be responsible for payment on claims for services or work provided and costs incurred pursuant to the terms of the Contract. In no event shall the State be liable for expenses and obligations arising from the requirements of the Contract after its termination date.

4. Effect of Termination Based on Misuse or Conversion of State or Federal Property:

Where the Contract is terminated for cause based on Contractor's failure to use some or all of the real property or equipment purchased pursuant to the Contract for the purposes set forth herein, the State may, at its option, require:

a. the repayment to the State of any monies previously paid to the Contractor; or

b. the return of any real property or equipment purchased under the terms of the Contract; or

c. an appropriate combination of clauses (a) and (b) of Section II(C)(4) herein.

Nothing herein shall be intended to limit the State's ability to pursue such other legal or equitable remedies as may be available.

D. Suspension: The State may, in its discretion, order the Contractor to suspend performance for a reasonable period of time. In the event of such suspension, the Contractor shall be given a formal written notice outlining the particulars of such suspension. Upon issuance of such notice, the Contractor shall comply with the particulars of the notice. The State shall have no obligation to reimburse Contractor's expenses during such suspension period. Activities may resume at such time as the State issues a formal written notice authorizing a resumption of performance under the Contract.

III. PAYMENT AND REPORTING

A. Terms and Conditions:

1. In full consideration of contract services to be performed, DHSES agrees to pay and the Contractor agrees to accept a sum not to exceed the amount noted on the Face Page.

2. The State has no obligation to make payment until all required approvals, including the approval of the AG and OSC, if required, have been obtained. Contractor obligations or expenditures that precede the start date of the Contract shall not be reimbursed.

3. The Contractor must provide complete and accurate billing invoices to the State in order to receive payment. Provided, however, the State may, at its discretion, automatically generate a voucher in accordance with an approved contract payment schedule. Billing invoices submitted to the State must contain all information and supporting documentation required by Appendix C (Payment and Reporting Schedule) and Section III(C) herein. The State may require the Contractor to submit billing invoices electronically.

1964

4. Payment for invoices submitted by the Contractor shall only be rendered electronically unless payment by paper check is expressly authorized by the head of DHSES, in the sole discretion of the head of such State Agency, due to extenuating circumstances. Such electronic payment shall be made in accordance with OSC's procedures and practices to authorize electronic payments. Authorization forms are available at the State Comptroller's website at www.osc.state.ny.us/epay/index.htm, by email at epunit@osc.state.ny.us, or by telephone at (518) 474-4032. Contractor acknowledges that it will not receive payment on any vouchers submitted under this Contract if it does not comply with the State Comptroller's electronic payment procedures, except where the Director has expressly authorized payment by paper check as set forth above.

5. If travel expenses are an approved expenditure under this Contract, travel expenses shall be reimbursed at the lesser of the rates set forth in the written standard travel policy of the Contractor, the OSC guidelines, or United States General Services Administration rates. No out-of-state travel costs shall be permitted unless specifically detailed and pre-approved by the State.

6. Timeliness of advance payments or other claims for reimbursement, and any interest to be paid to Contractor for late payment, shall be governed by Article 11-A of the State Finance Law to the extent required by law.

7. Article 11-B of the State Finance Law sets forth certain time frames for the Full Execution of contracts or renewal contracts with not-for-profit organizations and the implementation of any program plan associated with such contract. For purposes of this section, 'Full Execution' shall mean that the contract has been signed by all parties thereto and has obtained the approval of the AG and OSC. Any interest to be paid on a missed payment to the Contractor based on a delay in the Full Execution of the Master Contract shall be governed by Article 11-B of the State Finance Law.

B. Advance Payment and Recoupment:

1. Advance payments, which the State in its sole discretion may make to not-for-profit grant recipients, shall be made and recouped in accordance with State Finance Law Section 179(u), this Section and the provisions of Appendix C (Payment and Reporting Schedule).

2. Advance payments made by the State to not-for-profit grant recipients shall be due no later than thirty (30) calendar days, excluding legal holidays, after the first day of the Contract Term or, if renewed, in the period identified on the Face Page.

3. For subsequent contract years in multi-year contracts, Contractor will be notified of the scheduled advance payments for the upcoming contract year no later than 90 days prior to the commencement of the contract year. For simplified renewals, the payment schedule (Appendix C) will be modified as part of the renewal process.

4. Recoupment of any advance payment(s) shall be recovered by crediting the percentage of subsequent claims listed in Appendix C (Payment and Reporting Schedule) and Section III(C) herein and such claims shall be reduced until the advance is fully recovered within the Contract Term. Any unexpended advance balance at the end of the Contract Term shall be refunded by the Contractor to the State.

5. If for any reason the amount of any claim is not sufficient to cover the proportionate advance amount to be recovered, then subsequent claims may be reduced until the advance is fully recovered.

C. Claims for Reimbursement:

1. The Contractor shall submit claims for the reimbursement of expenses incurred on behalf of the State under the Contract in accordance with this Section and the applicable claiming schedule in Appendix C (Payment and Reporting Schedule).

Vouchers submitted for payment shall be deemed to be a certification that the payments requested are for project expenditures made in accordance with the items as contained in the applicable Appendix B form (Budget) and during the Contract Term. When submitting a voucher, such voucher shall also be deemed to certify that: (i) the payments requested do not duplicate reimbursement from other sources of funding; and (ii) the funds provided herein do not replace funds that, in the absence of this grant, would have been made available by the Contractor for this program. Requirement (ii) does not apply to grants funded pursuant to a Community Projects Fund appropriation.

2. Consistent with the selected reimbursement claiming schedule in Appendix C (Payment and Reporting Schedule), the Contractor shall comply with the appropriate following provisions:

a. Quarterly Reimbursement: The Contractor shall be entitled to receive payments for work, projects, and services rendered as detailed and described in Appendix D (Work Plan and Special Conditions). The Contractor shall submit to DHSES quarterly voucher claims and supporting documentation. The Contractor shall submit vouchers to DHSES in accordance with the procedures set forth in Section III(A)(3) herein.

b. Monthly Reimbursement: The Contractor shall be entitled to receive payments for work, projects, and services rendered as detailed and described in Appendix D (Work Plan and Special Conditions). The Contractor shall submit to DHSES monthly voucher claims and supporting documentation. The Contractor shall submit vouchers to DHSES in accordance with the procedures set forth in Section III(A)(3) herein.

c. Biannual Reimbursement: The Contractor shall be entitled to receive payments for work, projects, and services rendered as detailed and described in Appendix D (Work Plan and Special Conditions). The Contractor shall submit to DHSES biannually voucher claims and supporting documentation. The Contractor shall submit vouchers to DHSES in accordance with the procedures set forth in Section III(A)(3) herein.

19164

d. Milestone/Performance Reimbursement: Requests for payment based upon an event or milestone may be either severable or cumulative. A severable event/milestone is independent of accomplishment of any other event. If the event is cumulative, the successful completion of an event or milestone is dependent on the previous completion of another event. Milestone payments shall be made to the Contractor when requested in a form approved by the State, and at frequencies and in amounts stated in Appendix C (Payment and Reporting Schedule). DHSES shall make milestone payments subject to the Contractor's satisfactory performance.

e. Fee for Service Reimbursement:[4] Payment shall be limited to only those fees specifically agreed upon in the Contract and shall be payable no more frequently than monthly upon submission of a voucher by the contractor.

f. Rate Based Reimbursement:[5] Payment shall be limited to rate(s) established in the Contract. Payment may be requested no more frequently than monthly.

g. Scheduled Reimbursement:[6] DHSES shall generate vouchers at the frequencies and amounts as set forth in Appendix C(Payment and Reporting Schedule).

h. Interim Reimbursement: DHSES may generate vouchers on an interim basis and the amounts requested by the Contract as set forth in Attachment C (Payment and Reporting Schedule).

i. Fifth Quarter Payments:[7] Fifth quarter payment shall be paid to the Contractor at the conclusion of the final scheduled payment period of the preceding contract period. DHSES shall use a written directive for fifth quarter financing. DHSES shall generate a voucher in the fourth quarter of the current contract year to pay the scheduled payment for the next contract year.

3. The Contractor shall also submit supporting fiscal documentation for the expenses claimed.

4. The State reserves the right to withhold up to fifteen percent (15%) of the total amount of the Contract as security for the faithful completion of services or work, as applicable, under the Contract. This amount may be withheld in whole or in part from any single payment or combination of payments otherwise due under the Contract. In the event that such withheld funds are insufficient to satisfy Contractor's obligations to the State, the State may pursue all available remedies, including the right of setoff and recoupment.

5. The State shall not be liable for payments on the Contract if it is made pursuant to a Community Projects Fund appropriation if insufficient monies are available pursuant to Section 99-d of the State Finance Law.

6. All vouchers submitted by the Contractor pursuant to the Contract shall be submitted to DHSES no later than thirty (30) calendar days after the end date of the period for which reimbursement is claimed. In no event shall the amount received by the Contractor exceed the budget amount approved by DHSES, and, if actual expenditures by the Contractor are less than such sum, the amount payable by DHSES to the Contractor shall not exceed the amount of actual expenditures.

7. All obligations must be incurred prior to the end date of the contract. Notwithstanding the provisions of Section III(C)(6) above, with respect to the final period for which reimbursement is claimed, so long as the obligations were incurred prior to the end date of the contract, the Contractor shall have up to ninety (90) calendar days after the contract end date to make expenditures; provided, however, that if the Contract is funded in whole or in part with federal funds, the Contractor shall have up to sixty (60) calendar days after the contract end date to make expenditures.

D. Identifying Information and Privacy Notification:

1. Every voucher or New York State Claim for Payment submitted to a State Agency by the Contractor, for payment for the sale of goods or services or for transactions (e.g., leases, easements, licenses, etc.) related to real or personal property, must include the Contractor's Vendor Identification Number assigned by the Statewide Financial System, and any or all of the following identification numbers: (i) the Contractor's Federal employer identification number, (ii) the Contractor's Federal social security number, and/or (iii) DUNS number. Failure to include such identification number or numbers may delay payment by the State to the Contractor. Where the Contractor does not have such number or numbers, the Contractor, on its voucher or Claim for Payment, must provide the reason or reasons for why the Contractor does not have such number or numbers.

2. The authority to request the above personal information from a seller of goods or services or a lessor of real or personal property, and the authority to maintain such information, is found in Section 5 of the State Tax Law. Disclosure of this information by the seller or lessor to the State is mandatory. The principle purpose for which the information is collected is to enable the State to identify individuals, businesses and others who have been delinquent in filing tax returns or may have understated their tax liabilities and to generally identify persons affected by the taxes administered by the Commissioner of Taxation and Finance. The information will be used for tax administration purposes and for any other purpose authorized by law. The personal information is requested by the purchasing unit of DHSES contracting to purchase the goods or services or lease the real or personal property covered by the Contract. This information is maintained in the Statewide Financial System by the Vendor Management Unit within the Bureau of State Expenditures, Office of the State Comptroller, 110 State Street, Albany, New York, 12236.

E. Refunds:

1. In the event that the Contractor must make a refund to the State for Contract-related activities, including repayment of an advance or an audit

1964

disallowance, payment must be made payable as set forth in this Appendix. The Contractor must reference the contract number with its payment and include a brief explanation of why the refund is being made. Refund payments must be submitted to the Office address listed in Appendix C.

2. If at the end or termination of the Contract, there remains any unexpended balance of the monies advanced under the Contract in the possession of the Contractor, the Contractor shall make payment within forty-five (45) calendar days of the end or termination of the Contract. In the event that the Contractor fails to refund such balance the State may pursue all available remedies.

F. Outstanding Amounts Owed to the State: Prior period overpayments (including, but not limited to, contract advances in excess of actual expenditures) and/or audit recoveries associated with the Contractor may be recouped against future payments made under this Contract to Contractor. The recoupment generally begins with the first payment made to the Contractor following identification of the overpayment and/or audit recovery amount. In the event that there are no payments to apply recoveries against, the Contractor shall make payment as provided in Section III(E) (Refunds) herein.

G. Program and Fiscal Reporting Requirements:

1. The Contractor shall submit required periodic reports in accordance with the applicable schedule provided in Appendix C (Payment and Reporting Schedule). All required reports or other work products developed pursuant to the Contract must be completed as provided by the agreed upon work schedule in a manner satisfactory and acceptable to DHSES in order for the Contractor to be eligible for payment.

2. Consistent with the selected reporting options in Appendix C (Payment and Reporting Schedule), the Contractor shall comply with the following applicable provisions:

a. If the Expenditure Based Reports option is indicated in Appendix C (Payment and Reporting Schedule), the Contractor shall provide DHSES with one or more of the following reports as required by the following provisions and Appendix C (Payment and Reporting Schedule) as applicable:

i. Narrative/Qualitative Report: The Contractor shall submit, on a quarterly basis, not later than the time period listed in Appendix C (Payment and Reporting Schedule), a report, in narrative form, summarizing the services rendered during the quarter. This report shall detail how the Contractor has progressed toward attaining the qualitative goals enumerated in Appendix D (Work Plan and Special Conditions). This report should address all goals and objectives of the project and include a discussion of problems encountered and steps taken to solve them.

ii. Statistical/Quantitative Report: The Contractor shall submit, on a quarterly basis, not later than the time period listed in Appendix C (Payment and Reporting Schedule), a detailed report analyzing the quantitative aspects of the program plan, as appropriate (e.g., number of meals served, clients transported, patient/client encounters, procedures performed, training sessions conducted, etc.).

iii. Expenditure Report: The Contractor shall submit, on a quarterly basis, not later than the time period listed in Appendix C (Payment and Reporting Schedule), a detailed expenditure report, by object of expense. This report shall accompany the voucher submitted for such period.

iv. Final Report: The Contractor shall submit a final report as required by the Contract, not later than the time period listed in Appendix C (Payment and Reporting Schedule) which reports on all aspects of the program and detailing how the use of funds were utilized in achieving the goals set forth in Appendix D (Work Plan and Special Conditions).

v. Consolidated Fiscal Report (CFR): The Contractor shall submit a CFR, which includes a year-end cost report and final claim not later than the time period listed in Appendix C (Payment and Reporting Schedule).

b. If the Performance-Based Reports option is indicated in Appendix C (Payment and Reporting Schedule), the Contractor shall provide DHSES with the following reports as required by the following provisions and Appendix C (Payment and Reporting Schedule) as applicable:

i. Progress Report: The Contractor shall provide DHSES with a written progress report using the forms and formats as provided by DHSES, summarizing the work performed during the period. These reports shall detail the Contractor's progress toward attaining the specific goals enumerated in Appendix D (Work Plan and Special Conditions). Progress reports shall be submitted in a format prescribed in the Contract.

ii. Final Progress Report: Final scheduled payment is due during the time period set forth in Appendix C (Payment and Reporting Schedule). The deadline for submission of the final report shall be the date set forth in Appendix C (Payment and Reporting Schedule). DHSES shall complete its audit and notify the Contractor of the results no later than the date set forth in Appendix C (Payment and Reporting Schedule). Payment shall be adjusted by DHSES to reflect only those services/expenditures that were made in accordance with the Contract. The Contractor shall submit a detailed comprehensive final progress report not later than the date set forth in Appendix C (Payment and Reporting Schedule), summarizing the work performed during the entire Contract Term (i.e., a cumulative report), in the forms and formats required.

3. In addition to the periodic reports stated above, the Contractor may be required (a) to submit such other reports as are required in Table 1 of Appendix C (Payment and Reporting Schedule), and (b) prior to receipt of final payment under the Contract, to submit one or more final reports in accordance with the form, content, and schedule stated in Table 1 of Appendix C (Payment and Reporting Schedule).

H. Notification of Significant Occurrences:

1964

1. If any specific event or conjunction of circumstances threatens the successful completion of this project, in whole or in part, including where relevant, timely completion of milestones or other program requirements, the Contractor agrees to submit to DHSES within three (3) calendar days of becoming aware of the occurrence or of such problem, a written description thereof together with a recommended solution thereto.

2. The Contractor shall immediately notify in writing the program manager assigned to the Contract of any unusual incident, occurrence, or event that involves the staff, volunteers, directors or officers of the Contractor, any subcontractor or program participant funded through the Contract, including but not limited to the following: death or serious injury; an arrest or possible criminal activity that could impact the successful completion of this project; any destruction of property; significant damage to the physical plant of the Contractor; or other matters of a similarly serious nature.

IV. ADDITIONAL CONTRACTOR OBLIGATIONS, REPRESENTATIONS AND WARRANTIES

A. Contractor as an Independent Contractor/Employees:

1. The State and the Contractor agree that the Contractor is an independent contractor and not an employee of the State and may neither hold itself out nor claim to be an officer, employee, or subdivision of the State nor make any claim, demand, or application to or for any right based upon any different status. The Contractor shall be solely responsible for the recruitment, hiring, provision of employment benefits, payment of salaries and management of its project personnel. These functions shall be carried out in accordance with the provisions of the Contract, and all applicable Federal and State laws and regulations.

2. The Contractor warrants that it, its staff, and any and all subcontractors have all the necessary licenses, approvals, and certifications currently required by the laws of any applicable local, state, or Federal government to perform the services or work, as applicable, pursuant to the Contract and/or any subcontract entered into under the Contract. The Contractor further agrees that such required licenses, approvals, and certificates shall be kept in full force and effect during the term of the Contract, or any extension thereof, and to secure any new licenses, approvals, or certificates within the required time frames and/or to require its staff and subcontractors to obtain the requisite licenses, approvals, or certificates. In the event the Contractor, its staff, and/or subcontractors are notified of a denial or revocation of any license, approval, or certification to perform the services or work, as applicable, under the Contract, Contractor shall immediately notify the State.

B. Subcontractors:

1. If the Contractor enters into subcontracts for the performance of work pursuant to the Contract, the Contractor shall take full responsibility for the acts and omissions of its subcontractors. Nothing in the subcontract shall impair the rights of the State under the Contract. No contractual relationship shall be deemed to exist between the subcontractor and the State.

2. If requested by the State, the Contractor agrees not to enter into any subcontracts, or revisions to subcontracts, that are in excess of $100,000 for the performance of the obligations contained herein until it has received the prior written permission of the State, which shall have the right to review and approve each and every subcontract in excess of $100,000 prior to giving written permission to the Contractor to enter into the subcontract. All agreements between the Contractor and subcontractors shall be by written contract, signed by individuals authorized to bind the parties. All such subcontracts shall contain provisions for specifying (1) that the work performed by the subcontractor must be in accordance with the terms of the Contract, (2) that nothing contained in the subcontract shall impair the rights of the State under the Contract, and (3) that nothing contained in the subcontract, nor under the Contract, shall be deemed to create any contractual relationship between the subcontractor and the State. In addition, subcontracts shall contain any other provisions which are required to be included in subcontracts pursuant to the terms herein.

3. If requested by the State, prior to executing a subcontract, the Contractor agrees to require the subcontractor to provide to the State the information the State needs to determine whether a proposed subcontractor is a responsible vendor.

4. If requested by the State, when a subcontract equals or exceeds $100,000, the subcontractor must submit a Vendor Responsibility Questionnaire (Questionnaire).

5. If requested by the State, when a subcontract is executed, the Contractor must provide detailed subcontract information (a copy of subcontract will suffice) to the State within fifteen (15) calendar days after execution. The State may request from the Contractor copies of subcontracts between a subcontractor and its subcontractor.

6. The Contractor shall require any and all subcontractors to submit to the Contractor all financial claims for Services or work to DHSES, as applicable, rendered and required supporting documentation and reports as necessary to permit Contractor to meet claim deadlines and documentation requirements as established in Appendix C (Payment and Reporting Schedule) and Section III. Subcontractors shall be paid by the Contractor on a timely basis after submitting the required reports and vouchers for reimbursement of services or work, as applicable. Subcontractors shall be informed by the Contractor of the possibility of non-payment or rejection by the Contractor of claims that do not contain the required information, and/or are not received by the Contractor by said due date.

C. Use of Material, Equipment, or Personnel:

1. The Contractor shall not use materials, equipment, or personnel paid for under the Contract for any activity other than those provided for

under the Contract, except with the State's prior written permission.

1964

2. Any interest accrued on funds paid to the Contractor by the State shall be deemed to be the property of the State and shall either be credited to the State at the close-out of the Contract or, upon the written permission of the State, shall be expended on additional services or work, as applicable, provided for under the Contract.

D. Property:

1. Property is real property, equipment, or tangible personal property having a useful life of more than one year and an acquisition cost of $1,000 or more per unit.

a. If an item of Property required by the Contractor is available as surplus to the State, the State at its sole discretion, may arrange to provide such Property to the Contractor in lieu of the purchase of such Property.

b. If the State consents in writing, the Contractor may retain possession of Property owned by the State, as provided herein, after the termination of the Contract to use for similar purposes. Otherwise, the Contractor shall return such Property to the State at the Contractor's cost and expense upon the expiration of the Contract.

c. In addition, the Contractor agrees to permit the State to inspect the Property and to monitor its use at reasonable intervals during the Contractor's regular business hours.

d. The Contractor shall be responsible for maintaining and repairing Property purchased or procured under the Contract at its own cost and expense. The Contractor shall procure and maintain insurance at its own cost and expense in an amount satisfactory to DHSES naming DHSES as an additional insured, covering the loss, theft or destruction of such equipment.

e. A rental charge to the Contract for a piece of Property owned by the Contractor shall not be allowed.

f. The State has the right to review and approve in writing any new contract for the purchase of or lease for rental of Property (Purchase/Lease Contract) operated in connection with the provision of the services or work, as applicable, as specified in the Contract, if applicable, and any modifications, amendments, or extensions of an existing lease or purchase prior to its execution. If, in its discretion, the State disapproves of any Purchase/Lease Contract, then the State shall not be obligated to make any payments for such Property.

g. No member, officer, director or employee of the Contractor shall retain or acquire any interest, direct or indirect, in any Property, paid for with funds under the Contract, nor retain any interest, direct or indirect, in such, without full and complete prior disclosure of such interest and the date of acquisition thereof, in writing to the Contractor and the State.

2. For non-Federally-funded contracts, unless otherwise provided herein, the State shall have the following rights to Property purchased with funds provided under the Contract:

a. For cost-reimbursable contracts, all right, title and interest in such Property shall belong to the State.

b. For performance-based contracts, all right, title and interest in such Property shall belong to the Contractor.

3. For Federally funded contracts, title to Property whose requisition cost is borne in whole or in part by monies provided under the Contract shall be governed by the terms and conditions of Section V (FEDERALLY FUNDED GRANT REQUIREMENTS) contained herein.

4. Upon written direction by the State, the Contractor shall maintain an inventory of all Property that is owned by the State as provided herein.

5. The Contractor shall execute any documents which the State may reasonably require to effectuate the provisions of this section.

E. Records and Audits:

1. General:

a. The Contractor shall establish and maintain, in paper or electronic format, complete and accurate books, records, documents, receipts, accounts, and other evidence directly pertinent to its performance under the Contract (collectively, Records).

b. The Contractor agrees to produce and retain for the balance of the term of the Contract, and for a period of six years from the later of the date of (i) the Contract and (ii) the most recent renewal of the Contract, any and all Records necessary to substantiate upon audit, the proper deposit and expenditure of funds received under the Contract. Such Records may include, but not be limited to, original books of entry (e.g., cash disbursements and cash receipts journal), and the following specific records (as applicable) to substantiate the types of expenditures noted:

i. personal service expenditures: cancelled checks and the related bank statements, time and attendance records, payroll journals, cash and check disbursement records including copies of money orders and the like, vouchers and invoices, records of contract labor, any and all records listing payroll and the money value of non-cash advantages provided to employees, time cards, work schedules and logs, employee personal history folders, detailed and general ledgers, sales records, miscellaneous reports and returns (tax and otherwise), and cost allocation plans, if applicable.

1964

ii. payroll taxes and fringe benefits: cancelled checks, copies of related bank statements, cash and check disbursement records including copies of money orders and the like, invoices for fringe benefit expenses, miscellaneous reports and returns (tax and otherwise), and cost allocation plans, if applicable.

iii. non-personal services expenditures: original invoices/receipts, cancelled checks and related bank statements, consultant agreements, leases, cost allocation plans, and bid and procurement documentation, such as quotes, proposals and selection records, if applicable.

iv. receipt and deposit of advance and reimbursements: itemized bank stamped deposit slips, and a copy of the related bank statements.

c. The OSC, AG and any other person or entity authorized to conduct an examination, as well as DHSES or State Agencies involved in the Contract that provided funding, shall have access to the Records during the hours of 9:00 a.m. until 5:00 p.m., Monday through Friday (excluding State recognized holidays), at an office of the Contractor within the State of New York or, if no such office is available, at a mutually agreeable and reasonable venue within the State, for the term specified above for the purposes of inspection, auditing and copying.

d. The State shall protect from public disclosure any of the Records which are exempt from disclosure under Section 87 of the Public Officers Law provided that: (i) the Contractor shall timely inform an appropriate State official, in writing, that said records should not be disclosed; and (ii) said records shall be sufficiently identified; and (iii) designation of said records, as exempt under Section 87 of the Public Officers Law, is reasonable.

e. Nothing contained herein shall diminish, or in any way adversely affect, the State's rights in connection with its audit and investigatory authority or the State's rights in connection with discovery in any pending or future litigation.

2. Cost Allocation:

a. For non-performance based contracts, the proper allocation of the Contractor's costs must be made according to a cost allocation plan that meets the requirements of 2 CFR Part 200. Methods used to determine and assign costs shall conform to generally accepted accounting practices and shall be consistent with the method(s) used by the Contractor to determine costs for other operations or programs. Such accounting standards and practices shall be subject to approval of the State.

b. For performance based milestone contracts, or for the portion of the contract amount paid on a performance basis, the Contractor shall maintain documentation demonstrating that milestones were attained.

3. Federal Funds: For records and audit provisions governing Federal funds, please see Section V (FEDERALLY FUNDED GRANT REQUIREMENTS) of this Appendix A-1.

F. Confidentiality: The Contractor agrees that it shall use and maintain information relating to individuals who may receive services, and their families pursuant to the Contract, or any other information, data or records deemed confidential by the State (Confidential Information) only for the limited purposes of the Contract and in conformity with applicable provisions of State and Federal law. The Contractor (i) has an affirmative obligation to safeguard any such Confidential Information from unnecessary or unauthorized disclosure and (ii) must comply with the provisions of the New York State Information Security Breach and Notification Act (General Business Law Section 899-aa; State Technology Law Section 208).

G. Publicity:

1. Publicity includes, but is not limited to: news conferences; news releases; public announcements; advertising; brochures; reports; discussions or presentations at conferences or meetings; and/or the inclusion of State materials, the State's name or other such references to the State in any document or forum. Publicity regarding this project may not be released without prior written approval from the State.

2. Any publications, presentations or announcements of conferences, meetings or trainings which are funded in whole or in part through any activity supported under the Contract may not be published, presented or announced without prior approval of the State. Any such publication, presentation or announcement shall:

a. Acknowledge the support of the State of New York and, if funded with Federal funds, the applicable Federal funding agency; and

b. State that the opinions, results, findings and/or interpretations of data contained therein are the responsibility of the Contractor and do not necessarily represent the opinions, interpretations or policy of the State or if funded with Federal funds, the applicable Federal funding agency.

3. Notwithstanding the above, (i) if the Contractor is an educational research institution, the Contractor may, for scholarly or academic purposes, use, present, discuss, report or publish any material, data or analyses, other than Confidential Information, that derives from activity under the Master Contract and the Contractor agrees to use best efforts to provide copies of any manuscripts arising from Contractor's performance under this Master Contract, or if requested by the State, the Contractor shall provide the State with a thirty (30) day period in which to review each manuscript for compliance with Confidential Information requirements; or (ii) if the Contractor is not an educational research institution, the Contractor may submit for publication, scholarly or academic publications that derive from activity under the Master Contract (but are not deliverable under the Master Contract), provided that the Contractor first submits such manuscripts to the State forty-five (45) calendar days

1964

prior to submission for consideration by a publisher in order for the State to review the manuscript for compliance with confidentiality requirements and restrictions and to make such other comments as the State deems appropriate. All derivative publications shall follow the same acknowledgments and disclaimer as described in Section IV(G)(2) (Publicity) hereof.

H. Web-Based Applications-Accessibility: Any web-based intranet and Internet information and applications development, or programming delivered pursuant to the Contract or procurement shall comply with New York State Enterprise IT Policy NYS-P08-005, Accessibility Web-Based Information and Applications, and New York State Enterprise IT Standard NYS-S08-005, Accessibility of Web-Based Information Applications, as such policy or standard may be amended, modified or superseded, which requires that State Agency web-based intranet and Internet information and applications are accessible to person with disabilities. Web content must conform to New York State Enterprise IT Standards NYS-S08-005, as determined by quality assurance testing. Such quality assurance testing shall be conducted by DHSES and the results of such testing must be satisfactory to DHSES before web content shall be considered a qualified deliverable under the Contract or procurement.

I. Non-Discrimination Requirements: Pursuant to Article 15 of the Executive Law (also known as the Human Rights Law) and all other State and Federal statutory and constitutional nondiscrimination provisions, the Contractor and sub-contractors will not discriminate against any employee or applicant for employment because of race, creed (religion), color, sex (including gender identity or expression), national origin, sexual orientation, military status, age, disability, predisposing genetic characteristic, marital status or domestic violence victim status, and shall also follow the requirements of the Human Rights Law with regard to non-discrimination on the basis of prior criminal conviction and prior arrest. Furthermore, in accordance with Section 220-e of the Labor Law, if this is a contract for the construction, alteration or repair of any public building or public work or for the manufacture, sale or distribution of materials, equipment or supplies, and to the extent that the Contract shall be performed within the State of New York, the Contractor agrees that neither it nor its subcontractors shall, by reason of race, creed, color, disability, sex, or national origin: (a) discriminate in hiring against any New York State citizen who is qualified and available to perform the work; or (b) discriminate against or intimidate any employee hired for the performance of work under the Contract. If this is a building service contract as defined in Section 230 of the Labor Law, then, in accordance with Section 239 thereof, the Contractor agrees that neither it nor its subcontractors shall by reason of race, creed, color, national origin, age, sex or disability: (a) discriminate in hiring against any New York State citizen who is qualified and available to perform the work; or (b) discriminate against or intimidate any employee hired for the performance of work under the Contract. The Contractor shall be subject to fines of $50.00 per person per day for any violation of Section 220-e or Section 239 of the Labor Law.

J. Equal Opportunities for Minorities and Women; Minority and Women Owned Business Enterprises: In accordance with Section 312 of the Executive Law and 5 NYCRR 143, if the Contract is: (i) a written agreement or purchase order instrument, providing for a total expenditure in excess of $25,000.00, whereby a contracting State Agency is committed to expend or does expend funds in return for labor, services, supplies, equipment, materials or any combination of the foregoing, to be performed for, or rendered or furnished to the contracting State Agency; or (ii) a written agreement in excess of $100,000.00 whereby a contracting State Agency is committed to expend or does expend funds for the acquisition, construction, demolition, replacement, major repair or renovation of real property and improvements thereon; or (iii) a written agreement in excess of $100,000.00 whereby the owner of a State assisted housing project is committed to expend or does expend funds for the acquisition, construction, demolition, replacement, major repair or renovation of real property and improvements thereon for such project, then the Contractor certifies and affirms that (i) it is subject to Article 15-A of the Executive Law which includes, but is not limited to, those provisions concerning the maximizing of opportunities for the participation of minority and women-owned business enterprises and (ii) the following provisions shall apply and it is Contractor's equal employment opportunity policy that:

1. The Contractor shall not discriminate against employees or applicants for employment because of race, creed, color, national origin, sex, age, disability or marital status;

2. The Contractor shall make and document its conscientious and active efforts to employ and utilize minority group members and women in its work force on State contracts;

3. The Contractor shall undertake or continue existing programs of affirmative action to ensure that minority group members and women are afforded equal employment opportunities without discrimination. Affirmative action shall mean recruitment, employment, job assignment, promotion, upgrading, demotion, transfer, layoff, or termination and rates of pay or other forms of compensation;

4. At the request of the State, the Contractor shall request each employment agency, labor union, or authorized representative of workers with which it has a collective bargaining or other agreement or understanding, to furnish a written statement that such employment agency, labor union or representative shall not discriminate on the basis of race, creed, color, national origin sex, age, disability or marital status and that such union or representative shall affirmatively cooperate in the implementation of the Contractor's obligations herein; and

5. The Contractor shall state, in all solicitations or advertisements for employees, that, in the performance of the State contract, all qualified applicants shall be afforded equal employment opportunities without discrimination because of race, creed, color, national origin, sex, age, disability or marital status.

The Contractor shall include the provisions of subclauses 1 – 5 of this Section (IV)(J), in every subcontract over $25,000.00 for the construction, demolition, replacement, major repair, renovation, planning or design of real property and improvements thereon (Work) except where the Work is for the beneficial use of the Contractor. Section 312 of the Executive Law does not apply to: (i) work, goods or services unrelated to the Contract; or (ii) employment outside New York State. The State shall consider compliance by the Contractor or a subcontractor with the requirements of any Federal law concerning equal employment opportunity which effectuates the purpose of this section. The State shall determine whether the imposition of the requirements of the provisions hereof duplicate or conflict with any such Federal law and if such

1964

duplication or conflict exists, the State shall waive the applicability of Section 312 of the Executive Law to the extent of such duplication or conflict. The Contractor shall comply with all duly promulgated and lawful rules and regulations of the Department of Economic Development's Division of Minority and Women's Business Development pertaining hereto.

K. Omnibus Procurement Act of 1992: It is the policy of New York State to maximize opportunities for the participation of New York State business enterprises, including minority and women-owned business enterprises, as bidders, subcontractors and suppliers on its procurement contracts.

1. If the total dollar amount of the Contract is greater than $1 million, the Omnibus Procurement Act of 1992 requires that by signing the Contract, the Contractor certifies the following:

a. The Contractor has made reasonable efforts to encourage the participation of State business enterprises as suppliers and subcontractors, including certified minority and women-owned business enterprises, on this project, and has retained the documentation of these efforts to be provided upon request to the State;

b. The Contractor has complied with the Federal Equal Opportunity Act of 1972 (P.L. 92-261), as amended;

c. The Contractor agrees to make reasonable efforts to provide notification to State residents of employment opportunities on this project through listing any such positions with the Job Service Division of the New York State Department of Labor, or providing such notification in such manner as is consistent with existing collective bargaining contracts or agreements. The Contractor agrees to document these efforts and to provide said documentation to the State upon request; and

d. The Contractor acknowledges notice that the State may seek to obtain offset credits from foreign countries as a result of the Contract and agrees to cooperate with the State in these efforts.

L. Workers' Compensation Benefits:

1. In accordance with Section 142 of the State Finance Law, the Contract shall be void and of no force and effect unless the Contractor shall provide and maintain coverage during the life of the Contract for the benefit of such employees as are required to be covered by the provisions of the Workers' Compensation Law.

2. If a Contractor believes they are exempt from the Workers Compensation insurance requirement they must apply for an exemption.

M. Unemployment Insurance Compliance: The Contractor shall remain current in both its quarterly reporting and payment of contributions or payments in lieu of contributions, as applicable, to the State Unemployment Insurance system as a condition of maintaining this grant.

The Contractor hereby authorizes the State Department of Labor to disclose to DHSES staff only such information as is necessary to determine the Contractor's compliance with the State Unemployment Insurance Law. This includes, but is not limited to, the following:

1. any records of unemployment insurance (UI) contributions, interest, and/or penalty payment arrears or reporting delinquency;

2. any debts owed for UI contributions, interest, and/or penalties;

3. the history and results of any audit or investigation; and

4. copies of wage reporting information.

Such disclosures are protected under Section 537 of the State Labor Law, which makes it a misdemeanor for the recipient of such information to use or disclose the information for any purpose other than the performing due diligence as a part of the approval process for the Contract.

N. Vendor Responsibility:

1. If a Contractor is required to complete a Questionnaire, the Contractor covenants and represents that it has, to the best of its knowledge, truthfully, accurately and thoroughly completed such Questionnaire. Although electronic filing is preferred, the Contractor may obtain a paper form from the OSC prior to execution of the Contract. The Contractor further covenants and represents that as of the date of execution of the Contract, there are no material events, omissions, changes or corrections to such document requiring an amendment to the Questionnaire.

2. The Contractor shall provide to the State updates to the Questionnaire if any material event(s) occurs requiring an amendment or as new information material to such Questionnaire becomes available.

3. The Contractor shall, in addition, promptly report to the State the initiation of any investigation or audit by a governmental entity with enforcement authority with respect to any alleged violation of Federal or state law by the Contractor, its employees, its officers and/or directors in connection with matters involving, relating to or arising out of the Contractor's business. Such report shall be made within five (5) business days following the Contractor becoming aware of such event, investigation, or audit. Such report may be considered by the State in making a Determination of Vendor Non-Responsibility pursuant to this section.

P64

4. The State reserves the right, in its sole discretion, at any time during the term of the Contract:

a. to require updates or clarifications to the Questionnaire upon written request;

b. to inquire about information included in or required information omitted from the Questionnaire;

c. to require the Contractor to provide such information to the State within a reasonable timeframe; and

d. to require as a condition precedent to entering into the Contract that the Contractor agree to such additional conditions as shall be necessary to satisfy the State that the Contractor is, and shall remain, a responsible vendor; and

e. to require the Contractor to present evidence of its continuing legal authority to do business in New York State, integrity, experience, ability, prior performance, and organizational and financial capacity. By signing the Contract, the Contractor agrees to comply with any such additional conditions that have been made a part of the Contract.

5. The State, in its sole discretion, reserves the right to suspend any or all activities under the Contract, at any time, when it discovers information that calls into question the responsibility of the Contractor. In the event of such suspension, the Contractor shall be given written notice outlining the particulars of such suspension. Upon issuance of such notice, the Contractor must comply with the terms of the suspension order. Contract activity may resume at such time as the State issues a written notice authorizing a resumption of performance under the Contract.

6. The State, in its sole discretion, reserves the right to make a final Determination of Non-Responsibility at any time during the term of the Contract based on:

a. any information provided in the Questionnaire and/or in any updates, clarifications or amendments thereof; or

b. the State's discovery of any material information which pertains to the Contractor's responsibility.

7. Prior to making a final Determination of Non-Responsibility, the State shall provide written notice to the Contractor that it has made a preliminary determination of non- responsibility. The State shall detail the reason(s) for the preliminary determination, and shall provide the Contractor with an opportunity to be heard.

O. Charities Registration: If applicable, the Contractor agrees to (i) obtain not-for-profit status, a Federal identification number, and a charitable registration number (or a declaration of exemption) and to furnish DHSES with this information as soon as it is available, (ii) be in compliance with the OAG charities registration requirements at the time of the awarding of this Contract by the State and (iii) remain in compliance with the OAG charities registration requirements throughout the term of the Contract.

P. Consultant Disclosure Law:[8] If this is a contract for consulting services, defined for purposes of this requirement to include analysis, evaluation, research, training, data processing, computer programming, engineering, environmental, health, and mental health services, accounting, auditing, paralegal, legal, or similar services, then in accordance with Section 163 (4-g) of the State Finance Law (as amended by Chapter 10 of the Laws of 2006), the Contractor shall timely, accurately and properly comply with the requirement to submit an annual employment report for the contract to the agency that awarded the contract, the Department of Civil Service and the State Comptroller.

Q. Wage and Hours Provisions: If this is a public work contract covered by Article 8 of the Labor Law or a building service contract covered by Article 9 thereof, neither Contractor's employees nor the employees of its subcontractors may be required or permitted to work more than the number of hours or days stated in said statutes, except as otherwise provided in the Labor Law and as set forth in prevailing wage and supplement schedules issued by the State Labor Department. Furthermore, Contractor and its subcontractors must pay at least the prevailing wage rate and pay or provide the prevailing supplements, including the premium rates for overtime pay, as determined by the State Labor Department in accordance with the Labor Law. Additionally, effective April 28, 2008, if this is a public work contract covered by Article 8 of the Labor Law, the Contractor understands and agrees that the filing of payrolls in a manner consistent with Subdivision 3-a of Section 220 of the Labor Law shall be condition precedent to payment by the State of any State approved sums due and owing for work done upon the project.

R. Participation By Minority Group Members And Women With Respect To Grant Contracts: Requirements And Procedures (state-funded grants only)

1. General Provisions

a. The Division of Homeland Security and Emergency Services (DHSES) is required to implement the provisions of New York State Executive Law Article 15-A and 5 NYCRR Parts 142-144 ('MWBE Regulations') for all State contracts as defined therein, with a value (1) in excess of $25,000 for labor, services, equipment, materials, or any combination of the foregoing or (2) in excess of $100,000 for real property renovations and construction.

b. The Contractor to the subject contract (the 'Contractor' and the 'Contract', respectively) agrees, in addition to any other nondiscrimination provision of the Contract and at no additional cost to the DHSES, to fully comply and cooperate with the DHSES in the implementation of New York State Executive Law Article 15-A. These requirements include equal employment opportunities for minority group members and women

1464

('EEO') and contracting opportunities for certified minority and women-owned business enterprises ('MWBEs'). Contractor's demonstration of 'good faith efforts' pursuant to 5 NYCRR §142.8 shall be a part of these requirements. These provisions shall be deemed supplementary to, and not in lieu of, the nondiscrimination provisions required by New York State Executive Law Article 15 (the 'Human Rights Law') or other applicable federal, state or local laws.

c. Failure to comply with all of the requirements herein may result in a finding of non-responsiveness, non-responsibility and/or a breach of contract, leading to the withholding of funds or such other actions, liquidated damages pursuant to Section VII of this Appendix or enforcement proceedings as allowed by the Contract.

2. Contract Goals

a. For purposes of this contract, DHSES has established overall goals for Minority and Women-Owned Business Enterprises ('MWBE') participation which are specified in the contract work plan.

b. For purposes of providing meaningful participation by MWBEs on the Contract and achieving the Contract Goals established in the contract work plan hereof, Contractor should reference the directory of New York State Certified MBWEs found at the following internet address: https://ny.newnycontracts.com/FrontEnd/VendorSearchPublic.asp. Additionally, Contractor is encouraged to contact the Division of Minority and Woman Business Development (518) 292-5250; (212) 803-2414; or (716) 846-8200) to discuss additional methods of maximizing participation by MWBEs on the Contract.

c. Where MWBE goals have been established herein, pursuant to 5 NYCRR §142.8, Contractor must document 'good faith efforts' to provide meaningful participation by MWBEs as subcontractors or suppliers in the performance of the Contract. In accordance with Section 316-a of Article 15-A and 5 NYCRR §142.13, the Contractor acknowledges that if Contractor is found to have willfully and intentionally failed to comply with the MWBE participation goals set forth in the Contract, such a finding constitutes a breach of contract and the Contractor shall be liable to the DHSES for liquidated or other appropriate damages, as set forth herein.

3. Equal Employment Opportunity (EEO)

a. Contractor agrees to be bound by the provisions of Article 15-A and the MWBE Regulations promulgated by the Division of Minority and Women's Business Development of the Department of Economic Development (the 'Division'). If any of these terms or provisions conflict with applicable law or regulations, such laws and regulations shall supersede these requirements.

b. Contractor shall comply with the following provisions of Article 15-A:

i. Contractor and Subcontractors shall undertake or continue existing EEO programs to ensure that minority group members and women are afforded equal employment opportunities without discrimination because of race, creed, color, national origin, sex, age, disability or marital status. For these purposes, EEO shall apply in the areas of recruitment, employment, job assignment, promotion, upgrading, demotion, transfer, layoff, or termination and rates of pay or other forms of compensation.
ii. The Contractor shall maintain an EEO policy statement and submit it to the DHSES if requested.
iii. If Contractor or Subcontractor does not have an existing EEO policy statement, Section 4 below may be used to develop one.
iv. The Contractor's EEO policy statement shall include the following, or similar, language:

a) The Contractor will not discriminate against any employee or applicant for employment because of race, creed, color, national origin, sex, age, disability or marital status, will undertake or continue existing EEO programs to ensure that minority group members and women are afforded equal employment opportunities without discrimination, and shall make and document its conscientious and active efforts to employ and utilize minority group members and women in its work force.
b) The Contractor shall state in all solicitations or advertisements for employees that, in the performance of the contract, all qualified applicants will be afforded equal employment opportunities without discrimination because of race, creed, color, national origin, sex, age, disability or marital status.
c) The Contractor shall request each employment agency, labor union, or authorized representative of workers with which it has a collective bargaining or other agreement or understanding, to furnish a written statement that such employment agency, labor union, or representative will not discriminate on the basis of race, creed, color, national origin, sex age, disability or marital status and that such union or representative will affirmatively cooperate in the implementation of the Contractor's obligations herein.
d) The Contractor will include the provisions of Subdivisions (a) through (c) of this Subsection (iv) and Paragraph 'e' of this Section 3, which provides for relevant provisions of the Human Rights Law, in every subcontract in such a manner that the requirements of the subdivisions will be binding upon each subcontractor as to work in connection with the Contract.

c. Staffing Plan

To ensure compliance with this Section, the Contractor shall submit a staffing plan to document the composition of the proposed workforce to be utilized in the performance of the Contract by the specified categories listed, including ethnic background, gender, and Federal occupational categories. Contractors shall complete the Local Assistance MWBE Equal Employment Opportunity Staffing Plan form and submit it as part of their bid or proposal or within a reasonable time, but no later than the time of award of the contract.

d. Workforce Employment Utilization Report

1964

i. Once a contract has been awarded and during the term of Contract, Contractor is responsible for updating and providing notice to the DHSES of any changes to the previously submitted Local Assistance MWBE Equal Employment Opportunity Staffing Plan. This information is to be submitted annually or as otherwise required by the DHSES during the term of the contract, for the purpose of reporting the actual workforce utilized in the performance of the contract by the specified categories listed including ethnic background, gender, and Federal occupational categories. The Local Assistance MWBE Workforce Employment Utilization Report form must be used to report this information.
ii. Separate forms shall be completed by Contractor and any Subcontractor performing work on the Contract.
iii. In limited instances, Contractor may not be able to separate out the workforce utilized in the performance of the Contract from Contractor's and/or subcontractor's total workforce. When a separation can be made, Contractor shall submit the Local Assistance MWBE Workforce Employment Utilization Report and indicate that the information provided relates to the actual workforce utilized on the Contract. When the workforce to be utilized on the contract cannot be separated out from Contractor's and/or subcontractor's total workforce, Contractor shall submit the Local Assistance MWBE Workforce Employment Utilization Report and indicate that the information provided is Contractor's total workforce during the subject time frame, not limited to work specifically under the contract.

e. Contractor shall comply with the provisions of the Human Rights Law, all other State and Federal statutory and constitutional non-discrimination provisions. Contractor and subcontractors shall not discriminate against any employee or applicant for employment because of race, creed (religion), color, sex, national origin, sexual orientation, military status, age, disability, predisposing genetic characteristic, marital status or domestic violence victim status, and shall also follow the requirements of the Human Rights Law with regard to non-discrimination on the basis of prior criminal conviction and prior arrest.

4. MWBE Utilization Plan

a. The Contractor represents and warrants that Contractor has submitted a Local Assistance MWBE Subcontractor/Supplier Utilization Proposal Form either prior to, or at the time of, the execution of the contract.
b. Contractor agrees to use such Local Assistance MWBE Subcontractor/Supplier Utilization Proposal Form for the performance of MWBEs on the Contract pursuant to the prescribed MWBE goals set forth in the contract workplan.
c. Contractor further agrees that a failure to submit and/or use such Local Assistance MWBE Subcontractor/Supplier Utilization Proposal Form shall constitute a material breach of the terms of the Contract. Upon the occurrence of such a material breach, DHSES shall be entitled to any remedy provided herein, including but not limited to, a finding of Contractor non-responsiveness.

5. Waivers

If the DHSES, upon review of the Local Assistance MWBE Subcontractor/Supplier Utilization Proposal Plan, the Detailed Itemization Forms or the Local Assistance MWBE Workforce Employment Utilization Report determines that a Contractor is failing or refusing to comply with the Contract goals and no waiver has been issued in regards to such non-compliance, the DHSES may issue a notice of deficiency to the Contractor. The Contractor must respond to the notice of deficiency within seven (7) business days of receipt. Such response may include a request for partial or total waiver of MWBE Contract Goals.

6. MWBE Subcontractor Utilization Quarterly Report

Contractor is required to report MWBE Subcontractor utilization, as part of the quarterly claim process, to the DHSES by the last day of the month following the end of each calendar quarter over the term of the Contract documenting the progress made towards achievement of the MWBE goals of the Contract.

7. Liquidated Damages - MWBE Participation

a. Where DHSES determines that Contractor is not in compliance with the requirements of the Contract and Contractor refuses to comply with such requirements, or if Contractor is found to have willfully and intentionally failed to comply with the MWBE participation goals, such finding constitutes a breach of Contract and DHSES may withhold payment from the Contractor as liquidated damages and/or provide for other appropriate remedies.

b. Such liquidated damages shall be calculated as an amount equaling the difference between:
1) All sums identified for payment to MWBEs had the Contractor achieved the contractual MWBE goals; and
2) All sums actually paid to MWBEs for work performed or materials supplied under the Contract.

c. In the event a determination has been made which requires the payment of liquidated damages and such identified sums have not been withheld by the DHSES, Contractor shall pay such liquidated damages to the DHSES within sixty (60) days after they are assessed by the DHSES unless prior to the expiration of such sixtieth day, the Contractor has filed a complaint with the Director of the Division of Minority and Woman Business Development pursuant to Subdivision 8 of Section 313 of the Executive Law in which event the liquidated damages shall be payable if Director renders a decision in favor of the DHSES.

8. M/WBE AND EEO Policy Statement

a. The Contractor agrees to adopt the following policies or similar policies with respect to the project being developed or services rendered in this contract with the Division of Homeland Security and Emergency Services:

M/WBE

1964

This organization will and will cause its contractors and subcontractors to take good faith actions to achieve the M/WBE contract participations goals set by the State for that area in which the State-funded project is located, by taking the following steps:

(1) Actively and affirmatively solicit bids for contracts and subcontracts from qualified State certified MBEs or WBEs, including solicitations to M/WBE contractor associations.

(2) Request a list of State-certified M/WBEs from AGENCY and solicit bids from them directly.

(3) Ensure that plans, specifications, request for proposals and other documents used to secure bids will be made available in sufficient time for review by prospective M/WBEs.

(4) Where feasible, divide the work into smaller portions to enhanced participations by M/WBEs and encourage the formation of joint venture and other partnerships among M/WBE contractors to enhance their participation.

(5) Document and maintain records of bid solicitation, including those to M/WBEs and the results thereof. Contractor will also maintain records of actions that its subcontractors have taken toward meeting M/WBE contract participation goals.

(6) Ensure that progress payments to M/WBEs are made on a timely basis so that undue financial hardship is avoided, and that bonding and other credit requirements are waived or appropriate alternatives developed to encourage M/WBE participation.

EEO

(a) This organization will not discriminate against any employee or applicant for employment because of race, creed, color, national origin, sex, age, disability or marital status, will undertake or continue existing programs of affirmative action to ensure that minority group members are afforded equal employment opportunities without discrimination, and shall make and document its conscientious and active efforts to employ and utilize minority group members and women in its work force on state contracts.

(b)This organization shall state in all solicitation or advertisements for employees that in the performance of the State contract all qualified applicants will be afforded equal employment opportunities without discrimination because of race, creed, color, national origin, sex disability or marital status.

(c) At the request of the contracting agency, this organization shall request each employment agency, labor union, or authorized representative will not discriminate on the basis of race, creed, color, national origin, sex, age, disability or marital status and that such union or representative will affirmatively cooperate in the implementation of this organization's obligations herein.

(d) Contractor shall comply with the provisions of the Human Rights Law, all other State and Federal statutory and constitutional non-discrimination provisions. Contractor and subcontractors shall not discriminate against any employee or applicant for employment because of race, creed (religion), color, sex, national origin, sexual orientation, military status, age, disability, predisposing genetic characteristic, marital status or domestic violence victim status, and shall also follow the requirements of the Human Rights Law with regard to non-discrimination on the basis of prior criminal conviction and prior arrest.

(e) This organization will include the provisions of sections (a) through (d) of this agreement in every subcontract in such a manner that the requirements of the subdivisions will be binding upon each subcontractor as to work in connection with the State contract.

Contractor agrees to comply with all MWBE and EEO contract goals reflected on the MWBE Utilization Plan and Staffing Plan respectively, that have been submitted with the application for this contract.

S. Additional Terms

1. The Contractor agrees that if the project is not operational within 60 days of the execution date of the Contract, it will report by letter to DHSES the steps taken to initiate the project, the reasons for delay, and the expected starting date. If the project is not operational within 90 days of the execution date of the Contract, the Contractor will submit a second statement to DHSES explaining the delay. DHSES may either cancel the project and redistribute the funds or extend the implementation date of the project beyond the 90-day period when warranted by extenuating circumstances.

2. The Contractor shall at all times during the Contract term remain responsible. The Contractor agrees, if requested by the Commissioner of DHSES, or his or her designee, to present evidence of its continuing legal authority to do business in New York State, integrity, experience, ability prior performance, and financial capacity.

a. The DHSES Commissioner, or his or her designee, in his or her sole discretion, reserves the right to suspend any or all activities under this Contract, at any time, when DHSES discovers information that calls into question the responsibility of the Contractor. In the event of such suspension, the Contractor will be given written notice outlining the particulars of such suspension. Upon issuance of the notice, the Contractor must comply with the terms of the suspension order. Contract activity may resume at such time as the Commissioner of DHSES, or his or her designee, issues a written notice authorizing a resumption of performance under the Contract.

19164

b. Upon written notice to the Contractor, and a reasonable opportunity to be heard with the appropriate DHSES officials or staff, the Contract may be terminated by the DHSES Commissioner, or his or her designee at the Contractor's expense where the Contractor is determined by the DHSES Commissioner, or his or her designee, to be non-responsible. In such event, the Commissioner, or his or her designee, may complete the contractual requirements in any manner he or she may deem advisable and pursue available legal or equitable remedies for breach.

3. DHSES shall make payments and any reconciliation in accordance with the Payment and Reporting Schedule (Appendix C). DHSES shall pay the Contractor for completed, approved projects, a sum not to exceed the amount noted on the Face Page hereof. The Contractor must not request payments or reimbursements that duplicate funding or reimbursement from any other source for Contractor costs and services pursuant to this Contract.

4. The Contractor shall submit detailed itemization forms or a form deemed acceptable to DHSES for personal service, fringe benefit and non-personal service expenditures with any voucher and fiscal cost report requesting reimbursement. Grant-related expenditures shall be reported on Fiscal Cost Reports approved by DHSES. For Federally-funded awards, the detailed Itemization forms shall include the required certifications pursuant to 2 CFR §200.415. These reports must be prepared periodically and as defined in Appendix C of this Contract. All reported expenditures must reconcile to the program accounting records and the approved budget. Prior period adjustments shall be reported in the same accounting period that the correction is made.

5. The Contractor's request for travel, meals or lodging reimbursement shall be in accordance with Appendix B, Budget, and, unless written authorization has been received from DHSES, shall not exceed rates authorized by the NYS Office Of State Comptroller (Audit and Control). Rates may be viewed online at: http://www.osc.state.ny.us/agencies/travel/travel.htm.

6. The Contractor's employment of a consultant must be supported by a written Contract executed by the Contractor and the consultant. A consultant is defined as an individual or organization hired by the Contractor for the stated purpose of accomplishing a specific task relative to the funded project. All consultant services must be obtained in a manner that provides for fair and open competition. The Contractor shall retain copies of all solicitations seeking a consultant, written Contracts and documentation justifying the cost and selection of the consultant, and make them available to DHSES upon request. The Contractor further agrees that it shall assume sole and complete responsibility for fulfilling all the obligations set forth in the Contract and the Contractor must guarantee the work of the consultant as if it were its own. Failure to follow these guidelines may result in a disallowance of costs.

7. Additionally, Contractor must adhere to the following guidelines at a minimum when making all procurements, including consultant services. Failure to follow these guidelines may result in a disallowance of costs.

a. A Contractor who proposes to purchase goods or services from a particular vendor without competitive bidding must obtain the prior written approval of DHSES. The request for approval must be in writing and set forth, at a minimum, a detailed justification for selection and the basis upon which the price was determined to be reasonable. Further, such procurement must be in accordance with the guidelines, bulletins and regulations of the Office of the State Comptroller, State Procurement Council, and the U.S. Department of Homeland Security. A copy of DHSES' approval must also be submitted with the voucher for payment.

b. The rate for consultant services, and cost of equipment or goods, shall be reasonable and consistent with the amount paid for similar services or goods and equipment in the marketplace. Time and effort reports are required for consultants.

c. Written justification and documentation for all procurements must be maintained on file, and made available to DHSES upon request. All procurements must be made in a fair and open manner and in accordance with the pre-determined methodology established for evaluating bids (e.g., lowest responsible bidder or best value).

d. A Contractor that is a State entity must make all procurements in accordance with State Finance Law Article 11 and any other applicable regulations.

e. A Contractor that is a local government must make all procurements in accordance with General Municipal Law Article 5-A, and any other applicable regulations.

f. A Contractor that is a not-for-profit and all other entities that do not meet the descriptions in Section III(S)(7)(d) or (e) herein must make all procurements as noted below:

i. If the Contractor is eligible to purchase an item or service from a government contract or is able to purchase such item or service elsewhere at a lower than or equal price, then such purchase may be made immediately.

ii. A Contractor may purchase any single piece of equipment, single service or multiples of each that cost up to $999 at its discretion.

iii. Before purchasing any piece of equipment, service or multiples of each that have an aggregate cost between $1,000 and $4,999, a Contractor must secure at least three telephone quotes and create a record for audit of such quotes.

iv. Before purchasing any piece of equipment, service or multiples of each that have an aggregate cost of between $5,000 and $9,999, the Contractor must secure at least three written quotes on a vendor's stationery and maintain a record of the competitive procurement process for

audit purposes.

1964

v. A Contractor spending in aggregate of $10,000 and above must use a competitive bidding process. Guidance may be obtained from DHSES. At a minimum, the competitive bidding process must incorporate the following: open, fair advertisement of the opportunity to provide services; equal provision of information to all interested parties; reasonable deadlines; sealed bids opened at one time before a committee who will certify the process; establishment of the methodology for evaluating bids before the bids are opened; and maintenance of a record of competitive procurement process.

g. Acceptance of State support for interoperable and emergency communications projects, including funding through the Interoperable Emergency Communication Grant Program, requires that Contractors must use open-standard/vendor-neutral technologies to allow for other public safety/public service agencies (including State agencies and authorities) and jurisdictions in your region to operate on your radio system(s) when required, regardless of the total percentage of system funding from the State. This access for other agencies must be permitted to support operational and interoperable goals, and without restriction as to specific manufacturers' subscriber equipment. All reasonably compatible subscriber equipment must be permitted to be operated on your system by outside agencies, thus allowing coordinated efforts between local and state public safety/public service agencies and maximizing resources and capabilities.

h. DHSES reserves the right to suspend program funds if the Contractor is found to be in noncompliance with the provisions of this Contract or other grant Contracts between the Contractor and DHSES or, if the Contractor or principals of the Contractor are under investigation by a New York State or local law enforcement agency for noncompliance with State or federal laws or regulatory provisions or, if in DHSES' judgment, the services provided by the Contractor under the Contract are unsatisfactory or untimely.

i. DHSES shall provide the Contractor with written notice of noncompliance.

ii. Upon the Contractor's failure to correct or comply with the written notice by DHSES, DHSES reserves the right to terminate this Contract, recoup funds and recover any assets purchased with the proceeds of this Contract.

i. DHSES reserves the right to use approved grant related expenditures to offset disallowed expenditures from any grant funded through its offices upon appropriate notification to the Contractor, or upon reasonable assurance that the Contractor is not in compliance with these terms.

j. As a result of the Iran Divestment Act of 2012 (Act), Chapter 1 of the 2012 Laws of New York, a new provision has been added to the State Finance Law (SFL), § 165-a, effective April 12, 2012. Under the Act, the Commissioner of the Office of General Services (OGS) will be developing a list (prohibited entities list) of 'persons' who are engaged in 'investment activities in Iran' (both are defined terms in the law). Pursuant to SFL § 165-a(3)(b), the initial list is expected to be issued no later than 120 days after the Act's effective date, at which time it will be posted on the OGS website.

i. By entering into this Contract, Contractor (or any assignee) certifies in accordance with State Finance Law §165-a that it is not on the 'Entities Determined to be Non-Responsive Bidders/Offerers pursuant to the New York State Iran Divestment Act of 2012' ('Prohibited Entities List') posted at: http://www.ogs.ny.gov/about/regs/docs/ListofEntities.pdf.

ii. Contractor further certifies that it will not utilize on this Contract any subcontractor that is identified on the Prohibited Entities List. Contractor agrees that should it seek to renew or extend this Contract, it must provide the same certification at the time the Contract is renewed or extended. Contractor also agrees that any proposed Assignee of this Contract will be required to certify that it is not on the Prohibited Entities List before the contract assignment will be approved by the State.

iii. During the term of the Contract, should DHSES receive information that a person (as defined in State Finance Law §165-a) is in violation of the above-referenced certifications, the state agency will review such information and offer the person an opportunity to respond. If the person fails to demonstrate that it has ceased its engagement in the investment activity which is in violation of the Act within 90 days after the determination of such violation, then the state agency shall take such action as may be appropriate and provided for by law, rule, or contract, including, but not limited to, imposing sanctions, seeking compliance, recovering damages, or declaring the Contractor in default.

iv. DHSES reserves the right to reject any bid, request for assignment, renewal or extension for an entity that appears on the Prohibited Entities List prior to the award, assignment, renewal or extension of a contract, and to pursue a responsibility review with respect to any entity that is awarded a contract and appears on the Prohibited Entities list after contract award.

V. FEDERALLY FUNDED GRANT REQUIREMENTS

A. Hatch Act. The Contractor agrees, as a material condition of the Contract, to comply with all applicable provisions of the Hatch Act (5 U.S.C. 1501 et seq.), as amended.

B. Requirement for System of Award Management: Unless you are exempted from this requirement under 2 CFR 25.110, you as the subrecipient must maintain the currency of your information in the System of Award Management (SAM) until you submit the final financial report required under this award or receive the final payment, whichever is later. This requires that you review and update the information at least annually after the initial registration, and more frequently if required by changes in your information or another award term. Pursuant to section 2 CFR §200.210(a)(2), Contractors must maintain a current unique entity identifier prior to and during the life of the Contract.

C. In accordance with 2 CFR §§200.112 and 200.113, Contractor understands and agrees that it must: (1) disclose in writing any potential

conflict of interest to DHSES; and (2) disclose, in a timely manner, in writing to DHSES all violations of federal and state criminal law involving fraud, bribery, or gratuity violations potentially affecting the grant award. Failure to make required disclosures can result in any remedy available to DHSES for Contractor's noncompliance, including suspension or debarment.

D. The Contractor must ensure that, for all contracts entered into by the Contractor, the contract provisions required by 2 CFR §200.326 (and Appendix II to 2 CFR Part 200) are included in such contracts. The Contractor further agrees to impose and enforce this requirement for any Contractor subaward agreements.

E. Where advance payments are approved by DHSES, the Contractor agrees to expend the advance payments in accordance with the purposes set forth in Appendix D and consistent with Appendix B. The advanced funds must be placed in an interest-bearing account and are subject to the rules outlined in 2 CFR Part 200, (Uniform Administrative Requirements for Grants and Cooperative Contracts to State and Local Governments) which require Contractors to promptly remit back to the federal government, through New York State Division of Homeland Security and Emergency Services, any interest earned on these advanced funds. The Contractor may keep interest earned up to $500 per federal fiscal year for administrative expenses. This maximum limit is not per award; it is inclusive of all interest earned as the result of all federal grant program funds received per year. Interest must be reported on Fiscal Cost Reports and remitted to DHSES quarterly.

F. Audit Requirements. This Contract, and any sub-awards resulting from this Contract, may be subject to fiscal and program audits by DHSES, NYS Office of State Comptroller, pertinent federal agencies, and other designated entities to ascertain financial compliance with federal and/or State laws, regulations, and guidelines applicable to this Contract. The Contractor shall meet all audit requirements of the federal government and State of New York. Such audits may include review of the Contractor's accounting, financial, and reporting practices to determine compliance with the Contract and reporting requirements; maintenance of accurate and reliable original accounting records in accordance with governmental accounting standards as well as generally accepted accounting principles; and specific compliance with allowable cost and expenditure documentation standards prescribed by applicable federal, State, and DHSES guidelines.

G. Equipment Markings. The Contractor further agrees that, when practicable, any equipment purchased with grant funding shall be prominently marked as follows: 'Purchased with funds provided by the U.S. Department of Homeland Security.'

H. Administrative, Cost and Audit Requirements: The Contractor must comply with the most recent version of the Administrative Requirements, Cost Principles, and Audit requirements. Failure to do so may result in disallowance of costs upon audit. A list of regulations and guidance applicable to United States Department of Homeland Security (DHS) grants are listed below:

1. General Administrative Requirements:

a. 2 CFR Part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards

2. Cost Principles:

a. 2 CFR Part 200, Subpart E

3. Audit Requirements:

a. 2 CFR Part 200, Subpart F

I. Contracting with small and minority firms, women's business enterprise and labor surplus area firms.

1. Consistent with 2 CFR §200.321, the grantee and any subgrantees will take all necessary affirmative steps to assure that minority firms, women's business enterprises, and labor surplus area firms are used when possible.

2. Affirmative steps must include:

a. Placing qualified small and minority businesses and women's business enterprises on solicitation lists;
b. Assuring that small and minority businesses, and women's business enterprises are solicited whenever they are potential sources;
c. Dividing total requirements, when economically feasible, into smaller tasks or quantities to permit maximum participation by small and minority business, and women's business enterprises;
d. Establishing delivery schedules, where the requirement permits, which encourage participation by small and minority business, and women's business enterprises;
e. Using the services and assistance, as appropriate, of such organizations as the Small Business Administration and the Minority Business Development Agency of the Department of Commerce; and
f. Requiring the prime contractor, if subcontracts are to be let, to take the affirmative steps listed in subsections (2)(a) through (e) of this section.

J. Compliance with Laws, Regulations and Program Guidance. The Contractor shall ensure it is aware of and complies with all applicable laws, regulations and program guidance. It is the responsibility of the Contractor to become familiar with and comply with all terms and conditions associated with acceptance of funds.

K. Adequate Documentation: The Contractor must ensure full compliance with all cost documentation requirements, including specific personal

1964

service documentation, as applicable directly to the Contractor, sub-recipient or collaborative agency/organization. The Contractor must maintain specific documentation as support for project related personal service expenditures as this Contract is supported by federal funds. Depending upon the nature or extent of personal service provided under this Contract, the Contractor shall maintain semi-annual (or more frequent) personal service certifications and/or an after-the-fact personnel activity reporting system (or equivalent) which complies with all applicable laws, regulations and program guidance. Failure to do so may result in disallowance of costs.

L. Single Audit Requirements: For audits of fiscal years beginning on or after December 26, 2014, recipients that expend $750,000 or more from all federal funding sources during their fiscal year are required to submit an organization-wide financial and compliance audit report. The audit must be performed in accordance with the requirements of GAO's Government Auditing Standards, located at http://www.gao.gov/govaud/ybk01.htm, and the requirements of Subpart F of 2 C.F.R. Part 200, located at http://www.ecfr.gov/cgi-bin/text-idx?SID=63811dc3410c008e2f8e28c325cdc09e&mc=true&node=sp2.1.200.f&rgn=div6 .

For audits of fiscal years beginning prior to December 26, 2014, recipients that expend $500,000 or more from all federal funding sources during their fiscal year are required to submit an organization-wide financial and compliance audit report. The audit must be performed in accordance with GAO's Government Auditing Standards, located at http://www.gao.gov/govaud/ybk01.htm, and OMB Circular A-133, Audits of States, Local Governments, and Non-Profit Organizations, located at https://www.whitehouse.gov/sites/default/files/omb/assets/a133/a133_revised_2007.pdf.

The final report for such audit must be completed within nine months of the end of the Contractor's fiscal year. The Contractor must provide one copy of such audit report to DHSES within nine (9) months of the end of its fiscal year, or communicate in writing to DHSES that Contractor is exempt from such requirement.

M. Program Income: Program income earned by the Contractor during the grant funding Period must be reported in writing to DHSES, in addition to any other statutory reporting requirements. Program income consists of income earned by the grant recipient that is directly generated by a supported activity or earned as a result of the grant program. Program income includes, but is not limited to, income from fees for services performed, the use of rental or real or personal property acquired under federally-funded projects, the sale of commodities or items fabricated under an award, license fees and royalties on patents and copyrights and interest on loans made with federal award funds. For example, if the purpose of a grant is to conduct conferences, any training fees that are generated would be considered program income. Interest earned on grant funds is not considered program income unless specified in Appendix D. The Contractor agrees to report the receipt and expenditures of grant program income to DHSES. Program income (not to include interest earned), generated by the use of these grant funds will be used to enhance the grant project.

N. Intellectual Property: Any creative or literary work developed or commissioned by the Contractor with grant support provided by DHSES shall become the property of DHSES, entitling DHSES to assert a copyright therein, unless the parties have expressly agreed otherwise in a written instrument signed by them.

1. If DHSES shares its right to copyright such work with the Contractor, DHSES reserves a royalty-free, nonexclusive, and irrevocable license to reproduce, publish or otherwise use, and to authorize others to use: (a) the copyright in any work developed under a grant, sub-grant, or contract under a grant or sub-grant; and (b) any rights of copyright to which a Contractor, sub-Contractor, or a contractor purchases ownership with grant support.

2. If the grant support provided by DHSES is federally-sponsored, the federal awarding agency also reserves a royalty-free, nonexclusive, and irrevocable license to reproduce, publish or otherwise use, and to authorize others to use: (a) the copyright in any work developed under a grant, sub-grant or contract under a grant or sub-grant; and (b) any rights of copyright to which a Contractor, sub-Contractor, or a contractor purchases ownership with such grant support.

3. The Contractor shall submit one copy of all reports and publications resulting from this Contract to DHSES within thirty (30) calendar days of completion. Any document generated pursuant to this grant must contain the following language:

'This project was supported by a grant administered by the New York State Division of Homeland Security and Emergency Services and the U.S. Department of Homeland Security. Points of view in this document are those of the author and do not necessarily represent the official position or policies of the New York State Division of Homeland Security and Emergency Services or the U.S. Department of Homeland Security.'

O. Accounting for Grant Expenditures:

1. Grant funds may be expended only for purposes and activities set forth in this Contract. Accordingly, the most important single requirement of accounting for this grant is the complete and accurate documentation of grant expenditures. If the Contractor receives funding from two or more sources, all necessary steps must be taken to ensure that grant-related transactions are not commingled. This includes, but is not limited to, the establishment of unique budget codes, a separate cost center, or a separate chart of accounts. Expenditures must be cross-referenced to supporting source documents (purchase orders, contracts, real estate leases, invoices, vouchers, timesheets, mileage logs, etc.).

2. Contractor agrees that it shall maintain adequate internal controls and adhere to Generally Accepted Accounting Principles for Government or Generally Accepted Accounting Principles for Not-for-Profit Organizations.

3. None of the goals, objectives or tasks, as set forth in Appendix D, shall be sub-awarded to another organization without specific prior written

1964

approval by DHSES. Where the intention to make sub-awards is clearly indicated in the application, DHSES approval is deemed given, if these activities are funded, as proposed.

4. If this Contract makes provisions for the Contractor to sub-grant funds to other recipients, the Contractor agrees that all sub-Contractors shall be held accountable by the Contractor for all terms and conditions set forth in this Contract in its entirety. The Contractor further agrees that it shall assume sole and complete responsibility for fulfilling all the obligations set forth in the Contract and the Contractor must guarantee the work of any sub-Contractor as if it were its own.

5. The Contractor agrees that all sub-Contractor arrangements shall be formalized in writing between the parties involved. The writing must, at a minimum, include the following information:

- Activities to be performed;
- Time schedule;
- Project policies;
- Other policies and procedures to be followed;
- Dollar limitation of the Contract;
- Appendix A-1, Appendix C, Certified Assurances for Federally Supported Projects, Certification Regarding Lobbying, Debarment and Suspension and any special conditions set forth in the Contract;
- Applicable federal and/or State cost principles to be used in determining allowable costs; and
- Property Records or Equipment Inventory Reports.

P. The Contractor will not be reimbursed for sub-granted funds unless all expenditures by a sub-Contractor are listed on detailed itemization forms or a form deemed acceptable to DHSES. Backup documentation for such expenditures must be made available to DHSES upon request. All expenditures must be programmatically consistent with the goals and objectives of this Contract and with the Budget set forth in Appendix B.

Q. Space rental provided by this Contract must be supported by a written lease, maintained on file and made available by the Contractor upon request.

R. Equipment and Property:

1. Any equipment, furniture or supplies or other property purchased pursuant to this Contract is deemed to be the property of the State, except as may otherwise be governed by federal or State laws, rules or regulations or stated in this Contract.

2. Equipment means tangible, nonexpendable, personal property having a useful life of more than one year and an acquisition cost of $5,000 or more per unit. A Contractor may use its own definition of equipment provided that such definition would at least include all equipment defined above. A copy of the property record(s) or equipment inventory report(s) with relevant purchasing and supporting documentation must be made available to DHSES upon request. Property records or equipment inventory reports must be maintained, by award, that include a description of the property, a serial number or other identification number, the source of property, who holds title, the acquisition date, and cost of the property, percentage of federal participation in the cost of the property, the location, use and condition of the property, and any ultimate disposition data including the date of disposal and sale price of the property. The Contractor must document receipt of all applicable equipment purchased with grant funds. A physical inventory of the property must be taken and the results reconciled with the property records at least once every two (2) years.

3. Upon completion of all contractual requirements by the Contractor, DHSES will consider a request for continued use and possession of the equipment purchased with grant funds provided the equipment continues to be used in connection with a public security program. When disposing of equipment purchased with homeland security grant funding, a State agency must dispose of equipment in accordance with State Laws and procedures. All other Contractors shall dispose of equipment as follows:

a. Items of equipment with a current per unit market value of less than $5,000 may be retained, sold or otherwise disposed of with no further obligation to the awarding agency.

b. Items of equipment with a current per unit fair market value of $5,000 or more may be retained or sold. If sold, the awarding agency shall have a right to an amount calculated by multiplying the proceeds from the sale by the awarding agency's share of the equipment. If retained, the current market value is to be used in the calculation. To remit payments, award recipients should contact DHSES at 1-866-837-9133 for guidance.

4. Upon completion of all contractual requirements by the Contractor under this Contract, DHSES shall accept a request for continued use and possession of the equipment purchased with grant funds providing the equipment continues to be used in accordance with the contracted activities and guidelines in this Contract.

5. The Contractor must conduct a physical inventory of property records at least once every two years to verify the existence, current utilization and continued need for the property. In the event the property is no longer required by the Contractor, this fact should be reported to DHSES as soon as possible and appropriate guidelines followed, as specified in this Appendix.

1964

6. If Contractor disposes of any equipment purchased under this Contract during the active lifespan of said equipment, Contractor must reinvest any proceeds from the disposal into additional equipment items to continue Contractor's organization's activities subject to the guidelines of this Contract. If the Contractor does not reinvest proceeds to continue activities subject to this Contract, the percentage of the proceeds equal to the proportion of the original purchase price paid by funds for the Contract must be repaid to the State of New York.

ENDNOTES:

[1] To the extent that Section V-Federally Funding Grant Requirements conflict with any other provisions of the Contract, the Federal requirements of Section V shall supersede all other provisions of the Contract.

[2] As of October 9, 2012, the list of discriminatory jurisdictions subject to this provision includes the states of Alaska, Hawaii, Louisiana, South Carolina, West Virginia and Wyoming. Contact NYS Department of Economic Development for the most current list of jurisdictions subject to this provision.

[3] A milestone/performance payment schedule identifies mutually agreed-to payment amounts based on meeting contract events or milestones. Events or milestones must represent integral and meaningful aspects of contract performance and should signify true progress in completing the Contract effort.

[4] Fee for Service is a rate established by the Contractor for a service or services rendered.

[5] Rate based agreements are those agreements in which payment is premised upon a specific established rate per unit.

[6] Scheduled Reimbursement agreements provide for payments that occur at defined and regular intervals that provide for a specified dollar amount to be paid to the Contractor at the beginning of each payment period (i.e. quarterly, monthly or bi-annually). While these payments are related to the particular services and outcomes defined in the Contract, they are not dependent upon particular services or expenses in any one payment period and provide the Contractor with a defined and regular payment over the life of the contract.

[7] Fifth Quarter Payments occur where there are scheduled payments and where there is an expectation that services will be continued through renewals or subsequent contracts. Fifth Quarter Payments allow for the continuation of scheduled payments to a Contractor for the first payment period quarter of an anticipated renewal or new contract.

[8] Not applicable to not-for-profit entities

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Certified by - on

Award Contract **SHSP**

Project No. **Grantee Name**

HM16-1011-D00 Suffolk County 10/07/2016

Budget Summary by Participant

Suffolk County

Suffolk County Dept of Fire Rescue & Emergency Svcs - Version 1

#	Equipment	AEL	Number	Unit Cost	Total Cost	Grant Funds	Matching Funds
1	SCBA Equipment (Cylinder and Harness Sustainment)	01AR-01-SCBA	1	$50,900.00	$50,900.00	$50,900.00	$0.00
2	Detection equipment (Meters)	07CD-01-DPMG	1	$15,000.00	$15,000.00	$15,000.00	$0.00
3	Personal Protective Equipment	01VF-01-ENSM	1	$16,000.00	$16,000.00	$16,000.00	$0.00
	Total				$81,900.00	$81,900.00	$0.00

#	Supplies	Number	Unit Cost	Total Cost	Grant Funds	Matching Funds
1	Miscellaneous Supplies to Support HazMat Program (Sensors, Etc)	1	$13,300.00	$13,300.00	$13,300.00	$0.00
	Total			$13,300.00	$13,300.00	$0.00

1964

#	All Other Expenses	Number	Unit Cost	Total Cost	Grant Funds	Matching Funds
1	Maintenance and Calibration of Equipment	1	$6,800.00	$6,800.00	$6,800.00	$0.00
	Total			$6,800.00	$6,800.00	$0.00

Total Project Costs	Total Cost	Grant Funds	Matching Funds
	$102,000.00	$102,000.00	$0.00

Total Contract Costs	Total Cost	Grant Funds	Matching Funds
	$102,000.00	$102,000.00	$0.00

Award Contract

SHSP

Project No.
HM16-1011-D00

Grantee Name
Suffolk County

10/07/2016

APPENDIX C
PAYMENT AND REPORTING SCHEDULE

For All Contractors:

I. PAYMENT PROVISIONS

1. In full consideration of contract services to be performed, DHSES agrees to pay and the Contractor agrees to accept a sum not to exceed the amount noted on the Face Page hereof. All payments shall be in accordance with the budget contained in the applicable Attachment B form (Budget), which is attached hereto.

A. Payment and Recoupment Language

1. Contractor shall provide complete and accurate vouchers to DHSES in order to receive payment. Vouchers submitted to DHSES must contain all information and supporting documentation required by the Agreement, DHSES and the State Comptroller. Payment for vouchers submitted by the Contractor shall only be rendered electronically, unless a paper check is expressly authorized by the Director of DHSES, at the Director's sole discretion, due to extenuating circumstances. Such electronic payment shall be made in accordance with the ordinary State procedures and practices. The Contractor shall comply with the State Comptroller's procedures to authorize electronic payments. Authorization forms are available at the State Comptroller's website at www.osc.state.ny.us/epay/index.htm, by email at epunit@osc.state.ny.us, or by telephone at (518) 474-4032. Contractor acknowledges that it will not receive payment on any vouchers submitted under this Agreement if it does not comply with the State Comptroller's electronic payment procedures, except where the Director has expressly authorized payment by paper check as set forth above.

2. The Contractor agrees that this is a reimbursement-based contract; an advance may be provided as specified in Appendix D. All requests for reimbursement must reflect actual costs that have been disbursed by the Contractor. Items or services not received are not eligible for reimbursement.

Reimbursement requests need to include the following documents:
- Signed Voucher and Fiscal Cost Report
- Detailed Itemization Forms or other forms deemed acceptable by DHSES of any budgeted category for which reimbursement is requested
- Written documentation of all required DHSES approvals, as appropriate

3. Vouchers shall be submitted in a format acceptable to DHSES and the Office of the State Comptroller. Vouchers submitted for payment shall be deemed to be a certification that the payments requested are for project expenditures made in accordance with the items as contained in the Project Budget (Appendix B) and during the contract period. Such voucher shall also be deemed to certify that: a) the payments requested do not duplicate reimbursement from other sources of funding; and b) the funds provided herein do not replace funds that, in the absence of this grant, would have been made available by the Contractor for this program.

B. Interim and/or Final Claims for Reimbursement

1. Contractors must submit all required fiscal reports, supporting documentation and program progress reports. Failure to meet these requirements will result in the rejection of associated vouchers. Final vouchers, reimbursement requests and reports must be submitted within 30 days of the end of the grant contract period. Failure to voucher within this period may result in the loss of grant funds. The Contractor must also refund all unexpended advances and interest earned over $500 on the advanced funds pursuant to 2 CFR Part 200, §200.305(b)(9). Property Records or Equipment Inventory Reports as defined in Appendix A-1, Section V, Paragraph R, must be available at the conclusion of the contract period and submitted to DHSES upon request.

1964

2. If at the end of this contract there remain any monies (advanced or interest earned over $500 on the advanced funds) associated with this contract in the possession of the Contractor, the Contractor shall submit a check or money order for that amount payable to the order of the New York State Division of Homeland Security and Emergency Services. Remit the check along with the final fiscal cost report within 30 days of termination of this grant contract to:

NYS Division of Homeland Security and Emergency Services
Federal Fiscal Unit
State Campus - Building 7A
1220 Washington Avenue
Albany, NY 12242

3. For purposes of prompt payment provisions, the Designated Payment Office for the processing of all vouchers is the Contract Unit of DHSES. Payment of grant vouchers shall be made in accordance with the provisions of Article XI-A of the State Finance Law. Payment shall be preceded by an inspection period of 15 business days which shall be excluded from calculations of the payment due date for purposes of determining eligibility for interest payments. The Contractor must notify the Federal Fiscal Unit in writing of a change of address in order to benefit from the prompt payment provision of the State Finance Law. When progress reports are overdue, vouchers will not be eligible for prompt payment.

4. Timely and properly completed New York State vouchers, with supporting documentation when required, shall be submitted to:

NYS Division of Homeland Security and Emergency Services
Attention: Contracts Unit
State Office Building Campus – Bldg. 7A
1220 Washington Avenue, Suite 610
Albany, NY 12242

II. REPORTING PROVISIONS

A. Required Reports:

Narrative/Qualitative Report (Progress Report)

The Contractor will submit, on a quarterly basis, not later than 30 days from the end of the quarter, the report described in Section III(G)(2)(a)(i) of Appendix A-1 of the Contract.

Expenditure Report (Fiscal Cost Report)

The Contractor will submit, on a quarterly basis, not later than 30 days after the end date for which reimbursement is being claimed, the report described in Section III, Paragraph G(2)(a)(iii) of the Appendix A-1 of the Contract.

Final Report

The Contractor will submit the final report as described in Section III, Paragraph G(2)(a)(iv) of Appendix A-1 of the Contract, no later than 30 days after the end of the contract period.

1. Fiscal cost reports must be submitted showing grant expenditures. They must also show the amount of interest earned to date on any advanced funds.

All submitted vouchers will reflect the Contractor's actual expenditures and will be accompanied by supporting detailed itemization forms or a form deemed acceptable to DHSES for personal service, fringe benefit and non-personal service expenditures or other documentation as required, and by a fiscal cost report for the reporting period. In the event that any expenditure for which the Contractor has been reimbursed by grant funds is subsequently disallowed, DHSES, in its sole discretion, may reduce the voucher payment by the amount disallowed. If necessary, the Contractor may be required to submit a final budget reallocation.

DHSES reserves the right not to release subsequent grant awards pending Contractor compliance with this Agreement.

2. The Contractor will submit program progress reports and one final report to DHSES on a prescribed form provided by DHSES as well as any additional information or amended data as required.

Progress reports will be due within 30 days of the last day of each calendar quarter or on an alternate schedule as prescribed in Appendix D. Progress reports will be due within 30 days of the last day of the calendar quarter from the start date of the program and the final report will be due upon completion of the project or termination of this Agreement. Calendar quarters, for the purposes of making program progress reports, shall be as follows:

Calendar Quarter: January 1 - March 31 -- Report Due: April 30
Calendar Quarter: April 1 - June 30 -- Report Due: July 30
Calendar Quarter: July 1 - September 30 -- Report Due: October 30
Calendar Quarter: October 1 - December 31 -- Report Due: January 30

1964

The final report, or where applicable interim progress reports, will summarize the project's achievements as well as describe activities for that quarter.

Rev. 07/2015

Certified by - on

Award Contract

Project No.	Grantee Name	SHSP
HM16-1011-D00	Suffolk County	10/07/2016

Work Plan

Goal

To promote the development of regional partnerships among the State HazMat community; to build sustainable CBRNE Detection, Response and Decontamination Capabilities; and to enhance the standing of the State's HazMat teams within FEMA's typing system.

Objective #1

G & T Workplan Code - 05. Establish/enhance regional response teams.

Investment Justification - CBRNE Detection, Response and Decontamination

Target Capability
Primary - WMD/HazMat Response and Decontamination

To enhance regional response teams. (1.1,1.3,1.4,1.6)

Task #1 for Objective #1

Purchase allowable CBRNE/Hazmat response equipment. Train appropriate personnel in the proper use of the equipment and place the equipment into service.

#	Performance Measure
1	Identify equipment ordered and received. Provide a brief narrative on the training of personnel and the deployment of equipment. Describe how the project enhanced regional response team capabilities in the region. Equipment accountability records are properly maintained. Provide explanation if equipment is received but not deployed, and include deployment plans as appropriate.

Task #2 for Objective #1

Perform maintenance and calibration of equipment.

#	Performance Measure
1	Maintenance and/or calibration activities conducted. Provide a brief narrative reporting activities completed and describe how the project enhanced the regional response team capabilities.

Award Contract

Project No.	Grantee Name	SHSP
HM16-1011-D00	Suffolk County	10/07/2016

Suffolk County Indemnification Clause: NOTWITHSTANDING STATE OF NEW YORK AGREEMENT, Appendix A-1, Section I, paragraph O; Section IV, paragraph A, parts 1 and 2, and paragraph B, parts 1-6: The State and Contractor agree that Contractor is an independent contractor, and not an employee of the State. If the Contractor enters into subcontracts for the performance of work pursuant to this Agreement, the Contractor shall be solely responsible to the State for performance, whether the work is performed by the Contractor or its subcontractors.

1964

Nothing in the subcontract shall impair the rights of the State under this Agreement. No contractual relationship shall be deemed to exist between any subcontractor and the State. Nothing in this Agreement shall impair any right of contribution or indemnification that the Contractor may have against any subcontractor or other third party. To the extent permitted by law, the Contractor shall defend, indemnify and hold harmless the State and federal funding agency, and their respective officers, agents and employees from and against all claims, costs (including reasonable attorney's fees), judgments, liens, encumbrances, losses and liabilities arising out of the intentional acts (within the scope of the employee's duties) or negligent acts or omissions of the Contractor relating to or in any way arising out of the provision of services pursuant to this Agreement.

Certified by - on

Award Contract

Project No.	**Grantee Name**	**SHSP**
HM16-1011-D00	Suffolk County	10/07/2016

Special Conditions

I. ALL GRANT FUNDS:
Federal grant funds provided are a subaward of Homeland Security Grant Program (HSGP) funds awarded to the New York State Division of Homeland Security and Emergency Services (DHSES) from the U.S. Department of Homeland Security (DHS), Federal Emergency Management Agency (FEMA).

A. Permissible Use of Funding

1. HSGP funds must be used in accordance with the guidelines set forth in the HSGP Notice of Funding Opportunity, which can be located at http://www.fema.gov/preparedness-non-disaster-grants.

2. All expenditures under this grant must support the Goals and Objectives outlined in the 2014-2016 NYS Homeland Security Strategy and approved investment justifications. New York State's Homeland Security Strategy can be located on the DHSES website at http://www.dhses.ny.gov/planning/#strat.

3. Designated Urban Areas under the Urban Areas Security Initiative (UASI) must have a charter document on file with the Federal Emergency Management Agency (FEMA) prior to drawing down UASI funding. The charter must address critical issues such as membership, governance structure, voting rights, grant management and administration responsibilities, and funding allocation methodologies.

B. Record Requirements

1. Subrecipients shall keep an agenda and meeting minutes on file for all meetings conducted regarding HSGP funded activities.

2. Any documents produced as a result of these meetings such as plans, schedules, or procedures, will also be kept on file and be made available to DHSES, upon request.

C. Equipment Purchases

1. Equipment purchased with grant funds must fall within the allowable equipment categories for HSGP as listed on the Authorized Equipment List (AEL) (https://www.fema.gov/authorized-equipment-list).

2. Subrecipients are responsible to request a determination of eligibility from the U.S. Department of Homeland Security (DHS), through DHSES, for any equipment item in question. Unless otherwise stated in the program guidance, equipment must meet all mandatory regulatory and/or DHS adopted standards to be eligible for purchase using HSGP funds.

3. The New York State Communication Interoperability Plan (SCIP), as well as DHS Grant Guidance for grant funding, requires that all interoperable communications equipment must be on the Authorized Equipment List (AEL) and that the use of APCO P 25 compliant equipment is a recommended technology to achieve emergency interoperable communications.

D. Training & Exercise Related Activities

1. Any non DHS training course to be supported by this award must be submitted in advance to DHSES for written approval.

2. All exercises conducted must be managed and executed in accordance with the Homeland Security Exercise and Evaluation Program (HSEEP). Report scheduled exercises to DHSES through the Master Exercise and Training Information System (METIS - https://metis.nj.gov/)

19164

60 days prior to the start of the exercise. An After Action Report/Improvement Plan (AAR/IP) must be prepared and submitted to DHSES following every exercise, regardless of type or scope. AAR/IPs must conform to the HSEEP format and must be submitted within 60 days of completion of the exercise.

3. Subrecipients are required to be NIMS compliant. DHSES requires that subrecipients contact their county point of contact to determine how the particular county requires reporting. Subrecipients are expected to provide DHSES upon request any data required for annual NIMS certification purposes.

E. Law Enforcement Requirements

1. Subrecipients that are law enforcement agencies agree that such funding shall be utilized for prevention, preparedness, and response initiatives consistent with the New York State Homeland Security Strategy, and with Counter Terrorism Zone (CTZ) efforts at the State and local level. This will ensure that fiscal resources are used for seamless and effective counter terrorism planning, training, information sharing, investigation, equipment acquisition, and response functions.

2. Particular attention must be paid to equipment and technology acquisitions, and, where similar technology already exists in the State's law enforcement communities, subrecipients will ensure that interoperability between and among existing law enforcement systems, and the New York State Intelligence Center (NYSIC), is accomplished.

3. Subrecipients further agree to consult with the NYSIC to ensure agency participation and inclusion in New York State's Field Intelligence Officer (FIO) Program.

F. EHP Requirements

1. Subrecipients shall comply with all applicable federal, State, and local environmental and historic preservation (EHP) requirements and shall provide any information requested by FEMA to ensure compliance with applicable laws including: National Environmental Policy Act, National Historic Preservation Act, Endangered Species Act, and Executive Orders on Floodplains (11988), Wetlands (11990) and Environmental Justice (12898).

2. Failure of subrecipients to meet federal, State, and local EHP requirements and obtain applicable permits may jeopardize federal funding. Subrecipients shall not undertake any project having the potential to impact EHP resources without the prior approval of FEMA, including but not limited to communications towers, physical security enhancements, new construction, and modifications to buildings. Subrecipients must comply with all conditions placed on the project as the result of the EHP review.

3. Any change to the approved project scope of work will require re evaluation for compliance with these EHP requirements.

4. If ground disturbing activities occur during project implementation, subrecipients must ensure monitoring of ground disturbance and if any potential archeological resources are discovered, such subrecipient will immediately cease construction in that area and notify FEMA and the appropriate State Historic Preservation Office.

5. Any construction activities that have been initiated prior to the full environmental and historic preservation review could result in non compliance finding. For your convenience, the screening form is available at: http://www.dhses.ny.gov/grants/eph.cfm.

G. Equipment Maintenance Requirements

1. Subrecipients must track grant funds used for maintenance contracts, warranties, repair or replacement costs and upgrades, and report such expenditures in fiscal and program reports.

H. New York State Emergency Management Certification and Training Program

1. Participation in and successful completion of the New York State Emergency Management Certification and Training Program (EMC Training Program) is a mandatory requirement under this Contract and a condition of funding. The EMC Training Program will be made available to, and required for, DHSES specified county and city government officials in order to ensure a consistent emergency management preparedness and response strategy across the State. Attendee substitutions, except as expressly approved by DHSES, shall not be permitted or deemed to be in compliance with this requirement.

2. To fulfill the EMC Training Program requirement of the Contract and in order to be eligible for funding under this Contract, subrecipients must arrange for DHSES specified subrecipient employees to receive and acknowledge receipt of EMC Training no later than 180 days after execution of this Contract. Copies of the training certificates for each required participant must be submitted to DHSES upon execution of the Contract, or, in the event that training is scheduled, but not yet complete, the subrecipient will be required to submit a signed statement indicating the scheduled future dates of attendance, and no later than thirty (30) days after the training is complete, forward such training certificates to DHSES. Continued compliance with the EMC Training Program also requires an annual refresher training of one day per 365 day cycle from the date of initial training for previously trained individuals if such person remains employed by the subrecipient and fulfilling the same functions as he or she fulfilled during the initial training. Should a new employee be designated to serve in the DHSES specified positions, then he or she must come into compliance with the EMC Training Program requirements not later than 180 days after taking office.

1964

3. Subrecipient must commit to active participation in a DHSES Annual Capabilities Assessment as a condition of funding. Active participation includes making reasonable staff, records, information, and time resources available to DHSES to perform the Annual Capabilities Assessment and meet the objectives and goals of the program. Subrecipients must be aware that the process of conducting a DHSES Annual Risk Assessment is an ongoing process and requires a continued commitment on the part of the subrecipient to ensure that it is effective.

4. All subrecipients funded through this program agree to provide DHSES, upon request at any time during the life of the grant contract, such cooperation and information deemed necessary by DHSES to ascertain: (1) the nature and extent of any threats or hazards that may pose a risk to the subrecipient ; and (2) the status of any corresponding subrecipient plans, capabilities, or other resources for preventing, protecting against, mitigating, responding to, and recovering from such threats or hazards.

5. Additionally, pursuant to Article 26 of the NYS Executive law, DHSES is authorized to undertake periodic drills and simulations designed to assess and prepare responses to terrorist acts or threats and other natural and man made disasters. Funded subrecipients agree to attend and participate in any DHSES sponsored conferences, training, workshops or meetings (excluding those identified by DHSES as voluntary) that may be conducted, by and at the request of DHSES, during the life of the grant contract.

6. Failure to comply with any of the requirements, as listed above, may result in sanctions up to and including the immediate suspension and/or revocation of the grant award.

Intro. Res. No. 1965-2016 Laid on Table 11/9/2016
Introduced by Presiding Officer on request of the County Executive

RESOLUTION NO. 2016
ACCEPTING AND APPROPRIATING 62% STATE AID REIMBURSEMENT FUNDS AWARDED BY THE NEW YORK STATE OFFICE OF CHILDREN AND FAMILY SERVICES TO THE SUFFOLK COUNTY DEPARTMENT OF PROBATION FOR THE SUPERVISION AND TREATMENT SERVICES FOR JUVENILES PROGRAM (STSJP) PROGRAM YEAR (PY) 2016-2017.

WHEREAS, the New York State Office of Children and Family Services (OCFS) has established funding under the Supervision and Treatment Services for Juveniles Program (STSJP) for the State Program Year (PY) 2016-17; and

WHEREAS, the Suffolk County Probation Department filed the County approved plan for participation in the State mandated STSJP diversionary program for juveniles at risk of entering Detention or Placement; and

WHEREAS, the reimbursement funds will allow for the collaborative initiative between New York State OCFS and Suffolk County Probation Department for the implementation and provision of Countywide diversionary services for juveniles at risk of entering costly Detention and Placement facilities; and

WHEREAS, the Suffolk County Probation Department will utilize these state funds to implement and enhance services for pre-adjudicated and adjudicated juveniles throughout Suffolk County based on the approved plan services; and

WHEREAS, the period for this funding is October 1, 2016 through September 30, 2017; and

WHEREAS, the October 1, 2016 through September 30, 2017 Probation Department adopted and requested budgets includes $870,000.00 for 100% County funded contract agencies approved for reimbursement under this approved plan; and

WHEREAS, the Probation Department is contracting with vendors for six approved plan components equivalent to a total cost of $1,130,000.00 with a maximum reimbursement of $700,600.00 for contracted agencies from October 1, 2016 to September 30, 2017 for this plan; and

WHEREAS, the STSJP plan includes $260,000.00 in additional expenses for the Suffolk County Department of Probation to pay contracted agency expenses that will be required in the program; and

WHEREAS, $260,000.00 of the $1,130,000.00 has not been included in the 2016 or 2017 Operating Budget Expenditures to further this initiative; and

WHEREAS, the $700,600.00 has not been included in the 2016 and 2017 Operating Budget Revenues; now, therefore, be it

1st RESOLVED, that the County Executive has already authorized participation in this plan to secure said funds; and further

2nd **RESOLVED**, that the County Comptroller is hereby authorized to accept said reimbursement funds as follows:

REVENUES
State Aid: STSJP Program

Fund	Department	Unit	Revenue Code	Amount
001	PRO	3690	3624	$700,600.00

3rd **RESOLVED,** that the County Comptroller is hereby authorized to appropriate the additional said grant funds as follows:

Suffolk County Probation Department
STSJP 16/17
001-PRO-3690

Fund	Dept.	Budget Type	Unit	Object	Activity	Description	Amount
001	PRO	DEG	3690	4560	0000	Fee for service non-employee	$25,000
001	PRO	DEG	3690	4980	0000	Contracted Agencies	$235,000

and be it further

4th **RESOLVED**, that this Legislature, being the lead agency under the State Environmental Quality Review Act ("SEQRA"), N.Y. Environmental Conservation Law Article 8 and Chapter 450 of the Suffolk County Code, hereby finds and determines that this resolution constitutes a Type II action pursuant to Section 617.5(c)(20) and (27) of Title 6 of the NEW YORK CODE OF RULES AND REGULATIONS ("NYCRR") in that the action constitutes routine or continuing agency administration and management, not including new programs or major reordering of priorities that may affect the environment. The Suffolk County Council on Environmental Quality (CEQ) is hereby directed to circulate any appropriate SEQRA notices of determination of non-applicability or non-significance in accordance with this resolution; and be it further

5th **RESOLVED**, that the County Executive be and is authorized to execute related agreements.

DATED:

APPROVED BY:

_____
County Executive of Suffolk County

Date of Approval:

STATEMENT OF FINANCIAL IMPACT
OF PROPOSED SUFFOLK COUNTY LEGISLATION

1965

1. Type of Legislation

Resolution__X__ Local Law____ Charter Law____

2. Title of Proposed Legislation

Accepting and Appropriating 62% State Aid Reimbursement Funds Awarded by the New York State Office of Children and Family Services to the Suffolk County Department of Probation for the Supervision and Treatment Services for Juveniles Program (STSJP) Program Year (PY) 2016-2017.

3. Purpose of Legislation

To accept and appropriate funds in conjunction with NYS OCFS Supervision and Treatment Service for Youth Program (STSJP) for the State Fiscal Year 2016-17. The Suffolk County Department of Probation received approval for their Detention and Placement diversionary plan entitling the expenses under the plan to be reimbursed via State Aid funding at the rate of 62%. The resolution requests an increase of $260,000 in contractual expenses and the recognition of $700,600 in projected revenue.

4. Will the Proposed Legislation Have a Fiscal Impact? **Yes_X_ No____**

5. If the answer to item 5 is "yes", on what will it impact? **(Circle appropriate category)**

County	X	Town	Economic Impact
Village		School District Other (Specify):	
Library District		Fire District	

6. If the answer to item 5 is "yes", provide Detailed Explanation of Impact

Acceptance of these grant funds has a positive impact on the Revenues budgeted in 2016 and 2017. At the time of budget preparation total funding for the new contract period was unknown.

7. Total Financial Cost of Funding over 5 Years on Each Affected Political or Other Subdivision

None to the County.

8. Proposed Source of Funding

001-3624 State Aid: STSJP Program

9. Timing of Impact Immediate

10. Typed Name & Title of Preparer	11. Signature of Preparer	12. Date
Linda Russo, Principal Financial Analyst	Linda Russo	October 19, 2016

Budget Office 10/25/16

COST TO THE AVERAGE TAXPAYER

1965

GENERAL FUND

	2015 PROPERTY TAX LEVY	ESTIMATED 2016* COST TO AVG TAXPAYER	2015 AV TAX RATE PER $100	2015 FEV TAX RATE PER $1000
TOTAL	$0	$0.00		$0.000

POLICE DISTRICT AND DISTRICT COURT

	2015 PROPERTY TAX LEVY	ESTIMATED 2016* COST TO AVG TAXPAYER	2015 AV TAX RATE PER $100	2015 FEV TAX RATE PER $1000
TOTAL	$0	$0.00		$0.000

COMBINED

	2015 PROPERTY TAX LEVY	ESTIMATED 2016* COST TO AVG TAXPAYER	2015 AV TAX RATE PER $100	2015 FEV TAX RATE PER $1000
TOTAL	$0	$0.00		$0.000

** The Estimated 2016 Cost to Average Taxpayer is based upon the 2015 property tax levy and is provided for informational purposes only.*

NOTES:

1) SOURCE FOR NUMBER OF FAMILY PARCELS AND CORRESPONDING ASSESSED VALUATION: SUFFOLK COUNTY REAL PROPERTY, 2014.
2) SOURCE FOR TOTAL TAXABLE ASSESSED VALUATION FOR COUNTY PURPOSES: SCHEDULE A, REPORT OF ASSESSED VALUATION FOR 2014-2015.
3) SOURCE FOR EQUALIZATION RATES: 2014 COUNTY EQUALIZATION RATES ESTABLISHED BY THE NEW YORK STATE BOARD OF EQUALIZATION AND ASSESSMENTS.

To be completed by the Executive Budget Office

1965

2016 INTERGOVERNMENTAL RELATIONS
MEMORANDUM OF SUPPORT

TITLE OF BILL:
Accepting and Appropriating 62% State Aid Reimbursement Funds Awarded by the New York State Office of Children and Family Services to the Suffolk County Department of Probation for the Supervision and Treatment Services for Juveniles Program (STSJP).

PURPOSE OR GENERAL IDEA OF BILL: To accept and appropriate funds in conjunction with NYS OCFS Supervision and Treatment Service for Youth Program (STSJP) for the State Fiscal Year 2016-17. The Suffolk County Department of Probation received approval for their Detention and Placement diversionary plan entitling the expenses under the plan to be reimbursed via State Aid funding at the rate of 62%. The resolution requests an increase of $260,000 in contractual expenses and the recognition of $700,600 in projected revenue. Three components of the plan have existed as fully funded County programs for many years and will now be eligible for reimbursement funding. These funds are not included in the 2016 Suffolk County Operating Budget.

SUMMARY OF SPECIFIC PROVISIONS: This Legislation requests the acceptance and allocation of $700,600 of revenues for the 2016-2017 State fiscal period. This funding will facilitate 62% reimbursement of projected program costs in the amount of $1,130,000. $870,000 in contracted costs is already a part of the 2016 adopted and 2017 requested budgets at 100% county funding. The additional $260,000 in contracted expenses is requested to be allocated to contract agencies under Appropriation 3690.

JUSTIFICATION: Suffolk County has been allocated reimbursement funding for participation in the NYS STSJP program for the last four fiscal years and again for the 2016-17 State fiscal year. This funding is for counties to develop and enact diversionary programs for juveniles in an effort to reduce Detention and Placement costs and admissions. The plan submitted by the Probation Department was accepted including three current contracted programs and three alternative programs to be contracted for. The three existing Probation programs are eligible for reimbursement as alternatives to placement and detention and will no longer be 100% funded by the County.

1965

NEW YORK STATE | Office of Children and Family Services

ANDREW M. CUOMO
Governor

SHEILA J. POOLE
Acting Commissioner

October 18, 2016

Dear Chief Executive Officer,

Thank you for submitting Suffolk County's Supervision and Treatment Services for Juveniles Program (STSJP) plan for Program Year (PY) 2016-17. Your plan has been reviewed by the Office of Children and Family Services (OCFS) and I am pleased to inform you that your STSJP plan has been **approved**.

Suffolk County is eligible to receive 62% State reimbursement for STSJP expenditures up to the capped STSJP allocation amount. Your municipality will continue to receive 49% State reimbursement for eligible detention services expenditures up to the capped allocation amount. If your municipality shifts a portion of its detention allocation into its STSJP plan, your municipality will receive 62% State reimbursement if such shifted funds are spent on STSJP eligible expenditures. A municipality may make a detention allocation shift at the time of the STSJP plan submission or at any time during the program year. If Suffolk County plans to shift its detention allocation for STSJP eligible expenses, please submit a request on official letterhead to Cara Korn and email it to stsjp@ocfs.ny.gov outlining the amount that will be shifted and the type of programming or services the re-purposed detention funds will be used for under STSJP. An amended STSJP plan will also need to be submitted.

All STSJP claims must be submitted electronically via the Juvenile Detention Automated System (JDAS) for the service period of October 1, 2016 to September 30, 2017. Questions on all aspects of the claiming process should be directed to Daniel Hulihan at (518) 473-4511 or at Daniel.Hulihan@ocfs.ny.gov.

Thank you for your continued partnership as we reform the juvenile justice practices in New York State by safely engaging youth and their family through innovative alternative to placement and detention programs.

If you have any questions, please email us at stsjp@ocfs.ny.gov and write "STSJP Plan Questions" in the subject line so that we may best assist you in a timely manner. You can direct all STSJP inquiries to Cara Korn at (518) 408-3999 or Cara.Korn@ocfs.ny.gov .

Sincerely,

Joseph Mancini
Associate Commissioner
Office of Community Partnerships
Division of Juvenile Justice and Opportunities for Youth

1965

NEW YORK STATE
OFFICE OF CHILDREN AND FAMILY SERVICES

SUPERVISION AND TREATMENT SERVICES FOR JUVENILE PROGRAM (STSJP) ANNUAL PLAN

FOR PROGRAM YEAR OCTOBER 1, 2016–SEPTEMBER 30, 2017

STSJP Plans are due to the Office of Children and Family Services (OCFS) by 08 / 01 / 2016

Plans should be submitted to: stsjp@ocfs.ny.gov

Please ensure that the title "**Supervision and Treatment Services for Juveniles Plan—2016–2017 Annual plan**" and your municipality's name are in the subject field to facilitate the timely review of your STSJP Plan. **Note:** Program Year (PY) refers to the performance period for STSJP funded programs.

Please direct any STSJP Plan questions to:

Shawn Chin-Chance Ph. 518-486-7170 **Email:** stsjp@ocfs.ny.gov

SECTION ONE- Municipality Information	
NAME OF MUNICIPALITY: Suffolk County	
STSJP LEAD AGENCY: Suffolk County Department of Probation	STSJP LEAD PERSON: Tracy Peterson, PPO
STSJP LEAD PHONE NUMBER: 631-853-5487	STSJP LEAD E-MAIL: tracy.peterson@suffolkcountyny.gov

SECTION TWO – List of Programs and Services to be Funded

In this section, list the exact name of each program and administering agency that will be funded with STSJP funds, along with the projected amount of STSJP funds (state and local) to be used for each.

Program One-Name	Diagnostic Evaluations for High Risk Juveniles	**Type of Program**	**ATD**
Administrating Agency	Various		
Total Program Expenses	$ 25,000	**Rollover Funded Program**	☐ Yes ☒ No

1. Please indicate specific zip codes that your plan targets:	N/A
[redacted]	

2. How will the program reduce the number of youth who are detained or in residential placement?

PINS and JD juveniles who are violated from Probation often end up in OCFS placements. Some of these violations could be prevented though a comprehensive diagnostic evaluation and treatment recommendation prior to the violation of probation being ordered. Probation officers can then make treatment decisions to address the youth's needs in hopes of reducing violations of probation that lead to placement. The Diagnostic evaluations will be conducted by consulting Psychologist contracted through the Probation Department. The evaluation would include a comprehensive psychosocial assessment (including parent/guardian interview), full psychological evaluation and treatment recommendations

3. How will the program be family focused?

The comprehensive psychosocial assessment is intended to help keep the child with the family and to make the appropriate treatment referrals that will help keep the chaild at home rather than face a violation of probation leading to OCFS placement.

4. Can the program be replicated across multiple locations and if yes, describe how?

The program is best used in conjunction with the Family Court locations within Suffolk County

5. What is the projected number of youth that are served by this STSJP funded program?

25-30 youth

1465

6. If the program is being used as an ATD and an ATP, please specify separately how the program will serve youth for each of these two categories. N/A
6a. What is the projected number of youth that are to be served by the ATD program? N/A
6b. What is the projected number of youth to be served by the ATP Program? N/A
7. In order to satisfy STSJP data reporting requirements, how will municipalities communicate/share information and collaborate within and across agencies and funded programs/providers? The County requires each consultant that provides services under this plan to submit monthly reports.
If the program received STSJP funds for PY 2016 (April 1, 2015–March 31, 2016), answer the questions below:
8. If the program was used during STSJP PY 2016 (April 1, 2015–March 31, 2016), were the performance outcomes met? If yes, describe the outcomes met: N/A
9. What outcomes were not met and what were the barriers to accomplishing the outcomes? N/A
10. How many years has this program been supported with STSJP funds? 0. This is a new program for PY 2016-2017
11. What was the average length of stay for youth in the program? (Please specify stay in days and if the program is an ATD, ATP or Other) the face to face assessment should take about 3-4 hours with a follow up parent interview and completion within a 2-3 week period.
12. How many youth received services in the program (specify if program is an ATD, ATP or Other program) during PY 2016? This program was not funded by STSJP funding PY 2015-2016
13. What amount of STSJP funding in PY 2016 was not expended? N/A

1965

Program Two-Name	Pre-Petition/ Adjustment Services	Type of Program	ATD
Administrating Agency	Hope For Youth, Inc.		
Total Program Expenses	$ $57,500	Rollover Funded Program	☐ Yes ☒ No

1. Please indicate specific zip codes that your plan targets: N/A

[redacted]

2. How will the program reduce the number of youth who are detained or in residential placement?

These services are available County-wide. The adjustment process can be used as an alternative to judicial intervention and can provide opportunity for mediation and development of a comprehensive family involved services plan less likely to result in confinement. The program focus is to assess the service needs of the youth and his or her family to assist the probation department to resolve disputes voluntarily and informally without a court intervention.. The lack of court involvement will preclude detention or placement on successful cases.

3. How will the program be family focused?

One of the Department's most pressing priorities is to improve service delivery to at risk children and their family to prevent the children from deeper involvement in the juvenile justice system with the potential of being placed in a detention or placement facility. The adjustment process can be used as an alternative to judicial intervention and can provide opportunity for mediation and development of a comprehensive family involved service plan less likely to result in confinement.

4. Can the program be replicated across multiple locations and if yes, describe how?

The program is best used in conjunction with the Family Court locations within Suffolk County

5. What is the projected number of youth that are served by this STSJP funded program?

Approximately 70 youth and families

6. If the program is being used as an ATD and an ATP, please specify separately how the program will serve [illegible] for each of these two categories.

6a. What is the projected number of youth that are to be served by the ATD program?

N/A

6b. What is the projected number of youth to be served by the ATP Program?

N/A

7. In order to satisfy STSJP data reporting requirements, how will municipalities communicate/share information and collaborate within and across agencies and funded programs/providers?

The County requires each agency who provides services under this plan to submit monthly reports.

If the program received STSJP funds for PY 2016 (April 1, 2015–March 31, 2016), answer the questions below:

8. If the program was used during STSJP PY 2016 (April 1, 2015–March 31, 2016), were the performance outcomes met? If yes, describe the outcomes met:

The program successfully served 17 children and families.

9. What outcomes were not met and what were the barriers to accomplishing the outcomes?

None

[illegible]

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10. How long has this program been supported with STSJP funds?

Since October 1, 2014

11. What was the average length of stay for youth in the program? (Please specify stay in days and if the program is either an ATD, ATP or Other)

60 days

12. How many youth received services in the program (specify if program is an ATD, ATP or Other program) during PY 2016?

17 youth

13. What amount of STSJP funding in PY 2016 was not expended?

$1,890.04

Program Three-Name	Supervision and Treatment Program	Type of Program	ATP
Administrating Agency	Hope for Youth, Inc.		
Total Program Expenses	$ 177,500	Rollover Funded Program	☐ Yes ☒ No

1. Please indicate specific zip codes that your plan targets:

[redacted]

2. How will the program reduce the number of youth who are detained or in residential placement?

An alternative to a crisis home environment can provide opportunity for mediation and development of a service plan less likely to result in confinement. The program will serve youth, referred by the Department, between the ages of 11 and 15 who are currently the subject of court intervention or at risk for court involvement. These youths must reside in one of the target communities of Babylon, Huntington, Islip, Smithtown and Brookhaven Townships, excluding Brentwood (11717), Bay Shore (11706) and Central Islip (11722).

3. How will the program be family focused?

The contractor will provide clinical and case management services and family support services using the Multi-Dimensional Family Therapy (MDFT) mode. As a multisystem mode, MDFT clinicians will work individually with the adolescent and the parent, with the family as a whole to facilitate new relationships, and with family members in relation to sources of ongoing influences such as school and juvenile justice systems to address current functioning and new solutions for the adolescent. The contractor's case manager and therapist assigned to the youth and their family will each meet with them in their home on a weekly basis, and will conduct family sessions providing up to three contacts weekly in each case. Programming must include: community based collaborations, youth and family focused services. The program will be strategically located with flexible hours of operation, and flexibility/responsiveness. Youth development services will include work readiness and mentoring services, pregnancy prevention programs, educational advocacy and restorative practices.

4. Will the program be replicated across multiple locations and if yes, describe how?

[illegible] can be replicated across multiple locations. The program is currently servicing the five (55) Western Suffolk [illegible] with the exception of specific zip codes contracted directly between the Contractor and NYS.

5. What is the projected number of youth that are served by this STSJP funded program?

18 - 20 youth and families

6. **If the program is being used as an ATD and an ATP, please specify separately how the program will serve youth for each of these two categories.**

N/A

6a. What is the projected number of youth that are to be served by the ATD program?

N/A

6b. What is the projected number of youth to be served by the ATP Program?

N/A

1963

7. In order to satisfy STSJP data reporting requirements, how will municipalities communicate/share information and collaborate within and across agencies and funded programs/providers?

The County requires each agency who provides services under this plan to submit monthly reports.

1965

If the program received STSJP funds for PY 2016 (April 1, 2015–March 31, 2016), answer the questions below:
8. If the program was used during STSJP PY 2016 (April 1, 2015–March 31, 2016), were the performance outcomes met? If yes, describe the outcomes met: Yes, performance measures were met. The program served a total of 22 youth.
9. What outcomes were not met and what were the barriers to accomplishing the outcomes? none
10. How long has this program been supported with STSJP funds? Since April 1, 2014 11. What was the average length of stay for youth in the program? (Please specify stay in days and if the program is either an ATD, ATP or Other) six (6) months
12. How many youth received services in the program (specify if program is an ATD, ATP or Other program) during PY 2016? 22
13. What amount of STSJP funding in PY 2016 was not expended? $6,366.19

1965

NEW YORK STATE
OFFICE OF CHILDREN AND FAMILY SERVICES

SUPERVISION AND TREATMENT SERVICES FOR JUVENILE PROGRAM (STSJP) ANNUAL PLAN FOR PROGRAM YEAR (PY) OCTOBER 1, 2016, 2016–SEPTEMBER 30, 2017

Program Four-Name	Respite	**Type of Program**	**ATD/ATP**
Administrating Agency	Hope for Youth, Inc.		
Total Program Expenses	$ $50,000	**Rollover Funded Program**	☐ Yes ☒ No

1. Please indicate specific zip codes that your plan targets:	N/A
[redacted]	

2. How will the program reduce the number of youth who are detained or in residential placement?

These services are available countywide. Some juveniles who are arrested and, pursuant to a risk assessment, are determined ineligible for placement in Detention cannot be returned to their home because of the circumstances surrounding the arrest or unrest within the family setting. Respite is an important component in diffusing tense circumstances and allowing for cooling off period. This also enables the juveniles and their families time to seek our resources to address the problems to avoid escalation and court intervention.

3. How will the program be family focused?

Respite bed access is available for juveniles who cannot be returned to their home because of the circumstances surrounding the arrest or unrest within the family setting. Although Respite is voluntary, the facility offers a safe haven for the juvenile and a period of separation for the family to allow all parties the opportunity to seek resources to aid in the reunion of all parties. A plan is developed for the juvenile and the family to address the presenting issues inclusive of arrangements for home based services to assist the family unit as a whole.

4. Can the program be replicated across multiple locations and if yes, describe how?

This program is contracted currently with only one vendor. That vendor has a physical location in western Suffolk County. Respite services can be accommodated in multiple locations throughout the County if the availability was there. This is a problem that the department is looking to rectify through contractual agreements with other agencies but there are procurement procedures that must be followed to expand these services.

5. What is the projected number of youth that are served by this STSJP funded program?

10-15 youth

6. **If the program is being used as an ATD and an ATP, please specify separately how the program will serve youth for each of these two categories.**

Respite bed access for juveniles who are arrested is an important component in diffusing tense circumstances and allowing for a cooling off period. This also enables the juvenile and their families time to seek out resources to address the presenting problems to avoid escalation and court intervention.

6a. What is the projected number of youth that are to be served by the ATD program?

5-7

6b. What is the projected number of youth to be served by the ATP Program?

5-10

7. In order to satisfy STSJP data reporting requirements, how will municipalities communicate/share information and collaborate within and across agencies and funded programs/providers?

The County requires each agency who provides services under this plan to submit monthly reports.

Did the program receive STSJP funds FY 2015? ☒ **Yes** ☐ **No** **If Yes, answer the questions below:**

8. If the program was used during STSJP PY 2016 (April 1, 2015–March 31, 2016), were the performance outcomes met? If yes, describe the outcomes met:

The program served 10 youth for an average lengh of stay of 6.5 days.

9. What outcomes were not met and what were the barriers to accomplishing the outcomes?

none

10. How many years has this program been supported with STSJP funds?

Since April 1, 2014

11. What was the average length of stay for youth in the program? (Please specify stay in days and if the program is an ATD, ATP or Other)

6.5 days

12. How many youth received services in the program (specify if program is an ATD, ATP or Other program) during PY 2016?

10

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13. What amount of STSJP funding in PY 2016 was not expended?

$51,401.410

Program Five-Name	Home Based Services	Type of Program	ATD/ATP
Administrating Agency	Family Service League		
Total Program Expenses	$ $640,000	Rollover Funded Program	☐ Yes ☒ No

1. Please indicate specific zip codes that your plan targets:	N/A	
[redacted]		

2. How will the program reduce the number of youth who are detained or in residential placement?

These services are available countywide. Home Based workers afford a variety of services and referrals to additional services while working with juveniles and their families in the home setting. The availability of home based resources to mitigate or correct family issues will reduce court involvement as the sources of the issues can be addressed at the basic level.

3. How will the program be family focused?

The Home Base approach is characterized by custom designed case planning and is child centered, family focused, community-based and culturally competent. Home Based workers afford a variety of services and referrals to additional services while working with the juveniles and their families in the home setting. The availability of home based resources to mitigate or correct family issues will reduce court involvement as the sources of the issues can be addressed at the basic level. Social workers in the juvenile's homes are more likely to engage the entire family and are certainly afforded the opportunity to observe and assess the family dynamic resulting in all-inclusive services.

4. Can the program be replicated across multiple locations and if yes, describe how?

This program is based in the home of the juveniles and is available throughout the County.

5. What is the projected number of youth that are served by this STSJP funded program?

220

6. If the program is being used as an ATD and an ATP, please specify separately how the program will serve youth for each of these two categories.

Home Based workers afford a variety of services and referrals to additional services while working with the juveniles and their families in the home setting. The availability of home based resources to mitigate or correct family issues reduces court involvement as the source of the issues can be addressed at the basic level. Social workers in the juveniles' home are more likely to engage the entire family and are afforded the opportunity to observe and assess the family dynamic. These services thereby afford all juveniles the opportunity to have diversionary resources resulting in shortened detention stays and the intervention before violations arise with potential placement.

6a. What is the projected number of youth that are to be served by the ATD program?

50-100

6b. What is the projected number of youth to be served by the ATP Program?

150-170

7. In order to satisfy STSJP data reporting requirements, how will municipalities communicate/share information and collaborate within and across agencies and funded programs/providers?

The County requires each agency who provides services under this plan to submit monthly reports.

Did the program receive STSJP funds for FY 2015? ☒ Yes ☐ No If Yes, answer the questions below:

8. If the program was used during STSJP PY 2016 (April 1, 2015–March 31, 2016), were the performance outcomes met? If yes, describe the outcomes met:

Yes, the program served 218 youth during STSJP PY 2016

9. What outcomes were not met and what were the barriers to accomplishing the outcomes?

none

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10. How many years has this program been supported with STSJP funds?

Since April 1, 2014

11. What was the average length of stay for youth in the program? (Please specify stay in days and if the program is an ATD, ATP or Other)

six (6) months

12. How many youth received services in the program (specify if program is an ATD, ATP or Other program) during PY 2016?

218

13. What amount of STSJP funding in PY 2016 was not expended?

$44,542.71

Program Six-Name	Education Advcoacy Services	**Type of Program**	**ATP**
Administrating Agency	Long Island Advocacy Center		
Total Program Expenses	$ $180,000	**Rollover Funded Program**	☐ Yes ☒ No

1. Please indicate specific zip codes that your plan targets:	N/A
[redacted]	

2. How will the program reduce the number of youth who are detained or in residential placement?

These services are available Countywide. There is a contracted agency to provide educational advocacy, assessment and support services to participants in the Department's Juvenile Delinquent Advocacy program. These services help juveniles to rectify educational issues that create school related problems. Juveniles with Probation supervision could, consequently, have educational issues resulting in violations and court interaction and the potential for placement.

3. How will the program be family focused?

The contractor provides educational advocacy, assessment and support services to juveniles through meetings with the juveniles, parents and school district administrators. The parents have to participate and thereby learn what services their youth is entitled to and what additional resources are required to have the juvenile succeed

4. Can the program be replicated across multiple locations and if yes, describe how?

The advocates in this program offer services Countywide and have no restrictions on geographic areas

5. What is the projected number of youth that are served by this STSJP funded program?

85-100

6. **If the program is being used as an ATD and an ATP, please specify separately how the program will serve youth for each of these two categories.**

N/A

6a. What is the projected number of youth that are to be served by the ATD program?

N/A

6b. What is the projected number of youth to be served by the ATP Program?

85-100

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7. In order to satisfy STSJP data reporting requirements, how will municipalities communicate/share information and collaborate within and across agencies and funded programs/providers?

The County requires each agency who provides services under this plan to submit monthly reports.

Did the program receive STSJP funds for FY 2015? ☒ Yes ☐ No If Yes, answer the questions below:

8. If the program was used during STSJP PY 2016 (April 1, 2015–March 31, 2016), were the performance outcomes met? If yes, describe the outcomes met:

Yes. The program served 139 youth with 116 diverted from placement.

9. What outcomes were not met and what were the barriers to accomplishing the outcomes?

None

10. How many years has this program been supported with STSJP funds?

Since April 1, 2014

11. What was the average length of stay for youth in the program? (Please specify stay in days and if the program is an ATD, ATP or Other)

6 months

12. How many youth received services in the program (specify if program is an ATD, ATP or Other program) during PY 2016?

139 for the STSJP contract period

13. What amount of STSJP funding in PY 2016 was not expended?

$5,304.93

Program Seven Name		**Type of Program**	
Administrating Agency			
Total Program Expenses	$	**Rollover Funded Program**	☐ Yes ☐ No

1. Please indicate specific zip codes that your plan targets:

[redacted]

2. How will the program reduce the number of youth who are detained or in residential placement?

3. How will the program be family focused?

4. Can the program be replicated across multiple locations and if yes, describe how?

5. What is the projected number of youth that are served by this STSJP funded program?

1965

SECTION THREE – Analysis of Communities

Provide an analysis that identifies the neighborhoods or communities by zip code from which the greatest number of juvenile delinquents (JD), juvenile offenders (JO) and persons in need of supervision (PINS) are remanded to detention or residentially placed. Note any communities or neighborhoods that are different than in last year's plan. Please ensure that your identification of target areas or populations is clearly highlighted in your plan.

In 2015, Suffolk County had a total of 197 detention placements and 100 residential placements. Juvenile delinquents, juvenile offenders, and persons in need of supervision are referred from all towns and communities within Suffolk County with a few towns having a higher number of cases. In 2015, the residential placement data indicated the top five communities for placement referrals were Brentwood, Wyandanch, Central Islip, and Bellport. In 2015, The top six communities for detention (secure and non-secure) were Bay Shore, Brentwood, Central Islip, Wyandanch, Huntington Station and Riverhead.

The Long Island Regional Youth and Justice Team (LIRYJT) includes juvenile justice representatives from Nassau and Suffolk Counties who come together quarterly to share best practices, identify areas for practice improvement and provide input to state policymakers. The LIRYJT is working to make data collection and reporting improvements for juvenile planning and is expected to have a comprehensive data reporting plan in place by the end of the summer 2016. The STSJP programs approved last year, and proposed for this year, do serve all youth from all town in Suffolk County.

SECTION FOUR – Disparity

In this section, please provide information indicating whether the use of detention or residential placement in your service area exhibits a significant racial or ethnic disparity or disproportionality. Please note that when looking for disparity, highlight, with the use of accurate data, youth who given comparable levels of need, do not receive equal utilization of services. Seek out all decision points to illustrate usage. When looking for disproportionality, identify any population groups who are underrepresented in a larger population and then overrepresented in a subset population. For example, population group A represents 15 percent of the general population but represents 75 percent of the detention population. If you currently do not measure these variables, please include your plan for data collection for Racial and Ethnic Disparities across your system. If no disparities or disproportionalities exist in your system simply state that in the space below.

According to the US Census, the racial ethnic composition for Suffolk County is 84.9% white, 8.4% Black, 4.2% Asian, and 2.5% other. The Hispanic population (any race) is 18.6%. For Suffolk County in 2014, the detention population is overrepresented by Black (32%) and Hispanic (38%) youth and underrepresented by White (27%) youth. Between 2010 and 2015, we have seen a slight decrease for Black youth (from 34% to 32%) in detention and an increase for Hispanic youth (from 26% to 38%) in detention.

The County has not engaged in any in-depth analysis of the data regarding racial and ethnic disparities at various system points or in the utilization of services and outcomes.

If such disproportionality exists, describe how the service/program proposed for funding will address this issue.

The LIRYJT has made it a priority to develop a comprehensive juvenile justice data collection plan which can be used to look more deeply into the county and town data for juveniles and include demographic characteristics as well as services utilization and outcomes. This will allow for the counties to better understand the racial and ethnic disparities at the various system points and also to make comparisons between counties.

SECTION FIVE – Strategy

Justification and Overall Strategy – The purpose of STSJP funds is to establish supports and services for youth who, absent these services, are likely to be detained or placed. Funds should therefore be clearly targeted to meet the needs of the types of youth who in the past have been admitted to detention or residential placement. The municipality shall develop such plan in cooperation with the applicable local governmental departments responsible for probation, law enforcement, detention, diversion, and social services; and with the courts, service providers, schools and youth development programs. Please list your collaborative partners and how collaboration occurred.

For many years, Suffolk County has engaged in developing and supporting a range of programs and services which address risk factors for detention and placement of juveniles. Suffolk County Probation along with the County Executive's Office, the Long Island Regional Youth and Justice Team membership includes Nassau and Suffolk County juvenile justice stakeholders including probation, county attorneys, juvenile justice service providers, the judiciary, community organizations and schools. The Team also includes representatives from NYS OCFS and DCJS. The LIRYJT has helped to identify programs showing success in reducing placement and detention which have been enhances or expanded through these funds.

1965

SECTION SIX – Outcomes

Performance Outcomes – For 2016–2017, provide the projected performance outcomes for your proposed services and programs, being sure to include: An estimate of the anticipated reductions in detention utilization and residential placements.

Diagnostic evaluations are ordered for juveniles through the Family Court. Diagnostic evaluations are conducted in a residential setting for children and youth for the purposes of stabilization, evaluation and overall treatment planning. Several organizations provide diagnostic evaluations. The cost for diagnostics are set by the Office of Children and Family Services based upon the level of care and supervision for the facility.

The Pre-Petition Adjustment process was identified as an alternative to judicial intervention which can provide an opportunity for mediation and family intervention with the intent of resolving disputes voluntarily and informally. This can reduce the likelihood of a petition being filed with the court and further entry into the system by the youth and families.

The Supervision and Treatment Program is funded through OCFS for several high risk communities in Suffolk County. For this STSJP plan, Suffolk County has expanded the program to include most other towns in the county. The program was developed as an alternative to a home crisis situation which can lead to further entry into the juvenile justice system and potential placement.

The Respite Program was selected to provide respite bed access for juveniles who are arrested and are determined ineligible for placement yet cannot be returned to their home. The program offers the juvenile and their families time to seek out resources to address the problems which can lead to further court involvement and higher risk of placement.

Home Base Service program provides a variety of intensive services and referrals for juveniles and their families throughout the county to help reduce further court involvement and potential risk of placement.

Lastly, the Education Advocacy Program is available Countywide and provides educational advocacy, assessment and support services to the juvenile delinquent population. The program helps juveniles to rectify educational issues and reduce school related problems which can lead to probation violations and higher risk of court involvement and potential placement.

1965

Other projected positive outcomes for youth who participate in the services and programs:
The youth who participate in these programs not only benefit from not being detained or placed but benefit from the corrective actions resulting from services both individual and within the family setting. The successful completion of any of the proposed components affords participants additional skills or lessons through program support.

Are there any changes in allocations or practices planned for PY 2016–2017 based on experiences in PY 2015–2016, please list those changes:
Yes. Project #1 in PY 2015-2016 was the Juvenile Day Reporting Center. Due to changes with the County, this program will no longer be in existence. For PY 2016-2017 Suffolk will include Institutional Diagnostic Evaluations as Project #1. Although Institutional diagnostic evaluations were not funded by STSJP PY 2015-2016, the program served a total of 60 youth during that time frame.

Please assess whether the services and programs in PY 2015–2016's STSJP plan achieved the projected reductions in detention utilization and residential placements. If they did not, what were the barriers?

SECTION SEVEN– Cooperative Applications Submitted Jointly by Two or More Municipalities
(complete this section only if this is a joint application) **two or more municipalities may join together to establish, operate and maintain STSJP programs and may make and perform agreements in connection therewith.**

Municipalities submitting such application must provide the following information

- **Describe how each municipality will proportionately share the cost of expense listed within this plan**
- **Describe how personnel cost and resources will be utilized during the program year by each municipality as a cooperative?**
- **Identify a single fiscal officer who will be the lead for all fiscal requirements related to STSJP?**

N/A

1965

SECTION EIGHT– Plan Amounts

Instructions:

A. Enter all program expenses in Program Services tab.
B. Specify State Reimbursements for this plan (lines 6-9)

Expenses		
1. Program Expenses (from Program Services)	1,130,000	
2. State Reimbursement (Line 1* 0.62)		$700,600
Available Reimbursements		
3. STSJP Allocation	$238,986	
4. Detention Allocation	$2,517,695	
5. JDAI	$0	
Reimbursements for this Plan		
6. STSJP Allocation		$238,986
7. Detention Allocation being shifted to STSJP (if applicable)		$461,614
8. JDAI (if applicable)		$0
9. PY 2015--2016 Rollover (if applicable)		$0
10. Total Reimbursements (Lines 6-9)		$700,600
State and Local Totals		
11. State Share Amount (Line 10)		$700,600
12. Local Share Amount (Subtract Line 11 from 10)		$429,400

SECTION NINE– Approval

Approval of the Chief Executive Officer

As STSJP Lead for The County of Suffolk Municipality, I certify that the CEO Steven Bellone has reviewed and approved the 2016–2017 plan.

Date: 07 / 29 / 2016 STSJP Lead User ID: _____ STSJP Lead Printed Name: Tracy Peterson

INSTRUCTIONS:

Instructions for submitting an STSJP plan for OCFS review.

a. Once you have opened this form on your computer, please immediately use the "Save As" function in Microsoft Word to save a copy;
b. Save your application using the following name "PY 2016–2017 STSJP Plan – (Name of municipality)";
c. Work from the "saved" application document, using it to record all of your municipality's information;
d. Once you have completed entering the required data, save the document;
e. Prior to submission, review calculation in section eight for accuracy. Complete the "Approval and Certification" section before submission to OCFS;
f. Send the completed application to OCFS via the STSJP email address at stsjp@ocfs.ny.gov.

Approval of the OCFS STSJP Program Lead

As OCFS STSJP reviewer, I certify that I approve of this Supervision and Treatment Services for Juveniles Program Plan for Suffolk Municipality and 2016–2017 Program Year.

Date: 10/5/16 User ID: robert.ellis@ocfs.ny.gov Printed Name: Robert Ellis /s/

COUNTY OF SUFFOLK

1965

STEVEN BELLONE
SUFFOLK COUNTY EXECUTIVE

DEPARTMENT OF PROBATION

PATRICE S. DLHOPOLSKY
DIRECTOR

TO: Jon Schneider, Deputy County Executive
Suffolk County Executive's Office

FROM: Robert Marmo, Chief Planner
Suffolk County Department of Probation

DATE: October 19, 2016

SUBJECT: Resolution Packet for Supervision and Treatment Services for Juveniles Programs (STSJP)

To accept and appropriate funds in conjunction with NYS OCFS Supervision and Treatment Service for Youth Program (STSJP) for the State Fiscal Year 2016-17. The Suffolk County Department of Probation received approval for their Detention and Placement diversionary plan entitling the expenses under the plan to be reimbursed via State Aid funding at the rate of 62%. The resolution requests an increase of $260,000 in contractual expenses and the recognition of $700,600 in projected revenue. Three components of the plan have existed as fully funded County programs for many years and will now be eligible for reimbursement funding. These funds are not included in the 2016 or 2017 Operating or Requested budget.

If you have any questions, please feel free to contact me at 2-5105

YAPHANK AVENUE
PO BOX 205
YAPHANK, NEW YORK 11980
(631) 852 – 6824/6825

Intro. Res. No. 1966-16 Laid on Table 11/9/16

Introduced by Presiding Officer on request of the County Executive

RESOLUTION NO. – APPROPRIATING FUNDS IN CONNECTION WITH THE PLANT OPERATIONS BUILDING – GRANT CAMPUS (CP 2144)

WHEREAS, the President of Suffolk County Community College and the Board of Trustees have requested funds for the implementation of this project; and

WHEREAS, the New York State Legislature and the Governor have included funding in the New York State Budget to cover the State's fifty percent share; and

WHEREAS, sufficient funds have been included in the 2016 Capital Budget and Program to cover the County's cost of the project; and

WHEREAS, in accordance with the Memorandum of Understanding between the County of Suffolk and Suffolk County Community College, dated April 16, 2009, the College Board of Trustees serves as Lead Agency for determinations issued pursuant to the State Environmental Quality Review Act ("SEQRA") (NYS Environmental Conservation Law, Article 8) and its implementing regulations at Title 6 NYCRR Part 617; and

WHEREAS, that this Legislature, by resolution of even date herewith, has authorized the issuance of $1,700,000 in Suffolk County Serial Bonds; and

WHEREAS, Resolution No. 471-1994 as revised by Resolution No. 571-1998, Resolution No. 209-2000 and Resolution No. 461-2006 established the use of a priority ranking system, implemented in the Adopted 2016 Capital Budget, as the basis for funding capital projects such as this project; now, therefore be it

1st **RESOLVED**, that the Board of Trustees at its Trustee meeting October 20, 2016, hereby finds and determines that the Plant Operations Building to be constructed at the Michael J. Grant Campus constitutes an "Unlisted Action", pursuant to 6 NYCRR 617.2(AK); and be it further

2nd **RESOLVED**, that it is hereby determined that this project, with a priority ranking of fifty-four (54) is eligible for approval in accordance with the provisions of Resolution No. 471-1994 as revised by Resolution No. 571-1998, Resolution No. 209-2000 and Resolution No. 461-2006; and be it further

3rd **RESOLVED**, that the proceeds of $1,700,000 in Suffolk County Serial Bonds be and they are hereby appropriated as follows:

Project No.	JC	Project Title	Amount
525-CAP-2144.310 (Fund 818 Debt Service)	30	Plant Operations Building – Construction	$1,500,000
525-CAP-2144.510 (Fund 818 Debt Service)	30	Plant Operations Building – F&E	$ 200,000

; and be it further

4th **RESOLVED**, that State Aid be and it hereby is appropriated as follows:

Project No.	JC	Project Title	Amount
REF-525-CAP-2144.310	30	Plant Operations Building – Construction	$1,500,000
REF-525-CAP-2144.510	30	Plant Operations Building – F&E	$ 200,000

DATED:

APPROVED BY:

_____

County Executive of Suffolk County

Date of Approval:

STATEMENT OF FINANCIAL IMPACT
OF PROPOSED SUFFOLK COUNTY LEGISLATION 1966

1. Type of Legislation

Resolution ___X___ Local Law _____ Charter Law _____

2. Title of Proposed Legislation

RESOLUTION NO. – APPROPRIATING FUNDS IN CONNECTION WITH THE PLANT OPERATIONS BUILDING – GRANT CAMPUS (CP 2144)

3. Purpose of Proposed Legislation

See above.

4. Will the Proposed Legislation Have a Fiscal Impact? **Yes** __X__ **No** _____

5. If the answer to item 4 is "yes", on what will it impact? (circle appropriate category)

County	**Town**	**Economic Impact**
Village	**School District**	**Other (Specify):**
Library District	**Fire District**	

6. If the answer to item 5 is "yes", Provide Detailed Explanation of Impact

SERIAL BONDS WILL BE ISSUED TO FINANCE THIS PROJECT. PRINCIPAL AND INTEREST COSTS WILL BE INCURRED OVER THE LIFE OF THE BONDS. NEW YORK STATE DORMITORY AID WILL ALSO BE USED TO FINANCE THIS PROJECT.

7. Total Financial Cost of Funding over 5 Years on Each Affected Political or Other Subdivision.

SEE ATTACHED DEBT SCHEDULE

8. Proposed Source of Funding

50% SERIAL BONDS
50% STATE AID

9. Timing of Impact

FOR THE COUNTY SHARE, IT IS ANTICIPATED THAT BONDS WILL BE ISSUED SPRING OF 2017 AND DEBT SERVICE WILL COMMENCE SPRING 2018. THERE IS NO FISCAL IMPACT IN 2016 OR 2017. EARLIEST DEBT SERVICE FISCAL IMPACT WILL BE IN THE 2018 OPERATING BUDGET. ATTACHED 2018 CAT BASED ON 2016 DATA.

10. Typed Name & Title of Preparer	11. Signature of Preparer	12. Date
Nicholas Paglia Principal Budget Examiner		October 31, 2016

SCIN FORM 175b (10/95)

Suffolk County

General Obligation Serial Bonds
Level Debt

1966

Term of Bonds: 30
Amount to Bond: $1,700,000

Date	Coupon	Principal	Interest	Total Debt Service	Fiscal Debt Service
6/1/2017					
6/1/2018	2.000%	$39,499.34	$40,375.00	$79,874.34	$79,874.34
6/1/2019	2.000%	$40,437.45	$19,718.45 $19,718.45	$19,718.45 $60,155.89	$79,874.34
6/1/2020	2.000%	$41,397.84	$19,238.25 $19,238.25	$19,238.25 $60,636.09	$79,874.34
6/1/2021	2.000%	$42,381.04	$18,746.65 $18,746.65	$18,746.65 $61,127.69	$79,874.34
6/1/2022	2.125%	$43,387.59	$18,243.38 $18,243.38	$18,243.38 $61,630.96	$79,874.34
6/1/2023	2.125%	$44,418.04	$17,728.15 $17,728.15	$17,728.15 $62,146.19	$79,874.34
6/1/2024	2.125%	$45,472.97	$17,200.68 $17,200.68	$17,200.68 $62,673.66	$79,874.34
6/1/2025	2.125%	$46,552.95	$16,660.69 $16,660.69	$16,660.69 $63,213.65	$79,874.34
6/1/2026	2.250%	$47,658.59	$16,107.88 $16,107.88	$16,107.88 $63,766.46	$79,874.34
6/1/2027	2.375%	$48,790.48	$15,541.93 $15,541.93	$15,541.93 $64,332.41	$79,874.34
6/1/2028	2.500%	$49,949.25	$14,962.54 $14,962.54	$14,962.54 $64,911.80	$79,874.34
6/1/2029	2.500%	$51,135.55	$14,369.40 $14,369.40	$14,369.40 $65,504.94	$79,874.34
6/1/2030	2.500%	$52,350.02	$13,762.16 $13,762.16	$13,762.16 $66,112.18	$79,874.34
6/1/2031	2.625%	$53,593.33	$13,140.51 $13,140.51	$13,140.51 $66,733.83	$79,874.34
6/1/2032	2.625%	$54,866.17	$12,504.08 $12,504.08	$12,504.08 $67,370.26	$79,874.34
6/1/2033	2.750%	$56,169.24	$11,852.55 $11,852.55	$11,852.55 $68,021.79	$79,874.34
6/1/2034	2.750%	$57,503.26	$11,185.54 $11,185.54	$11,185.54 $68,688.80	$79,874.34
6/1/2035	2.875%	$58,868.96	$10,502.69 $10,502.69	$10,502.69 $69,371.65	$79,874.34
5/31/2036	2.875%	$60,267.10	$9,803.62 $9,803.62	$9,803.62 $70,070.72	$79,874.34
6/1/2037	3.000%	$61,698.45	$9,087.95 $9,087.95	$9,087.95 $70,786.39	$79,874.34
6/1/2038	3.000%	$63,163.78	$8,355.28 $8,355.28	$8,355.28 $71,519.06	$79,874.34
6/1/2039	3.125%	$64,663.92	$7,605.21 $7,605.21	$7,605.21 $72,269.13	$79,874.34
5/31/2040	3.125%	$66,199.69	$6,837.32 $6,837.32	$6,837.32 $73,037.02	$79,874.34
6/1/2041	3.250%	$67,771.93	$6,051.20 $6,051.20	$6,051.20 $73,823.14	$79,874.34
6/1/2042	3.250%	$69,381.52	$5,246.41 $5,246.41	$5,246.41 $74,627.93	$79,874.34
6/1/2043	3.500%	$71,029.33	$4,422.51 $4,422.51	$4,422.51 $75,451.83	$79,874.34
5/31/2044	3.500%	$72,716.28	$3,579.03 $3,579.03	$3,579.03 $76,295.31	$79,874.34
6/1/2045	3.625%	$74,443.29	$2,715.53 $2,715.53	$2,715.53 $77,158.81	$79,874.34
6/1/2046	3.625%	$76,211.31	$1,831.51 $1,831.51	$1,831.51 $78,042.83	$79,874.34
6/1/2047	3.625%	$78,021.33	$926.50 $926.50	$926.50 $78,947.84	$79,874.34
		$1,700,000.00	$696,230.20	$2,396,230.20	$2,396,230.20

FINANCIAL IMPACT
2018 PROPERTY TAX LEVY
COST TO THE AVERAGE TAXPAYER

1966

GENERAL FUND

	2018 PROPERTY TAX LEVY	2018 COST TO AVG TAXPAYER	2018 FV TAX RATE PER $1000
TOTAL	$79,874	**$0.15**	$0.000

POLICE DISTRICT AND DISTRICT COURT

	2018 PROPERTY TAX LEVY	2018 COST TO AVG TAXPAYER	2018 FV TAX RATE PER $1000
TOTAL	$0	**$0.00**	$0.000

COMBINED

	2018 PROPERTY TAX LEVY	2018 COST TO AVG TAXPAYER	2018 FV TAX RATE PER $1000
TOTAL	$79,874	**$0.15**	$0.002

NOTES:

1) SOURCE FOR NUMBER OF FAMILY PARCELS AND CORRESPONDING ASSESSED VALUATION: SUFFOLK COUNTY REAL PROPERTY, 2015.
2) SOURCE FOR TOTAL TAXABLE ASSESSED VALUATION FOR COUNTY PURPOSES: SCHEDULE A, REPORT OF ASSESSED VALUATION FOR 2015-2016.
3) SOURCE FOR EQUALIZATION RATES: 2015 COUNTY EQUALIZATION RATES ESTABLISHED BY THE NEW YORK STATE BOARD OF EQUALIZATION AND ASSESSMENTS.

To be completed by the Executive Budget Office

FINANCIAL IMPACT
2017 PROPERTY TAX LEVY
COST TO THE AVERAGE TAXPAYER 1966

GENERAL FUND

	2017 PROPERTY TAX LEVY	2017 COST TO AVG TAXPAYER	2017 FV TAX RATE PER $1000
TOTAL	**$0**	**$0.00**	$0.000

POLICE DISTRICT AND DISTRICT COURT

	2017 PROPERTY TAX LEVY	2017 COST TO AVG TAXPAYER	2017 FV TAX RATE PER $1000
TOTAL	**$0**	**$0.00**	$0.000

COMBINED

	2017 PROPERTY TAX LEVY	2017 COST TO AVG TAXPAYER	2017 FV TAX RATE PER $1000
TOTAL	**$0**	**$0.00**	$0.000

NOTES:

1) SOURCE FOR NUMBER OF FAMILY PARCELS AND CORRESPONDING ASSESSED VALUATION: SUFFOLK COUNTY REAL PROPERTY, 2015.
2) SOURCE FOR TOTAL TAXABLE ASSESSED VALUATION FOR COUNTY PURPOSES: SCHEDULE A, REPORT OF ASSESSED VALUATION FOR 2015-2016.
3) SOURCE FOR EQUALIZATION RATES: 2015 COUNTY EQUALIZATION RATES ESTABLISHED BY THE NEW YORK STATE BOARD OF EQUALIZATION AND ASSESSMENTS.

To be completed by the Executive Budget Office

FINANCIAL IMPACT
2016 PROPERTY TAX LEVY
COST TO THE AVERAGE TAXPAYER 1966

GENERAL FUND

	2016 PROPERTY TAX LEVY	2016 COST TO AVG TAXPAYER	2016 FV TAX RATE PER $1000
TOTAL	**$0**	**$0.00**	$0.000

POLICE DISTRICT AND DISTRICT COURT

	2016 PROPERTY TAX LEVY	2016 COST TO AVG TAXPAYER	2016 FV TAX RATE PER $1000
TOTAL	**$0**	**$0.00**	$0.000

COMBINED

	2016 PROPERTY TAX LEVY	2016 COST TO AVG TAXPAYER	2016 FV TAX RATE PER $1000
TOTAL	**$0**	**$0.00**	$0.000

NOTES:

1) SOURCE FOR NUMBER OF FAMILY PARCELS AND CORRESPONDING ASSESSED VALUATION: SUFFOLK COUNTY REAL PROPERTY, 2015.
2) SOURCE FOR TOTAL TAXABLE ASSESSED VALUATION FOR COUNTY PURPOSES: SCHEDULE A, REPORT OF ASSESSED VALUATION FOR 2015-2016.
3) SOURCE FOR EQUALIZATION RATES: 2015 COUNTY EQUALIZATION RATES ESTABLISHED BY THE NEW YORK STATE BOARD OF EQUALIZATION AND ASSESSMENTS.

To be completed by the Executive Budget Office

1966

Suffolk
COUNTY COMMUNITY COLLEGE

Central Administration – Capital Projects/Facilities Department

October 21, 2016

Jon Schnieder
Deputy County Executive
H. L. Dennison Building
100 Veterans Memorial Highway
Hauppauge, NY 11788

Re: Proposed Capital Budget Resolution

Dear Mr. Schnieder:

The College respectfully requests that the proposed resolution enclosed herein be introduced at the next meeting of the Legislature:

- Appropriating funds in connection with the Plant Operations Building – Grant Campus (CP2144)

This draft resolution was e-mailed to the distribution list **CE Reso Review** saved under the title "Reso-SCCC- Plant Ops Grant Constr.docx" on October 21, 2016.

Please feel free to call me at (451-4233) if any additional information is required.

Sincerely,

Jon DeMaio, P.E.
Administrative Director of Educational Facilities

Enclosure

Cc: Louis Petrizzo – College General Council, SCCC
Ben Zwirn – Intergovernmental Relations Coordinator, SCCC
Paul Cooper, P.E. – Executive Director of Facilities/Technical Support, SCCC
Sara Gorton – Principal Auditor, SCCC

Suffolk
COUNTY COMMUNITY COLLEGE

1966

Office of the President

BOARD OF TRUSTEES
October 20, 2016

RESOLUTION NO. 2016.79 - Making A SEQRA Determination for the Construction of the New Plant Operations Building on the Michael J. Grant Campus (CP 2144)

WHEREAS, the College Board of Trustees serves as Lead Agency for determinations issued pursuant to the State Environmental Quality Review Act ("SEQRA") (NYS Environmental Conservation Law, Article 8) and its implementing regulations at Title 6 NYCRR Part 617, and

WHEREAS, under Capital Project 2144, the College will be constructing the new Plant Operations Building on the Michael J. Grant Campus, and

WHEREAS, this project is subject to review under SEQRA as both the State of New York and the County of Suffolk have the authority to issue approval for the funding of this project, and

WHEREAS, the College recommends that this project be considered an "Unlisted Action," pursuant to 6 NYCRR §617.2(ak), as this project neither meets or exceeds a threshold contained in the list of Type I Actions in 6 NYCRR §617.4, nor meets the definition of any listed Type II Action in 6 NYCRR §617.5, and

WHEREAS, a Short Environmental Assessment Form (SEAF) was prepared by the College, as shown in Attachment IV , attached hereto, to ascertain the environmental impacts of this project, as per 6 NYCRR §617.6(a)(3), and

WHEREAS, the New York State Department of Environmental Conservation (NYSDEC) EAF Mapper Application was used to populate answers to geographic or place-based questions on the SEAF, and

WHEREAS, the results of the EAF Mapper Application are consistent with other available sources that identify environmentally sensitive conditions with the exception of the relative location of wetlands to the project site which requires a check of other available sources to confirm or refute the finding, and

WHEREAS, the NYSDEC Environmental Resource Mapper indicates that no wetlands are located on the Grant Campus, nor near the project site, and

WHEREAS, the College's review pursuant to SEQRA has indicated that the construction of the new Plant Operations Building on the Grant Campus will not have a significant adverse impact on the environment, be it therefore

Suffolk COUNTY COMMUNITY COLLEGE 1966

RESOLUTION NO. 2016.79 - Continued

RESOLVED, that the Board of Trustees hereby finds and determines that the construction of the new Plant Operations Building on the Michael J. Grant Campus constitutes an "Unlisted Action," with no significant adverse impacts on the environment pursuant to 6 NYCRR §617.2(ak), for the following reasons:

1. The action will not exceed any of the criteria contained in 6 NYCRR §617.7(c) which sets forth thresholds for determining significant adverse impacts on the environment;
2. The action does not adversely impact surface or groundwater, traffic or noise levels, nor increase erosion, flooding, leaching or drainage problems as the topography remains flat, the building will be sewered, and storm water will be managed with the existing Campus storm water sewer system;
3. The action does not remove large quantities of vegetation, does not impact a significant habitat area, and does not impact a threatened or endangered species of animal or plant;
4. As the action does not adversely impact groundwater, the action does not impair the environmental characteristics of the state listed Critical Environmental Area (CEA) identified by the EAF Mapper Application as SGPA nor the CEA listed under NYSDEC Critical Environmental Areas in Suffolk County as Oak Brush plains;
5. The action does not conflict with the College's Master Plan;
6. The action does not impair the character or quality of important historical, architectural or aesthetic resources as the site does not contain a structure that is listed on either the State or National Register of Historic Places;
7. While the site is located in an archeological sensitive area, there is no record of any significant archeological findings within the Campus property and therefore the action does not impair the character or quality of important archeological resources;
8. The increased use of electricity and natural gas associated with the action is not significant relative to the rest of the Michael J. Grant Campus;
9. The action does not create a hazard to human health;
10. The action is consistent with the current use of the land as a community college;
11. The action does not attract a large number of people to the Campus compared to the number of people who would come to the Campus absent the action;
12. The action does not create a material demand for other actions that would meet one or more of the criteria in 6 NYCRR §617.7(c);
13. The action does not result in changes to two or more elements of the environment that when considered together result in a substantial adverse impact on the environment;
14. There are no related actions to this action that when considered cumulatively would meet one or more of the criteria in 6 NYCRR §617.7(c);
15. All necessary State and County approvals will be obtained.

Bryan Lilly
Secretary

Board of Trustees
October 20, 2016
Attachment IV

Short Environmental Assessment Form
Part 1 - Project Information

1966

Instructions for Completing

Part 1 - Project Information. The applicant or project sponsor is responsible for the completion of Part 1. Responses become part of the application for approval or funding, are subject to public review, and may be subject to further verification. Complete Part 1 based on information currently available. If additional research or investigation would be needed to fully respond to any item, please answer as thoroughly as possible based on current information.

Complete all items in Part 1. You may also provide any additional information which you believe will be needed by or useful to the lead agency; attach additional pages as necessary to supplement any item.

Part 1 - Project and Sponsor Information

Name of Action or Project:
Capital Project 2144 - Plant Operations Building Grant Campus

Project Location (describe, and attach a location map):
Crooked Hill Road, Brentwood, NY 11717-1092

Brief Description of Proposed Action:
A new building will be constructed to consolidate and relocate campus Plant Operations. The building will be a single story pre-engineered structure approximately 12,000 gsf.

Name of Applicant or Sponsor:	Telephone: 631-451-4000
Suffolk County Community College	E-Mail: www.sunysuffolk.edu

Address:
533 College Road

City/PO:	State:	Zip Code:
Selden	NY	11784

	NO	YES
1. Does the proposed action only involve the legislative adoption of a plan, local law, ordinance, administrative rule, or regulation? If Yes, attach a narrative description of the intent of the proposed action and the environmental resources that may be affected in the municipality and proceed to Part 2. If no, continue to question 2.	☑	☐
2. Does the proposed action require a permit, approval or funding from any other governmental Agency? If Yes, list agency(s) name and permit or approval: County of Suffolk - funding; State of New York - funding; Community College - building permit	☐	☑

3.a. Total acreage of the site of the proposed action? 0.7 acres
b. Total acreage to be physically disturbed? 0.7 acres
c. Total acreage (project site and any contiguous properties) owned or controlled by the applicant or project sponsor? 207 acres

4. Check all land uses that occur on, adjoining and near the proposed action.
☐ Urban ☐ Rural (non-agriculture) ☐ Industrial ☐ Commercial ☑ Residential (suburban)
☐ Forest ☐ Agriculture ☐ Aquatic ☑ Other (specify): Educational
☐ Parkland

1966

	NO	YES	N/A
5. Is the proposed action,			
a. A permitted use under the zoning regulations?	☐	☑	☐
b. Consistent with the adopted comprehensive plan?	☐	☑	☐
6. Is the proposed action consistent with the predominant character of the existing built or natural landscape?	NO ☐	YES ☑	
7. Is the site of the proposed action located in, or does it adjoin, a state listed Critical Environmental Area? If Yes, identify: Name:SGPA, Reason:Protect groundwater, Agency:Long Island Regional Planning, Date:3-19-93	NO ☐	YES ☑	
8. a. Will the proposed action result in a substantial increase in traffic above present levels?	NO ☑	YES ☐	
b. Are public transportation service(s) available at or near the site of the proposed action?	☐	☑	
c. Are any pedestrian accommodations or bicycle routes available on or near site of the proposed action?	☐	☑	
9. Does the proposed action meet or exceed the state energy code requirements? If the proposed action will exceed requirements, describe design features and technologies:	NO ☐	YES ☑	
10. Will the proposed action connect to an existing public/private water supply? If No, describe method for providing potable water:	NO ☐	YES ☑	
11. Will the proposed action connect to existing wastewater utilities? If No, describe method for providing wastewater treatment:	NO ☐	YES ☑	
12. a. Does the site contain a structure that is listed on either the State or National Register of Historic Places?	NO ☑	YES ☐	
b. Is the proposed action located in an archeological sensitive area?	☐	☑	
13. a. Does any portion of the site of the proposed action, or lands adjoining the proposed action, contain wetlands or other waterbodies regulated by a federal, state or local agency?	NO ☐	YES ☑	
b. Would the proposed action physically alter, or encroach into, any existing wetland or waterbody? If Yes, identify the wetland or waterbody and extent of alterations in square feet or acres:	☑	☐	
14. Identify the typical habitat types that occur on, or are likely to be found on the project site. Check all that apply: ☐ Shoreline ☐ Forest ☐ Agricultural/grasslands ☑ Early mid-successional ☐ Wetland ☐ Urban ☑ Suburban			
15. Does the site of the proposed action contain any species of animal, or associated habitats, listed by the State or Federal government as threatened or endangered?	NO ☑	YES ☐	
16. Is the project site located in the 100 year flood plain?	NO ☑	YES ☐	
17. Will the proposed action create storm water discharge, either from point or non-point sources? If Yes,	NO	YES	
a. Will storm water discharges flow to adjacent properties? ☑ NO ☐ YES	☐	☑	
b. Will storm water discharges be directed to established conveyance systems (runoff and storm drains)? If Yes, briefly describe: ☐ NO ☑ YES Existing campus storm water conveyance system			

1966

	NO	YES
18. Does the proposed action include construction or other activities that result in the impoundment of water or other liquids (e.g. retention pond, waste lagoon, dam)? If Yes, explain purpose and size:	☑	☐
19. Has the site of the proposed action or an adjoining property been the location of an active or closed solid waste management facility? If Yes, describe:	☑	☐
20. Has the site of the proposed action or an adjoining property been the subject of remediation (ongoing or completed) for hazardous waste? If Yes, describe:	☑	☐

I AFFIRM THAT THE INFORMATION PROVIDED ABOVE IS TRUE AND ACCURATE TO THE BEST OF MY KNOWLEDGE

Applicant/sponsor name: _____ Date: _____

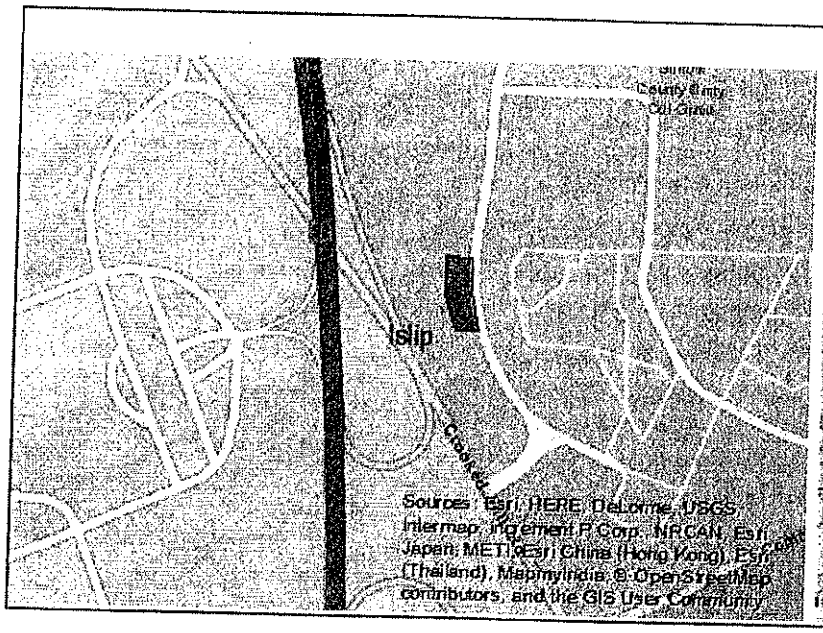
Signature: _____

PRINT FORM

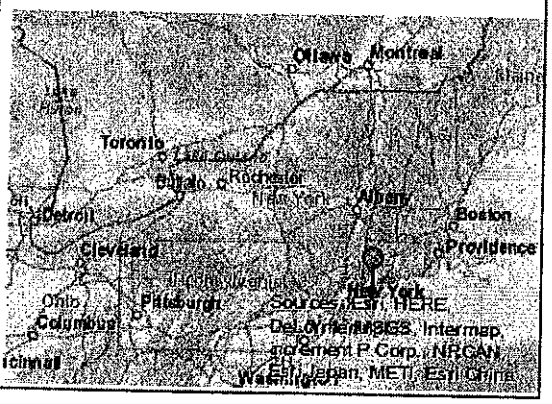
EAF Mapper Summary Report

19666

Friday, September 23, 2016 3:20 PM



Disclaimer: The EAF Mapper is a screening tool intended to assist project sponsors and reviewing agencies in preparing an environmental assessment form (EAF). Not all questions asked in the EAF are answered by the EAF Mapper. Additional information on any EAF question can be obtained by consulting the EAF Workbooks. Although the EAF Mapper provides the most up-to-date digital data available to DEC, you may also need to contact local or other data sources in order to obtain data not provided by the Mapper. Digital data is not a substitute for agency determinations.



Part 1 / Question 7 [Critical Environmental Area]	Yes
Part 1 / Question 7 [Critical Environmental Area - Identify]	Name:SGPA, Reason:Protect groundwater, Agency:Long Island Regional Planning, Date:3-19-93
Part 1 / Question 12a [National Register of Historic Places]	No
Part 1 / Question 12b [Archeological Sites]	Yes
Part 1 / Question 13a [Wetlands or Other Regulated Waterbodies]	Yes - Digital mapping information on local and federal wetlands and waterbodies is known to be incomplete. Refer to EAF Workbook.
Part 1 / Question 15 [Threatened or Endangered Animal]	No
Part 1 / Question 16 [100 Year Flood Plain]	No
Part 1 / Question 20 [Remediation Site]	No

1966

Agency Use Only [If applicable]

Project: Capital Project 2144 - Plant Ops. Bldg.

Date:

Short Environmental Assessment Form
Part 2 - Impact Assessment

Part 2 is to be completed by the Lead Agency.
Answer all of the following questions in Part 2 using the information contained in Part 1 and other materials submitted by the project sponsor or otherwise available to the reviewer. When answering the questions the reviewer should be guided by the concept "Have my responses been reasonable considering the scale and context of the proposed action?"

	No, or small impact may occur	Moderate to large impact may occur
1. Will the proposed action create a material conflict with an adopted land use plan or zoning regulations?	✓	
2. Will the proposed action result in a change in the use or intensity of use of land?	✓	
3. Will the proposed action impair the character or quality of the existing community?	✓	
4. Will the proposed action have an impact on the environmental characteristics that caused the establishment of a Critical Environmental Area (CEA)?	✓	
5. Will the proposed action result in an adverse change in the existing level of traffic or affect existing infrastructure for mass transit, biking or walkway?	✓	
6. Will the proposed action cause an increase in the use of energy and it fails to incorporate reasonably available energy conservation or renewable energy opportunities?	✓	
7. Will the proposed action impact existing: a. public / private water supplies?	✓	
b. public / private wastewater treatment utilities?	✓	
8. Will the proposed action impair the character or quality of important historic, archaeological, architectural or aesthetic resources?	✓	
9. Will the proposed action result in an adverse change to natural resources (e.g., wetlands, waterbodies, groundwater, air quality, flora and fauna)?	✓	
10. Will the proposed action result in an increase in the potential for erosion, flooding or drainage problems?	✓	
11. Will the proposed action create a hazard to environmental resources or human health?	✓	

PRINT FORM

1966

Agency Use Only [If applicable]

Project: Capital Project 2144

Date:

Short Environmental Assessment Form
Part 3 Determination of Significance

For every question in Part 2 that was answered "moderate to large impact may occur", or if there is a need to explain why a particular element of the proposed action may or will not result in a significant adverse environmental impact, please complete Part 3. Part 3 should, in sufficient detail, identify the impact, including any measures or design elements that have been included by the project sponsor to avoid or reduce impacts. Part 3 should also explain how the lead agency determined that the impact may or will not be significant. Each potential impact should be assessed considering its setting, probability of occurring, duration, irreversibility, geographic scope and magnitude. Also consider the potential for short-term, long-term and cumulative impacts.

☐ Check this box if you have determined, based on the information and analysis above, and any supporting documentation, that the proposed action may result in one or more potentially large or significant adverse impacts and an environmental impact statement is required.

☑ Check this box if you have determined, based on the information and analysis above, and any supporting documentation, that the proposed action will not result in any significant adverse environmental impacts.

Name of Lead Agency	Date
Print or Type Name of Responsible Officer in Lead Agency	Title of Responsible Officer
Signature of Responsible Officer in Lead Agency	Signature of Preparer (if different from Responsible Officer)

PRINT FORM

Oak Brush Plains Critical Environmental Area (CEA)

1966

Effective Date of Designation: 2-10-1988

Designating Agency: Long Island Regional Planning

Legend

☐ Oak Brush Plains CEA

0 0.5 1 2 Miles

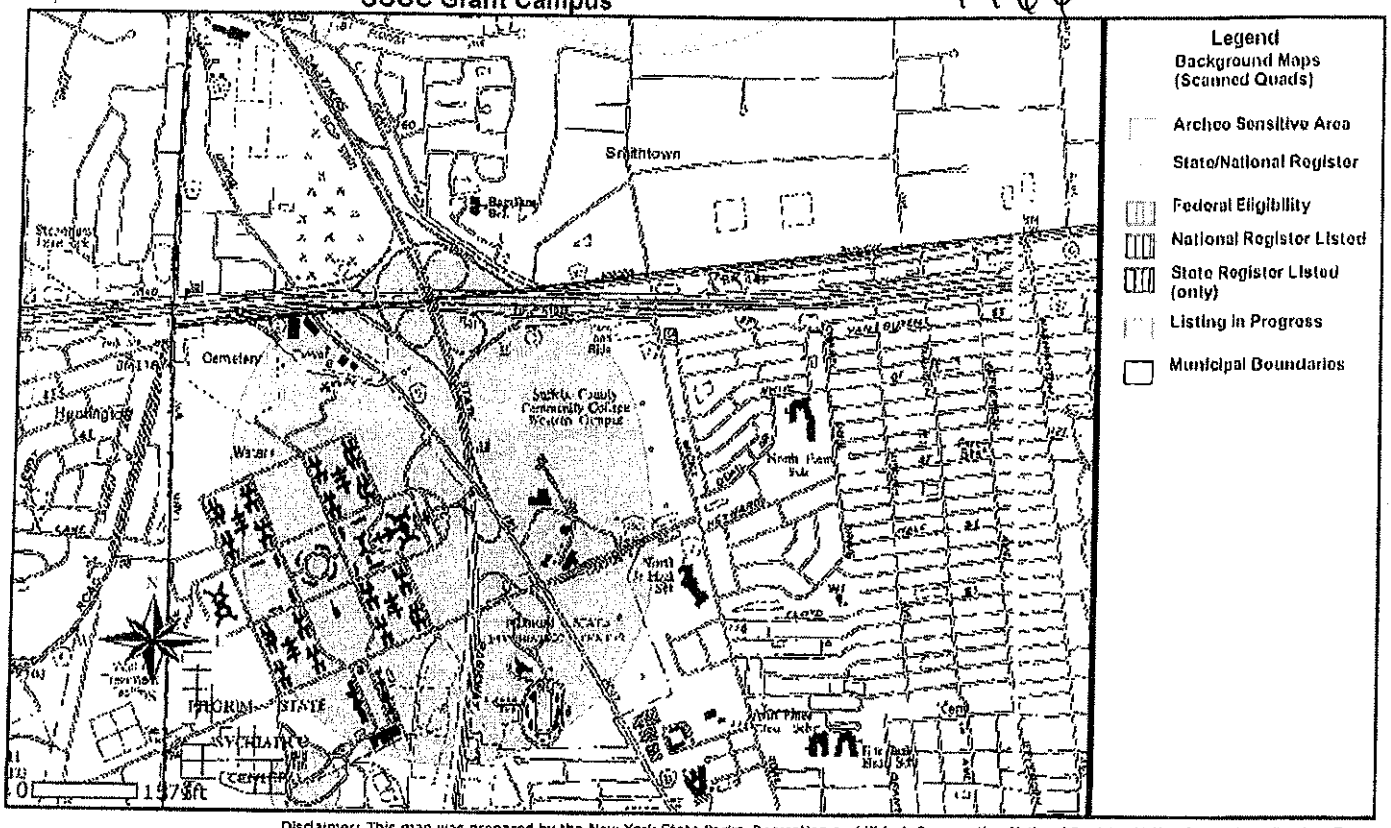
1 inch equals 0.64 miles

Base Map: DOT 1:24,000 Planimetric Images

Disclaimer: This map was prepared by the New York State Department of Environmental Conservation using the most current data available. It is deemed accurate but is not guaranteed. NYS DEC is not responsible for any inaccuracies in the data. Please contact the designating authority for additional information regarding legal boundary descriptions.

1966

SCCC Grant Campus



January 7, 2014

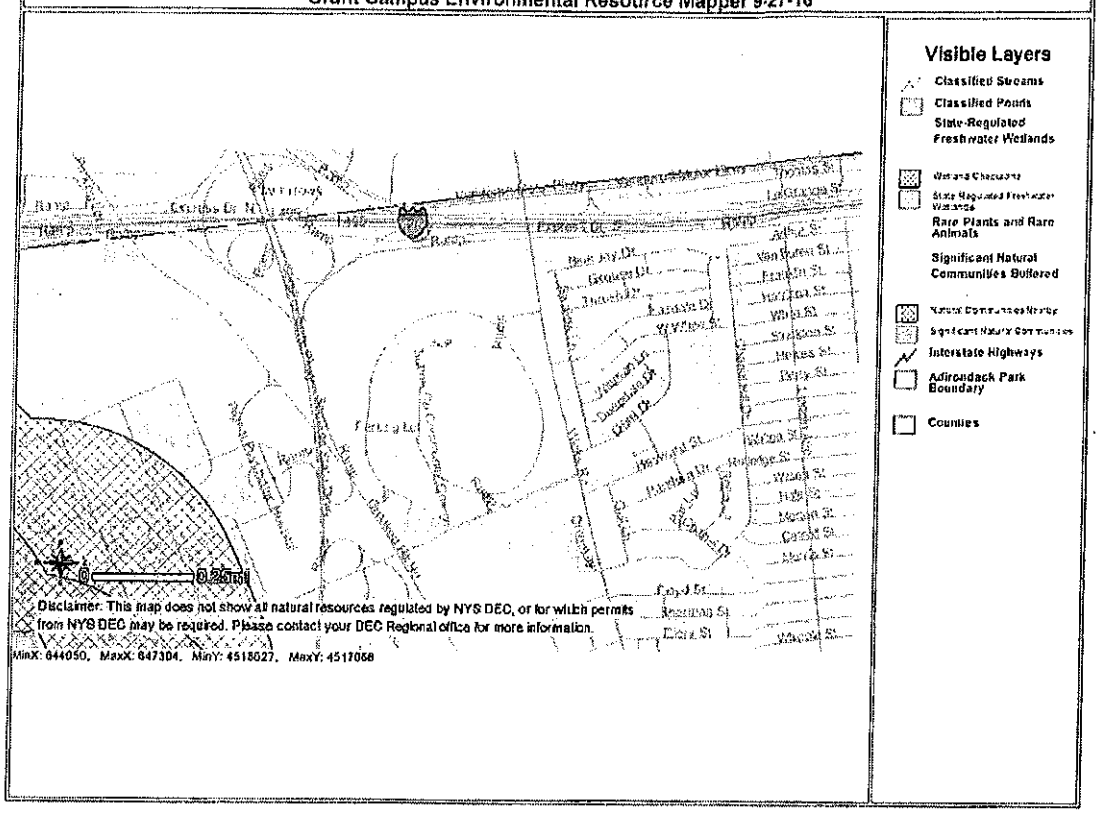
Disclaimer: This map was prepared by the New York State Parks, Recreation and Historic Preservation National Register Listing Internet Application. The information was compiled using the most current data available. It is deemed accurate, but is not guaranteed.

1966

[print page] [close window]

Please set your printer orientation to "Landscape".

Grant Campus Environmental Resource Mapper 9-27-16



Disclaimer:This map was prepared by the New York State Department of Environmental Conservation using the most current data available. It is deemed accurate but is not guaranteed. NYS DEC is not responsible for any inaccuracies in the data and does not necessarily endorse any interpretations or products derived from the data.

Intro. Res. No. 967-16 Laid on Table 11/9/16
Introduced by Presiding Officer on request of the County Executive

RESOLUTION NO. – APPROPRIATING FUNDS IN CONNECTION WITH THE WAREHOUSE BUILDING – EASTERN CAMPUS (CP 2145)

WHEREAS, the President of Suffolk County Community College and the Board of Trustees have requested funds for the implementation of this project; and

WHEREAS, the New York State Legislature and the Governor have included funding in the New York State Budget to cover the State's fifty percent share; and

WHEREAS, sufficient funds have been included in the 2016 Capital Budget and Program to cover the County's cost of the project; and

WHEREAS, in accordance with the Memorandum of Understanding between the County of Suffolk and Suffolk County Community College, dated April 16, 2009, the College Board of Trustees serves as Lead Agency for determinations issued pursuant to the State Environmental Quality Review Act ("SEQRA") (NYS Environmental Conservation Law, Article 8) and its implementing regulations at Title 6 NYCRR Part 617; and

WHEREAS, that this Legislature, by resolution of even date herewith, has authorized the issuance of $315,000 in Suffolk County Serial Bonds; and

WHEREAS, Resolution No. 471-1994 as revised by Resolution No. 571-1998, Resolution No. 209-2000 and Resolution No. 461-2006 established the use of a priority ranking system, implemented in the Adopted 2016 Capital Budget, as the basis for funding capital projects such as this project; now, therefore be it

1st **RESOLVED**, that the Board of Trustees at its Trustee meeting October 20, 2016, hereby finds and determines that the Warehouse Building to be constructed at the Eastern Campus constitutes an "Unlisted Action", pursuant to 6 NYCRR 617.2(AK); and be it further

2nd **RESOLVED,** that it is hereby determined that this project, with a priority ranking of thirty-seven (37) is eligible for approval in accordance with the provisions of Resolution No. 471-1994 as revised by Resolution No. 571-1998, Resolution No. 209-2000 and Resolution No. 461-2006; and be it further

3rd **RESOLVED,** that the proceeds of $315,000 in Suffolk County Serial Bonds be and they are hereby appropriated as follows:

Project No.	JC	Project Title	Amount
525-CAP-2145.310 (Fund 818 Debt Service)	30	Construction for Warehouse Bldg. – Eastern Campus	$285,000
525-CAP-2145.510 (Fund 818 Debt Service)	30	F&E for Warehouse Bldg. – Eastern Campus	$ 30,000

; and be it further

4th **RESOLVED,** that State Aid be and it hereby is appropriated as follows:

Project No.	JC	Project Title	Amount
REF-525-CAP-2145.310	30	Construction for Warehouse Bldg. – Eastern Campus	$285,000
REF-525-CAP-2145.510	30	F&E for Warehouse Bldg. – Eastern Campus	$ 30,000

DATED:

APPROVED BY:

_____

County Executive of Suffolk County

Date of Approval:

STATEMENT OF FINANCIAL IMPACT 1967
OF PROPOSED SUFFOLK COUNTY LEGISLATION

1. Type of Legislation

Resolution __X__ Local Law _____ Charter Law _____

2. Title of Proposed Legislation

RESOLUTION NO. – APPROPRIATING FUNDS IN CONNECTION WITH THE WAREHOUSE BUILDING – EASTERN CAMPUS (CP 2145)

3. Purpose of Proposed Legislation

See above.

4. Will the Proposed Legislation Have a Fiscal Impact? **Yes __X__ No _____**

5. If the answer to item 4 is "yes", on what will it impact? (circle appropriate category)

County	**Town**	**Economic Impact**
Village	**School District**	**Other (Specify):**
Library District	**Fire District**	

6. If the answer to item 5 is "yes", Provide Detailed Explanation of Impact

SERIAL BONDS WILL BE ISSUED TO FINANCE THIS PROJECT. PRINCIPAL AND INTEREST COSTS WILL BE INCURRED OVER THE LIFE OF THE BONDS. NEW YORK STATE DORMITORY AID WILL ALSO BE USED TO FINANCE THIS PROJECT.

7. Total Financial Cost of Funding over 5 Years on Each Affected Political or Other Subdivision.

SEE ATTACHED DEBT SCHEDULE

8. Proposed Source of Funding

50% SERIAL BONDS
50% STATE AID

9. Timing of Impact

FOR THE COUNTY SHARE, IT IS ANTICIPATED THAT BONDS WILL BE ISSUED SPRING OF 2017 AND DEBT SERVICE WILL COMMENCE SPRING 2018. THERE IS NO FISCAL IMPACT IN 2016 OR 2017. EARLIEST DEBT SERVICE FISCAL IMPACT WILL BE IN THE 2018 OPERATING BUDGET. ATTACHED 2018 CAT BASED ON 2016 DATA.

10. Typed Name & Title of Preparer	11. Signature of Preparer	12. Date
Nicholas Paglia Principal Budget Examiner		October 31, 2016

SCIN FORM 175b (10/95)

Suffolk County

General Obligation Serial Bonds
Level Debt

1967

Term of Bonds: 30
Amount to Bond: $315,000

Date	Coupon	Principal	Interest	Total Debt Service	Fiscal Debt Service
6/1/2017					
6/1/2018	2.000%	$7,319.00	$7,481.25	$14,800.25	$14,800.25
6/1/2019	2.000%	$7,492.82	$3,653.71 $3,653.71	$3,653.71 $11,146.53	$14,800.25
6/1/2020	2.000%	$7,670.78	$3,564.73 $3,564.73	$3,564.73 $11,235.51	$14,800.25
6/1/2021	2.000%	$7,852.96	$3,473.64 $3,473.64	$3,473.64 $11,326.60	$14,800.25
6/1/2022	2.125%	$8,039.46	$3,380.39 $3,380.39	$3,380.39 $11,419.86	$14,800.25
6/1/2023	2.125%	$8,230.40	$3,284.92 $3,284.92	$3,284.92 $11,515.32	$14,800.25
6/1/2024	2.125%	$8,425.87	$3,187.19 $3,187.19	$3,187.19 $11,613.06	$14,800.25
6/1/2025	2.125%	$8,625.99	$3,087.13 $3,087.13	$3,087.13 $11,713.12	$14,800.25
6/1/2026	2.250%	$8,830.86	$2,984.69 $2,984.69	$2,984.69 $11,815.55	$14,800.25
6/1/2027	2.375%	$9,040.59	$2,879.83 $2,879.83	$2,879.83 $11,920.42	$14,800.25
6/1/2028	2.500%	$9,255.30	$2,772.47 $2,772.47	$2,772.47 $12,027.77	$14,800.25
6/1/2029	2.500%	$9,475.12	$2,662.56 $2,662.56	$2,662.56 $12,137.68	$14,800.25
6/1/2030	2.500%	$9,700.15	$2,550.05 $2,550.05	$2,550.05 $12,250.20	$14,800.25
6/1/2031	2.625%	$9,930.53	$2,434.86 $2,434.86	$2,434.86 $12,365.39	$14,800.25
6/1/2032	2.625%	$10,166.38	$2,316.93 $2,316.93	$2,316.93 $12,483.31	$14,800.25
6/1/2033	2.750%	$10,407.83	$2,196.21 $2,196.21	$2,196.21 $12,604.04	$14,800.25
6/1/2034	2.750%	$10,655.02	$2,072.61 $2,072.61	$2,072.61 $12,727.63	$14,800.25
6/1/2035	2.875%	$10,908.07	$1,946.09 $1,946.09	$1,946.09 $12,854.16	$14,800.25
5/31/2036	2.875%	$11,167.14	$1,816.55 $1,816.55	$1,816.55 $12,983.69	$14,800.25
6/1/2037	3.000%	$11,432.36	$1,683.94 $1,683.94	$1,683.94 $13,116.30	$14,800.25
6/1/2038	3.000%	$11,703.88	$1,548.18 $1,548.18	$1,548.18 $13,252.06	$14,800.25
6/1/2039	3.125%	$11,981.84	$1,409.20 $1,409.20	$1,409.20 $13,391.04	$14,800.25
5/31/2040	3.125%	$12,266.41	$1,266.92 $1,266.92	$1,266.92 $13,533.33	$14,800.25
6/1/2041	3.250%	$12,557.74	$1,121.25 $1,121.25	$1,121.25 $13,678.99	$14,800.25
6/1/2042	3.250%	$12,855.99	$972.13 $972.13	$972.13 $13,828.12	$14,800.25
6/1/2043	3.500%	$13,161.32	$819.46 $819.46	$819.46 $13,980.78	$14,800.25
5/31/2044	3.500%	$13,473.90	$663.17 $663.17	$663.17 $14,137.07	$14,800.25
6/1/2045	3.625%	$13,793.90	$503.17 $503.17	$503.17 $14,297.07	$14,800.25
6/1/2046	3.625%	$14,121.51	$339.37 $339.37	$339.37 $14,460.88	$14,800.25
6/1/2047	3.625%	$14,456.89	$171.68 $171.68	$171.68 $14,628.57	$14,800.25
		$315,000.00	$129,007.36	$444,007.36	$444,007.36

FINANCIAL IMPACT
2018 PROPERTY TAX LEVY
COST TO THE AVERAGE TAXPAYER 1967

GENERAL FUND

	2018 PROPERTY TAX LEVY	2018 COST TO AVG TAXPAYER	2018 FV TAX RATE PER $1000
TOTAL	**$14,800**	**$0.03**	$0.000

POLICE DISTRICT AND DISTRICT COURT

	2018 PROPERTY TAX LEVY	2018 COST TO AVG TAXPAYER	2018 FV TAX RATE PER $1000
TOTAL	**$0**	**$0.00**	$0.000

COMBINED

	2018 PROPERTY TAX LEVY	2018 COST TO AVG TAXPAYER	2018 FV TAX RATE PER $1000
TOTAL	**$14,800**	**$0.03**	$0.000

NOTES:

1) SOURCE FOR NUMBER OF FAMILY PARCELS AND CORRESPONDING ASSESSED VALUATION: SUFFOLK COUNTY REAL PROPERTY, 2015.
2) SOURCE FOR TOTAL TAXABLE ASSESSED VALUATION FOR COUNTY PURPOSES: SCHEDULE A, REPORT OF ASSESSED VALUATION FOR 2015-2016.
3) SOURCE FOR EQUALIZATION RATES: 2015 COUNTY EQUALIZATION RATES ESTABLISHED BY THE NEW YORK STATE BOARD OF EQUALIZATION AND ASSESSMENTS.

To be completed by the Executive Budget Office

FINANCIAL IMPACT
2017 PROPERTY TAX LEVY
COST TO THE AVERAGE TAXPAYER 1967

GENERAL FUND

	2017 PROPERTY TAX LEVY	2017 COST TO AVG TAXPAYER	2017 FV TAX RATE PER $1000
TOTAL	**$0**	**$0.00**	$0.000

POLICE DISTRICT AND DISTRICT COURT

	2017 PROPERTY TAX LEVY	2017 COST TO AVG TAXPAYER	2017 FV TAX RATE PER $1000
TOTAL	**$0**	**$0.00**	$0.000

COMBINED

	2017 PROPERTY TAX LEVY	2017 COST TO AVG TAXPAYER	2017 FV TAX RATE PER $1000
TOTAL	**$0**	**$0.00**	$0.000

NOTES:

1) SOURCE FOR NUMBER OF FAMILY PARCELS AND CORRESPONDING ASSESSED VALUATION: SUFFOLK COUNTY REAL PROPERTY, 2015.
2) SOURCE FOR TOTAL TAXABLE ASSESSED VALUATION FOR COUNTY PURPOSES: SCHEDULE A, REPORT OF ASSESSED VALUATION FOR 2015-2016.
3) SOURCE FOR EQUALIZATION RATES: 2015 COUNTY EQUALIZATION RATES ESTABLISHED BY THE NEW YORK STATE BOARD OF EQUALIZATION AND ASSESSMENTS.

To be completed by the Executive Budget Office

FINANCIAL IMPACT
2016 PROPERTY TAX LEVY
COST TO THE AVERAGE TAXPAYER

1967

GENERAL FUND

	2016 PROPERTY TAX LEVY	2016 COST TO AVG TAXPAYER	2016 FV TAX RATE PER $1000
TOTAL	**$0**	**$0.00**	$0.000

POLICE DISTRICT AND DISTRICT COURT

	2016 PROPERTY TAX LEVY	2016 COST TO AVG TAXPAYER	2016 FV TAX RATE PER $1000
TOTAL	**$0**	**$0.00**	$0.000

COMBINED

	2016 PROPERTY TAX LEVY	2016 COST TO AVG TAXPAYER	2016 FV TAX RATE PER $1000
TOTAL	**$0**	**$0.00**	$0.000

NOTES:

1) SOURCE FOR NUMBER OF FAMILY PARCELS AND CORRESPONDING ASSESSED VALUATION: SUFFOLK COUNTY REAL PROPERTY, 2015.
2) SOURCE FOR TOTAL TAXABLE ASSESSED VALUATION FOR COUNTY PURPOSES: SCHEDULE A, REPORT OF ASSESSED VALUATION FOR 2015-2016.
3) SOURCE FOR EQUALIZATION RATES: 2015 COUNTY EQUALIZATION RATES ESTABLISHED BY THE NEW YORK STATE BOARD OF EQUALIZATION AND ASSESSMENTS.

To be completed by the Executive Budget Office

1967

Suffolk
COUNTY COMMUNITY COLLEGE

Central Administration – Capital Projects/Facilities Department

October 21, 2016

Jon Schnieder
Deputy County Executive
H. L. Dennison Building
100 Veterans Memorial Highway
Hauppauge, NY 11788

Re: Proposed Capital Budget Resolution

Dear Mr. Schnieder:

The College respectfully requests that the proposed resolution enclosed herein be introduced at the next meeting of the Legislature:

- Appropriating funds in connection with the Warehouse Building – Eastern Campus (CP2145)

This draft resolution was e-mailed to the distribution list **CE Reso Review** saved under the title "Reso-SCCC- Warehouse Building Constr.docx" on October 21, 2016.

Please feel free to call me at (451-4233) if any additional information is required.

Sincerely,

Jon DeMaio, P.E.
Administrative Director of Educational Facilities

Enclosure

Cc: Louis Petrizzo – College General Council, SCCC
Ben Zwirn – Intergovernmental Relations Coordinator, SCCC
Paul Cooper, P.E. – Executive Director of Facilities/Technical Support, SCCC
Sara Gorton – Principal Auditor, SCCC

Suffolk 1967
COUNTY COMMUNITY COLLEGE

Office of the President

BOARD OF TRUSTEES
October 20, 2016

RESOLUTION NO. 2016.80 - Making a SEQRA Determination for the Construction of the New Warehouse Building on the Eastern Campus (CP 2145)

WHEREAS, the College Board of Trustees serves as Lead Agency for determinations issued pursuant to the State Environmental Quality Review Act ("SEQRA") (NYS Environmental Conservation Law, Article 8) and its implementing regulations at Title 6 NYCRR Part 617, and

WHEREAS, under Capital Project 2145, the College will be constructing the new Warehouse Building on the Eastern Campus, and

WHEREAS, this project is subject to review under SEQRA as both the State of New York and the County of Suffolk have the authority to issue approval for the funding of this project, and

WHEREAS, the College recommends that this project be considered an "Unlisted Action," pursuant to 6 NYCRR §617.2(ak), as this project neither meets or exceeds a threshold contained in the list of Type I Actions in 6 NYCRR §617.4, nor meets the definition of any listed Type II Action in 6 NYCRR §617.5, and

WHEREAS, a Short Environmental Assessment Form (SEAF) was prepared by the College, as shown in Attachment V, attached hereto, to ascertain the environmental impacts of this project, as per 6 NYCRR §617.6(a)(3), and

WHEREAS, the New York State Department of Environmental Conservation (NYSDEC) EAF Mapper Application was used to populate answers to geographic or place-based questions on the SEAF, and

WHEREAS, the results of the EAF Mapper Application are consistent with other available sources that identify environmentally sensitive conditions with the exception of the relative location of land that has been the subject of remediation for hazardous waste which requires a check of other available sources to confirm or refute the finding, and

WHEREAS, a search of the NYSDEC Spill Incidents Database, Remedial Site Database, and Bulk Storage Database indicates no record of any hazardous waste remediation on the Eastern Campus, nor near the project site, and

WHEREAS, the NYSDEC Natural Resources and Environmental Protection Maps indicate that no environmental remediation sites are located on the Eastern Campus, nor near the project site, and

Suffolk 1967
COUNTY COMMUNITY COLLEGE

RESOLUTION NO. 2016.80 - Continued

WHEREAS, the College's review pursuant to SEQRA has indicated that the construction of the new Warehouse Building on the Eastern Campus will not have a significant adverse impact on the environment, be it therefore

RESOLVED, that the Board of Trustees hereby finds and determines that the construction of the new Warehouse Building on the Eastern Campus constitutes an "Unlisted Action," with no significant adverse impacts on the environment pursuant to 6 NYCRR §617.2(ak), for the following reasons:

1. The action will not exceed any of the criteria contained in 6 NYCRR §617.7(c) which sets forth thresholds for determining significant adverse impacts on the environment;
2. The action does not adversely impact surface or groundwater, traffic or noise levels, nor increase erosion, flooding, leaching or drainage problems as the topography remains flat, the building will generate no wastewater, and storm water will be managed with the existing Campus storm water sewer system;
3. The action does not remove large quantities of vegetation, does not impact a significant habitat area, and does not impact a threatened or endangered species of animal or plant;
4. As the action does not adversely impact groundwater, the action does not impair the environmental characteristics of the state listed Critical Environmental Area (CEA) identified by the EAF Mapper Application as the Central Suffolk Pine Barrens Aquifer Overlay District;
5. The action does not conflict with the College's Master Plan;
6. The action does not impair the character or quality of important archeological, historical, architectural or aesthetic resources as the site does not contain a structure that is listed on either the State or National Register of Historic Places nor is it located in an archeological sensitive area;
7. The increased use of electricity associated with the action is not significant relative to the rest of the Eastern Campus;
8. The action does not create a hazard to human health;
9. The action is consistent with the current use of the land as a community college;
10. The action does not attract a large number of people to the Campus compared to the number of people who would come to the Campus absent the action;
11. The action does not create a material demand for other actions that would meet one or more of the criteria in 6 NYCRR §617.7(c);
12. The action does not result in changes to two or more elements of the environment that when considered together result in a substantial adverse impact on the environment;
13. There are no related actions to this action that when considered cumulatively would meet one or more of the criteria in 6 NYCRR §617.7(c);
14. All necessary State and County approvals will be obtained.

Bryan Lilly
Secretary

Short Environmental Assessment Form
Part 1 - Project Information

Instructions for Completing

Part 1 - Project Information. The applicant or project sponsor is responsible for the completion of Part 1. Responses become part of the application for approval or funding, are subject to public review, and may be subject to further verification. Complete Part 1 based on information currently available. If additional research or investigation would be needed to fully respond to any item, please answer as thoroughly as possible based on current information.

Complete all items in Part 1. You may also provide any additional information which you believe will be needed by or useful to the lead agency; attach additional pages as necessary to supplement any item.

Part 1 - Project and Sponsor Information

Name of Action or Project:
Capital Project 2145- Warehouse Building Eastern Campus

Project Location (describe, and attach a location map):
121 Speonk-Riverhead Road, Riverhead, NY 11901-3499

Brief Description of Proposed Action:
Construction of a warehouse and receiving area for the Eastern Campus. The building will be a single story pre-engineered structure approximately 4,000 gsf.

Name of Applicant or Sponsor:	Telephone: 631-451-4000
Suffolk County Community College	E-Mail: www.sunysuffolk.edu

Address:
533 College Road

City/PO:	State:	Zip Code:
Selden	NY	11784

	NO	YES
1. Does the proposed action only involve the legislative adoption of a plan, local law, ordinance, administrative rule, or regulation? If Yes, attach a narrative description of the intent of the proposed action and the environmental resources that may be affected in the municipality and proceed to Part 2. If no, continue to question 2.	☑	☐
2. Does the proposed action require a permit, approval or funding from any other governmental Agency? If Yes, list agency(s) name and permit or approval: County of Suffolk - funding; State of New York - funding; Community College - building permit	☐	☑

3.a. Total acreage of the site of the proposed action? 0.1 acres
b. Total acreage to be physically disturbed? 0.1 acres
c. Total acreage (project site and any contiguous properties) owned or controlled by the applicant or project sponsor? 192 acres

4. Check all land uses that occur on, adjoining and near the proposed action.
☐ Urban ☐ Rural (non-agriculture) ☐ Industrial ☐ Commercial ☑ Residential (suburban)
☐ Forest ☐ Agriculture ☐ Aquatic ☑ Other (specify): Educational
☐ Parkland

1967

	NO	YES	N/A
5. Is the proposed action,			
a. A permitted use under the zoning regulations?	☐	✓	☐
b. Consistent with the adopted comprehensive plan?	☐	✓	☐

	NO	YES
6. Is the proposed action consistent with the predominant character of the existing built or natural landscape?	☐	✓
7. Is the site of the proposed action located in, or does it adjoin, a state listed Critical Environmental Area? If Yes, identify: Name:Central Suffolk Pine Barrens, Name:Aquifer Overlay District, Name:SGPA, Reason:Benefit to human health & protect drinking water, Reason:Preserve pure water quality, Reason:Protect groundwater, Agency:Suffolk Coun...	☐	✓
8. a. Will the proposed action result in a substantial increase in traffic above present levels?	✓	☐
b. Are public transportation service(s) available at or near the site of the proposed action?	☐	✓
c. Are any pedestrian accommodations or bicycle routes available on or near site of the proposed action?	☐	✓
9. Does the proposed action meet or exceed the state energy code requirements? If the proposed action will exceed requirements, describe design features and technologies:	☐	✓
10. Will the proposed action connect to an existing public/private water supply? If No, describe method for providing potable water: No potable water will be provided to this structure.	✓	☐
11. Will the proposed action connect to existing wastewater utilities? If No, describe method for providing wastewater treatment: No wastewater will be generated from this structure.	✓	☐
12. a. Does the site contain a structure that is listed on either the State or National Register of Historic Places?	✓	☐
b. Is the proposed action located in an archeological sensitive area?	✓	☐
13. a. Does any portion of the site of the proposed action, or lands adjoining the proposed action, contain wetlands or other waterbodies regulated by a federal, state or local agency?	✓	☐
b. Would the proposed action physically alter, or encroach into, any existing wetland or waterbody? If Yes, identify the wetland or waterbody and extent of alterations in square feet or acres:	✓	☐

14. Identify the typical habitat types that occur on, or are likely to be found on the project site. Check all that apply:
☐ Shoreline ☐ Forest ☐ Agricultural/grasslands ☑ Early mid-successional
☐ Wetland ☐ Urban ☑ Suburban

	NO	YES
15. Does the site of the proposed action contain any species of animal, or associated habitats, listed by the State or Federal government as threatened or endangered?	✓	☐
16. Is the project site located in the 100 year flood plain?	✓	☐
17. Will the proposed action create storm water discharge, either from point or non-point sources? If Yes,		
a. Will storm water discharges flow to adjacent properties? ☑ NO ☐ YES	☐	✓
b. Will storm water discharges be directed to established conveyance systems (runoff and storm drains)? If Yes, briefly describe: ☐ NO ☑ YES		

Existing campus storm water conveyance system.

1967

	NO	YES
18. Does the proposed action include construction or other activities that result in the impoundment of water or other liquids (e.g. retention pond, waste lagoon, dam)? If Yes, explain purpose and size:	✓	
19. Has the site of the proposed action or an adjoining property been the location of an active or closed solid waste management facility? If Yes, describe:	✓	
20. Has the site of the proposed action or an adjoining property been the subject of remediation (ongoing or completed) for hazardous waste? If Yes, describe: There is no active remediation on the Campus. A search of the NYSDEC Spill Incidents Database, Remedial Site Database, Bulk Storage Database and Natural Resources and Environmental Protection Maps indicate no hazardous waste remediation.		✓

I AFFIRM THAT THE INFORMATION PROVIDED ABOVE IS TRUE AND ACCURATE TO THE BEST OF MY KNOWLEDGE

Applicant/sponsor name: _____ Date: _____

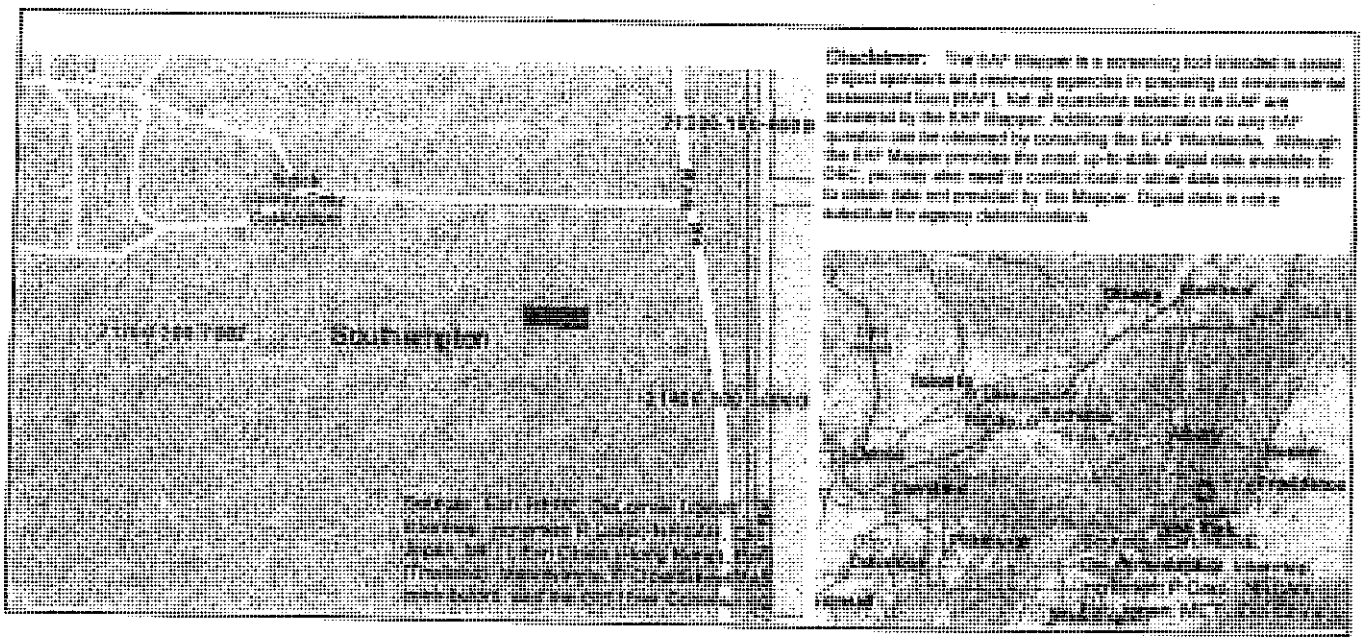
Signature: _____

PRINT FORM

1967

EAF Mapper Summary Report

Friday, September 23, 2016 3:50 PM



Part 1 / Question 7 [Critical Environmental Area]	Yes
Part 1 / Question 7 [Critical Environmental Area - Identify]	Name:Central Suffolk Pine Barrens, Name:Aquifer Overlay District, Name:SGPA, Reason:Benefit to human health & protect drinking water, Reason:Preserve pure water quality, Reason:Protect groundwater, Agency:Suffolk County, Agency:Southampton, Town of, Agency:Long Island Regional Planning, Date:2-10-88, Date:6-20-84, Date:3-19-93
Part 1 / Question 12a [National Register of Historic Places]	No
Part 1 / Question 12b [Archeological Sites]	No
Part 1 / Question 13a [Wetlands or Other Regulated Waterbodies]	No
Part 1 / Question 15 [Threatened or Endangered Animal]	No
Part 1 / Question 16 [100 Year Flood Plain]	No
Part 1 / Question 20 [Remediation Site]	Yes

1967

Agency Use Only [If applicable]

Project: Capital Project 2145 - Warehouse East

Date:

Short Environmental Assessment Form
Part 2 - Impact Assessment

Part 2 is to be completed by the Lead Agency.
Answer all of the following questions in Part 2 using the information contained in Part 1 and other materials submitted by the project sponsor or otherwise available to the reviewer. When answering the questions the reviewer should be guided by the concept "Have my responses been reasonable considering the scale and context of the proposed action?"

	No, or small impact may occur	Moderate to large impact may occur
1. Will the proposed action create a material conflict with an adopted land use plan or zoning regulations?	✓	☐
2. Will the proposed action result in a change in the use or intensity of use of land?	✓	☐
3. Will the proposed action impair the character or quality of the existing community?	✓	☐
4. Will the proposed action have an impact on the environmental characteristics that caused the establishment of a Critical Environmental Area (CEA)?	✓	☐
5. Will the proposed action result in an adverse change in the existing level of traffic or affect existing infrastructure for mass transit, biking or walkway?	✓	☐
6. Will the proposed action cause an increase in the use of energy and it fails to incorporate reasonably available energy conservation or renewable energy opportunities?	✓	☐
7. Will the proposed action impact existing: a. public / private water supplies?	✓	☐
b. public / private wastewater treatment utilities?	✓	☐
8. Will the proposed action impair the character or quality of important historic, archaeological, architectural or aesthetic resources?	✓	☐
9. Will the proposed action result in an adverse change to natural resources (e.g., wetlands, waterbodies, groundwater, air quality, flora and fauna)?	✓	☐
10. Will the proposed action result in an increase in the potential for erosion, flooding or drainage problems?	✓	☐
11. Will the proposed action create a hazard to environmental resources or human health?	✓	☐

PRINT FORM

Agency Use Only [If applicable]

Project: Capital Project 2145

Date:

1967

Short Environmental Assessment Form
Part 3 Determination of Significance

For every question in Part 2 that was answered "moderate to large impact may occur", or if there is a need to explain why a particular element of the proposed action may or will not result in a significant adverse environmental impact, please complete Part 3. Part 3 should, in sufficient detail, identify the impact, including any measures or design elements that have been included by the project sponsor to avoid or reduce impacts. Part 3 should also explain how the lead agency determined that the impact may or will not be significant. Each potential impact should be assessed considering its setting, probability of occurring, duration, irreversibility, geographic scope and magnitude. Also consider the potential for short-term, long-term and cumulative impacts.

☐ Check this box if you have determined, based on the information and analysis above, and any supporting documentation, that the proposed action may result in one or more potentially large or significant adverse impacts and an environmental impact statement is required.

☑ Check this box if you have determined, based on the information and analysis above, and any supporting documentation, that the proposed action will not result in any significant adverse environmental impacts.

Suffolk County Community College Board of Trustees Name of Lead Agency	Date
Print or Type Name of Responsible Officer in Lead Agency	Title of Responsible Officer
Signature of Responsible Officer in Lead Agency	Signature of Preparer (if different from Responsible Officer)

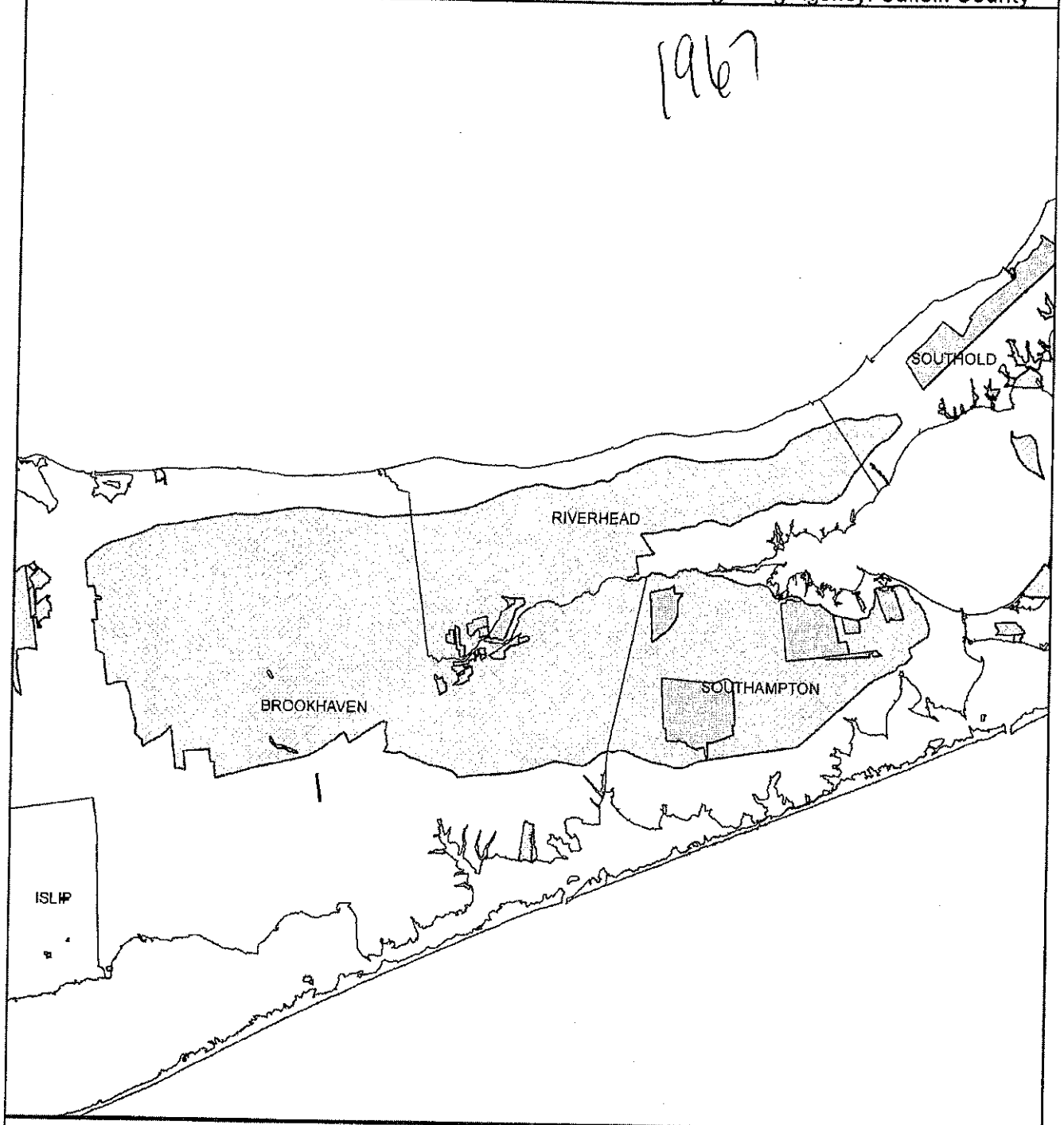
PRINT FORM

Special Groundwater Protection Area (Central Suffolk) Critical Environmental Area (CEA) Map #2

Effective Date of Designation: 2-10-88

Designating Agency: Suffolk County

1967



Legend

Adjacent CEAs

Special Groundwater Protection Area CEA Map # 2

0 2 4 8 12 Miles

1 inch equals 4.5 miles

For Adjacent CEAs see Maps: SGPA Map # 3, Robins Island, Scallop Pond, Dwarf Pine Forest, Sears Bellow, Pine Barrens, Maple Swamp, Terrels River, South Setauket Woods, Richmond Creek and Beach, Cutchogue Harbor, Downs Creek, West Creek, Brushs Creek, Little Creek, Goldsmith Inlet, Shinnecock Fort, Sugar Loaf Hill, Halls Creek, and Deep Hole Creek

Base Map: Town or City Boundary for NYS

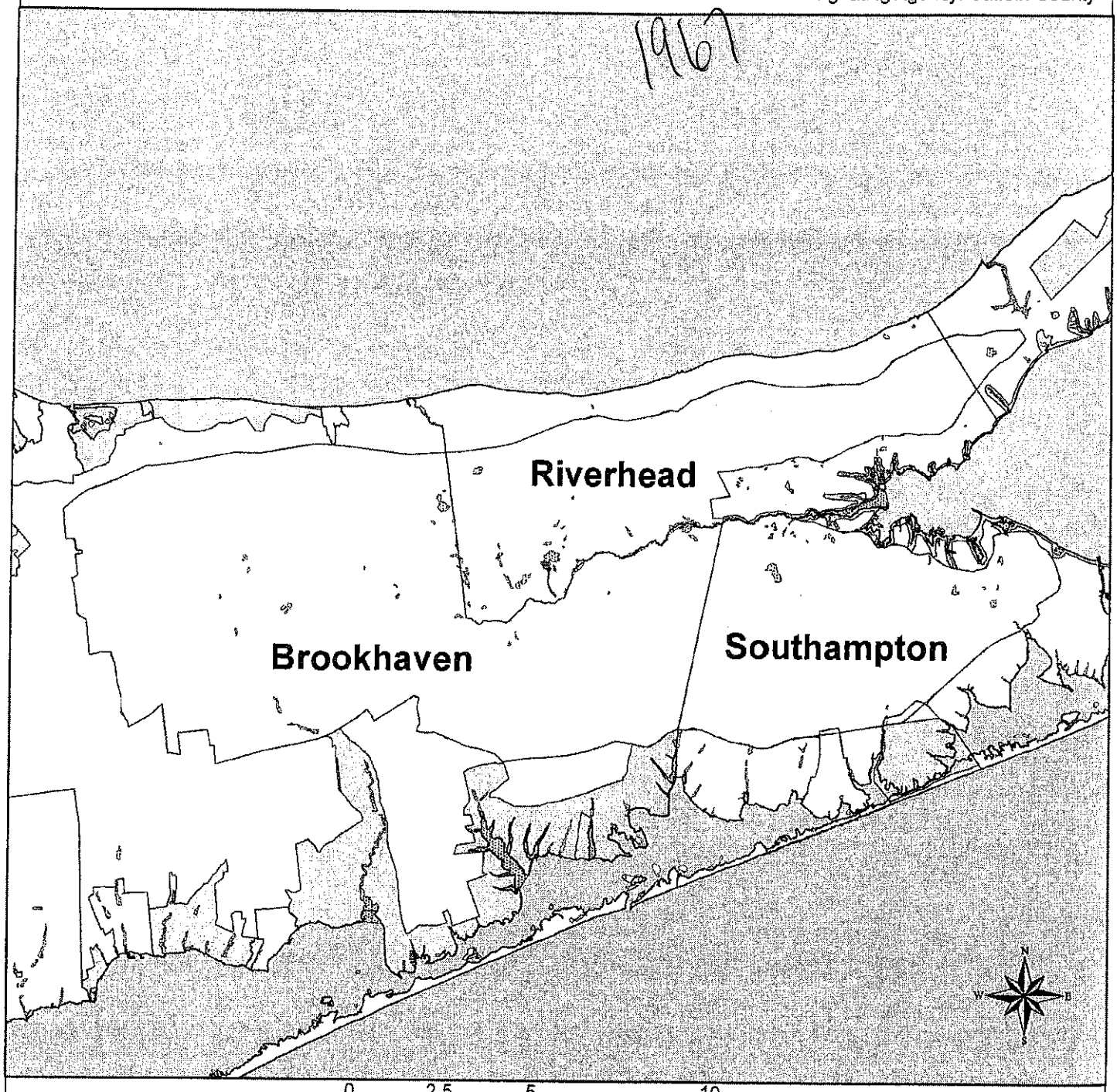
Disclaimer: This map was prepared by the New York State Department of Environmental Conservation using the most current data available. It is deemed accurate but is not guaranteed. NYS DEC is not responsible for any inaccuracies in the data. Please contact the designating authority for additional information regarding legal boundary descriptions. SGPA Maps 1 through 9 represent a portion of the SGPA designated as a Critical Environmental Area.

Central Suffolk Pine Barrens Critical Environmental Area (CEA)

Effective Date of Designation: 2-10-1988

Designating Agency: Suffolk County

1967



0 2.5 5 10 Miles

1 inch equals 3.86 miles

Legend

- Central Suffolk Pine Barrens CEA
- Adjacent CEA

For Adjacent CEAs see map:
Peconic Bay and Environs CEA
Tidal Wetlands CEA
Coastal Zone Area South CEA

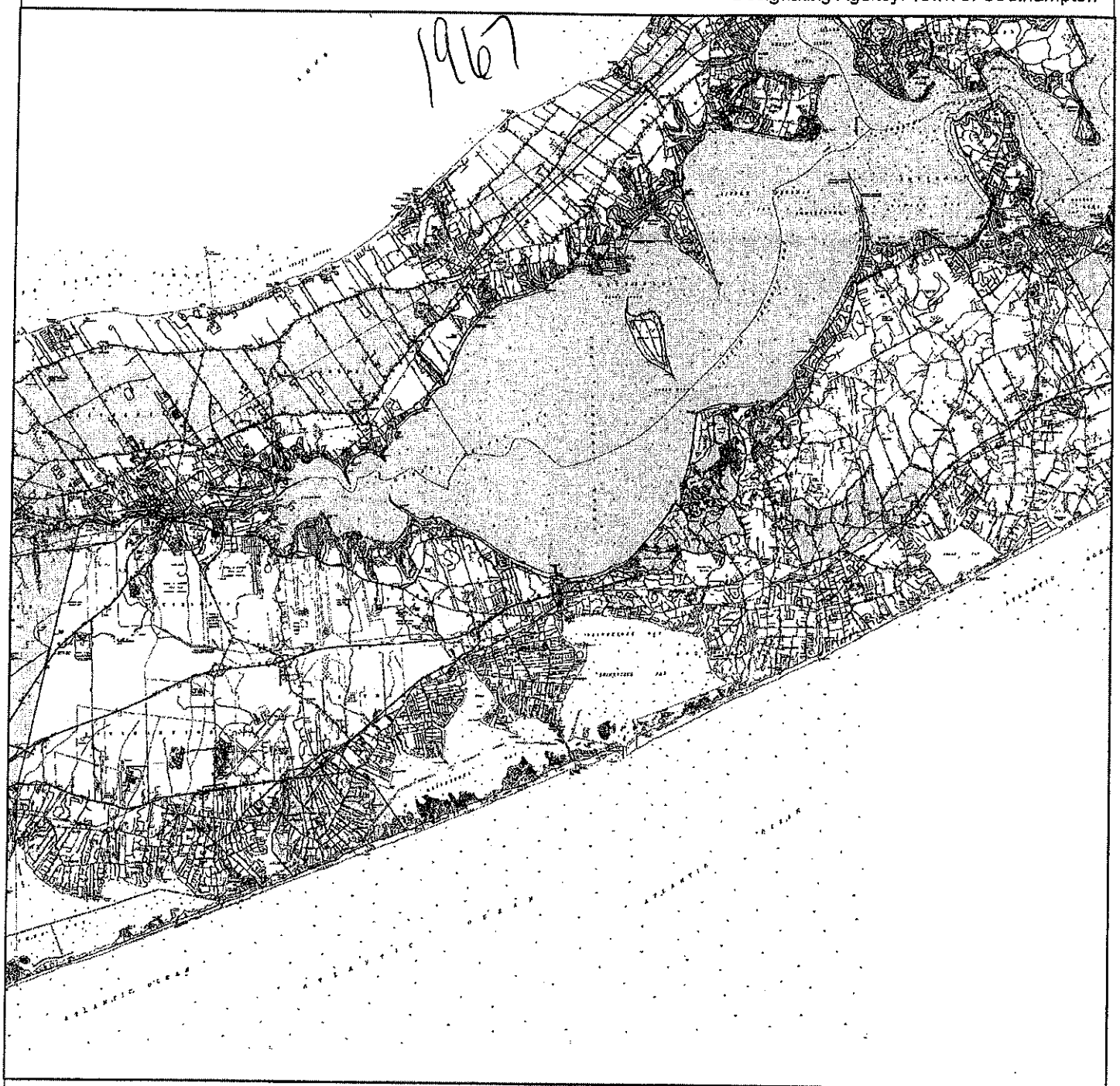
Base Map: Town, Village, or City Boundary for New York State

Disclaimer: This map was prepared by the New York State Department of Environmental Conservation using the most current data available. It is deemed accurate but is not guaranteed. NYS DEC is not responsible for any inaccuracies in the data. Please contact the designating authority for additional information regarding legal boundary descriptions.

Aquifer Protection Overlay District
Critical Environmental Area (CEA)

Effective Date of Designation: 6-20-1984

Designating Agency: Town of Southampton



Legend

- Aquifer Protection Overlay District CEA
- Adjacent CEA

0 1.25 2.5 5 Miles

1 inch equals 3.06 miles

For Adjacent CEAs see map:
Central Suffolk CEA
South Fork CEA
Peconic Bay and Environs CEA
Pine Barrens CEA
Village of Quogue Tidal Wetlands CEA

Base Map: DOT 1:24,000 Planemetric Images

Disclaimer: This map was prepared by the New York State Department of Environmental Conservation using the most current data available. It is deemed accurate but is not guaranteed. NYS DEC is not responsible for any inaccuracies in the data. Please contact the designating authority for additional information regarding legal boundary descriptions.

1967

NEW YORK STATE OF OPPORTUNITY | Department of Environmental Conservation

Environmental Site Remediation Database Search Results

Record Count: 281 Rows: 1 to 50

Export XLS | Export CSV | Export KML | First 50 | Previous 50 | Next 50 | Last 50

	Site Code	Site Name	Program	Site Class	County	City/town	Address
1	C152079	Suffolk Airport Canine Kennel	BCP	A	Suffolk	Westhampton Beach	Old Riverhead Road
2	C152181	K - Babylon MGP	BCP	N	Suffolk	Babylon	Evergreen Street
3	C152182	K - Patchogue MGP	BCP	N	Suffolk	Patchogue	Corner of River Ave. and Main St.
4	C152183	Brandt Airflex	BCP	N	Suffolk	East Farmingdale	937 & 965 Conklin Street
5	C152190	Mandalay Gardens	BCP	N	Suffolk	Port Jefferson (V)	15/21 Willis Avenue Extension
6	C152196	Diamond Auto Service	BCP	C	Suffolk	Bay Shore	71-73 Cleveland Avenue
7	C152196A	Off-Site Diamond Auto Service	BCP	N	Suffolk	Bay Shore	71-73 Cleveland Avenue
8	C152197	Walt Whitman Corporate Park Plat	BCP	N	Suffolk	MELVILLE	WALT WHITMAN ROAD
9	C152199	Kings Park Psychiatric Center	BCP	N	Suffolk	Smithtown	Main Street
10	C152201	Levey Property	BCP	N	Suffolk	Coplague	1305 South Strong Avenue
11	C152201A	Levey Property Off-site	BCP	N	Suffolk	Coplague	1305 South Strong Avenue
12	C152202	Belmont Villas	BCP	N	Suffolk	Wyandanch	435 Wyandanch Avenue
13	C152203	LIRR ROW - Smithtown Viaduct	BCP	N	Suffolk	Smithtown	NYS Route 25 and Meadow Road
14	C152204	Former Baron Blakeslee Site	BCP	A	Suffolk	Bay Shore	86 Cleveland Avenue
15	C152205	Former Jericho Marine (Deja Vu Inc.)	BCP	N	Suffolk	Lindenhurst	269 E. Montauk Highway
16	C152222	Eastport Meadows	BCP	N	Suffolk	Eastport	Seatuck Ave & Duck Rd
17	C152228		BCP	A	Suffolk	Bay Shore	1760 Fifth Avenue

1967

18	C152238	South Shore Outdoor 1735 Express Drive North	BCP	A	Suffolk	Hauppauge	1735 Express Drive North
19	C152243	30 Stewart Ave Site	BCP	A	Suffolk	Huntington	30 Stewart Avenue
20	B00013	Veterans Nature Study Area	ERP	C	Suffolk	Huntington	Bellerose Avenue
21	B00027	115 Front Street (Mitchell Property)	ERP	A	Suffolk	Southold	115 Front Street
22	B00132	Petroleum Spill at Babylon Golf Course	ERP	N	Suffolk	Babylon	Easterly Terminus of Cedar Street
23	E152186	Blue Point Laundry	ERP	A	Suffolk	Blue Point	1 Park Street
24	E152191	Ronkonkoma Wallpaper Site	ERP	A	Suffolk	Ronkonkoma	934 Easton Street
25	E152194	Former Bellport Gas Station	ERP	C	Suffolk	East Patchogue	1401 Montauk Hwy
26	E152195	37 Commonwealth Drive	ERP	C	Suffolk	Wyandanch	37 Commonwealth Drive
27	E152198	Eastern Resources Recovery, Ltd.	ERP	N	Suffolk	Eastport	137 East Moriches Blvd.
28	E152220	1345 New York Avenue Site	ERP	A	Suffolk	Huntington Station	1345 New York Avenue
29	152001	Dayton T. Brown Inc.	HW	N	Suffolk	Bohemia	555 Church St
30	152002	Blydenburgh Landfill, Town of Islip	HW	04	Suffolk	Hauppauge	600 Blydenburgh Road
31	152003	Deutsch Relays, Inc.	HW	02	Suffolk	East Northport	65 Daly Road
32	152004	Fairchild Republic Aircraft; Old Sump	HW	02	Suffolk	East Farmingdale	Route 110 (Broad Hollow Road)
33	152006	Jameco Industries, Inc.	HW	04	Suffolk	Wyandanch	248 Wyandanch Avenue
34	152008	Hubbard-Wilson Landfill	HW	N	Suffolk	Bay Shore	1612 North 5th Avenue
35	152009	Brookhaven National Laboratory	HW	02	Suffolk	Upton	William Floyd Parkway
36	152010	Holtsville Landfill	HW	N	Suffolk	Holtsville	Buckley and Blue Point Roads
37	152011	RCA Rocky Point	HW	04	Suffolk	Rocky Point	Rocky Point-Middle Island Road
38	152012	RCA - Riverhead	HW	C	Suffolk	Southampton	Riverhead Westhampton Road

39	152013	Sonia Road Landfill	HW	04	Suffolk	West Brentwood	Sonia Road
40	152014A	Oakville Drum Site No. 1	HW	N	Suffolk	Oakville	Old Riverhead Road & Route 104
41	152014B	Oakville Drum Site No. 2	HW	C	Suffolk	Oakville	Pleasure Drive & Route 104
42	152015	Chemical Pollution Control	HW	02	Suffolk	Bay Shore	120 South 4th Street
43	152016	Lawrence Aviation Industries	HW	02	Suffolk	Port Jefferson Station	Sheep PastureRoad
44	152017	MacKenzie Chemical Co.	HW	02	Suffolk	Central Islip	One Cordello Avenue
45	152018	Southern Container Corporation	HW	C	Suffolk	Deer Park	140 West Industry Court
46	152019	Unexcelled Castings	HW	N	Suffolk	Hauppauge	663-671 Old Willets Path
47	152020	Bioclinical Laboratories	HW	C	Suffolk	Bohemia	1585 Smithtown Avenue
48	152021	Cantor Brothers, Inc.	HW	04	Suffolk	East Farmingdale	50 Engineers Lane
49	152022	Goldisc Recording	HW	04	Suffolk	Holbrook	Broadway Avenue
50	152023	Gibson Chemical (Therm-X)	HW	N	Suffolk	Commack	74 Mall Drive

Refine This Search

1967

NEW YORK STATE OF OPPORTUNITY | Department of Environmental Conservation

Bulk Storage Database SearchResults

Record Count: 687 Rows: 1 to 50

Export XLS | Export CSV | Export KML | First 50 | Previous 50 | Next 50 | Last 50

Site Number	Site Type	Facility Name	County	Locality	Site Address	ZipCode	Facility Status	Expiration Date
0-0325	MOSF	B. NO. 15 CORPORATION	SUFFOLK	MELVILLE	58 S. SERVICE RD	11747	INACTIVE	03/31/2013
0-0336	MOSF	B. NO. 55 CORPORATION	SUFFOLK	MELVILLE	58 S. SERVICE RD	11747	UNREGULATED/CLOSED	03/31/2008
0-0337	MOSF	B. NO. 25 CORPORATION	SUFFOLK	MELVILLE	58 S. SERVICE RD	11747	UNREGULATED/CLOSED	03/31/1998
0-0339	MOSF	B. NO. 65	SUFFOLK	MELVILLE	58 S. SERVICE RD	11747	UNREGULATED/CLOSED	03/31/2008
0-0341	MOSF	B. NO.75 CORPORATION	SUFFOLK	MELVILLE	58 S. SERVICE RD	11747	INACTIVE	03/31/2013
0-0343	MOSF	B. NO. 85 CORPORATION	SUFFOLK	MELVILLE	58 S. SERVICE RD	11747	UNREGULATED/CLOSED	03/31/2013
0-0345	MOSF	B. NO. 95 CORPORATION	SUFFOLK	MELVILLE	58 S. SERVICE RD	11747	UNREGULATED/CLOSED	03/31/2003
0-0346	MOSF	B. NO. 100 CORPORATION	SUFFOLK	MELVILLE	58 S. SERVICE RD	11747	UNREGULATED/CLOSED	03/31/2003
0-0347	MOSF	B. NO. 105 CORPORATION	SUFFOLK	MELVILLE	58 S. SERVICE RD	11747	INACTIVE	03/31/2013
0-0348	MOSF	B. NO. 115 CORPORATION	SUFFOLK	MELVILLE	58 S. SERVICE RD	11747	UNREGULATED/CLOSED	03/31/2003
0-0349	MOSF	B. NO. 125 CORPORATION	SUFFOLK	MELVILLE	58 S. SERVICE RD	11747	UNREGULATED/CLOSED	03/31/2008
0-0419	MOSF	STONY BROOK	SUFFOLK	STONY BROOK	1353 STONY BROOK ROAD	11790	UNREGULATED/CLOSED	03/31/1988
0-0519	MOSF	B. NO. 160 CORPORATION	SUFFOLK	MELVILLE	58 S. SERVICE RD	11747	UNREGULATED/CLOSED	03/31/2008
0-0607	MOSF	B. NO. 130 CORPORATION	SUFFOLK	MELVILLE	58 S. SERVICE RD	11747	UNREGULATED/CLOSED	03/31/2008
0-0616	MOSF	B NO. 140	SUFFOLK	MELVILLE	58 S. SERVICE RD	11747	UNREGULATED/CLOSED	03/31/2008
0-0620	MOSF	B. NO. 45 CORPORATION	SUFFOLK	MELVILLE		11747	UNREGULATED/CLOSED	03/31/2013

1967

				58 S. SERVICE RD			
0-0649	MOSF	B. NO. 35 CORPORATION	SUFFOLK	MELVILLE	58 S. SERVICE RD 11747	UNREGULATED/CLOSED	03/31/2013
0-0656	MOSF	B. NO. 80 CORPORATION	SUFFOLK	MELVILLE	58 S. SERVICE RD 11747	INACTIVE	03/31/2013
0-0669	MOSF	B. NO. 275 CORPORATION	SUFFOLK	MELVILLE	58 S. SERVICE RD 11747	ACTIVE	03/31/2018
0-0673	MOSF	B. NO. 120	SUFFOLK	MELVILLE	58 S. SERVICE RD 11747	UNREGULATED/CLOSED	03/31/2008
0-0675	MOSF	B. NO. 10 CORP.	SUFFOLK	MELVILLE	58 S. SERVICE RD 11747	UNREGULATED/CLOSED	03/31/2013
0-0680	MOSF	B. NO. 255 CORPORATION	SUFFOLK	MELVILLE	58 S. SERVICE RD 11747	ACTIVE	03/31/2018
0-0681	MOSF	B. NO. 265 CORPORATION	SUFFOLK	MELVILLE	58 S. SERVICE RD 11747	ACTIVE	03/31/2018
0-0744	MOSF	B. NO. 135 CORPORATION	SUFFOLK	MELVILLE	58 S. SERVICE RD 11747	UNREGULATED/CLOSED	03/31/2008
0-0745	MOSF	B. NO. 145 CORPORATION	SUFFOLK	MELVILLE	58 S. SERVICE RD 11747	UNREGULATED/CLOSED	03/31/1998
0-0757	MOSF	B. NO. 285 CORP.	SUFFOLK	MELVILLE	58 S. SERVICE RD 11747	ACTIVE	03/31/2018
0-0771	MOSF	B NO. 295 CORP.	SUFFOLK	MELVILLE	58 S. SERVICE RD 11747	ACTIVE	03/31/2018
0-0827	MOSF	B. NO. 240 CORP	SUFFOLK	MELVILLE	58 S. SERVICE RD 11747	ACTIVE	03/31/2018
0-0848	MOSF	B. NO. 235 CORP.	SUFFOLK	MELVILLE	58 S. SERVICE RD 11747	ACTIVE	03/31/2018
0-0867	MOSF	B. NO 210 CORP.	SUFFOLK	MELVILLE	58 S. SERVICE RD 11747	ACTIVE	03/31/2018
0-0899	MOSF	B. NO. 215 CORP.	SUFFOLK	MELVILLE	58 S. SERVICE RD 11747	ACTIVE	03/31/2018
0-0901	MOSF	B. NO. 220 CORP	SUFFOLK	MELVILLE	58 S. SERVICE RD 11747	ACTIVE	03/31/2018
0-0922	MOSF	EVENING BREEZE CORP.	SUFFOLK	MELVILLE	58 S. SERVICE RD 11747	ACTIVE	03/31/2018
0-0923	MOSF	B. NO. 230 CORP.	SUFFOLK	MELVILLE	58 S. SERVICE RD 11747	ACTIVE	03/31/2018
0-0924	MOSF	B. NO. 245 CORPORATION	SUFFOLK	MELVILLE	11747	ACTIVE	03/31/2018

1967

				58 S. SERVICE RD				
0-0932	MOSF	B. NO. 225 COORP.	SUFFOLK	MELVILLE	58 S. SERVICE RD	11747	ACTIVE	03/31/2018
0-0937	MOSF	B NO. 242 CORP.	SUFFOLK	MELVILLE	58 S. SERVICE RD	11747	ACTIVE	03/31/2018
0-0959	MOSF	B NO. 280 CORPORATION	SUFFOLK	MELVILLE	58 S. SERVICE RD	11747	ACTIVE	03/31/2018
0-0963	MOSF	TANK BARGE DENEB	SUFFOLK	RIVERHEAD	212 SOUND SHORE ROAD	11901	UNREGULATED/CLOSED	03/31/2008
0-0968	MOSF	B. NO. 205 CORPORATION	SUFFOLK	MELVILLE	58 S. SERVICE RD	11747	ACTIVE	03/31/2018
0-0974	MOSF	B. NO 231 CORPORATION	SUFFOLK	MELVILLE	58 S. SERVICE RD	11747	ACTIVE	03/31/2018
0-0979	MOSF	B. NO 282 CORPORATION	SUFFOLK	MELVILLE	58 S. SERVICE RD	11747	ACTIVE	03/31/2018
0-0992	MOSF	B. NO. 233 CORP	SUFFOLK	MELVILLE	58 S. SERVICE RD.	11747	ACTIVE	03/31/2018
0-0993	MOSF	B. NO. 260 CORP	SUFFOLK	MELVILLE	58 S. SERVICE RD.	11747	ACTIVE	03/31/2018
0-1011	MOSF	B. NO. 262	SUFFOLK	MELVILLE	SUITE 150	11747	ACTIVE	03/31/2018
0-1013	MOSF	B. NO. 284	SUFFOLK	MELVILLE	58 SOUTH SERVICE RD SUITE 150	11747	ACTIVE	03/31/2018
0-1019	MOSF	B. NO 264	SUFFOLK	MELVILLE	58 SOUTH SERVICE RD.	11747	ACTIVE	03/31/2018
0-1028	MOSF	B NO 250 CORP	SUFFOLK	MELVILLE	58 SOUTH SERVICE RD SUITE 150	11747	ACTIVE	03/31/2018
0-1080	MOSF	B. NO. 270 CORP	SUFFOLK	MELVILLE	58 SOUTH SERVICE ROAD	11747	ACTIVE	03/31/2018
0-1084	MOSF	B. NO 272 CORP.	SUFFOLK	MELVILLE	58 SOUTH SERVICE ROAD	11747	ACTIVE	03/31/2018

Refine This Search

1968

Intro. Res. No. -2016
Introduced by Legislator D'Amaro

Laid on Table 11/9/2016

RESOLUTION NO. -2016, APPROPRIATING FUNDS IN CONNECTION WITH THE REHABILITATION OF GUGGENHEIM LAKE (DEER LAKE), TOWNS OF BABYLON AND ISLIP (CP 8716)

WHEREAS, Guggenheim Lake (Deer Lake), is located in the Towns of Babylon and Islip; and

WHEREAS, an opportunity exists to construct a small County-owned recreational facility, including a publicly accessible fishing pier, adjacent to the Lake; and

WHEREAS, a small unbuildable lot is available for purchase by the County to provide public access to the Lake; and

WHEREAS, a pump station must be constructed to regulate the water level in the Lake and ensure that the recreational facility remains functional; and

WHEREAS, it is beneficial to keep the water level consistent in order to maximize recreational opportunities for the public and protect the plant and wildlife habitats that surround the Lake; and

WHEREAS, planning for this project has recently been completed, and construction funding is now needed to progress this project; and

WHEREAS, this Legislature, by resolution of even date herewith, has authorized the issuance of $250,000 in Suffolk County Serial Bonds; and

WHEREAS, sufficient funding for this purpose has been included in the 2016 Adopted Capital Budget; and

WHEREAS, Resolution No. 471-1994, as revised by Resolution No. 571-1998, Resolution No. 209-2000 and Resolution No. 461-2006 established the use of a priority ranking system, implemented in the Adopted 2016 Capital Budget, as the basis for funding capital projects such as this project; now, therefore be it

1st **RESOLVED**, that it is hereby determined that this project, with a priority ranking of thirty-seven (37) is eligible for approval in accordance with the provisions of Resolution No. 471-1994 as revised by Resolution No. 571-1998, Resolution No. 209-2000 and Resolution No. 461-2006; and be it further

2nd **RESOLVED**, that the proceeds of $250,000 in Suffolk County Serial Bonds be and they hereby are appropriated as follows:

Project Number	JC	Project Title	Amount
525-CAP-8716.310 (Fund 001-Debt Service)	50	REHABILITATION OF GUGGENHEIM LAKE (DEER LAKE) TOWNS OF BABYLON AND ISLIP	$250,000

and be it further

3rd **RESOLVED**, that this Legislature, being the lead agency under the State Environmental Quality Review Act ("SEQRA"), Environmental Conservation Law Article 8, hereby finds and determines that this law constitutes a Type II action, pursuant to Section 617.5 (C) (18), (21) and (27) of Title 6 of New York Code of Rules and Regulations ("NYCRR"), in that the law authorizes information collection, including basic data collection and research, and preliminary planning processes necessary to formulate a proposal for an action, but does not commit the County to commence or approve an action. Since this law is a Type II action, the Legislature has no further responsibilities under SEQRA.

DATED:

APPROVED BY:

_____

County Executive of Suffolk County

Date:

T:\BRO\2016 Cap. Approp. Reso., Guggenheim Lake Updated.Doc

1969

Intro. Res. No. -2016
Introduced by Legislator Browning

Laid on Table 11/9/16

RESOLUTION NO. -2016, ADOPTING LOCAL LAW NO. -2016, A LOCAL LAW TO UPDATE AND STRENGTHEN SUFFOLK COUNTY'S ALL-TERRAIN VEHICLE LAW

WHEREAS, there was duly presented and introduced to this County Legislature at a meeting held on , 2016, a proposed local law entitled, "**A LOCAL LAW TO UPDATE AND STRENGTHEN SUFFOLK COUNTY'S ALL-TERRAIN VEHICLE LAW**"; now, therefore, be it

RESOLVED, that said local law be enacted in form as follows:

LOCAL LAW NO. -2016, SUFFOLK COUNTY, NEW YORK

A LOCAL LAW TO UPDATE AND STRENGTHEN SUFFOLK COUNTY'S ALL-TERRAIN VEHICLE LAW

BE IT ENACTED BY THE COUNTY LEGISLATURE OF THE COUNTY OF SUFFOLK, as follows:

Section 1. Legislative Intent.

This Legislature hereby finds and determines that the illegal use of All-Terrain Vehicles (ATVs) continues to damage public and private property in Suffolk County and threaten the safety and welfare of County residents despite best efforts to enact laws to deter such behavior and use.

This Legislature further finds and determines that Local Laws Nos. 23-1985, 29-1998 and 27-2002, codified in Chapter 822 of the SUFFOLK COUNTY CODE, were enacted to safeguard the safety and property of Suffolk County and its residents by placing restrictions on the use of ATVs and authorizing the impoundment and forfeiture of ATV's following their illegal use.

This Legislature further finds that New York City authorities recently destroyed dozens of seized ATVs in an effort to send a message to New Yorkers that the illegal use of these potentially harmful vehicles will not be tolerated.

This Legislature determines that Suffolk County officials should be authorized to destroy properly seized and forfeited ATVs to send a similar message to those who continue to flout the law.

This Legislature further finds that the County Attorney should be authorized to seek the forfeiture of an ATV upon it second illegal use within a five year period.

Therefore, the purpose of this law is to authorize the forfeiture of ATVs following a second violation and further to permit the destruction of properly seized ATVs.

Section 2. Amendment.

Chapter 822 of the SUFFOLK COUNTY CODE is hereby amended as follows:

CHAPTER 822. VEHICLES, OFF-ROAD

ARTICLE I. ALL-TERRAIN VEHICLES

* * * *

§ 822-2. Definitions.

As used in this law, the following terms shall have the meanings indicated:

* * * *

CRIME
A [third]second conviction for a violation of § 822-3A or B of this article [after having been twice convicted of a violation of either § 822-3A or B] within [the previous] five years of a previous conviction of a violation of § 822-3A or B.

* * * *

§822-7. Disposition of forfeited ATVs.

[A.] Whenever ATVs are forfeited under this article, the County Attorney, or his or her respective designee, may[:

(1)][T] transfer the ATV to any County agency, department, or other political subdivision which demonstrates a public safety need for the ATV so that the ATV may be put into official use by that agency, department, or other political subdivision. Any ATV that is not transferred pursuant to the provisions of this section shall be destroyed.

[(2) Sell any forfeited ATV which is not required to be destroyed by law and which is not harmful to the public.

(3) Transfer the ATV to any County-funded agency or organization demonstrating need for the ATV so that the ATV may be put into use by the funded agency or organization in the regular course of business of that funded agency or organization. Any such transfer of forfeited ATVs under this subsection may result in an in-kind deduction from those funds paid by the County to the specific agency or organization.

B. Any funds generated by the sale of forfeited ATVs, minus the necessary expenses incurred in connection with the maintenance, storage, and sale of the ATVs prior to disposition and the satisfaction of any valid liens on said ATV, shall be distributed as follows:

(1) Twenty percent to the Office of the County Attorney to offset costs and expenses incurred in the investigation, preparation, and litigation of the forfeiture action, including that proportion of the salaries of the attorneys, clerical staff, and investigative personnel devoted thereto;

(2) Ten percent to the Sheriff's Department to offset costs and expenses incurred in the service of process of the civil forfeiture actions, including that proportion of the salaries of the personnel devoted thereto;

(3) Thirty percent shall be deposited into an asset forfeiture fund in a separate, nonlapsing law enforcement purposes subaccount of the seizing law enforcement agency; and

(4) Forty percent shall be deposited into an asset forfeiture fund in a separate, nonlapsing law enforcement purpose subaccount of the Suffolk County Parks Department.]

* * * *

Section 3. Applicability.

This law shall apply to all actions occurring on or after the effective date of this law.

Section 4. Severability.

If any clause, sentence, paragraph, subdivision, section, or part of this law or the application thereof to any person, individual, corporation, firm, partnership, entity, or circumstance shall be adjudged by any court of competent jurisdiction to be invalid or unconstitutional, such order or judgment shall not affect, impair, or invalidate the remainder thereof, but shall be confined in its operation to the clause, sentence, paragraph, subdivision, section, or part of this law, or in its application to the person, individual, corporation, firm, partnership, entity, or circumstance directly involved in the controversy in which such order or judgment shall be rendered.

Section 5. SEQRA Determination.

This Legislature, being the State Environmental Quality Review Act (SEQRA) lead agency, hereby finds and determines that this law constitutes a Type II action pursuant to Section 617.5(c)(20), (21), and/or (27) of Title 6 of the NEW YORK CODE OF RULES AND REGULATIONS (6 NYCRR) and within the meaning of Section 8-0109(2) of the NEW YORK ENVIRONMENTAL CONSERVATION LAW as a promulgation of regulations, rules, policies, procedures, and legislative decisions in connection with continuing agency administration, management and information collection. The Suffolk County Council on Environmental Quality (CEQ) is hereby directed to circulate any appropriate SEQRA notices of determination of non-applicability or non-significance in accordance with this law.

Section 6. Effective Date.

This law shall take effect immediately upon filing in the Office of the Secretary of State.

[ ] Brackets denote deletion of existing language
___ Underlining denotes addition of new language

DATED:

APPROVED BY:

_____

County Executive of Suffolk County

Date:

s:\laws\2016\l-updated-strengthen-all-terrain vehicle

OFFICE OF THE COUNTY LEGISLATURE

COUNTY OF SUFFOLK

GEORGE NOLAN
COUNSEL TO THE LEGISLATURE
email: george.nolan@suffolkcountyny.gov

1969

WILLIAM H. ROGERS BUILDING
P.O. BOX 6100
HAUPPAUGE, NY 11788-0099
(631) 853-5494 (PHONE)
(631) 853-4415 (FAX)

DATE: **NOVEMBER 2, 2016**

TO: **CLERK OF THE COUNTY LEGISLATURE**

RE: **MEMORANDUM OF COUNSEL TO THE LEGISLATURE PURSUANT TO RULE 28**

PROPOSED LOCAL LAW YEAR 2016

TITLE: **I.R. NO. -2016; A LOCAL LAW TO UPDATE AND STRENGTHEN SUFFOLK COUNTY'S ALL-TERRAIN VEHICLE LAW**

SPONSOR: **LEGISLATOR BROWNING**

DATE OF RECEIPT BY COUNSEL: **11/2/2016** **PUBLIC HEARING:** **11/22/2016**

DATE ADOPTED/NOT ADOPTED: _____ **CERTIFIED COPY RECEIVED:** _____

This proposed law would amend the County's statute governing All-Terrain Vehicles (ATV's) as follows:

1) Authorize the County Attorney to institute a proceeding seeking forfeiture of an ATV after its second illegal use within a five year period (currently, forfeiture is authorized after a third conviction).

2) Require that forfeited vehicles be destroyed if they are not transferred to a County department or agency or another political subdivision for public safety use.

This law will take effect immediately upon its filing in the Office of the Secretary of State.

GEORGE NOLAN
Counsel to the Legislature

GN:js

s:\rule28\28-update-strengthen-all-terrain-vehicle

1970

Intro. Res. No. -2016 Laid on Table 11/9/16

Introduced by the Presiding Officer on request of the County Executive

RESOLUTION NO. -2016, TRANSFERRING ASSESSMENT STABILIZATION RESERVE FUNDS TO THE CAPITAL FUND, AMENDING THE 2016 OPERATING BUDGET, AND APPROPRIATING FUNDS FOR A SCADA (SURVEILLANCE CONTROL AND DATA ACQUISITION) SYSTEM FOR SANITARY FACILITIES IN SUFFOLK COUNTY SEWER DISTRICTS (CP 8165)

WHEREAS, the sanitary facilities under the ownership and operation of Suffolk County have increased over the past thirty-five years to twenty-two treatment plants and nearly ninety pumping stations; and

WHEREAS, there exists a need to monitor the systems in real time that can be accomplished by a Surveillance, Control and Data Acquisition (SCADA) System; and

WHEREAS, the Administrative Head of Suffolk County Sewer Districts has requested that funds be appropriated to cover design and installation costs associated with the SCADA System; and

WHEREAS, Resolution No 471-1994 as revised by Resolution No. 461-2006, established the use of a priority ranking system, implemented in the Adopted 2016 Capital Budget; as the basis for funding capital projects such as this project; and

WHEREAS, it is proposed that the Assessment Stabilization Reserve fund shall fund the districts the sum of $250,000, adopted in the 2016 Adopted Capital Budget for the purpose of continuing this project for the benefit of all the sewer districts; now therefore, be it

1st **RESOLVED**, that this Legislature, being the lead agency under the State Environmental Quality Review Act ("SEQRA"), Resolution No. 539-2003 classified the action contemplated by the proposed Surveillance, Control and Data Acquisition (SCADA) for Suffolk County Sewer District a Type II action pursuant to the provisions of Environmental Conservation Law Article 8, Title 6 of the New York Code of Rules and Regulations ("NYCRR") Section 617.5 (2) and (27) in that the in that the law authorizes replacement, rehabilitation or reconstruction of a structural facility, in kind, on the same site; as a Type II action, the Legislature has no further responsibilities under SEQRA; and be it further

2nd **RESOLVED,** that it is hereby determined that this project, with a priority ranking of sixty (60), is eligible for approval in accordance with provisions of Resolution No. 471-1994 as revised by Resolution No. 461-2006; and be it further

3rd **RESOLVED,** that the Assessment Stabilization Reserve Fund shall fund the Sewer Districts the sum of $250,000 for the purpose of continuing this project for the benefit of all the sewer districts; and be it further

4th **RESOLVED,** that the County Comptroller and County Treasurer be and they hereby are authorized to amend the 2016 Adopted Operating Budget increasing Interfund appropriations and revenues, transferring funds and accepting proceeds as follows:

Interfund Expenditures	Amount
404-IFT-E527-Transfer to Sewer District Capital Fund 527	$250,000

Interfund Revenues:

527-IFT-R404 – Transfer from ASRF Fund 404 (Ref. 527-CAP-IFTR-R404)	$250,000

; and be it further

5th **RESOLVED,** that funds in the amount of $250,000 from the Assessment Stabilization Reserve Fund be and hereby are appropriated as follows:

PROJECT NO.	PROJECT TITLE	AMOUNT
527-CAP-8165.312	Construction of Sewer District SCADA Systems	$250,000

; and be it further

6th **RESOLVED,** that the Administrative Head of the Sewer District be and he hereby is authorized, directed and empowered to enter into contracts and agreements upon such terms and conditions as he may deem necessary relating to the installation of the SCADA System.

DATED:

APPROVED BY:

_____

County Executive of Suffolk County

Date of Approval:

STATEMENT OF FINANCIAL IMPACT OF PROPOSED SUFFOLK COUNTY LEGISLATION

1970

1. Type of Legislation

Resolution X Local Law _____ Charter Law _____

2. Title of Proposed Legislation

A Draft Resolution Transferring Assessment Stabilization Reserve Funds to the Capital Fund, Amending the 2016 Operating Budget, and Appropriating Funds for a SCADA (Surveillance, Control and Data Acquisition) System for Sanitary Facilities in Suffolk County Sewer Districts (CP 8165)

3. Purpose of Proposed Legislation

This recommendation requests utilizing funds from the Assessment Stabilization Reserve Fund as funding for the project.

4. Will the Proposed Legislation Have a Fiscal Impact? Yes X No _____

5. If the answer to Item 4 is "yes," on what will it impact? (circle appropriate category)

County	**Town**	**Economic Impact**
Village	**School District**	**Other (Specify): X**
Library District	**Fire District**	

6. If the answer to item 4 is "yes," Provide Detailed Explanation of Impact

The legislation will allow continued installation of a SCADA (Surveillance, Control and Data Acquisition) System Suffolk County Sewer Districts. The SCADA system will assist in providing added efficiency to our operation, maintenance, and response to pump stations and also to those treatment plants that do not have 24 hour coverage.

7. Total Financial Cost of Funding over 5 Years on Each Affected Political or Other Subdivision.

2016 - $250,000

8. Proposed Source of Funding

ASRF

9. Timing of Impact

2016

10. Typed Name & Title of Preparer	11. Signature of Preparer	12. Date
Ben Wright, P.E. Principal Civil Engineer Sanitation	Ben Wright 11/1/16	11/4/16

SCIN FORM 175B (10/95)

ga-bw1-4-16 Backup DPW 175B CP 8165 ASRF SCADA system

FINANCIAL IMPACT
2016 PROPERTY TAX LEVY
COST TO THE AVERAGE TAXPAYER

1970

GENERAL FUND

	2016 PROPERTY TAX LEVY	2016 COST TO AVG TAXPAYER	2016 FV TAX RATE PER $1000
TOTAL	**$0**	**$0.00**	$0.000

POLICE DISTRICT AND DISTRICT COURT

	2016 PROPERTY TAX LEVY	2016 COST TO AVG TAXPAYER	2016 FV TAX RATE PER $1000
TOTAL	**$0**	**$0.00**	$0.000

COMBINED

	2016 PROPERTY TAX LEVY	2016 COST TO AVG TAXPAYER	2016 FV TAX RATE PER $1000
TOTAL	**$0**	**$0.00**	$0.000

NOTES:

1) SOURCE FOR NUMBER OF FAMILY PARCELS AND CORRESPONDING ASSESSED VALUATION: SUFFOLK COUNTY REAL PROPERTY, 2015.
2) SOURCE FOR TOTAL TAXABLE ASSESSED VALUATION FOR COUNTY PURPOSES: SCHEDULE A, REPORT OF ASSESSED VALUATION FOR 2015-2016.
3) SOURCE FOR EQUALIZATION RATES: 2015 COUNTY EQUALIZATION RATES ESTABLISHED BY THE NEW YORK STATE BOARD OF EQUALIZATION AND ASSESSMENTS.

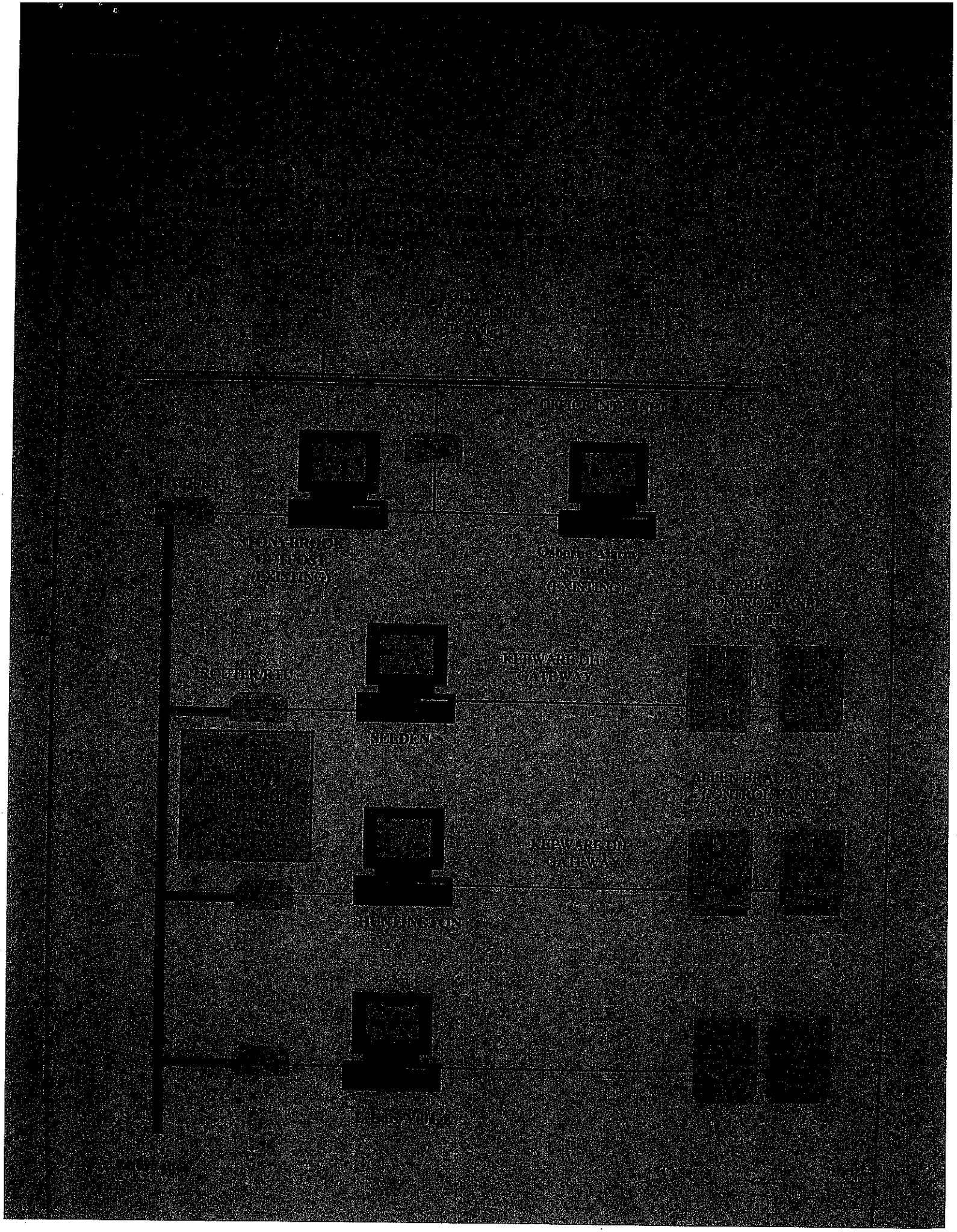
To be completed by the Executive Budget Office

1970

Capital Project 8165
SCADA (Surveillance Control and Data Acquisition) System
Summary

Suffolk County DPW, Division of Sanitation, operates and maintains twenty-two Sewage Treatment Plants and nearly 90 pumping stations. The facilities are located between Westhampton, Huntington, and Babylon. A need exists to incorporate, continue to update and support real time monitoring with these facilities to efficiently utilize staff.

The SCADA (Surveillance Control and Data Acquisition) system for Suffolk County utilizes a high-speed communication system to monitor pumping stations and plant operation in real time. The pump station system monitors the level of the well, the operation and condition of the pumps, alarm conditions, the power supply and generator operation. The plant system monitors plant flow, tank levels, equipment operation, power supply and alarm conditions. This computerized monitoring system will be a graphical animated system that will mimic the operation of the pumping station and plant equipment. The screen image will portray the filling of the well or tank and the operation of the pumps and/or equipment. Alarm conditions will change the color of the icons from green to red to attract attention to the problem. When an alarm condition occurs, we will be able to utilize surveillance cameras to observe the problem and determine the severity or criticality of the problem. Additionally, the system will maintain a computerized logging of all points monitored allowing to investigate the history of all these conditions.


SELDEN
HUNTINGTON

2016 INTERGOVERNMENTAL RELATIONS
MEMORANDUM OF SUPPORT

1970

TITLE OF BILL - A Draft Resolution Transferring Assessment Stabilization Reserve Funds to the Capital Fund, Amending the 2016 Operating Budget, and Appropriating Funds for a SCADA (Surveillance, Control and Data Acquisition) System for Sanitary Facilities in Suffolk County Sewer Districts (CP 8165)

PURPOSE OR GENERAL IDEA OF BILL – To appropriate funds for an ongoing project involved with providing real time monitoring of sewerage systems.

SUMMARY OF SPECIFIC PROVISONS – The bill will provide added efficiency to our operation, maintenance, and response to pump stations and also to those treatment plants that do not have 24 hour coverage. Real time monitoring allows limited decisions to be made on the time required for an on-site response.

JUSTIFICATION – In the interest of efficiency, a SCADA system with allow centralized monitoring and decision making with regard to the operational response to unstaffed sewerage facilities. Alarm conditions of a non-critical issue can have a delayed and more efficient response.

FISCAL IMPLICATIONS - The Capital Program and Budget includes $250,000 which will be utilized during 2016 and 2017 to provide

COUNTY OF SUFFOLK

1970

STEVEN BELLONE
SUFFOLK COUNTY EXECUTIVE

DEPARTMENT OF PUBLIC WORKS

PHILIP A. BERDOLT
DEPUTY COMMISSIONER

GILBERT ANDERSON, P.E.
COMMISSIONER

DARNELL TYSON, P.E.
DEPUTY COMMISSIONER

MEMORANDUM

TO: Jon Schneider, Deputy County Executive

FROM: Gilbert Anderson, P.E., Commissioner Darnell Tyson for Gil Anderson

SUBJECT: **A Draft Resolution Transferring Assessment Stabilization Reserve Funds to the Capital Fund, Amending the 2016 Operating Budget, and Appropriating Funds for a SCADA (Surveillance, Control and Data Acquisition) System for Sanitary Facilities in Suffolk County Sewer Districts (CP 8165)**

DATE: January 4, 2015

Attached is a draft resolution and appropriate forms with backup for a SCADA (Surveillance, Control and Data Acquisition) System for the various Suffolk County Sewer Districts filed as Reso DPW CP 8165 SCADA (Surveillance, Control and Data Acquisition) System 1-4-16 and backup filed as Reso-Backup DPW CP 8165 SCADA (Surveillance, Control and Data Acquisition) System 1-4-16. The project has been included in the Adopted 2016 Capital Budget and Program and has been indicated as using Assessment Stabilization Reserve Funds in 2016 and we have, therefore, prepared the draft resolution with that source of funding.

The SCADA system will assist in providing added efficiency to our operation, maintenance, and response to pump stations and also to those treatment plants that do not have 24 hour coverage. A summary sheet describing that basic concept is attached.

We request this resolution be laid on the table at your earliest convenience.

GA:BW:ni
Attachment
cc: Dennis M. Cohen, Chief Deputy County Executive
Lisa Santeramo, Assistant Deputy County Executive
Tom Vaughn, Director of Intergovernmental Relations
Lynne Bizzarro, Esq., Chief Deputy County Attorney
Charles Jaquin, Executive Assistant for Finance & Administration
Nick Paglia, Assistant Executive Analyst
John Donovan, P.E., Chief Engineer, Sanitation
Ben Wright, P.E., Principal Civil Engineer, Sanitation
CE Reso Review
ga-bw1-4-16 Backup DPW ASRF CP 8165 SCADA system memo to JSchneider

335 YAPHANK AVENUE ■ YAPHANK, N.Y. 11980 ■ (631) 852-4010 FAX (631) 852-4150

1971

Intro. Res. No. -2016

Introduced by Presiding Officer on request of the County Executive

Laid on Table 11/9/16

RESOLUTION NO. -2016, APPROPRIATING FUNDS IN CONNECTION WITH THE REMOVAL OF TOXIC AND HAZARDOUS MATERIALS IN COUNTY PARKS (CP 7185)

WHEREAS, the Commissioner of Parks, Recreation and Conservation has requested construction funds for the removal of toxic and hazardous materials in county parks; and

WHEREAS, there are sufficient funds within the 2016 Capital Budget and Program to cover the cost of said construction under Capital Project 7185; and

WHEREAS, Resolution No. 471-1994, as revised by Resolution No. 461-2006, established the use of a priority ranking system, implemented in the Adopted 2016 Capital Budget as the basis for funding capital projects such as this project; and

WHEREAS, removal of all toxic and hazardous materials discovered in county parks will be in accordance with all Federal and OSHA standards; and

WHEREAS, the County Legislature, by resolution of even date herewith, has authorized the issuance of $100,000 in Suffolk County Serial Bonds; now, therefore be it

1st RESOLVED, that the Legislature, being the lead agency under the State Environmental Quality Review Act ("SEQRA"), Environmental Conservation Law Article 8, hereby finds and determines that this law constitutes a Type II action, pursuant to Volume 6 of the New York Code of Rules and Regulations ("NYCRR") Part 617.5 (C) (1) (2) (27) maintenance or repair involving no substantial changes in an existing structure or facility; and replacement, rehabilitation or reconstruction of a structure or facility, in kind, on the same site, including upgrading buildings to meet building or fire codes, adoption of regulations, policies, procedures and local legislative decisions in connection with any action on this list; and be it further

2nd RESOLVED, that it is hereby determined that this project, with a priority ranking of sixty-eight (68) is eligible for approval in accordance with the provisions of Resolution No. 471-1994 as revised by Resolution No. 461-2006; and be it further

3rd RESOLVED, that the proceeds of $100,000 in Suffolk County Serial Bonds be and they are hereby appropriated as follows:

Project No.	J.C.	Project Title	Amount
525-CAP-7185.317 (Fund 001–Debt Service)	26	Removal of Toxic and Hazardous Materials in County Parks	$100,000

DATED:

APPROVED BY:

_____

County Executive of Suffolk County

Date of Approval:

STATEMENT OF FINANCIAL IMPACT
OF PROPOSED SUFFOLK COUNTY LEGISLATION

1971

1. Type of Legislation

Resolution ___X___ Local Law _____ Charter Law _____

2. Title of Proposed Legislation

RESOLUTION NO. -2016, APPROPRIATING FUNDS IN CONNECTION WITH THE REMOVAL OF TOXIC AND HAZARDOUS MATERIALS IN COUNTY PARKS (CP 7185)

3. Purpose of Proposed Legislation

See above.

4. Will the Proposed Legislation Have a Fiscal Impact? **Yes** __X__ **No** _____

5. If the answer to item 4 is "yes", on what will it impact? (circle appropriate category)

County	**Town**	**Economic Impact**
Village	**School District**	**Other (Specify):**
Library District	**Fire District**	

6. If the answer to item 5 is "yes", Provide Detailed Explanation of Impact

SERIAL BONDS WILL BE ISSUED TO FINANCE THIS PROJECT. PRINCIPAL AND INTEREST COSTS WILL BE INCURRED OVER THE LIFE OF THE BONDS.

7. Total Financial Cost of Funding over 5 Years on Each Affected Political or Other Subdivision.

SEE ATTACHED DEBT SCHEDULE

8. Proposed Source of Funding

SERIAL BONDS

9. Timing of Impact

IT IS ANTICIPATED THAT BONDS WILL BE ISSUED SPRING OF 2017 AND DEBT SERVICE WILL COMMENCE SPRING 2018. THERE IS NO FISCAL IMPACT IN 2016 AND 2017. EARLIEST DEBT SERVICE FISCAL IMPACT WILL BE IN THE 2018 OPERATING BUDGET. ATTACHED 2018 CAT BASED ON 2016 DATA.

10. Typed Name & Title of Preparer	11. Signature of Preparer	12. Date
Nicholas Paglia Principal Budget Examiner		November 1, 2016

SCIN FORM 175b (10/95)

1971

Suffolk County

General Obligation Serial Bonds
Level Debt

Term of Bonds	5
Amount to Bond:	$100,000

Date	Coupon	Principal	Interest	Total Debt Service	Fiscal Debt Service
6/1/2017					
6/1/2018	2.000%	$19,206.24	$2,025.00	$21,231.24	$21,231.24
			$818.04	$818.04	
6/1/2019	2.000%	$19,595.16	$818.04	$20,413.20	$21,231.24
			$619.64	$619.64	
6/1/2020	2.000%	$19,991.96	$619.64	$20,611.60	$21,231.24
			$417.22	$417.22	
6/1/2021	2.000%	$20,396.80	$417.22	$20,814.02	$21,231.24
			$210.70	$210.70	
6/1/2022	2.125%	$20,809.84	$210.70	$21,020.54	$21,231.24
6/1/2023		$100,000.00	$6,156.18	$106,156.18	$106,156.18
6/1/2024					
6/1/2025					
6/1/2026					
6/1/2027					
6/1/2028					
6/1/2029					
6/1/2030					
6/1/2031					
6/1/2032					
6/1/2033					
6/1/2034					
6/1/2035					

FINANCIAL IMPACT
2018 PROPERTY TAX LEVY
COST TO THE AVERAGE TAXPAYER

1971

GENERAL FUND

	2018 PROPERTY TAX LEVY	2018 COST TO AVG TAXPAYER	2018 FV TAX RATE PER $1000
TOTAL	**$21,231**	**$0.04**	$0.000

POLICE DISTRICT AND DISTRICT COURT

	2018 PROPERTY TAX LEVY	2018 COST TO AVG TAXPAYER	2018 FV TAX RATE PER $1000
TOTAL	**$0**	**$0.00**	$0.000

COMBINED

	2018 PROPERTY TAX LEVY	2018 COST TO AVG TAXPAYER	2018 FV TAX RATE PER $1000
TOTAL	**$21,231**	**$0.04**	$0.000

NOTES:

1) SOURCE FOR NUMBER OF FAMILY PARCELS AND CORRESPONDING ASSESSED VALUATION: SUFFOLK COUNTY REAL PROPERTY, 2015.
2) SOURCE FOR TOTAL TAXABLE ASSESSED VALUATION FOR COUNTY PURPOSES: SCHEDULE A, REPORT OF ASSESSED VALUATION FOR 2015-2016.
3) SOURCE FOR EQUALIZATION RATES: 2015 COUNTY EQUALIZATION RATES ESTABLISHED BY THE NEW YORK STATE BOARD OF EQUALIZATION AND ASSESSMENTS.

To be completed by the Executive Budget Office

FINANCIAL IMPACT
2017 PROPERTY TAX LEVY
COST TO THE AVERAGE TAXPAYER

1971

GENERAL FUND

	2017 PROPERTY TAX LEVY	2017 COST TO AVG TAXPAYER	2017 FV TAX RATE PER $1000
TOTAL	**$0**	**$0.00**	$0.000

POLICE DISTRICT AND DISTRICT COURT

	2017 PROPERTY TAX LEVY	2017 COST TO AVG TAXPAYER	2017 FV TAX RATE PER $1000
TOTAL	**$0**	**$0.00**	$0.000

COMBINED

	2017 PROPERTY TAX LEVY	2017 COST TO AVG TAXPAYER	2017 FV TAX RATE PER $1000
TOTAL	**$0**	**$0.00**	$0.000

NOTES:

1) SOURCE FOR NUMBER OF FAMILY PARCELS AND CORRESPONDING ASSESSED VALUATION: SUFFOLK COUNTY REAL PROPERTY, 2015.
2) SOURCE FOR TOTAL TAXABLE ASSESSED VALUATION FOR COUNTY PURPOSES: SCHEDULE A, REPORT OF ASSESSED VALUATION FOR 2015-2016.
3) SOURCE FOR EQUALIZATION RATES: 2015 COUNTY EQUALIZATION RATES ESTABLISHED BY THE NEW YORK STATE BOARD OF EQUALIZATION AND ASSESSMENTS.

To be completed by the Executive Budget Office

FINANCIAL IMPACT
2016 PROPERTY TAX LEVY
COST TO THE AVERAGE TAXPAYER

1971

GENERAL FUND

	2016 PROPERTY TAX LEVY	2016 COST TO AVG TAXPAYER	2016 FV TAX RATE PER $1000
TOTAL	**$0**	**$0.00**	$0.000

POLICE DISTRICT AND DISTRICT COURT

	2016 PROPERTY TAX LEVY	2016 COST TO AVG TAXPAYER	2016 FV TAX RATE PER $1000
TOTAL	**$0**	**$0.00**	$0.000

COMBINED

	2016 PROPERTY TAX LEVY	2016 COST TO AVG TAXPAYER	2016 FV TAX RATE PER $1000
TOTAL	**$0**	**$0.00**	$0.000

NOTES:

1) SOURCE FOR NUMBER OF FAMILY PARCELS AND CORRESPONDING ASSESSED VALUATION: SUFFOLK COUNTY REAL PROPERTY, 2015.
2) SOURCE FOR TOTAL TAXABLE ASSESSED VALUATION FOR COUNTY PURPOSES: SCHEDULE A, REPORT OF ASSESSED VALUATION FOR 2015-2016.
3) SOURCE FOR EQUALIZATION RATES: 2015 COUNTY EQUALIZATION RATES ESTABLISHED BY THE NEW YORK STATE BOARD OF EQUALIZATION AND ASSESSMENTS.

To be completed by the Executive Budget Office

COUNTY OF SUFFOLK

1971

STEVEN BELLONE
SUFFOLK COUNTY EXECUTIVE

DEPARTMENT OF
PARKS, RECREATION AND CONSERVATION

GREG DAWSON
COMMISSIONER

2016 INTERGOVERNMENTAL RELATIONS MEMORANDUM OF SUPPORT

TITLE OF BILL: Appropriating funds in connection with the Removal of Toxic and Hazardous Materials in County Parks (CP 7185).

PURPOSE OR GENERAL IDEA OF BILL: To approve and appropriate funds adopted in the 2016 Capital Budget in connection with the Removal of Toxic and Hazardous Materials in County Parks (CP 7185).

SUMMARY OF SPECIFIC PROVISIONS: This resolution appropriates the funds adopted in the 2016 Capital Budget in connection with the Removal of Toxic and Hazardous Materials in County Parks (CP 7185).

JUSTIFICATION: Frequently in the course of rehabilitating or restoring parks or historic structures, hazardous materials are discovered including lead, asbestos, and PCB's among other substances. Special training and procedures must be followed in handling these materials, which increases the costs associated with their removal. The funds appropriated under this resolution will go toward removing toxic and hazardous materials discovered during the course of renovation, restoration or demolition of structures, removing underground storage tanks, removing demolished material, and other projects as needed.

The Parks Department has a list of structures to be demolished, which include dilapidated structures on properties at Prosser Pines, Tadsen's Marina, Old Field Farm, and Timber Point Golf Course. Whenever feasible, the Parks Department attempts to use in-house staff to conduct these demolitions, thereby saving funding and enabling it to complete more projects with available funding.

FISCAL IMPLICATIONS: Serial Bonds will be issued to finance this project. Principal and interest costs will be incurred by the County over the life of the bonds.

MONTAUK HIGHWAY – P.O. BOX 144 WEST SAYVILLE, NEW YORK 11796-0144 (631) 854-4949 FAX: (631) 854-4977

SUFFOLK COUNTY PARKS

1972

Intro. Res. No. -2016 Laid on Table 11/9/16

Introduced by Presiding Officer on request of the County Executive

RESOLUTION NO. -2016, APPROPRIATING FUNDS IN CONNECTION WITH IMPROVEMENTS TO NORTH FORK COUNTY PRESERVE (CP 7189)

WHEREAS, the Commissioner of Parks, Recreation and Conservation has requested funds for planning improvements to the North Fork County Preserve; and

WHEREAS, there are sufficient funds within the 2016 Capital Budget and Program to cover the cost of said request; and

WHEREAS, Resolution No. 471-1994 as revised by Resolution No. 461-2006 established the use of a priority ranking system, implemented in the Adopted 2016 Capital Budget, as the basis for funding capital projects such as this project; and

WHEREAS, the County Legislature, by resolution of even date herewith, has authorized the issuance of $200,000 in Suffolk County Serial Bonds; now, therefore, be it

1st **RESOLVED**, that this Legislature, being lead agency under the State Environmental Quality Review Act (SEQRA) Environmental Conservation Law, Article 8, hereby finds and determines that this law constitutes a Type II action under the provisions of Title 6 NYCRR 617.5 (C), (18), "Information collection including basic data collection and research, water quality and pollution studies, traffic counts, engineering studies, surveys, subsurface investigations and soils studies that do not commit the agency to undertake, fund or approve any type I or unlisted action;" and Part 617.5 (C), (21) "conducting concurrent environmental, engineering, economic, feasibility and other studies and preliminary planning and budgetary processes necessary to the formulation of a proposal for action, provided those activities do not commit the agency to commence, engage in or approve such action;" and the Legislature has no further responsibilities under SEQRA; and be it further

2nd **RESOLVED**, that it is hereby determined that this project, with a priority ranking of forty (40) is eligible for approval in accordance with the provisions of Resolution No. 471-1994, as revised by Resolution 461-2006; and be it further

3rd **RESOLVED**, that the proceeds of $200,000 in Suffolk County Serial Bonds be and are hereby appropriated as follows:

Project No.	J.C.	Project Title	Amount
525-CAP-7189.111 (Fund 001-Debt Service)	26	Improvements to North Fork County Preserve	$200,000

Date:

APPROVED BY:

_____

County Executive of Suffolk County

Date of Approval:

14 10

STATEMENT OF FINANCIAL IMPACT
OF PROPOSED SUFFOLK COUNTY LEGISLATION

1. Type of Legislation

Resolution __X__ Local Law _____ Charter Law _____

2. Title of Proposed Legislation

RESOLUTION NO. -2016, APPROPRIATING FUNDS IN CONNECTION WITH IMPROVEMENTS TO NORTH FORK COUNTY PRESERVE (CP 7189)

3. Purpose of Proposed Legislation

See above.

4. Will the Proposed Legislation Have a Fiscal Impact? **Yes __X__ No _____**

5. If the answer to item 4 is "yes", on what will it impact? (circle appropriate category)

County **Town** **Economic Impact**

Village **School District** **Other (Specify):**

Library District **Fire District**

6. If the answer to item 5 is "yes", Provide Detailed Explanation of Impact

SERIAL BONDS WILL BE ISSUED TO FINANCE THIS PROJECT. PRINCIPAL AND INTEREST COSTS WILL BE INCURRED OVER THE LIFE OF THE BONDS.

7. Total Financial Cost of Funding over 5 Years on Each Affected Political or Other Subdivision.

SEE ATTACHED DEBT SCHEDULE

8. Proposed Source of Funding

SERIAL BONDS

9. Timing of Impact

IT IS ANTICIPATED THAT BONDS WILL BE ISSUED SPRING OF 2017 AND DEBT SERVICE WILL COMMENCE SPRING 2018. THERE IS NO FISCAL IMPACT IN 2016 AND 2017. EARLIEST DEBT SERVICE FISCAL IMPACT WILL BE IN THE 2018 OPERATING BUDGET. ATTACHED 2018 CAT BASED ON 2016 DATA.

10. Typed Name & Title of Preparer	11. Signature of Preparer	12. Date
Nicholas Paglia Principal Budget Examiner		November 1, 2016

SCIN FORM 175b (10/95)

FINANCIAL IMPACT
2018 PROPERTY TAX LEVY
COST TO THE AVERAGE TAXPAYER

1972

GENERAL FUND

	2018 PROPERTY TAX LEVY	2018 COST TO AVG TAXPAYER	2018 FV TAX RATE PER $1000
TOTAL	**$42,462**	**$0.08**	$0.000

POLICE DISTRICT AND DISTRICT COURT

	2018 PROPERTY TAX LEVY	2018 COST TO AVG TAXPAYER	2018 FV TAX RATE PER $1000
TOTAL	**$0**	**$0.00**	$0.000

COMBINED

	2018 PROPERTY TAX LEVY	2018 COST TO AVG TAXPAYER	2018 FV TAX RATE PER $1000
TOTAL	**$42,462**	**$0.08**	$0.001

NOTES:

1) SOURCE FOR NUMBER OF FAMILY PARCELS AND CORRESPONDING ASSESSED VALUATION: SUFFOLK COUNTY REAL PROPERTY, 2015.
2) SOURCE FOR TOTAL TAXABLE ASSESSED VALUATION FOR COUNTY PURPOSES: SCHEDULE A, REPORT OF ASSESSED VALUATION FOR 2015-2016.
3) SOURCE FOR EQUALIZATION RATES: 2015 COUNTY EQUALIZATION RATES ESTABLISHED BY THE NEW YORK STATE BOARD OF EQUALIZATION AND ASSESSMENTS.

To be completed by the Executive Budget Office

Suffolk County

General Obligation Serial Bonds
Level Debt

1972

Term of Bonds: 5
Amount to Bond: $200,000

Date	Coupon	Principal	Interest	Total Debt Service	Fiscal Debt Service
6/1/2017					
6/1/2018	2.000%	$38,412.47	$4,050.00	$42,462.47	$42,462.47
			$1,636.07	$1,636.07	
6/1/2019	2.000%	$39,190.32	$1,636.07	$40,826.40	$42,462.47
			$1,239.27	$1,239.27	
6/1/2020	2.000%	$39,983.93	$1,239.27	$41,223.20	$42,462.47
			$834.43	$834.43	
6/1/2021	2.000%	$40,793.60	$834.43	$41,628.04	$42,462.47
			$421.40	$421.40	
6/1/2022	2.125%	$41,619.67	$421.40	$42,041.07	$42,462.47
6/1/2023		$200,000.00	$12,312.36	$212,312.36	$212,312.36
6/1/2024					
6/1/2025					
6/1/2026					
6/1/2027					
6/1/2028					
6/1/2029					
6/1/2030					
6/1/2031					
6/1/2032					
6/1/2033					
6/1/2034					
6/1/2035					

FINANCIAL IMPACT
2017 PROPERTY TAX LEVY
COST TO THE AVERAGE TAXPAYER

1972

GENERAL FUND

	2017 PROPERTY TAX LEVY	2017 COST TO AVG TAXPAYER	2017 FV TAX RATE PER $1000
TOTAL	$0	**$0.00**	$0.000

POLICE DISTRICT AND DISTRICT COURT

	2017 PROPERTY TAX LEVY	2017 COST TO AVG TAXPAYER	2017 FV TAX RATE PER $1000
TOTAL	$0	**$0.00**	$0.000

COMBINED

	2017 PROPERTY TAX LEVY	2017 COST TO AVG TAXPAYER	2017 FV TAX RATE PER $1000
TOTAL	$0	**$0.00**	$0.000

NOTES:

1) SOURCE FOR NUMBER OF FAMILY PARCELS AND CORRESPONDING ASSESSED VALUATION: SUFFOLK COUNTY REAL PROPERTY, 2015.
2) SOURCE FOR TOTAL TAXABLE ASSESSED VALUATION FOR COUNTY PURPOSES: SCHEDULE A, REPORT OF ASSESSED VALUATION FOR 2015-2016.
3) SOURCE FOR EQUALIZATION RATES: 2015 COUNTY EQUALIZATION RATES ESTABLISHED BY THE NEW YORK STATE BOARD OF EQUALIZATION AND ASSESSMENTS.

To be completed by the Executive Budget Office

FINANCIAL IMPACT
2016 PROPERTY TAX LEVY
COST TO THE AVERAGE TAXPAYER

1972

GENERAL FUND

	2016 PROPERTY TAX LEVY	2016 COST TO AVG TAXPAYER	2016 FV TAX RATE PER $1000
TOTAL	$0	$0.00	$0.000

POLICE DISTRICT AND DISTRICT COURT

	2016 PROPERTY TAX LEVY	2016 COST TO AVG TAXPAYER	2016 FV TAX RATE PER $1000
TOTAL	$0	$0.00	$0.000

COMBINED

	2016 PROPERTY TAX LEVY	2016 COST TO AVG TAXPAYER	2016 FV TAX RATE PER $1000
TOTAL	$0	$0.00	$0.000

NOTES:

1) SOURCE FOR NUMBER OF FAMILY PARCELS AND CORRESPONDING ASSESSED VALUATION: SUFFOLK COUNTY REAL PROPERTY, 2015.
2) SOURCE FOR TOTAL TAXABLE ASSESSED VALUATION FOR COUNTY PURPOSES: SCHEDULE A, REPORT OF ASSESSED VALUATION FOR 2015-2016.
3) SOURCE FOR EQUALIZATION RATES: 2015 COUNTY EQUALIZATION RATES ESTABLISHED BY THE NEW YORK STATE BOARD OF EQUALIZATION AND ASSESSMENTS.

To be completed by the Executive Budget Office

COUNTY OF SUFFOLK

1972

STEVEN BELLONE
SUFFOLK COUNTY EXECUTIVE

DEPARTMENT OF
PARKS, RECREATION AND CONSERVATION

GREG DAWSON
COMMISSIONER

2016 INTERGOVERNMENTAL RELATIONS MEMORANDUM OF SUPPORT

TITLE OF BILL: Approving and appropriating funds in connection with Improvements to North Fork County Preserve (CP 7189)

PURPOSE OR GENERAL IDEA OF BILL: To approve and appropriate funds adopted in the 2016 Capital Budget to allow for improvements to North Fork County Preserve (CP 7189).

SUMMARY OF SPECIFIC PROVISIONS: This resolution appropriates the funds adopted in the 2016 Capital Budget in connection with improvements to North Fork County Preserve (CP 7189).

JUSTIFICATION: The recently acquired North Fork Preserve is a 300 acre County Park located in Northville, Town of Riverhead. Prior to development of the formal park infrastructure and amenities, a comprehensive analysis (master plan) of the entire site needs to be completed. Once a master plan is approved and cost estimates are established, future funding requests for construction can be made. Proposed uses include, but are not limited to camping, multi-use trails, and an equestrian center.

FISCAL IMPLICATIONS: Serial Bonds will be issued to finance this project. Principal and interest costs will be incurred by the County over the life of the bonds.

MONTAUK HIGHWAY – P.O. BOX 144 WEST SAYVILLE, NEW YORK 11796-0144 (631) 854-4949 FAX: (631) 854-4977

SUFFOLK COUNTY PARKS

Intro. Res. No 1973-16 - 2016 Laid on Table 11/9/16
Introduced by Presiding Officer on request of the County Executive

RESOLUTION NO. - 2016, APPROPRIATING FUNDS IN CONNECTION WITH BUILDING SAFETY IMPROVEMENTS (CP 1603)

WHEREAS, the Commissioner of Public Works has requested funds for the Building Safety Improvements; and

WHEREAS, there are sufficient funds within the 2016 Capital Budget and Program to cover the cost of said request; and

WHEREAS, Resolution No. 471-1994 as revised by Resolution No. 461-2006 has established a priority ranking system as the basis for funding Capital Projects such as this project; and

WHEREAS, the County Legislature, by resolution of even date herewith, has authorized the issuance of $100,000 in Suffolk County Serial Bonds; now, therefore, be it

1st **RESOLVED**, that this Legislature, being the lead agency under the State Environmental Quality Review Act ("SEQRA"), Environmental Conservation Law Article 8, hereby finds and determines that this constitutes a Type II action, pursuant to the provisions of Title 6 NYCRR, Part 617.5(C)(1) and (2) since it involves the maintenance or repair involving no substantial changes in an existing structure or facility and the replacement, rehabilitation or reconstruction of a structure or facility, in kind; and adoption of a local legislative decision in connection with the same; and as a Type II action, the Legislature has no further responsibilities under SEQRA; and be it further

2nd **RESOLVED**, that it is hereby determined that this project, with a priority ranking of fifty-three (53) is eligible for approval in accordance with the provisions of Resolution No. 471-1994 as revised by Resolution No. 461-2006; and be it further

3rd **RESOLVED**, that the County Department of Public Works is hereby authorized, empowered and directed to take such action as may be necessary, pursuant to Section C8-2 (B) of the Suffolk County Charter to complete this project; and be it further

4th **RESOLVED**, that the proceeds of $100,000 in Suffolk County Serial Bonds be and they are hereby appropriated as follows:

Project No.	J.C.	Project Title	Amount
525-CAP-1603.313 (Fund 001 Debt Service)	20	Construction for Building Safety Improvements	$100,000

Date:

APPROVED BY:

_____

County Executive of Suffolk County

Date of Approval:

STATEMENT OF FINANCIAL IMPACT
OF PROPOSED SUFFOLK COUNTY LEGISLATION 1973

1. Type of Legislation

Resolution ___X___ Local Law _____ Charter Law _____

2. Title of Proposed Legislation

RESOLUTION NO. - 2016, APPROPRIATING FUNDS IN CONNECTION WITH BUILDING SAFETY IMPROVEMENTS (CP 1603)

3. Purpose of Proposed Legislation

See above.

4. Will the Proposed Legislation Have a Fiscal Impact? **Yes** __X__ **No** _____

5. If the answer to item 4 is "yes", on what will it impact? (circle appropriate category)

County **Town** **Economic Impact**

Village **School District** **Other (Specify):**

Library District **Fire District**

6. If the answer to item 5 is "yes", Provide Detailed Explanation of Impact

SERIAL BONDS WILL BE ISSUED TO FINANCE THIS PROJECT. PRINCIPAL AND INTEREST COSTS WILL BE INCURRED OVER THE LIFE OF THE BONDS.

7. Total Financial Cost of Funding over 5 Years on Each Affected Political or Other Subdivision.

SEE ATTACHED DEBT SCHEDULE

8. Proposed Source of Funding

SERIAL BONDS

9. Timing of Impact

IT IS ANTICIPATED THAT BONDS WILL BE ISSUED SPRING OF 2017 AND DEBT SERVICE WILL COMMENCE SPRING 2018. THERE IS NO FISCAL IMPACT IN 2016 AND 2017. EARLIEST DEBT SERVICE FISCAL IMPACT WILL BE IN THE 2018 OPERATING BUDGET. ATTACHED 2018 CAT BASED ON 2016 DATA.

10. Typed Name & Title of Preparer	11. Signature of Preparer	12. Date
Nicholas Paglia Principal Budget Examiner		November 2, 2016

SCIN FORM 175b (10/95)

Suffolk County

General Obligation Serial Bonds
Level Debt

1973

Term of Bonds: 5
Amount to Bond: $100,000

Date	Coupon	Principal	Interest	Total Debt Service	Fiscal Debt Service
6/1/2017					
6/1/2018	2.000%	$19,206.24	$2,025.00	$21,231.24	$21,231.24
			$818.04	$818.04	
6/1/2019	2.000%	$19,595.16	$818.04	$20,413.20	$21,231.24
			$619.64	$619.64	
6/1/2020	2.000%	$19,991.96	$619.64	$20,611.60	$21,231.24
			$417.22	$417.22	
6/1/2021	2.000%	$20,396.80	$417.22	$20,814.02	$21,231.24
			$210.70	$210.70	
6/1/2022	2.125%	$20,809.84	$210.70	$21,020.54	$21,231.24
6/1/2023		$100,000.00	$6,156.18	$106,156.18	$106,156.18
6/1/2024					
6/1/2025					
6/1/2026					
6/1/2027					
6/1/2028					
6/1/2029					
6/1/2030					
6/1/2031					
6/1/2032					
6/1/2033					
6/1/2034					
6/1/2035					

FINANCIAL IMPACT
2018 PROPERTY TAX LEVY
COST TO THE AVERAGE TAXPAYER

1973

GENERAL FUND

	2018 PROPERTY TAX LEVY	2018 COST TO AVG TAXPAYER	2018 FV TAX RATE PER $1000
TOTAL	**$21,231**	**$0.04**	$0.000

POLICE DISTRICT AND DISTRICT COURT

	2018 PROPERTY TAX LEVY	2018 COST TO AVG TAXPAYER	2018 FV TAX RATE PER $1000
TOTAL	**$0**	**$0.00**	$0.000

COMBINED

	2018 PROPERTY TAX LEVY	2018 COST TO AVG TAXPAYER	2018 FV TAX RATE PER $1000
TOTAL	**$21,231**	**$0.04**	$0.000

NOTES:

1) SOURCE FOR NUMBER OF FAMILY PARCELS AND CORRESPONDING ASSESSED VALUATION: SUFFOLK COUNTY REAL PROPERTY, 2015.
2) SOURCE FOR TOTAL TAXABLE ASSESSED VALUATION FOR COUNTY PURPOSES: SCHEDULE A, REPORT OF ASSESSED VALUATION FOR 2015-2016.
3) SOURCE FOR EQUALIZATION RATES: 2015 COUNTY EQUALIZATION RATES ESTABLISHED BY THE NEW YORK STATE BOARD OF EQUALIZATION AND ASSESSMENTS.

To be completed by the Executive Budget Office

FINANCIAL IMPACT
2017 PROPERTY TAX LEVY
COST TO THE AVERAGE TAXPAYER

1973

GENERAL FUND

	2017 PROPERTY TAX LEVY	2017 COST TO AVG TAXPAYER	2017 FV TAX RATE PER $1000
TOTAL	**$0**	**$0.00**	$0.000

POLICE DISTRICT AND DISTRICT COURT

	2017 PROPERTY TAX LEVY	2017 COST TO AVG TAXPAYER	2017 FV TAX RATE PER $1000
TOTAL	**$0**	**$0.00**	$0.000

COMBINED

	2017 PROPERTY TAX LEVY	2017 COST TO AVG TAXPAYER	2017 FV TAX RATE PER $1000
TOTAL	**$0**	**$0.00**	$0.000

NOTES:

1) SOURCE FOR NUMBER OF FAMILY PARCELS AND CORRESPONDING ASSESSED VALUATION: SUFFOLK COUNTY REAL PROPERTY, 2015.
2) SOURCE FOR TOTAL TAXABLE ASSESSED VALUATION FOR COUNTY PURPOSES: SCHEDULE A, REPORT OF ASSESSED VALUATION FOR 2015-2016.
3) SOURCE FOR EQUALIZATION RATES: 2015 COUNTY EQUALIZATION RATES ESTABLISHED BY THE NEW YORK STATE BOARD OF EQUALIZATION AND ASSESSMENTS.

To be completed by the Executive Budget Office

FINANCIAL IMPACT
2016 PROPERTY TAX LEVY
COST TO THE AVERAGE TAXPAYER

1973

GENERAL FUND

	2016 PROPERTY TAX LEVY	2016 COST TO AVG TAXPAYER	2016 FV TAX RATE PER $1000
TOTAL	**$0**	**$0.00**	$0.000

POLICE DISTRICT AND DISTRICT COURT

	2016 PROPERTY TAX LEVY	2016 COST TO AVG TAXPAYER	2016 FV TAX RATE PER $1000
TOTAL	**$0**	**$0.00**	$0.000

COMBINED

	2016 PROPERTY TAX LEVY	2016 COST TO AVG TAXPAYER	2016 FV TAX RATE PER $1000
TOTAL	**$0**	**$0.00**	$0.000

NOTES:

1) SOURCE FOR NUMBER OF FAMILY PARCELS AND CORRESPONDING ASSESSED VALUATION: SUFFOLK COUNTY REAL PROPERTY, 2015.
2) SOURCE FOR TOTAL TAXABLE ASSESSED VALUATION FOR COUNTY PURPOSES: SCHEDULE A, REPORT OF ASSESSED VALUATION FOR 2015-2016.
3) SOURCE FOR EQUALIZATION RATES: 2015 COUNTY EQUALIZATION RATES ESTABLISHED BY THE NEW YORK STATE BOARD OF EQUALIZATION AND ASSESSMENTS.

To be completed by the Executive Budget Office

COUNTY OF SUFFOLK

STEVEN BELLONE
SUFFOLK COUNTY EXECUTIVE

1473

DEPARTMENT OF PUBLIC WORKS

PHILIP A. BERDOLT
DEPUTY COMMISSIONER

GILBERT ANDERSON, P.E.
COMMISSIONER

DARNELL TYSON, P.E.
DEPUTY COMMISSIONER

MEMORANDUM OF SUPPORT

TITLE OF BILL: A resolution to appropriate funds in connection with Building Safety Improvements (Capital Program 1603).

PURPOSE OR GENERAL IDEA OF BILL: To authorize the issuance of Suffolk County Serial Bonds in connection with Building Safety Improvements.

SUMMARY OF SPECIFIC PROVISIONS: To remedy deficiencies in code compliance and general safety issues associated with County buildings and sites.

JUSTIFICATION: Code violations must be addressed if written violations are issued as well as reported deficiencies or safety problems when identified.

FISCAL IMPLICATIONS: Minor expenditure for future remediation to be determined.

335 YAPHANK AVENUE ■ YAPHANK, N.Y. 11980 ■ (631) 852-4010
FAX (631) 852-4150

COUNTY OF SUFFOLK

1973

STEVEN BELLONE
SUFFOLK COUNTY EXECUTIVE

DEPARTMENT OF PUBLIC WORKS

PHILIP A. BERDOLT
DEPUTY COMMISSIONER

GILBERT ANDERSON, P.E.
COMMISSIONER

DARNELL TYSON, P.E.
DEPUTY COMMISSIONER

MEMORANDUM

TO: Jon Schneider, Deputy County Executive

FROM: Gilbert Anderson, P. E., Commissioner

DATE: January 28, 2016

RE: CP 1603 – Building Safety Improvements

Attached for your review is a draft resolution appropriating the sum of $100,000 for construction purposes for correcting building code violations and improving hazardous conditions as identified.

This project continues to address potential arc flash dangers with electrical distribution rooms and will also address present code compliance issues in the Labor Department buildings.

This action is considered a Type II Action under SEQRA in accordance with NYCRR, Part 617.5(c) (18), (21) and (27).

An e-mail copy of the resolution has been sent to CE RESO Review sent under the title Reso-DPW-CP1603 Bldg. Safety Imp.doc.

GA/KL/ba
Attachments
cc: Dennis M. Cohen, Chief Deputy County Executive
Lisa Santeramo, Assistant Deputy County Executive
Tom Vaughn, Director, Intergovernmental Relations
Michael J. Monaghan, P.E., Chief Engineer
James J. Ingenito, R.A., County Architect
Keith Larsen, R.A., Capital Projects Manager
Charles Jaquin, General Services Manager
CE RESO Review (e-mail)

H:\BDC\Capital Program Mgmt\Resolutions\2016 Resolutions\1603_16\Backup-DPW-CP1603 Bldg Safety Imp Reso Memo.docx

SUFFOLK COUNTY IS AN EQUAL OPPORTUNITY / AFFIRMATIVE ACTION EMPLOYER

335 YAPHANK AVENUE ■ YAPHANK, N.Y. 11980 ■ (631) 852-4010
FAX (631) 852-4150

Intro. Res. No R74-16 - 2016 Laid on Table 11/9/16
Introduced by Presiding Officer on request of the County Executive

RESOLUTION NO. - 2016, APPROPRIATING FUNDS IN CONNECTION WITH DECOMMISSIONING AND DEMOLITION OF COUNTY FACILITIES (CP 1665)

WHEREAS, the Commissioner of Public Works has requested funds for the Decommissioning and Demolition of County Facilities; and

WHEREAS, there are sufficient funds within the 2016 Capital Budget and Program to cover the cost of said request; and

WHEREAS, Resolution No. 471-1994 as revised by Resolution No. 461-2006 has established a priority ranking system as the basis for funding Capital Projects such as this project; and

WHEREAS, the County Legislature, by resolution of even date herewith, has authorized the issuance of $100,000 in Suffolk County Serial Bonds; now, therefore, be it

1st **RESOLVED**, that this Legislature, being the lead agency under the State Environmental Quality Review Act ("SEQRA"), Environmental Conservation Law Article 8, hereby finds and determines that this constitutes a Type II action, pursuant to the provisions of Title 6 NYCRR, Part 617.5(C) (2) since it involves the rehabilitation or reconstruction of a structure or facility, in kind; and adoption of a local legislative decision in connection with the same; and as a Type II action, the Legislature has no further responsibilities under SEQRA; and be it further

2nd **RESOLVED**, that it is hereby determined that this project, with a priority ranking of fifty-five (55) is eligible for approval in accordance with the provisions of Resolution No. 471-1994 as revised by Resolution No. 461-2006; and be it further

3rd **RESOLVED**, that the County Department of Public Works is hereby authorized, empowered and directed to take such action as may be necessary, pursuant to Section C8-2 (B) of the Suffolk County Charter to complete this project; and be it further

4th **RESOLVED**, that the proceeds of $100,000 in Suffolk County Serial Bonds be and they are hereby appropriated as follows:

Project No.	J.C.	Project Title	Amount
525-CAP-1665.314 (Fund 001 Debt Service)	20	Decommissioning and Demolition of County Facilities	$100,000

Date:

APPROVED BY:

_____
County Executive of Suffolk County

Date of Approval:

STATEMENT OF FINANCIAL IMPACT OF PROPOSED SUFFOLK COUNTY LEGISLATION

1974

1. Type of Legislation

Resolution ___X___ Local Law _____ Charter Law _____

2. Title of Proposed Legislation

RESOLUTION NO. - 2016, APPROPRIATING FUNDS IN CONNECTION WITH DECOMMISSIONING AND DEMOLITION OF COUNTY FACILITIES (CP 1665)

3. Purpose of Proposed Legislation

See above.

4. Will the Proposed Legislation Have a Fiscal Impact? **Yes** __X__ **No** _____

5. If the answer to item 4 is "yes", on what will it impact? (circle appropriate category)

County	**Town**	**Economic Impact**
Village	**School District**	**Other (Specify):**
Library District	**Fire District**	

6. If the answer to item 5 is "yes", Provide Detailed Explanation of Impact

SERIAL BONDS WILL BE ISSUED TO FINANCE THIS PROJECT. PRINCIPAL AND INTEREST COSTS WILL BE INCURRED OVER THE LIFE OF THE BONDS.

7. Total Financial Cost of Funding over 5 Years on Each Affected Political or Other Subdivision.

SEE ATTACHED DEBT SCHEDULE

8. Proposed Source of Funding

SERIAL BONDS

9. Timing of Impact

IT IS ANTICIPATED THAT BONDS WILL BE ISSUED SPRING OF 2017 AND DEBT SERVICE WILL COMMENCE SPRING 2018. THERE IS NO FISCAL IMPACT IN 2016 AND 2017. EARLIEST DEBT SERVICE FISCAL IMPACT WILL BE IN THE 2018 OPERATING BUDGET. ATTACHED 2018 CAT BASED ON 2016 DATA.

10. Typed Name & Title of Preparer	11. Signature of Preparer	12. Date
Nicholas Paglia Principal Budget Examiner		November 2, 2016

SCIN FORM 175b (10/95)

Suffolk County

General Obligation Serial Bonds
Level Debt

1974

Term of Bonds: 10
Amount to Bond: $100,000

Date	Coupon	Principal	Interest	Total Debt Service	Fiscal Debt Service
6/1/2017					
6/1/2018	2.000%	$9,097.91	$2,083.33	$11,181.25	$11,181.25
			$946.90	$946.90	
6/1/2019	2.000%	$9,287.45	$946.90	$10,234.35	$11,181.25
			$850.15	$850.15	
6/1/2020	2.000%	$9,480.94	$850.15	$10,331.09	$11,181.25
			$751.39	$751.39	
6/1/2021	2.000%	$9,678.46	$751.39	$10,429.85	$11,181.25
			$650.58	$650.58	
6/1/2022	2.125%	$9,880.10	$650.58	$10,530.67	$11,181.25
			$547.66	$547.66	
6/1/2023	2.125%	$10,085.93	$547.66	$10,633.59	$11,181.25
			$442.60	$442.60	
6/1/2024	2.125%	$10,296.06	$442.60	$10,738.65	$11,181.25
			$335.35	$335.35	
6/1/2025	2.125%	$10,510.56	$335.35	$10,845.90	$11,181.25
			$225.86	$225.86	
6/1/2026	2.250%	$10,729.53	$225.86	$10,955.39	$11,181.25
			$114.09	$114.09	
6/1/2027	2.375%	$10,953.06	$114.09	$11,067.15	$11,181.25
6/1/2028		$100,000.00	$11,812.47	$111,812.47	$111,812.47
6/1/2029					
6/1/2030					
6/1/2031					
6/1/2032					
6/1/2033					
6/1/2034					
6/1/2035					

FINANCIAL IMPACT
2018 PROPERTY TAX LEVY
COST TO THE AVERAGE TAXPAYER 1974

GENERAL FUND

	2018 PROPERTY TAX LEVY	2018 COST TO AVG TAXPAYER	2018 FV TAX RATE PER $1000
TOTAL	**$11,181**	**$0.02**	$0.000

POLICE DISTRICT AND DISTRICT COURT

	2018 PROPERTY TAX LEVY	2018 COST TO AVG TAXPAYER	2018 FV TAX RATE PER $1000
TOTAL	**$0**	**$0.00**	$0.000

COMBINED

	2018 PROPERTY TAX LEVY	2018 COST TO AVG TAXPAYER	2018 FV TAX RATE PER $1000
TOTAL	**$11,181**	**$0.02**	$0.000

NOTES:

1) SOURCE FOR NUMBER OF FAMILY PARCELS AND CORRESPONDING ASSESSED VALUATION: SUFFOLK COUNTY REAL PROPERTY, 2015.
2) SOURCE FOR TOTAL TAXABLE ASSESSED VALUATION FOR COUNTY PURPOSES: SCHEDULE A, REPORT OF ASSESSED VALUATION FOR 2015-2016.
3) SOURCE FOR EQUALIZATION RATES: 2015 COUNTY EQUALIZATION RATES ESTABLISHED BY THE NEW YORK STATE BOARD OF EQUALIZATION AND ASSESSMENTS.

To be completed by the Executive Budget Office

FINANCIAL IMPACT
2017 PROPERTY TAX LEVY
COST TO THE AVERAGE TAXPAYER

1974

GENERAL FUND

	2017 PROPERTY TAX LEVY	2017 COST TO AVG TAXPAYER	2017 FV TAX RATE PER $1000
TOTAL	**$0**	**$0.00**	$0.000

POLICE DISTRICT AND DISTRICT COURT

	2017 PROPERTY TAX LEVY	2017 COST TO AVG TAXPAYER	2017 FV TAX RATE PER $1000
TOTAL	**$0**	**$0.00**	$0.000

COMBINED

	2017 PROPERTY TAX LEVY	2017 COST TO AVG TAXPAYER	2017 FV TAX RATE PER $1000
TOTAL	**$0**	**$0.00**	$0.000

NOTES:
1) SOURCE FOR NUMBER OF FAMILY PARCELS AND CORRESPONDING ASSESSED VALUATION: SUFFOLK COUNTY REAL PROPERTY, 2015.
2) SOURCE FOR TOTAL TAXABLE ASSESSED VALUATION FOR COUNTY PURPOSES: SCHEDULE A, REPORT OF ASSESSED VALUATION FOR 2015-2016.
3) SOURCE FOR EQUALIZATION RATES: 2015 COUNTY EQUALIZATION RATES ESTABLISHED BY THE NEW YORK STATE BOARD OF EQUALIZATION AND ASSESSMENTS.

To be completed by the Executive Budget Office

FINANCIAL IMPACT
2016 PROPERTY TAX LEVY
COST TO THE AVERAGE TAXPAYER

1974

GENERAL FUND

	2016 PROPERTY TAX LEVY	2016 COST TO AVG TAXPAYER	2016 FV TAX RATE PER $1000
TOTAL	**$0**	**$0.00**	$0.000

POLICE DISTRICT AND DISTRICT COURT

	2016 PROPERTY TAX LEVY	2016 COST TO AVG TAXPAYER	2016 FV TAX RATE PER $1000
TOTAL	**$0**	**$0.00**	$0.000

COMBINED

	2016 PROPERTY TAX LEVY	2016 COST TO AVG TAXPAYER	2016 FV TAX RATE PER $1000
TOTAL	**$0**	**$0.00**	$0.000

NOTES:

1) SOURCE FOR NUMBER OF FAMILY PARCELS AND CORRESPONDING ASSESSED VALUATION: SUFFOLK COUNTY REAL PROPERTY, 2015.
2) SOURCE FOR TOTAL TAXABLE ASSESSED VALUATION FOR COUNTY PURPOSES: SCHEDULE A, REPORT OF ASSESSED VALUATION FOR 2015-2016.
3) SOURCE FOR EQUALIZATION RATES: 2015 COUNTY EQUALIZATION RATES ESTABLISHED BY THE NEW YORK STATE BOARD OF EQUALIZATION AND ASSESSMENTS.

To be completed by the Executive Budget Office

COUNTY OF SUFFOLK

1974

STEVEN BELLONE
SUFFOLK COUNTY EXECUTIVE

DEPARTMENT OF PUBLIC WORKS

PHILIP A. BERDOLT
DEPUTY COMMISSIONER

GILBERT ANDERSON, P.E.
COMMISSIONER

DARNELL TYSON, P.E.
DEPUTY COMMISSIONER

MEMORANDUM OF SUPPORT

TITLE OF BILL: A resolution to appropriate funds in connection with Decommissioning and Demolition of County Facilities (Capital Program 1665).

PURPOSE OR GENERAL IDEA OF BILL: To authorize the issuance of Suffolk County Serial Bonds in connection with Decommissioning and Demolition of County Facilities.

SUMMARY OF SPECIFIC PROVISIONS: To remove obsolete and severely damaged or compromised buildings and structures.

JUSTIFICATION: Damaged or severely aged buildings pose a danger to the public and should be removed prior to possible collapse, fire or other health safety impacts.

FISCAL IMPLICATIONS: Minor expenditure for necessary safety precautions.

COUNTY OF SUFFOLK

1974

STEVEN BELLONE
SUFFOLK COUNTY EXECUTIVE

DEPARTMENT OF PUBLIC WORKS

PHILIP A. BERDOLT
DEPUTY COMMISSIONER

GILBERT ANDERSON, P.E.
COMMISSIONER

DARNELL TYSON, P.E.
DEPUTY COMMISSIONER

MEMORANDUM

TO: Jon Schneider, Deputy County Executive

FROM: Gilbert Anderson, P. E., Commissioner

DATE: January 28, 2016

RE: CP 1665 – Decommissioning and Demolition of County Facilities

---

Attached for your review is a draft resolution appropriating the sum of $100,000 for decommissioning and demolition of County facilities.

Suffolk County owns, maintains and inherits various buildings and structures which become obsolete or, on occasion, experience catastrophic damage or decay. Several structures, including aging communication towers, are no longer needed and should be demolished to prevent becoming a public safety hazard.

This action is considered a Type II Action under SEQRA in accordance with NYCRR, Part 617.5(c)(2).

An e-mail copy of the resolution has been sent to CE RESO Review sent under the title Reso-DPW-CP1665 Decom & Demo.doc.

GA/KL/ba
Attachments
cc: Dennis M. Cohen, Chief Deputy County Executive
Lisa Santeramo, Assistant Deputy County Executive
Tom Vaughn, Director, Intergovernmental Relations
Michael J. Monaghan, P.E., Chief Engineer
James J. Ingenito, R.A., County Architect
Keith Larsen, R.A., Capital Projects Manager
Charles Jaquin, General Services Manager
CE RESO Review (e-mail)

SUFFOLK COUNTY IS AN EQUAL OPPORTUNITY / AFFIRMATIVE ACTION EMPLOYER
335 YAPHANK AVENUE ■ YAPHANK, N.Y. 11980 ■ (631) 852-4010
FAX (631) 852-4150

Intro. Res. No. 1912 -2016 Laid on Table 11/9/16
Introduced by the Presiding Officer on request of the County Executive

RESOLUTION NO. -2016, AUTHORIZING THE COUNTY EXECUTIVE TO EXECUTE AN AGREEMENT WITH THE GUILD OF ADMINISTRATIVE OFFICERS, SUFFOLK COUNTY COMMUNITY COLLEGE, COVERING THE TERMS AND CONDITIONS OF EMPLOYMENT FOR EMPLOYEES COVERED UNDER BARGAINING UNIT NO. 4 FOR THE PERIOD SEPTEMBER 1, 2015 THROUGH AUGUST 31, 2019

WHEREAS, the County, the President of Suffolk County Community College, and the President of the Guild of Administrative Officers of Suffolk County Community College have reached an agreement amending the terms and conditions of employment for the period September 1, 2015 through August 31, 2019, subject to the approval, to the extent necessary, by the Suffolk County Legislature; and

WHEREAS, such agreement has been set down in a stipulation of agreement, a copy of which has been filed with the Clerk of the Suffolk County Legislature; and

WHEREAS, such agreement is subject to ratification by the Suffolk County Community College Guild of Administrative Officers; now therefore be it

1st **RESOLVED**, that the County Executive, or his designee, be and is hereby authorized to execute an agreement with the Suffolk County Community College Guild of Administrative Officers, covering the terms and conditions of employment of the Suffolk County Community College Guild of Administrative Officers for the period September 1, 2015 through August 31, 2019, and the Stipulation of Agreement dated July 27, 2016, a copy of which is on file with the Clerk of the Suffolk County Legislature, is hereby ratified and confirmed; and be it further

2nd **RESOLVED**, that this Legislature, being the State Environmental Quality Review Act (SEQRA) lead agency, hereby finds and determines that this resolution constitutes a Type II action pursuant to Section 617.5(c)(20) and (27) of Title 6 of the NEW YORK CODE OF RULES AND REGULATIONS (6 NYCRR) AND WITHIN THE MEANING OF Section 8-0109(2) of the NEW YORK ENVIRONMENTAL CONSERVATION LAW as a promulgation of regulations, rules, policies, procedures, and legislative decisions in connection with continuing agency administration, management, and information collection, and the Suffolk County Council on Environmental Quality (CEQ) is hereby directed to circulate any appropriate SEQRA notices of determination of non-applicability or non-significance in accordance with this resolution.

DATED: , 2016

APPROVED BY:

_____
County Executive of Suffolk County

Date: , 2016

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Board of Trustees
October 20, 2016
Attachment III

STIPULATION OF AGREEMENT
BETWEEN
THE COUNTY OF SUFFOLK,
SUFFOLK COUNTY COMMUNITY COLLEGE
AND
THE GUILD OF ADMINISTRATIVE OFFICERS OF SUFFOLK COUNTY COMMUNITY COLLEGE

Stipulation of Agreement made and entered into this __ day of July 2016 by and between the County of Suffolk ("the County"), Suffolk County Community College ("the College") and the Guild of Administrative Officers of Suffolk County Community College ("Guild").

1. **Term of Agreement**: The provisions of the Guild of Administrative Officers bargaining unit will be pursuant to the 1991-1997 Collective Negotiations Agreement, as updated by the 1997-2001 Stipulation of Agreement, as updated by the 2001-2002 Stipulation of Agreement, as updated by the 2002-2005 Stipulation of Agreement, as updated by the 2005-2011 Stipulation of Agreement, as updated by the 2011-2015 Stipulation of Agreement, all of which are memorialized and updated in the draft collective bargaining agreement sent to the Guild on November 7, 2015, which will then be modified pursuant to the terms of this 2015-2019 Agreement. For convenience only, the references below to "Article" and "Paragraph" will be to the 2015 Agreement. The collective bargaining agreement is extended for a period of four years, from September 1, 2015 up to and including August 31, 2019, in all of its terms and conditions except as specifically modified herein.

2. **Article II (Wages and Salary Schedules: (Guild Salary Plan, Faculty Base and Stipend Schedules))**:

   Effective February 1, 2016, the full-time salary schedules and the schedule of stipends, including Excellence in Education, will be increased by 1.5% at each step.

   Effective February 1, 2017, the full-time salary schedules and the schedule of stipends, including Excellence in Education, will be increased by an additional 2% at each step.

   Effective August 31, 2018, the full-time salary schedules and the schedule of stipends, including Excellence in Education, will be increased by an additional 2% at each step.

   Effective August 31, 2019, the full-time salary schedules and the schedule of stipends, including Excellence in Education, will be increased by an additional 2.5% at each step.

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3. **Amend Article II, Salary for all new employees as follows:**

Paragraph 1, Salary, provide that, effective for all employees hired into the Guild unit on or after the date on which the new Agreement is fully ratified and approved, the salary schedule will be amended to add a new starting salary for Category IV that will be 1% less than that for the corresponding steps in the salary schedule for those hired before that date.

In addition, the starting salary for Category III will be 3% less than that for the corresponding steps in the salary schedule for those hired before that date.

In addition, the starting salary for Categories I and II will be 5% less than that for the corresponding steps in the salary schedule for those hired before that date.

For all Categories, the reduced starting salaries will be denoted as the "starting salary" and a new Step 1 will be added that will be permanently equidistant between the new starting salary and Step 2.

All employees hired into the Guild unit on or after the date on which the 2015-2019 Agreement is fully ratified and approved will remain in the starting salary for a minimum of 24 complete calendar months before becoming eligible to move to the next step; *i.e.*, the new Step 1, on September 1. Employees will become eligible to move to the succeeding steps on the September 1 occurring on or after the completion of the next 24 consecutive calendar month period. Step movement beyond Step 6 will occur after the completion of each subsequent 12 calendar month period; *i.e.*, on September 1.

4. **Lag Payroll:**

The Guild agrees to the implementation of a lag payroll of a reduction in pay of 6.3% of the gross pay before any deductions for each pay period commencing with the August 28, 2017 pay period and continuing until the end of the pay period in which the amount deferred in total by all full-time Guild members equals $1,000,000.

The lag payroll will be implemented as follows:

a) Commencing with the August 28, 2017 pay period, all Employees will have deferred 6.3% of their gross bi-weekly paycheck, before any deductions, excluding overload, are made. The bi-weekly amount deferred will include faculty base pay plus stipend or bi-weekly pay based upon the Guild salary plan. This reduction will remain in effect until the end of the pay period in which the amount deferred in total by all full-time Guild unit members equals $1,000,000.

b) Nothing herein will be construed as otherwise diminishing any salary or other contractual benefit set forth in the current collective bargaining agreement.

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c) All monies due to employees and which are deferred by the implementation of the lag payroll will be paid to the employees as follows:

i. Employees will receive from the College any deferred monies due to them upon separation from employment.

ii. Deferred monies will be paid to the affected Employees at the salary rate of pay in effect when it was earned.

d) While the parties intend for the deferral to be pension neutral, they agree that only the Retirement System can make that determination, and that affected Employees will be bound by the Retirement System's determination. If a determination is adverse to an affected Employee, then the parties will meet to discuss whether and how to address that determination on a unit-wide basis.

5. **Adjuncts: (Article II, 6.)**:

Wages and Salary Schedules: Adjunct/Overload Rate will be increased at the same time and in the same amounts as the full-time salary schedules.

6. **Article II, 8 (b) Evening Coverage**:

Effective September 1, 2016, amend to provide that coverage will be provided through adjustment of hours on the day of the evening assignment.

7. **Article III, Conditions of Employment:**

A Labor-Management Committee will be formed consisting of the College President or designee, one additional member designated by the College President, the Guild President or designee and one additional member designated by the Guild President to study and provide a recommendation to the parties by no later than August 31, 2017, with regard to addressing the inequity for Chairs and Assistant Chairs of large departments compared to Chairs and Assistant Chairs of small departments. Any recommendation will be non-binding until it is reduced to writing and ratified by the College President and the Guild President.

8. **Article V, Paid Holidays**:

Delete Paragraph 4.

9. **Article VI, Insurance**:

Delete Paragraph 6.

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10. **Article IX, Other Benefits**:

a) Amend Paragraph 4 (Overtime) to change "All employees listed in Group I of the Stipend Schedule" to "Guild members working in Facilities and Maintenance, Security and Networking and Telecommunications."

b) Amend Paragraph 2 (Conference Attendance): effective September 1, 2016, increase by $200. Effective September 1, 2017, increase by an additional $100.

c) Amend Paragraph 10(h) (Professional Development/Retraining) to increase funding from $20,000 per year to $30,000 per year effective September 1, 2016.

11. **Amend Article X, Administrative Status as follows**:

a) Delete Paragraph 6.

b) Delete Paragraph 8(a, b) (Notice of Termination) and replace with the following language: "Annual letters of appointment or letters of intent to full-time Employees will be issued by April 1 of the first year of employment; February 1 of the next three years of employment; and by December 15 of the fifth year of employment, or by May 30 for Employees hired in mid-year for the first year of employment. These letters of appointment are to be signed and returned to the President within 30 calendar days. Full-time Employees entering their sixth year of employment with the College will be granted continuing appointment. Prior to being granted continuing appointment, full-time Employees will be considered to be on a probationary status. The termination or non-reappointment of a full-time Employee during the first three years of the probationary service will not be subject to review pursuant to the grievance and arbitration procedure of this Agreement. During the next two years of the probationary period, any termination, non-reappointment or failure to award a continuing appointment may be raised as a grievance, but may not be referred to arbitration pursuant to this Agreement, with the terminal step of the grievance being the College Board of Trustees.

c) Amend Paragraph 9(c) (Disciplinary Procedures) by changing "Stage 3" to "Article XIII(2)(Step 3)."

d) Delete Paragraph 9(d) (Disciplinary Procedures).

e) Delete Paragraph 9(e) (Disciplinary Procedures) and amend to provide that any tenured Employee accepting appointment to a new Guild unit title by promotion or appointment will serve a probationary term of two years. A non-tenured Employee will have the Employee's term extended by two years. Failure to successfully complete the probationary term when the Employee is tenured will require the College to appoint the Employee to another position

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that is in the same pay category as the position the Employee held just prior to accepting the new position.

12. **Amend Article XIII, Grievance and Arbitration Procedure:**

Effective upon the complete ratification and approval of this 2015-2019 Agreement, amend Paragraph 2, Step 5, by deleting "or by the College (if the grievance was initiated by the College)."

13. **Editorial Change:**

All references to the Board of Trustees' approval will be amended to the President's approval.

14. **New:**

Commencement. Effective September 1, 2015, all employees will attend Commencement in full academic regalia at least once every other year with a schedule that is established by the College President or designee.

15. **New:**

Paperless Payroll. Effective September 1, 2015, the College may implement the distribution of paychecks by direct deposit for all Employees. The pay stub will no longer be distributed in a hard copy and, instead, will be available to Employees on the secure College web page.

16. **New:**

Tobacco Free Campus. Effective September 1, 2015, the College may implement and enforce a policy of a tobacco free campus. This Agreement satisfies any duty the County may have with regard to the decision, and the impact of the decision, to implement a tobacco free campus.

17. **New:**

Weather and Other Emergencies. The implementation of the parties' agreement to Guild proposal # 4, as modified, regarding non-essential full-time 12-month employees and their potential excusal from work when the College cancels classes due to weather or other emergency will be referred to the contractual Labor-Management Committee for resolution by not later than October 15, 2016. Issues to be discussed and agreed upon include those related to oversight and record-keeping to ensure that affected employees make up all time from which they are excused from work pursuant to this provision.

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18. **New:**

The draft 2011-2015 collective bargaining agreement sent to the Guild on November 7, 2015 will be finalized by the County and provided to the Guild for signature. The Guild will sign the agreement and return it to the County for signature.

The County will then prepare a draft 2015-2019 collective bargaining agreement by not later than 30 calendar days from the date on which the 2015-2019 Agreement is completely ratified and approved or 30 calendar days from the date of approval from the Guild of the 2011-2015 collective bargaining agreement, whichever is later. The Guild will, within 30 calendar days from its receipt of the draft agreement, provide the County with any objections that it has to the draft.

The provisions of this Stipulation of Agreement are subject to ratification by the Association membership, ratification by the County Executive and the College President and, for those provisions requiring the appropriation of additional funds, the approval of the College Board of Trustees and the County Legislature.

Dated: July 27, 2016

Agreed:

GUILD OF ADMINISTRATIVE OFFICERS

Thomas Breeden, President

SUFFOLK COUNTY COMMUNITY COLLEGE

Dr. Shaun L. McKay, President
Suffolk County Community College

COUNTY OF SUFFOLK

Jennifer K. McNamara, Esq., Director
Office of the County Executive
Labor Relations

Suffolk COUNTY COMMUNITY COLLEGE 1975

Office of the President

BOARD OF TRUSTEES
October 20, 2016

RESOLUTION NO. 2016.75 - Approving the Agreement Among the College, the County of Suffolk, and the Guild of Administrative Officers of Suffolk County Community College

WHEREAS, the College, the County of Suffolk and the Guild of Administrative Officers of Suffolk County Community College have reached an Agreement covering the terms and conditions of employment for the period extending from September 1, 2015 to August 31, 2019, and

WHEREAS, said Agreement has been set down in a Stipulation of Agreement (*Attachment III*), and

WHEREAS, such Agreement has been ratified by the Guild of Administrative Officers, and

WHEREAS, the College President recommends the approval of this Agreement, be it therefore,

RESOLVED, that the Agreement among the College, County of Suffolk and the Guild of Administrative Officers of Suffolk Community College be and the same is hereby approved.

Bryan Lilly
Secretary

Central Administration
533 College Road
Selden, NY 11784-2899
(631) 451-4112

Ammerman Campus
533 College Road
Selden, NY 11784-2899
(631) 451-4110

Western Campus
Crooked Hill Road
Brentwood, NY 11717-1092
(631) 851-6700

Eastern Campus
121 Speonk-Riverhead Road
Riverhead, NY 11901-3499
(631) 548-2500

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Intro. Res. No. -2016 Laid on Table 11/9/16

Introduced by Presiding Officer on request of the County Executive

RESOLUTION NO. -2016, A RESOLUTION MAKING CERTAIN FINDINGS AND DETERMINATIONS AND AN ORDER FOR THE MODIFICATION OF THE PLAN OF SERVICE FOR SUFFOLK COUNTY SEWER DISTRICT NO. 18 – HAUPPAUGE INDUSTRIAL (CP 8126) AND RESCINDING RESOLUTION 484-2016

WHEREAS, Suffolk County Resolution Nos. 378-2008, 1019-2012, and 1107-14 found and determined that it was in the public interest to increase and improve the facilities of Sewer District No. 18 – Hauppauge Industrial; and

WHEREAS, additional funds are necessary to complete the work necessary for finishing these increases and improvements; and

WHEREAS, pursuant to New York County Law Section 269, the Suffolk County Sewer Agency has prepared and submitted to the Legislature of the County of Suffolk, New York, amended maps, plans, recommendations and revised cost estimates pertaining to an increase in the maximum amount to be expended for the increase and improvement to facilities at Suffolk County Sewer District No. 18 – Hauppauge Industrial; and

WHEREAS, these maps, plans, recommendations and revised cost estimates accompanied Suffolk County Resolution No. 227-2016 and are attached to this Resolution and Order as Exhibit A; and

WHEREAS, the cost of the increase and improvement to the facilities of Sewer District No. 18 – Hauppauge Industrial and the extension of the boundaries of such district has risen from a cost in 2005 of $65 million to an estimated cost of $88.7 million, as set forth in the amended maps, plans, recommendations; and

WHEREAS, this revised cost will be financed utilizing sewer district serial bonds, but there will be no fiscal impact caused to the benefited properties in said District as a direct result of the increase to the costs of the increases and improvements inasmuch as pursuant to the Suffolk County Assessment Stabilization Reserve Fund ("ASRF") all property owners in Suffolk County sewer districts experience a 3% annual increase regardless of any increases or improvements performed; and

WHEREAS, in the case of the property owners in Suffolk County Sewer District No. 18 – Hauppauge Industrial, the annual 3% ASRF increase will result in an increase of approximately $152 per year to the typical property within the District, regardless of the additional funds necessary to complete the increases and improvements; and

WHEREAS, pursuant to Suffolk County Resolution No 227-2016 and New York County Law Section 254, the Clerk of the Legislature did duly cause a Notice of Public Hearing regarding the amended maps, plans, recommendations and revised cost estimates pertaining to an increase in the maximum amount to be expended for the increase and improvement to facilities at Suffolk County Sewer District No. 18 – Hauppauge Industrial to be published at least

once in each of the official newspapers of the County and proof thereof has been presented to the County Legislature; and

WHEREAS, pursuant to such Notice of Public Hearing, a public hearing was held by the Legislature of the County of Suffolk in Hauppauge, New York in said County on April 12, 2016 at 2:30 p.m., Prevailing Time; and

WHEREAS, following such public hearing, this Legislature enacted Resolution No. 484-2016, adopting findings in regard to the increased cost for the improvements to Suffolk County Sewer District No. 18-Hauppauge Industrial; and

WHEREAS, at the time the original maps and plans were filed on the increases and improvements, there were no electors residing in Suffolk County Sewer District No. 18 – Hauppauge Industrial, however, at the present time, there are electors residing in Suffolk County Sewer District No. 18 – Hauppauge Industrial; and

WHEREAS, since there are electors residing in Suffolk County Sewer District No. 18 – Hauppauge Industrial, Sections 256 and 269 of Article 5-A of the New York County Law require that the increases in the maximum amount to be expended on increases and improvements to Suffolk County Sewer District No. 18 – Hauppauge Industrial shall be subject to permissive referendum; and

WHEREAS, Suffolk County Resolution No. 484-2016 was not subject to such permissive referendum; and

WHEREAS, by this Resolution, it is the intent of the Suffolk County Legislature to repeal Suffolk County Resolution No 484-2016 and resubmit the findings and order regarding the increase in the maximum amount to be expended for the increases and improvements to Suffolk County Sewer District No. 18 – Hauppauge Industrial in order to subject such findings and order to permissive referendum; and

WHEREAS, said County Legislature has duly considered the amended map, plan, recommendations, and revised estimate of cost for the increases and improvements to Suffolk County Sewer District No. 18 – Hauppauge Industrial, as well as evidence given at the public hearing held on April 12, 2016; now therefore be it

1st **RESOLVED,** by the County Legislature of the County of Suffolk, New York, that Suffolk County Resolution No. 484-2016 is hereby repealed in its entirety; and

2nd **RESOLVED,** by the County Legislature of the County of Suffolk, New York, as follows:

Section 1. Upon evidence presented at the aforesaid public hearing and after due consideration of the amended maps, plans, reports, recommendations, and revised estimate of costs filed with the Legislature of the County of Suffolk and attached to this Resolution and Order as Exhibit A, it is hereby found and determined that the revised total cost for the increases and improvements to Suffolk County Sewer District No. 18 – Hauppauge Industrial shall be set at a maximum of $88.7 million, which shall be financed using sewer district serial bonds.

Section 2. Upon evidence presented at the aforesaid public hearing and after due consideration of the aforesaid amended maps, plans, reports, recommendations and revised

estimate of costs it is hereby found and determined that the increase to the costs of the increases and improvements to Suffolk County Sewer District No. 18 – Hauppauge Industrial, as set forth in such amended maps, plans, reports, recommendations and revised estimate of costs, is necessary, convenient, and desirable.

Section 3. Upon evidence presented at the aforesaid public hearing and after due consideration of the aforesaid amended maps, plans, reports, recommendations and revised estimate of costs, it is hereby further found and determined that the proposed work involving the increases and improvements to Suffolk County Sewer District No. 18 – Hauppauge is adequate and appropriate and the cost thereof will not constitute an undue burden on the properties in said District and that no properties in said District will be excluded from the benefit of the increases and improvements.

Section 4. Upon evidence presented at the aforesaid public hearing and after due consideration of the aforesaid amended maps, plans, reports, recommendations, and revised cost estimates, it is further found and determined that it is in the public interest to expend a maximum amount of $88.7 million on the increases and improvements to Suffolk County Sewer District No. 18 – Hauppauge Industrial, as set forth in such amended maps, plans, reports, recommendations and revised estimate of costs.

3rd **RESOLVED**, IT IS HEREBY ORDERED, by the County Legislature of the County of Suffolk, New York as follows:

Section 1. The increase to the amount to be expended on the increases and improvements to Suffolk County Sewer District No. 18 – Hauppauge Industrial, as more particularly described in the amended maps, plans, reports, recommendations, and revised estimate of costs attached as Exhibit A, are hereby approved.

Section 2. Upon the effective date of this Resolution and Order, the Suffolk County Department of Public Works and the Administrative Head of Suffolk County Sewer District No. 18 – Hauppauge Industrial are hereby authorized and directed to carry out the increases and improvements to said District as more particularly described in the amended maps, plans, reports, recommendations and revised estimate of costs attached as Exhibit A.

Section 3. The Clerk of this Legislature is hereby authorized and directed to cause a certified copy of this Resolution and Order to be recorded in the Office of the Clerk of the County of Suffolk, New York, within ten days of the effective date of this Resolution and Order in accordance with the provisions of New York County Law Sections 253-b and 259.

4th **RESOLVED**, that this Resolution and Order authorizing the increase to the maximum amount to be expended on the increases and improvements for Suffolk County Sewer District No. 18 – Hauppauge Industrial, shall not take effect until at least forty-five (45) days after its adoption, or until approved by the affirmative vote of the majority of the qualified electors who are resident within Suffolk County Sewer District No. 18 – Hauppauge Industrial voting on a proposition for its approval if within forty-five (45) days after its adoption there is filed with the Clerk of the County Legislature a petition protesting against the passage of this Resolution and Order in conformity with the provisions of Section 257 of the New York County Law.

5th **RESOLVED**, that this Legislature, being the State Environmental Quality Review Act ("SEQRA") Lead Agency, hereby finds and determines that this resolution constitutes a Type II action, pursuant to Title 6 NYCRR Part 617.5 (C)(20) and (27) as the proposal involves the

adoption of regulations, policies, procedures, and local legislative decisions in connection with routine or continuing agency administration and management.

DATED:

APPROVED BY:

_____

County Executive of Suffolk County

Date of Approval:

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Exhibit 'A'

COUNTY OF SUFFOLK

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STEVEN BELLONE
SUFFOLK COUNTY EXECUTIVE

DEPARTMENT OF PUBLIC WORKS

PHILIP A. BERDOLT
DEPUTY COMMISSIONER

GILBERT ANDERSON, P.E.
COMMISSIONER

DARNELL TYSON, P.E.
DEPUTY COMMISSIONER

February 2, 2016

Honorable DuWayne Gregory, Presiding Officer
Suffolk County Legislature
725 Veterans Memorial Hwy
Smithtown, NY 11787

RE: **Modifying the Plan of Service for Suffolk County Sewer District No. 18 – Hauppauge Industrial (CP 8126) Amended Map & Plan**

Presiding Officer Gregory:

In connection with the above captioned matter, I herewith submit to you a report together with the recommendations relative thereto that amends a September 2014 report. Pursuant to Article 5-A of New York State County Law, we have requested a resolution calling for a public hearing for an increase in the total project cost. The total project additional cost of $6.0 million is included in the capital program and budget. It is noted that the district has previously been extended and the overall project cost is $88.7 million with approximately $47 million expended to date. A modified application to the State Comptroller is required. Serial Bonds are the means of financing the majority of the project, however, the use of the Assessment Stabilization Reserve Fund will result in no fiscal impact. The project also has the potential to receive a low interest loan from NYS EFC for the remaining work. The public hearing process is needed.

If you wish any further information or details, please contact Ben Wright, P.E., Principal Civil Engineer, Division of Sanitation, at 631-852-4184.

Sincerely,

Gilbert Anderson, P.E.
Commissioner
Administrative Head of
Suffolk County Sewer District No.18

GA:BW:ni
Attachment
cc: Dennis M. Cohen, Chief Deputy County Executive
Lisa Santeramo, Assistant Deputy County Executive
Jon Schneider, Deputy County Executive
Philip Berdolt, Deputy Commissioner, Public Works
Joanne Minieri, Commissioner of Economic Development
Debra Koyler, Principal Financial Analyst
Patricia Rouse, County Attorney
Robert Braun, County Attorney
Suffolk County Legislators
John Donovan, P.E., Chief Engineer, Sanitation
Ben Wright, P.E., Principal Civil Engineer, Sanitation
Terri Alessi-Miceli, President, LI – HIA

ga-bw2-2-16 sd18 CP 8126 Improvement Ltr to SC Leg DGregory

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COUNTY OF SUFFOLK

DEPARTMENT OF PUBLIC WORKS

SUFFOLK COUNTY SEWER AGENCY

MODIFICATION TO

REPORT, MAP, AND RECOMMENDATIONS FOR

INCREASE AND IMPROVEMENT

OF

SUFFOLK COUNTY SEWER DISTRICT NO. 18

HAUPPAUGE INDUSTRIAL

CP 8126

Gilbert Anderson, P.E.
Commissioner

Revised July 2012; September 2014

Amended January 2016

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Table of Contents

Introduction..... 2

District Population..... 2

General Boundary Description..... 3

Design Criteria..... 3

Environmental Considerations..... 3

Legal Considerations..... 3

Capital Costs..... 4

Staffing..... 4

Plan Implementation and Funding..... 4

Modified Financial Plan & Cost to Users..... 4

Taxable and Non-Taxable Real Property in the District..... 6

Recommendations, Comments, and Findings..... 6

Appendix A – State Comptroller Order to Extend District

Appendix B - HIA Support

Appendix C - Board of Elections Certification

Appendix D – Resolution 262-2011 Adopting an Order to Extend the District

Appendix E – Resolution 716-2004 (SEQRA)

Appendix F - Project Cost

Appendix G - Schedule

Appendix H - Assessment Stabilization Reserve Fund

Appendix I - Projected O&M Costs 2016-2022

Appendix J - Debt Service Schedules

Appendix K - Annual Cost Projection

Figure 1 – District Boundary as Extended

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Introduction

In accordance with Article 5-A of New York State County Law and by Resolution Nos. 494-1965 and 518-1965, the Suffolk County Board of Supervisors formed the Suffolk County Sewer Agency in September 1965. The County Legislature, as the successors to the Board of Supervisors, by Resolution No. 407-1970 authorized the Chairman of the Agency to execute agreements with sub-dividers and developers. Thereafter, by Resolution No. 212 - 1973, the County Legislature again directed the Agency with the assistance of the Department of Environmental Control, acting as its staff, to prepare the necessary maps, plans, specifications, and other relevant material for the formation, extension or improvements of County Sewer Districts. Therefore, in accordance with those resolutions and pursuant to Article 5A of the County Law, the Agency has caused the Department of Public Works, as the successors to the Department of Environmental Control, to prepare and herewith respectfully submit the necessary information and data relating to an amendment to the plan of March 2008 as amended in 2014 to increase and the improvement and boundary extension (extension adopted) of Suffolk County Sewer District (SCSD) No. 18 - Hauppauge Industrial. This amendment is, therefore, to focus on the increased cost. Suffolk County Sewer District No. 18 - Hauppauge Industrial was formed by Resolution No. 351-1987, which adopted an order by the State Comptroller dated November 27, 1985. The District was then extended to include the Heartland Industrial Park by Resolution No. 949-1992 on December 15, 1992, which adopted an Order by the State Comptroller. A further extension, as part of this project, for the remainder of the Park took place in an Order of the State Comptroller dated August 6, 2008. (Appendix 'A')

The Hauppauge Industrial Park is represented by the Hauppauge Industrial Association (HIA). The HIA has recommended that due to limited growth, environmental benefits and potential financial benefits that the existing service area and the un-sewered area require additional sewer capacity to retain business competitiveness, to expand and to improve environmental conditions, especially the existing aging sewage facility and to eliminate on-site systems. Reference letters are included in Appendix 'B'. The wastewater treatment facility expansion at the southerly facility is complete with a contract total of approximately $43.1 million. That portion of the project also included minor force main and collection system construction to accommodate the northerly plant being connected to the southerly plant. That portion of the project has been completed with $2.3 million in engineering assistance funded by sewer district serial bonds. The purpose of the modified plan is to incorporate the needs for additional funds of $6.0 million into the project raising the total project cost to approximately $88.7 million. It is noted that the use of low interest loans with the NYS Environmental Facilities Corporation for the remaining sewer and pumping station construction is progressing.

District Population

The expanded District encompasses SCSD No. 18 - Hauppauge Industrial as it presently exists with industrial and commercial parcels. All parcels contain buildings of a commercial or industrial nature, however, the Board of Elections has notified SCDPW that there are now resident electors within the district boundaries. (Refer to Appendix 'C'). The total number of parcels serviced by SD No. 18 is approximately 149 (one hundred forty nine) while the construction of sewers into the expanded area will add a total of approximately 248 parcels. The HIA reports in

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Appendix 'B' that the Park has over 1,300 businesses on these parcels and more than 55,000 employees.

General Boundary Description

The district service area lies in the Southwest corner of the Town of Smithtown which is known as the hamlet of Hauppauge. The expanded District consists of 1,340 acres and is bounded on the north by New Highway, on the east by Old Willets Path, on the south by Vanderbilt Motor Parkway, and on the west by Moreland Drive and Northern State Parkway. A metes and bounds description of the District was included in prior reports and Resolution No. 262-2011 (Appendix 'D'). A map showing the extended District is appended hereto as Figure No. 1, which also locates proposed pumping stations.

Land for the project includes three pumping station sites. Although the majority of land is being transferred from the Town of Smithtown (completed), one parcel being acquired may require funding and is under negotiation. Costs, if any, have been included for acquisition and the process is underway to complete that process.

Design Criteria

The project resulted in a sewage treatment plant with a capacity of 1.65 million gallons per day (gpd) on the current District 18 S site constructed. The process removes nitrogen and other pollutants to the required groundwater discharge limitations. Equalization is incorporated into the flow pattern for both influent and effluent streams. Recharge to groundwater of the effluent is done on the existing site and the six acre adjacent stormwater sump which has been transferred from the Town of Smithtown.

Waste solids from the process can to be thickened and transported off site for treatment and disposal. The facility contains a control room, laboratory, and emergency electric power generation.

Environmental Considerations

The project was subject to SEQRA processing which resulted in Legislative Resolution 716-2004 (Refer to Appendix 'E'). The resolution confirms that the project conforms to SEQRA requirements.

Legal Considerations

During 2014, a Map and Plan was prepared and was the basis for a public hearing and findings resolutions. At that time, a certification was issued by the Board of Elections indicating that there were no registered electors within the district boundaries. Due to the necessity of requesting additional funds and amending the prior report, the Board of Elections was once again requested for a certification. An February 1, 2016 letter, which is attached as Appendix 'C', indicates that there are 13 registered voters within the district boundaries. Based on this

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certification, the process to be followed will include the public hearing and findings resolutions subject to a permissive referendum.

Capital Costs

The Capital costs for the projects are included in Appendix 'F'. Multiple project elements have or will be bid including a wastewater treatment plant, pumping stations and sewer areas. The total of $88.7 million has been projected to the mid-point of construction for the remaining stages. Some costs (WWTP and Contract No. 2, Sewers) are based on bid prices. The schedule of construction is summarized in Appendix 'G'. The schedule considers the process involving the Suffolk County Legislature, public hearings, and the New York State Department of Audit and Control. It is anticipated that with the support of the service area representatives that the schedule would proceed as anticipated.

Staffing

Treatment and collection system maintenance is performed on a scheduled and as-needed basis. As sewers and pumping stations become operational, more staff time will be required. Industrial Waste pretreatment activities will increase significantly and additional inspection staff (two inspectors) has been incorporated into the project plan.

Plan Implementation and Funding

No grants have been awarded although applications have been made to available sources. Serial bonds and ASRF funds have been appropriated to date. The project is subject to the NYS EFC low interest loan program with the possibility of low interest loans for approximately $25 million. This report does not include that financing option due to the process of award and closing being incomplete at this time. Conventional financing is contained in the Capital Program and Budget. The operating fund balance, escrow funds, and Assessment Stabilization Reserve Fund were used to initiate design.

The project is considered mandated due to the need to comply with continual, reliable treatment of sewage and the direction provided by the Industrial Park representative. The use of ASRF is available once annual charges increase by 3%. Exhibit 'H' is a summary of ASRF issues.

Modified Financial Plan & Cost to Users

1. Federal and State Aid

As indicated above, grant applications have been filed but there is no expectation of receipt of Federal or State funds for the improvement or expansion of this District. If low interest loans are to be used, the maximum amount to be financed will be reduced.

2) Preliminary User Charges

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Presently and until the sewer construction is initiated, the allocated operation and maintenance costs will continue to be paid by the owners of the existing commercial and industrial buildings within the original (connected) portion of the District. For 2016 the existing buildings in the complex are being charged $16.50/1,000 gallons based upon actual water usage averaged per day for the preceding non-irrigation period.

3) Annual Costs and Charges

The United States Environmental Protection Agency regulations require that any sewer district in Suffolk County formed after December 27, 1977, will have to charge the users by benefit. Therefore, pursuant to Section 271 of the County Law of the State of New York, the sewer levies collected are based on a benefit assessment under said Section 271 of the County Law. All existing parcels will bear the Capital repayment and operation and maintenance.

Appendix 'I' includes the operation and maintenance cost due to the implementation of this project. The cost is $650,000 based on 2016 operating year for non-flow related elements which are expected to increase significantly as new connections are needed. The annual O&M estimates were based on the current staffing with the assumptions that as the flow increases that staffing would be required to spend more time on the site. Additionally, as the sludge thickener becomes operational and some maintenance is required, those elements were also factored into the cost. The staff presently includes an operator for 4 hours and a helper for 6 hours with involvement of a Maintenance Mechanic III and I, both for operational and emergency response. Salaries are based on the current contract which expires at the end of 2016. As the flow increases, the sludge quantities will increase and some chemicals will be required. Each of these elements was estimated based on the current use and a pro-rated share as the flow increases. In a similar fashion, the power consumption will increase as more tankage is operational due to increased flows and current electrical consumption was used as that base. The costs associated with materials and supplies in other non-flow related issues were estimated to increase by 3% per year. These costs are used along with the Capital cost to estimate per parcel annual charges.

The discussion below concludes that with a 3% increase per year, no project will have a financial impact on the parcel owners. The early stages (2002-2007) of the project utilized ASRF funds for engineering with the exception of $2.3 million in bonded funds in 2006. All construction funds are sewer district serial bonds. Due to the financing of the project taking place over a number of years, the current sunset date of the ASRF being 2030 and the assumptions that the operation and maintenance cost and rate to users would increase by 3% projections can be made on the financial impact on district users. The basic assumptions are that the build out and modifications within the district would result in a stabilized flow of 1.65 million gallons per day by the year 2021. The 2016 operation and maintenance cost of $650,000 can be projected to be approximately $2.380 million by 2021. Likewise, the rate per 1,000 gallons would be $19.14 if the 3% increase included in the ASRF usage is maintained until 2021. Appendix 'J' includes the debt service schedules of existing and proposed debt for the overall project of $86.6 million including the funding to be obtained during 2016 noting that ASRF and escrow funds bring the total project cost to $88.7 million. It is noted that the debt service schedule is prepared based on the example of serial bonds with a level debt schedule and interest of the latest bond sale. A review of the debt service

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schedules indicates that the level debt payment will result in a maximum year during 2019 (approximately $6.03 million).

In order to provide the annual cost projections that are included in Appendix 'K', the mode of the parcels billed was established at $5,051.50 (only 2 values). Appendix 'K' also includes the 2016 billing list and 2016 rate for the district and 2016 are 2017 cost and flow data. Reviewing the average value of $24,054.95 and the median value of $6,599.00, it was concluded that it was most appropriate to present all three. As indicated in Appendix 'K', the increased cost for the mode, median, and average will be $152, $198, and $3,722, respectively for 2016 to 2017. It is also recognized that with the variability within the various parcels with respect to water use, that is the basis for billing, that this value would change from year to year and although discussed within this report based on the requirements of the State Comptroller's office must be viewed with flexibility and recognizing the unique nature of the sewer district which has these billing values.

When the entire project is complete, growth is experienced and all parcels are connected, the actual rate per thousand gallons could be less than the rate established by the ASRF program. When the ASRF is repaid, the County policy for this unique program could be revisited to determine if the actual rate is appropriate. In addition, each connectee (since all are industrial or commercial users) will be separately billed the projected Industrial Waste User Fee ($46 per 225 gallons used per day) in 2016.

Taxable and Non-Taxable Real Property in the District

There will be no non-taxable properties in the District with the exception of the improvements of the sewage treatment plant site and the acquired pumping station sites. It is noted, however, that due to the availability of sewers, the Town of Smithtown considered a zoning change that could increase density and the tax base.

Recommendations, Comments, and Findings

When the entire project is complete, growth is experienced and the facilities operate, in accordance with regulations, that preventive maintenance rather than corrective maintenance is the norm, and that costs and resources utilized are at the lowest level possible. It is, therefore, imperative that the proposal be implemented as soon as possible; and therefore, I respectfully request and recommend that authorization be given to proceed immediately.

Respectfully submitted,

Gilbert Anderson, PE
Commissioner and
Administrative Head of
Sewer District No. 18
Hauppauge Industrial

ga-bw2-2-16 sd18 Hauppauge Industrial - Sewer Agency R&R for Proposed Improvement CP 8126

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APPENDIX 'A'

STATE COMPTROLLER ORDER TO EXTEND DISTRICT

THOMAS P. DiNAPOLI
STATE COMPTROLLER

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LUKE BIERMAN
GENERAL COUNSEL

HELEN M. FANSHAWE
DEPUTY COUNSEL

STATE OF NEW YORK
OFFICE OF THE STATE COMPTROLLER
110 STATE STREET
ALBANY, NEW YORK 12236

RECEIVED
LEGAL SERVICES
JAN 13 2009
OFFICE OF THE STATE COMPTROLLER
ALBANY

August 7, 2008

Tim Laube
Clerk of the County Legislature
County of Suffolk
William Rogers Building
725 Veterans Memorial Highway
Smithtown, New York 11787-4311

Re: County of Suffolk;
Hauppauge Industrial Extension to
Sewer District No. 18 – Hauppauge
Industrial
File No. 2007-25

Dear Mr. Laube:

Enclosed for filing is the order of the State Comptroller in the above matter. Your attention is directed to County Law section 274.

Kindly sign, date and return the copy of this letter as a receipt in the enclosed envelope.

Very truly yours,

Mitchell S. Morris

Mitchell S. Morris
Associate Counsel

MSM:SM
Enclosure
(518) 486-1127
cc: Jessica Hogan, Esq.

Filed this 18th day of
August, 2008

Tim Laube
Clerk
Tim Laube

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STATE OF NEW YORK DEPARTMENT OF AUDIT AND CONTROL

In the Matter
of the

Application of the County Legislature of Suffolk County, New York, for the permission of the State Comptroller to establish the Hauppauge Industrial Extension to Suffolk County Sewer District No. 18 in said county

---

WHEREAS, application has been duly made to the undersigned by the County Legislature of Suffolk County, New York, pursuant to Article 5-A of the County Law, for the permission of the State Comptroller to establish the Hauppauge Industrial Extension to Suffolk County Sewer No. 18, in accordance with such application and resolution of the County Legislature adopted May 13, 2008; and

WHEREAS, the undersigned has duly examined such application,

NOW, THEREFORE, pursuant to such examination and upon such application of the County Legislature of Suffolk County, the undersigned does hereby find and determine, after due deliberation:

(1) That the public interest will be served by the establishment of the Hauppauge Industrial Extension to Suffolk County Sewer District No. 18 in accordance with such application.

~~(2) That the cost of establishing the proposed extension will not be an undue burden upon the property that is to bear the cost of the improvement.~~

I, THOMAS P. DiNAPOLI, Comptroller of the State of New York, do hereby order that such application of the County Legislature of Suffolk County for permission to establish the

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Hauppauge Industrial Extension to Suffolk County Sewer District No. 18 in said county be, and the same hereby is, granted and I do hereby permit the establishment of said extension in accordance with the description referred to in a resolution duly adopted by the County Legislature of Suffolk County on May 13, 2008, at a cost not to exceed $35,000,000, including any State or Federal aid.

Executed in duplicate under my hand and the seal of the Comptroller of the State of New York, at the City of Albany, New York this 6 day of August, 2008.

THOMAS P. DiNAPOLI
State Comptroller

By

Steven J. Hancox
Deputy Comptroller

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APPENDIX 'B'

HIA SUPPORT

NOTICE OF PUBLIC HEARINGS
Sewer District No. 18 – Hauppauge Industrial
Extension and Improvement Hearings
Suffolk County Introductory Resolutions 1973 and 1974

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PLEASE TAKE NOTICE that the County Legislature of the County of Suffolk New York will meet at the County Legislature Auditorium in Hauppauge, New York in said County, on Tuesday, November 20 at 2:30 P.M., Prevailing Time, for the purpose of conducting public hearings upon a proposal to improve and to establish Extension No. 2 of County Sewer District No. 18 – Hauppauge Industrial in and about the Hauppauge Industrial Park within the Town of Smithtown, in and for said County, substantially in accordance with certain maps, plans, report and recommendations prepared by and filed with the County legislature by the Suffolk County Sewer Agency with the assistance of the County Department of Public Works, at which time and place said County legislature will consider such proposal and hear all parties interested therein concerning the same.

The proposed County sewer district extension, increase and the improvements therefore as more fully described and defined in the aforementioned maps, plans, report and recommendations, will consist, among other things, of the installation of sewers and pumping stations, construction of a wastewater treatment and disposal facilities, abandonment of on-site sanitary facilities and abandonment of two existing wastewater treatment facilities.

The public hearing report incorporates a map indicating the boundaries of the existing and proposed extension of the sewer district. The environmental benefits associated with the project includes the elimination of on-site systems and the implementation of reliable and efficient sewage treatment system. An engineering report has been prepared and the cost opinion is for a total cost of $70 million. The existing district is billed based on water consumption, $12.73 per 1,000 gallons during 2007 (for example, a consumption of 1,000 gallons per day is a base bill of $4,646 per year). This bill includes debt service and operation and maintenance costs. Pursuant to Suffolk County Assessment Stabilization Reserve Fund, bills are increased by 3% per year to be eligible to access a fund to stabilize annual costs. The existing district parcels have experienced the 3% increase for approximately the last ten (10) years with the typical parcels bill being $4,567 during 2007. The cost to the typical parcel is reached by determining the median annual parcel cost when each individual parcel cost is listed in increasing value. The proposed 2008 rate is $13.11 per 1,000 gallons would result in an increase from 2007 of approximately $137.01 for the typical parcel, as described above. The project schedule is to have construction initiated during 2008 and all parcels in the existing district will pay on a benefit (water consumption) basis.

A copy of the map, plan, estimate of costs and boundaries of the existing and proposed extension relating to said proposed increase, improvement, and extension of facilities is on file in the Office of the Clerk of said County Legislature and the office of the Hauppauge Industrial Association, 225 Wireless Blvd, Hauppauge, where the same may be examined during regular business hours. We encourage all interested parties to appear. Any questions should be forwarded to Ben Wright at 631-852-4204 at the Suffolk County Department of Public Works.

The above notice was eblasted to HIA members and placed in the Hauppauge Reporter prior to the November 2007 public hearings.

July 8, 2005

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Mr. Henry Barton
Clerk of the Suffolk County Legislature
Hauppauge County Center
Hauppauge, New York 11788

RE: **Proposed Improvement to the Facilities of Suffolk County Sewer District No. 18 - Hauppauge Industrial Public Hearing**

Dear Mr. Barton:

Please accept this letter into the record of the public hearing process for the referenced project. The HIA strongly supports the improvements and expansion of the sewer district and in fact has been instrumental in developing the conceptual plan leading to the details prepared by the Suffolk Department of Public Works. The HIA fully understands that this $65 million project will increase sewer service to an additional 250 parcels in the Hauppauge Industrial Park (HIP), provide growth for all 400 parcels in the expanded district and provides environmental, financial and technological benefits to all involved.

The HIA represents the parcel owners and users within the HIP that totals 1,300 businesses and nearly 55,000 employees. We have provided e-mails, Hauppauge Reporter articles and been active in two public information meetings for this project. With the hearing scheduled for August 9, 2005 we intend to send and e-blast to our membership indicating the project details and the opportunity they have to voice their opinions at the hearing.

With regard to the project financing we recognize that the public hearing report has been prepared with the use of the Assessment Stabilization Reserve Fund as the source of financing. We are in favor of the plan and would request that with this unique project that flexibility be provided in how that fund is applied to the sewer district, as expanded.

Thank you for your consideration.

Sincerely,

Terri Alessi-Miceli

Terri Alessi-Miceli
Executive Director

RECEIVED
JUL 14 2005
DEPT. OF PUBLIC WORKS
DIVISION OF SANITATION

cc: Kevin Law, Chief Deputy County Executive/General Counsel
Charles J. Bartha, P.E., Commissioner
Suffolk County Legislator John Kennedy
Ben Wright, P.E., Chief Engineer, Sanitation
Jack Kulka, Kulka Construction
Bruce Germano, LIPA

225 Wireless Boulevard, Hauppauge, NY 11788 • (631) 543-5355 • Fax (631) 543-5380
E-mail: info@hia-li.org • Web Site: www.hia-li.org

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February 4, 2004

Mr. Ben Wright, PE
Suffolk County Department
Of Public Works
335 Yaphank Avenue
Yaphank, New York 11980

Dear Mr. Wright:

Please accept this letter as a strong gesture of our support for the Suffolk County Department of Public Works (SCDPW), which is applying for a Financial Assistance to Business grant.

This grant, if awarded to SCDPW, would help fund a major sewage treatment project in the Hauppauge Industrial Park. It has been determined over the last several years that the sewage system currently serving the Park is insufficient and nearly at the end of its useful life. Therefore, this constitutes a hindrance to businesses that wish to expand their facility. The study of the project so far also has focused on the need to eliminate certain on-site systems and facilitate a new expanded and environmentally sound sewage treatment system. The project is described in greater detail in the grant application.

The Hauppauge Industrial Association urges you to provide much needed financial support for this important project. Appropriate sewage facilities are crucial to the economic health of some 3000 businesses in our Park and to the environment in which nearly 55,000 employees work.

Sincerely,

Judi Schillaci

Judi Schillaci
Executive Director

cc: Charles J. Bartha, P.E., Commissioner, Public Works
Honorable Andrew Crecca, Suffolk County Legislator

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FEB 5 2004

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APPENDIX 'C'

BOARD OF ELECTIONS CERTIFICATION

BOARD OF ELECTIONS
COUNTY OF SUFFOLK
PO BOX 700
YAPHANK NY 11980-0700
(631) 852-4500
TTY (631) 852-4540 FAX (631) 852-4590

Commissioners
ANITA S. KATZ
NICK LALOTA
Deputy Commissioners
JEANNE C. O'ROURKE
BETTY MANZELLA

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February 1, 2016

Craig A Platt
Secretary, Suffolk County Sewer Agency
Suffolk County Department of Public Works
335 Yaphank Ave
Yaphank NY 11980

Dear Craig,

The Board of Elections has determined that parcel no. 0800-18500-0200-015000, corresponding to 730 Old Willets Path, Hauppauge, NY 11788, has 3 registered voters. This address falls within the SD 18 Extension area. Parcel no. 0800-18200-0100-033039, corresponding to 385 Oser Ave, Hauppauge, NY 11788, has 1 registered voter and falls within the SD 18N Heartland zone. 450 Moreland Rd, Commack NY 11725 corresponds to CHI Moreland, a transitional housing facility, formerly the Howard Johnsons. There are 9 registered voters at this facility. Please find the attached registration list and map, corresponding to these parcels and the voters attached.

I hope that this information meets your requirements. If you have any further questions, please feel free to contact this office.

Sincerely,

Ross Goldenberg
Suffolk County Board of Elections
PO Box 700
Yaphank, NY 11980
(631) 852-4523
(631) 852-4590- fax
ross.goldenberg@suffolkcountyny.gov

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2016

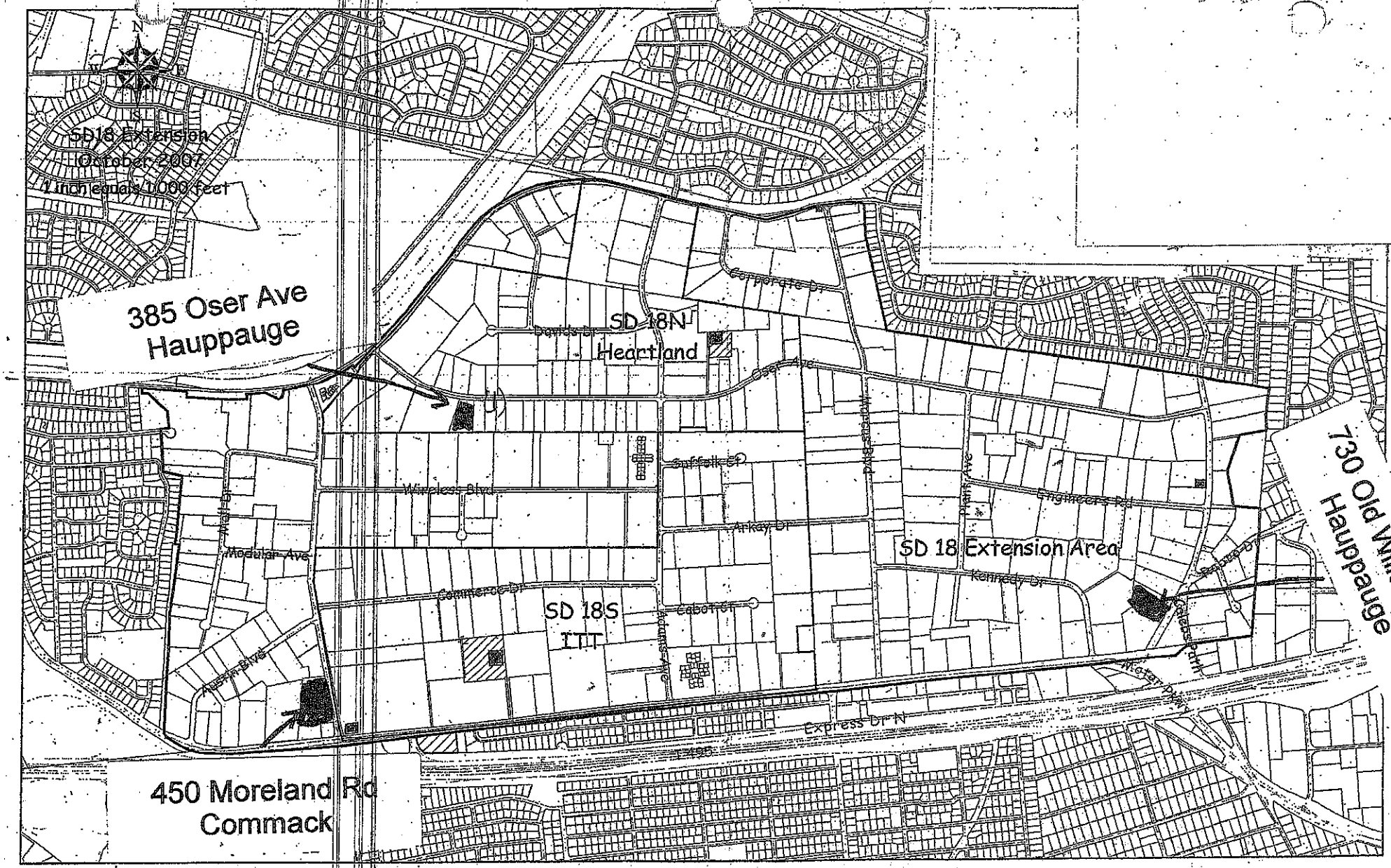
Printed on: January 19, 2016

Suffolk County Board of Elections

VOTER REGISTRATION LISTING (B O E Internal Use #1)

Name	Address	Birthdate	Reg date	Sex	TwnTD	ED#	CD	SD	AD	LD	Reg #	Act
THIBADEAU, MARY ANN	450 MORELAND RD COMMACK NY 11725-5793 Mail: PO BOX 732, BABYLON NY 11702-0732	11/11/1949	10/13/1988	F	Smt	42	3	2	8	12	M0140942	Val
MC KEE-LYNCH, OLIVIA P	450 MORELAND RD COMMACK NY 11725-5793	03/20/1989	10/22/2015	F	Smt	42	3	2	8	12	10371180	Val
DEITERS, STEVEN	450 MORELAND RD COMMACK NY 11725-5793	10/05/1987	10/02/2015	M	Smt	42	3	2	8	12	10366974	Val
RIYCRO, EMILA	450 MORELAND RD COMMACK NY 11725-5793 Mail: 450 MORLAND RD, APT 248, COMMACK NY 11725	10/09/1969	03/27/2015	F	Smt	42	3	2	8	12	10348215	Val
JEAN CHARLES, JOSIAH B	450 MORELAND RD COMMACK NY 11725-5793 Mail: 450 MORELAND RD, #104, COMMACK NY 11725	05/26/1995	10/09/2014	M	Smt	42	3	2	8	12	10336337	Val
WILSON, PATRICE M	450 MORELAND RD COMMACK NY 11725-5793 Mail: PO BOX 497, RIVERHEAD NY 11901	12/15/1985	09/09/2013	F	Smt	42	3	2	8	12	10306077	Val
GOODWIN, KRYSTAL G	450 MORELAND RD COMMACK NY 11725-5793 Mail: PO BOX 695, WHEATLEY HEIGHTS NY 11798	02/28/1981	09/16/2006	F	Smt	42	3	2	8	12	09975880	Val
JACKSON, SOMMER	450 MORELAND RD COMMACK NY 11725-5793 Mail: 450 MORELAND RD, ROOM 250, COMMACK NY 11725	07/13/1982	04/14/2004	F	Smt	42	3	2	8	12	09857014	Val
MEADOR, DEIDRE C	450 MORELAND RD COMMACK NY 11725-5793 Mail: 450 MORELAND RD, ROOM 204, COMMACK NY 11725	12/23/1984	05/20/2003	F	Smt	42	3	2	8	12	09819410	Val
JEAN, CHARLENE M	730 OLD WILLETS PATH HAUPPAUGE NY 11788 Mail: PO BOX 1317, CENTRAL ISLIP NY 11722	05/10/1976	07/08/1994	F	Smt	73	1	2	8	12	M0378890	Val
DIAZ, EDWARD J	730 OLD WILLETS PATH HAUPPAUGE NY 11788	12/31/1965	01/31/2000	M	Smt	73	1	2	8	12	09663695	Val
RONQUILLO, MANNFRED B	730 OLD WILLETS PATH HAUPPAUGE NY 11788	11/09/1976	10/11/2002	M	Smt	73	1	2	8	12	09798037	Val
HOPP, PHILIP C	385 OSER AVE HAUPPAUGE NY 11788-3607	10/02/1967	08/18/2008	M	Smt	49	1	2	8	12	10084261	Val

Number of Records printed for this group = 13


SD18 Extension
October 2007
1 inch equals 1,000 feet
385 Oser Ave
Hauppauge
SD 18N
Heartland
Corporate Dr
Davids Dr
Oser Ave
Suffolk Ct
Wireless Blvd
Arkay Dr
Engineers Rd
Plant Ave
Kennedy Dr
SD 18 Extension Area
730 Old Willets Path
Hauppauge
Modular Ave
Commerce Dr
SD 18S
ITT
Cabot Ct
Adams Ave
Austin Blvd
Express Dr N
450 Moreland Rd
Commack

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APPENDIX 'D'

RESOLUTION 262-2011
ADOPTING AN ORDER TO EXTEND THE DISTRICT

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Intro. Res. No. 1187-2011
Introduced by Presiding Officer, on request of the County Executive

Laid on Table 3/8/2011

RESOLUTION NO. 262-2011, ADOPTING AN ORDER EXTENDING THE BOUNDARIES OF SEWER DISTRICT NO. 18 – HAUPPAUGE INDUSTRIAL (CP 8126)

WHEREAS, pursuant to Resolution No. 1010-2005, which was adopted by the Legislature of Suffolk County on September 27, 2005 and signed by the County Executive on September 30, 2005, and Resolution No. 378-2008, adopted on May 13, 2008, and approved by the County Executive on May 16, 2008, the Suffolk County Legislature, upon evidence given at public hearings and upon maps and plans filed with the County Legislature, found and determined that it was in the public interest to increase and improve the facilities of Suffolk County Sewer District No. 18 - Hauppauge Industrial and to extend the boundaries of such district; and

WHEREAS, the New York State Comptroller has made an order, in duplicate, dated August 6, 2008, granting permission for the extension of Suffolk County Sewer District No. 18 - Hauppauge Industrial, one copy of which has been duly filed in the Office of the Clerk of this Legislature; and

WHEREAS, it is now desired to adopt an order pursuant to New York County Law §§ 259 and 274 extending said County Sewer District; now, therefore be it

IT IS HEREBY ORDERED, by the Legislature of the County of Suffolk, New York, as follows:

1st **RESOLVED**, Sewer District No. 18 in the County of Suffolk, New York, known as Suffolk County Sewer District No. 18 - Hauppauge Industrial, is hereby extended in accordance with the aforesaid order of the State Comptroller. Said District extension shall comprise the area in said County consisting of parts of the Town of Smithtown more particularly bounded and described as follows:

Beginning at a point on the northerly line of Vanderbilt Motor Parkway said point being 30.00 feet westerly of an arc having a Radius of 40.00 feet and Length of 62.83 feet which connects the northerly line of Vanderbilt Motor Parkway with the westerly line of Kennedy Drive;

RUNNING THENCE South 84 degrees 52 minutes 36 seconds West for a distance of 965.75 feet to a point;
RUNNING THENCE South 89 degrees 53 minutes 16 seconds West for a distance of 42.68 feet to a point;
RUNNING THENCE South 84 degrees 27 minutes 36 seconds West for a distance of 824.02 feet to a point;
RUNNING THENCE South 83 degrees 20 minutes 16 seconds West for a distance of 183.37 feet to a point;
RUNNING THENCE South 83 degrees 22 minutes 37 seconds West for a distance of 1101.79 feet to a point;
RUNNING THENCE South 84 degrees 04 minutes 48 seconds West for a distance of 1236.94 feet to a point;
RUNNING THENCE South 83 degrees 48 minutes 09 seconds West for a distance of 1301.75 feet to a point;

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RUNNING THENCE South 83 degrees 31 minutes 18 seconds West for a distance of 1029.09 feet to a point;
RUNNING THENCE South 84 degrees 15 minutes 00 seconds West for a distance of 317.04 feet to a point;
RUNNING THENCE South 84 degrees 21 minutes 39 seconds West for a distance of 858.90 feet to a point;
RUNNING THENCE South 83 degrees 40 minutes 06 seconds West for a distance of 400.16 feet to a point;
RUNNING THENCE South 83 degrees 40 minutes 45 seconds West for a distance of 481.01 feet to a point;
RUNNING THENCE South 83 degrees 03 minutes 58 seconds West for a distance of 407.79 feet to a point;
RUNNING THENCE South 84 degrees 04 minutes 09 seconds West for a distance of 210.14 feet to a point;
RUNNING THENCE South 84 degrees 05 minutes 48 seconds West for a distance of 400.14 feet to a point;
RUNNING THENCE South 89 degrees 53 minutes 18 seconds West for a distance of 28.94 feet to a point;
RUNNING THENCE South 84 degrees 30 minutes 06 seconds West for a distance of 37.44 feet to an arc which bears to the right having a Radius of 1500.00 feet;
RUNNING THENCE along the arc which bears to the right having a Radius of 1500.00 feet and a chord of North 77 degrees 43 minutes 11 seconds West 880.30 feet, a distance of 893.45 feet to a point;
RUNNING THENCE North 03 degrees 20 minutes 40 seconds East for a distance of 596.71 feet to a point;
RUNNING THENCE North 02 degrees 53 minutes 50 seconds East for a distance of 37.85 feet to a point;
RUNNING THENCE North 02 degrees 49 minutes 35 seconds East for a distance of 828.90 feet to a point;
RUNNING THENCE North 06 degrees 43 minutes 53 seconds East for a distance of 1052.39 feet to a point;
RUNNING THENCE North 87 degrees 09 minutes 44 seconds West for a distance of 50.12 feet to a point;
RUNNING THENCE North 02 degrees 33 minutes 28 seconds East for a distance of 461.63 feet to a point;
RUNNING THENCE North 76 degrees 26 minutes 24 seconds West for a distance of 218.18 feet to a point;
RUNNING THENCE North 03 degrees 11 minutes 38 seconds West for a distance of 436.09 feet to a point;
RUNNING THENCE North 84 degrees 30 minutes 56 seconds East for a distance of 79.34 feet to a point;
~~RUNNING THENCE North 02 degrees 10 minutes 34 seconds East for a distance of 49.91 feet to a point;~~
RUNNING THENCE North 05 degrees 33 minutes 57 seconds West for a distance of 210.00 feet to a point;
RUNNING THENCE North 45 degrees 13 minutes 59 seconds West for a distance of 287.38 feet to a point;
RUNNING THENCE North 83 degrees 28 minutes 20 seconds West for a distance of 77.00 feet to a point;
RUNNING THENCE North 00 degrees 00 minutes 32 seconds West for a distance of 55.35 feet to a point;

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RUNNING THENCE South 85 degrees 51 minutes 58 seconds East for a distance of 759.00 feet to an arc which bears to the left having a Radius of 3626.04 feet;
RUNNING THENCE along the arc which bears to the left having a Radius of 3626.04 feet and a chord North 87 degrees 14 minutes 56 seconds East, 869.33 feet, a distance of 871.43 feet to an arc which bears to the left having a Radius of 1981.86 feet;
RUNNING THENCE along the arc which bears to the left having a Radius of 1981.86 feet and a chord of North 68 degrees 07 minutes 25 seconds East, 840.38 feet, a distance of 846.81 feet to a point;
RUNNING THENCE South 34 degrees 03 minutes 02 seconds East for a distance of 93.90 feet to a point;
RUNNING THENCE North 38 degrees 41 minutes 59 seconds East for a distance of 382.97 feet to an arc which bears to the left having a Radius of 3054.95 feet;
RUNNING THENCE along the arc which bears to the left having a Radius of 3054.95 feet and a chord of North 43 degrees 39 minutes 08 seconds East, 566.76 feet, a distance of 567.56 feet to a point;
RUNNING THENCE North 38 degrees 16 minutes 14 seconds East for a distance of 1392.42 feet to an arc which bears to the right having a Radius of 1837.86 feet;
RUNNING THENCE along the arc which bears to the right having a Radius of 1837.86 feet and a chord of North 76 degrees 32 minutes 29 seconds East, 1531.21 feet, a distance of 1579.36 feet to a point;
RUNNING THENCE South 76 degrees 00 minutes 39 seconds East for a distance of 161.23 feet to a point;
RUNNING THENCE South 76 degrees 54 minutes 28 seconds East for a distance of 796.42 feet to a point;
RUNNING THENCE South 76 degrees 31 minutes 30 seconds East for a distance of 218.72 feet to an arc which bears to the left having a Radius of 2363.83 feet;
RUNNING THENCE along the arc which bears to the left having a Radius of 2363.83 feet and a chord of South 79 degrees 09 minutes 55 seconds East, 217.76 feet, a distance of 217.84 feet to a point;
RUNNING THENCE South 76 degrees 22 minutes 35 seconds East for a distance of 411.16 feet to a point;
RUNNING THENCE North 76 degrees 33 minutes 05 seconds East for a distance of 300.17 feet to a point;
RUNNING THENCE North 68 degrees 28 minutes 45 seconds East for a distance of 251.49 feet to a point;
RUNNING THENCE North 82 degrees 12 minutes 35 seconds East for a distance of 351.67 feet to a point;
RUNNING THENCE North 87 degrees 03 minutes 55 seconds East for a distance of 232.34 feet to a point;
RUNNING THENCE South 03 degrees 25 minutes 55 seconds East for a distance of 367.98 feet to a point;
~~RUNNING THENCE South 12 degrees 40 minutes 51 seconds East for a distance of 1145.02 feet to a point;~~
RUNNING THENCE South 80 degrees 28 minutes 54 seconds East for a distance of 296.25 feet to a point;
RUNNING THENCE South 80 degrees 30 minutes 44 seconds East for a distance of 1021.42 feet to a point;
RUNNING THENCE South 81 degrees 28 minutes 24 seconds East for a distance of 252.16 feet to a point;
RUNNING THENCE South 82 degrees 00 minutes 04 seconds East for a distance of 1412.33 feet to a point;

1976

RUNNING THENCE South 80 degrees 22 minutes 44 seconds East for a distance of 672.24 feet to a point;
RUNNING THENCE South 67 degrees 14 minutes 47 seconds East for a distance of 64.62 feet to a point;
RUNNING THENCE South 80 degrees 29 minutes 04 seconds East for a distance of 197.25 feet to a point;
RUNNING THENCE South 82 degrees 10 minutes 04 seconds East for a distance of 304.50 feet to a point;
RUNNING THENCE South 77 degrees 33 minutes 04 seconds East for a distance of 132.45 feet to a point;
RUNNING THENCE South 79 degrees 42 minutes 54 seconds East for a distance of 137.37 feet to a point;
RUNNING THENCE South 10 degrees 08 minutes 16 seconds West for a distance of 90.14 feet to a point;
RUNNING THENCE South 04 degrees 51 minutes 06 seconds West for a distance of 55.80 feet to a point;
RUNNING THENCE South 00 degrees 28 minutes 26 seconds West for a distance of 111.28 feet to a point;
RUNNING THENCE South 10 degrees 14 minutes 36 seconds West for a distance of 61.61 feet to a point;
RUNNING THENCE South 03 degrees 17 minutes 54 seconds East for a distance of 150.27 feet to a point;
RUNNING THENCE South 02 degrees 59 minutes 06 seconds West for a distance of 173.80 feet to a point;
RUNNING THENCE South 03 degrees 37 minutes 36 seconds West for a distance of 721.31 feet to a point;
RUNNING THENCE South 03 degrees 04 minutes 56 seconds West for a distance of 165.70 feet to a point;
RUNNING THENCE South 00 degrees 07 minutes 04 seconds East for a distance of 213.78 feet to a point;
RUNNING THENCE South 03 degrees 48 minutes 06 seconds West for a distance of 402.02 feet to a point;
RUNNING THENCE South 00 degrees 59 minutes 36 seconds West for a distance of 101.55 feet to a point;
RUNNING THENCE South 03 degrees 22 minutes 06 seconds West for a distance of 662.37 feet to a point;
RUNNING THENCE South 83 degrees 12 minutes 13 seconds West for a distance of 409.18 feet to a point;
RUNNING THENCE South 82 degrees 48 minutes 46 seconds West for a distance of 278.91 feet to a point;
RUNNING THENCE South 82 degrees 45 minutes 48 seconds West for a distance of 435.92 feet to a point;
RUNNING THENCE South 82 degrees 52 minutes 58 seconds West for a distance of 827.95 feet to the point and place of BEGINNING;

and be it further

2nd **RESOLVED**, the Commissioner of the Suffolk County Department of Public Works is hereby designated, appointed and shall act as the Administrative Head of the extension of Suffolk County Sewer District No. 18- Hauppauge Industrial; and be it further

1976

3rd RESOLVED, the Clerk of the Legislature is hereby authorized and directed to cause a certified copy of this order to be recorded in the Office of the Clerk of the County of Suffolk, New York, in accordance with the provisions of New York County Law § 259; and be it further

4th RESOLVED, this order shall take effect immediately; and be it further

5th RESOLVED, this Legislature, being the State Environmental Quality Review Act (SEQRA) lead agency, hereby finds and determines that this resolution constitutes a Type II action pursuant to Section 617.5(c)(20), (21) and (27) of Title 6 of the NEW YORK CODE OF RULES AND REGULATIONS (6 NYCRR) and within the meaning of Section 8-0109(2) of the NEW YORK ENVIRONMENTAL CONSERVATION LAW as a promulgation of regulations, rules, policies, procedures, and legislative decisions in connection with continuing agency administration, management and information collection, and the Suffolk County Council on Environmental Quality (CEQ) is hereby directed to circulate any appropriate SEQRA notices of determination of non-applicability or non-significance in accordance with this resolution.

DATED: MAR 2 2 2011

APPROVED BY:

County Executive of Suffolk County

Date: APR 0 5 2011

1976

APPENDIX 'E'

RESOLUTION 716-2004 (SEQRA)

Intro. Res. No. 1631-2004
6/8/2004
Introduced by the Presiding Officer

Laid on Table

1976

RESOLUTION NO. 716 -2004, MAKING A SEQRA DETERMINATION IN CONNECTION WITH THE PROPOSED IMPROVEMENT AND EXPANSION OF SEWER DISTRICT #18, HAUPPAUGE INDUSTRIAL, TOWN OF SMITHTOWN

WHEREAS, the Suffolk County Council on Environmental Quality (CEQ) has reviewed a project designated as the "Proposed Improvement and Expansion of Sewer District #18, Hauppauge Industrial, Town of Smithtown", pursuant to Section 6 of Local Law No. 22-1985 which project involves abandonment of one of the Sewer District's two treatment plants and reconstruction of the second plant with the capabilities of treating 1.65 million gpd to NYSDEC standards; the sewer service area will be expanded to include the entire industrial park, which would total approximately 400 parcels; and three remote pumping stations would be constructed, one which replaces the abandoned sewage treatment plant; and

WHEREAS, an Environmental Assessment Form (EAF) was prepared and submitted to the CEQ office by the Suffolk County Department of Public Works and subsequently sent out to all concerned parties; and

WHEREAS, at its May 19, 2004 meeting, the CEQ reviewed the EAF and information submitted by the Suffolk County Department of Public Works; and

WHEREAS, the CEQ recommended that the above activity be considered a Type I action, pursuant to the provisions of Title 6 NYCRR, Part 617.6(b)(6)(i) and Chapter 279 of the Suffolk County Code, since the project involves the physical alteration of more than 10 acres for construction of a non-residential facility; and

WHEREAS, the CEQ has advised the County Legislature and the County Executive by memo dated May 19, 2004 of said recommendations; and

WHEREAS, Section 279-5(H) of the SUFFOLK COUNTY CODE requires the Presiding Officer to introduce legislation for an appropriate SEQRA determination; and

WHEREAS, the Suffolk County Legislature has reviewed the EAF and the CEQ recommendations; now therefore, be it

1st ~~**RESOLVED**, that this Legislature hereby determines that the Proposed Improvement and Expansion of Sewer District #18, Hauppauge Industrial, Town of Smithtown constitutes a Type I~~ action pursuant to the provisions of Title 6 NYCRR Part 617.6(b)(6)(i) and Chapter 279 of the Suffolk County Code, which project will not have a significant effect on the environment for the following reasons:

1. The proposed action will not exceed any of the criteria in Section 617.7 of Title 6 NYCRR which sets forth thresholds for determining significant effect on the environment;
2. the proposal does not appear to significantly threaten any unique or highly

1976

valuable environmental or cultural resources as identified in or regulated by the Environmental Conservation Law of the State of New York or the Suffolk County Charter and Code;

3. the parcel does not appear to suffer from any severe environmental development constraints (no poor soil properties, no high groundwater and no unmanageable slopes);

4. over 250 parcels (770 acres) are currently utilizing on-site sewage systems and will be hooked up to the expanded sewer facilities;

5. traffic mitigation during the period of construction will be implemented; and

6. the Town of Smithtown has no objections to the project;

and be it further

2nd **RESOLVED,** that a copy of this Resolution shall be filed with the Suffolk County Clerk, the initiating unit of said project, and with the CEQ; and be it further

3rd **RESOLVED,** that in accordance with Section C1-4(1)(d) of the SUFFOLK COUNTY CHARTER and Section 279-5(C)(4) of the SUFFOLK COUNTY CODE, the CEQ is hereby directed to prepare and circulate a SEQRA notice of determination of non-significance in accordance with this Resolution.

DATED: June 28, 2004

APPROVED BY:

/s/ Paul Sabatino II
Chief Deputy County Executive of Suffolk County

Date: June 30, 2004

1976

APPENDIX 'F'

PROJECT COST

Project Cost

1976

Engineering Assistance Design and Construction		$4,127,533
Wastewater Treatment Plant Construction		$43,100,000
Sewer System Zones I and V w/Construction Administration		$2,500,000
Sewer System Zones II, III and IV and Pump Station 1-3		$41,000,000
		$88,677,533
	USE	$88,700,000

Note: $850,000 engineering included in construction costs

1976

Suffolk County Sewer District No. 18 – Hauppauge Industrial
CP 8126

Project Resolutions

Resolution	Amount	Source
1240-2002	$108,311 $600,000	Escrow ASRF
1169-2003	$100,000	ASRF
1155-2005	$500,000	ASRF
1395-2006	$2,800,000	Bonds
571-2007	$800,000	ASRF
1281-2007	$34,000,000	Bonds
1077-2008	$29,000,000	Bonds
468-2009	$4,091,689	Bonds
1124-2012	$6,700,000	Bonds
290-2013	$2,000,000	Bonds
1195-2014	$2,000,000	Bonds
Pending 2016	$6,000,000	Bonds
Total	$88,700,000	

1976

Suffolk County Sewer District No. 18 – Hauppauge Industrial
CP 8126

Project Costs

Preliminary and Final Design	$4,127,533
Engineering and	
Construction (1)	$84,572,467
Total	$88,700,000 (2)

(1) Includes construction engineering ($850,000) and land acquisition ($100,000)
(2) Additional related costs per an EFC application includes Bond Counsel ($30,000) and work force Inspection ($954,404)

bw2-2-16 SD#18 (CP 8126) project costs

1976

APPENDIX 'G'

SCHEDULE

Sewer Distirct No. 18 - Hauppauge Industrial
CP 8126 - Improvements

Time

Tasks	2016								2017												2018												2019					
	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun
Design and Financial Approval																																						
Final Design Complete																																						
Financial Approval on Final Design																																						
Construction of sewers																																						

146

1976

APPENDIX 'H'

ASSESSMENT STABILIZATION RESERVE FUND

1976

Assessment Stabilization Reserve Fund

Resolution No. 110-1998 adopted Local Law No. 35-1999, establishing a "Common Sense Tax Stabilization Plan for Sewers, Environmental Protection, and County Taxpayers." The program provides long-term sewer district tax rate stabilization for both debt service and operation and maintenance costs.

Resolution No. 650-2000 re-imposed an additional one-quarter percent sales tax for the period beginning December 1, 2000, through December 31, 2013. An extension of this program was adopted in 2007 and allocated 25% of the additional sales tax to an Assessment Stabilization Reserve Fund (ASRF) for sewers through 2030.

Two funds exist, the ASRF for the smaller districts (Fund 404) and the Southwest Sewer District (Sewer District 3) (Fund 405).

The intent of the ASRF is to stabilize sewer district tax rates beyond 2030 and to protect taxpayers in sewer districts from significant rate increases in a single budget year. Increases above 3% allow the ASRF to be utilized. **The use of the Assessment Stabilization Reserve Fund therefore, limits the impact of any capacity project to 3%.**

1976

APPENDIX 'I'

PROJECTED O&M COSTS 2016-2021

1976

SEWER DISTRICT NO. 18
PROJECTED O&M COSTS

YEAR	COST (MILLION $)
2016	$.650
2017	$.745
2018	$1.430
2019	$1.660
2020	$2.080
2021	$2.380

1976

APPENDIX 'J'

DEBT SERVICE SCHEDULES

1976

CP 8126
Annual Debt Service
as of 1-22-2016

Year of Payment	Total Principal	Total Interest	Total Annual Debt Service
2008	4,668.00	4,040.58	8,708.58
2009	44,760.00	62,318.98	107,078.98
2010	149,820.00	152,569.87	302,389.87
2011	309,785.00	264,308.11	574,093.11
2012	766,165.00	496,648.14	1,262,813.14
2013	1,658,968.00	1,075,062.21	2,734,030.21
2014	2,264,611.00	1,599,726.70	3,864,337.70
2015	2,484,959.00	2,084,670.75	4,569,629.75
2016	3,322,527.00	1,888,153.23	5,210,680.23
2017	3,389,469.00	2,252,372.20	5,641,841.20
2018	3,441,510.00	2,164,737.15	5,606,247.15
2019	3,498,414.00	2,067,927.89	5,566,341.89
2020	3,565,460.00	1,969,119.86	5,534,579.86
2021	3,646,548.00	1,866,132.08	5,512,680.08
2022	3,729,143.00	1,759,616.19	5,488,759.19
2023	3,825,961.00	1,646,607.45	5,472,568.45
2024	3,920,834.00	1,528,481.98	5,449,315.98
2025	4,030,133.00	1,404,301.57	5,434,434.57
2026	4,152,343.00	1,274,150.17	5,426,493.17
2027	3,926,241.00	1,143,590.83	5,069,831.83
2028	2,972,080.00	1,010,027.31	3,982,107.31
2029	2,760,945.00	914,949.56	3,675,894.56
2030	2,631,048.00	821,832.18	3,452,880.18
2031	2,706,365.00	731,186.12	3,437,551.12
2032	1,745,787.00	635,724.82	2,381,511.82
2033	1,795,654.00	569,119.85	2,364,773.85
2034	1,276,260.00	511,258.27	1,787,518.27
2035	1,010,000.00	467,816.92	1,477,816.92
2036	1,035,000.00	428,002.72	1,463,002.72
2037	1,055,000.00	385,060.56	1,440,060.56
2038	1,080,000.00	341,288.60	1,421,288.60
2039	1,105,000.00	296,479.40	1,401,479.40
2040	1,130,000.00	250,632.96	1,380,632.96
2041	1,155,000.00	203,749.24	1,358,749.24
2042	1,180,000.00	154,465.40	1,334,465.40
2043	1,205,000.00	104,114.80	1,309,114.80
2044	1,235,000.00	52,697.44	1,287,697.44
Grand	79,210,458.00	34,582,942.09	113,793,400.09

Suffolk County

SD# 18 Hauppauge Industrial - CP 8126
Level Debt

1976

Term of Bonds: 18
Amount to Bond: $6,000,000

Date	Coupon	Principal	Interest	Total Debt Service	Fiscal Debt Service
11/1/2017					
11/1/2018	3.000%	$238,959.21	$226,153.85	$465,113.06	$465,113.06
			$108,573.46	$108,573.46	
11/1/2019	3.000%	$247,966.14	$108,573.46	$356,539.60	$465,113.06
			$103,900.25	$103,900.25	
11/1/2020	3.000%	$257,312.56	$103,900.25	$361,212.81	$465,113.06
			$99,050.90	$99,050.90	
11/1/2021	4.000%	$267,011.26	$99,050.90	$366,062.16	$465,113.06
			$94,018.77	$94,018.77	
11/1/2022	4.000%	$277,075.53	$94,018.77	$371,094.30	$465,113.06
			$88,796.96	$88,796.96	
11/1/2023	4.000%	$287,519.15	$88,796.96	$376,316.10	$465,113.06
			$83,378.33	$83,378.33	
11/1/2024	4.000%	$298,356.41	$83,378.33	$381,734.73	$465,113.06
			$77,755.46	$77,755.46	
11/1/2025	4.000%	$309,602.15	$77,755.46	$387,357.60	$465,113.06
			$71,920.65	$71,920.65	
11/1/2026	4.000%	$321,271.77	$71,920.65	$393,192.41	$465,113.06
			$65,865.91	$65,865.91	
11/1/2027	4.000%	$333,381.24	$65,865.91	$399,247.15	$465,113.06
			$59,582.96	$59,582.96	
11/1/2028	4.000%	$345,947.15	$59,582.96	$405,530.11	$465,113.06
			$53,063.18	$53,063.18	
11/1/2029	4.000%	$358,986.70	$53,063.18	$412,049.88	$465,113.06
			$46,297.66	$46,297.66	
11/1/2030	4.000%	$372,517.73	$46,297.66	$418,815.40	$465,113.06
			$39,277.14	$39,277.14	
11/1/2031	4.125%	$386,558.79	$39,277.14	$425,835.92	$465,113.06
			$31,991.99	$31,991.99	
11/1/2032	4.125%	$401,129.08	$31,991.99	$433,121.07	$465,113.06
			$24,432.25	$24,432.25	
11/1/2033	4.125%	$416,248.56	$24,432.25	$440,680.81	$465,113.06
			$16,587.57	$16,587.57	
11/1/2034	4.250%	$431,937.93	$16,587.57	$448,525.49	$465,113.06
			$8,447.20	$8,447.20	
11/1/2035	4.250%	$448,218.67	$8,447.20	$456,665.86	$465,113.06
		$6,000,000.00	$2,372,035.10	$8,372,035.10	$8,372,035.10

1976

APPENDIX 'K'

ANNUAL COST PROJECTION

SEWER DISTRICT RATES - 2016 ADOPTED

1976

UNIT NO	SEWER DISTRICT NO	NAME	Note	EQUAL RATE	RATE TYPE	TYPE	RATE PER $1,000 ASSESSED VALUE	FULL EQUALIZED VALUE	OTHER	ANNUAL CONTRACTEE RATE	QUARTERLY CONTRACTEE RATE	QUARTERLY RATE
214	14	Parkland - Zone A		12.70%	Per Unit	D	N/A	N/A	374.00			
						M	N/A	N/A	0.00			
						T	N/A	N/A	**374.00**	392.70	98.18	$ 93.50
		Parkland - Zone B			Per Unit	D	N/A	N/A	303.00			
						M	N/A	N/A	0.00			
						T	N/A	N/A	**303.00**	318.15	79.54	$ 75.75
215	15	Nob Hill		12.70%	Per Unit	D	N/A	N/A	467.00			
						M	N/A	N/A	0.00			
						T	N/A	N/A	**467.00**	490.35	122.59	$ 116.75
218	18	Hauppauge Industrial		1.30%	Per 1,000 Gal	D	N/A	N/A	16.00			
						M	N/A	N/A	0.00			
						T	N/A	N/A	**16.50**	17.33		
219	19	Haven Hills		0.95%	Per Unit	D	N/A	N/A	225.00			
						M	N/A	N/A	0.00			
						T	N/A	N/A	**225.00**			$ 56.25
220	20	William Floyd		0.95%	Per Unit	D	N/A	N/A	333.00			
						M	N/A	N/A	0.00			
						T	N/A	N/A	**333.00**	349.65	87.41	$ 83.25
221	21	SUNY	(C)	0.95%	% of Use	D	N/A	N/A	N/A			
						M	N/A	N/A	N/A			
						T	N/A	N/A	N/A			
222	22	Hauppauge Municipal	(D)	1.30%	% of Use	D	N/A	N/A	607.00			
						M	N/A	N/A	N/A			
						T	N/A	N/A	**607.00**			$ 151.75

Suffolk County Sewer District No. 18

Summary of Water Used During Period 1/1/2015 - 12/31/2015

Used For the Calculation of Bills Sent Out in 2016

CRT REF NO	SEWER ACCOUNT NUMBER	SCWA ACCOUNT NUMBER	BUSINESS NAME	ADDRESS		ACTUAL WATER	GALLONS BILLED	SEWER SFE	SEWER BILL	TWO SFE	TWO BILL	TOTAL BILL
1	018-002-0100	5000302013	ADVANCED TESTING TECHNOLOGIES	110	RICEFIELD LN	377,366	294,712	295	4,867.50	4	184.00	5,051.50
2	018-002-0101	5000302294	LNK INTERNATIONAL	100	RICEFIELD LN	1,151,920	888,624	889	14,668.50	11	506.00	15,174.50
3	018-002-0103	5000301360	US LUGGAGE LLC	400	WIRELESS BLVD	775,452	422,072	423	6,979.50	6	276.00	7,255.50
4	018-002-0107	5000301351	SPELLMAN HIGH VOLTAGE	475	WIRELESS BLVD	29,920	29,920	30	495.00	1	46.00	541.00
5	018-002-0108	5000274574	MONITOR ELEVATOR PRODUCTS	125	RICEFIELD LN	32,443	32,443	33	544.50	1	46.00	590.50
6	181-414-2004	5000301963	UPSKY LONG ISLAND HOTEL	110	MOTOR PKWY	15,820,200	12,886,469	12,887	212,635.50	157	7,222.00	219,857.50
7	181-440-2114	5000304022	AMERICAN DIAGNOSTIC CORP	55	COMMERCE DR	1,087,592	912,560	913	15,064.50	12	552.00	15,616.50
8	181-440-2120	5000301932	CLIFFORD JAMES L	115	COMMERCE DR	94,248	94,248	95	1,567.50	2	92.00	1,659.50
9	181-452-3135	5000301885	NEXGEN REALTY LLC	160	ADAMS AVE	1,682,252	1,534,896	1,535	25,327.50	19	874.00	26,201.50
10	181-460-2105	5000301973	ISLAND FED CR UNION	120	MOTOR PKWY	952,952	106,052	107	1,765.50	2	92.00	1,857.50
11	181-460-3209	5000301703	159 ADAMS AVE LLC	159	ADAMS AVE	544,544	340,091	341	5,626.50	5	230.00	5,856.50
12	181-464-0203	5000301102	100 MOTOR PKWY ASSOC	100	MOTOR PKWY	4,503,708	3,255,296	3,256	53,724.00	40	1,840.00	55,564.00
13	181-464-0204	5000444007	TEACHERS FEDERAL CREDIT UNION	102	MOTOR PKWY	5,507,524	1,331,524	1,332	21,978.00	17	782.00	22,760.00
14	181-615-0204	5000301695	LA PETITE ACADEMY	157	ADAMS AVE	392,700	347,072	348	5,742.00	5	230.00	5,972.00
15	181-710-1109	5000301616	RECHLER EQUITY PARTNERS	80	ARKAY DR	3,442,296	2,235,024	2,236	36,894.00	28	1,288.00	38,182.00
16	181-710-1111	5000301598	LEE DAN COMM INC	155	ADAMS AVE	295,460	59,210	60	990.00	1	46.00	1,036.00
17	181-710-1117	5000301609	88 ARKAY DRIVE CORP	88	ARKAY DR	320,892	207,445	208	3,432.00	3	138.00	3,570.00
18	181-710-1119	5000301553	CUSTOM COMPUTER	70	SUFFOLK CT	968,660	797,867	798	13,167.00	10	460.00	13,627.00
19	181-710-1120	5000301626	GOOD SAMARITAN HOSPITAL	70	ARKAY DR	1,094,324	920,539	921	15,196.50	12	552.00	15,748.50
20	181-710-1121	5000301636	60 ARKAY DR REALTY LLC	60	ARKAY DR	1,967,240	1,934,827	1,935	31,927.50	24	1,104.00	33,031.50
21	181-710-1123	5000301591	CENTRAL STATE IND	89	SUFFOLK CT	645,524	471,739	472	7,788.00	6	276.00	8,064.00
22	181-710-1133	5000301581	SUFFOLK COURTS ASSOC	25	SUFFOLK CT	446,556	346,075	347	5,725.50	5	230.00	5,955.50
23	181-710-1191	5000301562	WOLF FAMILY LLC	135	ADAMS AVE	1,801,932	1,541,877	1,542	25,443.00	19	874.00	26,317.00
24	181-710-1193	5000301572	MALSHA PRODUCTS INC	80	SUFFOLK CT	1,144,440	832,773	833	13,744.50	11	506.00	14,250.50
25	181-710-2103	5000301953	BALLY REALTY HOLDINGS	65	COMMERCE DR	125,664	125,664	126	2,079.00	2	92.00	2,171.00
26	181-710-2106	5000301983	INNOVATIVE TILE	130	MOTOR PKWY	433,092	274,267	275	4,537.50	4	184.00	4,721.50
27	181-710-2108	5000301898	BYSTRONIC INC	185	COMMERCE DR	1,162,392	968,411	969	15,988.50	12	552.00	16,540.50
28	181-710-2111	5000301850	MULTI MEDIA REALTY LLC	190	ADAMS AVE	229,636	137,632	138	2,277.00	2	92.00	2,369.00
29	181-710-2113	5000301941	BIO-BOTANICA INC	75	COMMERCE DR	1,651,584	1,404,245	1,405	23,182.50	18	828.00	24,010.50
30	181-710-2115	5000304031	L3 COMMUNICATIONS CORP	435	MORELAND RD	4,377,296	3,465,733	3,466	57,189.00	43	1,978.00	59,167.00
31	181-710-2116	5000301870	M FISHER REALTY	180	ADAMS AVE	252,076	130,651	131	2,161.50	2	92.00	2,253.50
32	181-710-2117	5000301889	SUPERIOR WASHER	170	ADAMS AVE	1,009,800	284,500	285	4,702.50	4	184.00	4,886.50
33	181-710-2119	5000304012	NATURES RESOURCES	85	COMMERCE DR	2,184,160	1,727,760	1,728	28,512.00	22	1,012.00	29,524.00

1/26/2016

2016 SD18 Billing with new accounts.xlsx

Suffolk County Sewer District No. 18

Summary of Water Used During Period 1/1/2015 - 12/31/2015

Used For the Calculation of Bills Sent Out in 2016

CR REF NO	SEWER ACCOUNT NUMBER	SCWA ACCOUNT NUMBER	BUSINESS NAME	ADDRESS		ACTUAL WATER	GALLONS BILLED	SEWER SFE	SEWER BILL	AWD SFE	AWD BILL	TOTAL BILL
34	181-710-2122	5000301922	ARISTA ENTERPRISES	125	COMMERCE DR	572,968	457,776	458	7,557.00	6	276.00	7,833.00
35	181-710-2126	5000301907	ELKAY REALTY CORP	175	COMMERCE DR	885,632	885,632	886	14,619.00	11	506.00	15,125.00
36	181-710-2127	5000301993	REXCORP PROPERTY MGT	150	MOTOR PKWY	3,657,720	2,378,640	2,379	39,253.50	29	1,334.00	40,587.50
37	181-710-2128	5000301915	FOREST LABS INC.	155	COMMERCE DR	1,209,516	1,102,053	1,103	18,199.50	14	644.00	18,843.50
38	181-710-2130	5000301840	CURRENT RESIDENT	230	ADAMS AVE	0	0	0	0.00	0	0.00	0.00
39	181-710-2131	5000301859	280 DOUGLAS INC	190	MOTOR PKWY	914,804	575,461	576	9,504.00	8	368.00	9,872.00
40	181-710-2132	5000302000	COUNTRY LIFE	180	MOTOR PKWY	1,935,076	1,611,691	1,612	26,598.00	20	920.00	27,518.00
41	181-710-3102	5000301178	QUANTUM INSTRUMENTS	10	COMMERCE DR	555,764	293,216	294	4,851.00	4	184.00	5,035.00
42	181-710-3105	5000301334	ALADDIN TOY MOTORS	180	COMMERCE DR	58,344	49,867	50	825.00	1	46.00	871.00
43	181-710-3107	5000301325	WALKER SHORTBREAD	170	COMMERCE DR	400,928	290,224	291	4,801.50	4	184.00	4,985.50
44	181-710-3110	5000301215	J3 MANUFACTURING PROP	30	COMMERCE DR	318,648	236,368	237	3,910.50	3	138.00	4,048.50
45	181-710-3113	5000301285	MINI GRAPHICS INC	140	COMMERCE DR	472,736	396,939	397	6,550.50	5	230.00	6,780.50
46	181-710-3116	5000301248	KGI REALTY LLC	70	COMMERCE DR	1,059,916	722,069	723	11,929.50	9	414.00	12,343.50
47	181-710-3118	5000301272	LLP REALTY CORP	130	COMMERCE DR	553,520	501,659	502	8,283.00	7	322.00	8,605.00
48	181-710-3119	5000301299	WOLF FAMILY LLC	150	COMMERCE DR	1,177,352	1,038,224	1,039	17,143.50	13	598.00	17,741.50
49	181-710-3120	5000301311	WOLF HARRIET	160	COMMERCE DR	1,834,844	1,757,301	1,758	29,007.00	22	1,012.00	30,019.00
50	181-710-3123	5000301148	FESTO CORP	395	MORELAND RD	1,160,148	400,430	401	6,616.50	5	230.00	6,846.50
51	181-710-3126	5000301239	CURRENT RESIDENT	60	COMMERCE DR	424,864	343,083	344	5,676.00	5	230.00	5,906.00
52	181-710-3127	5000301221	40 COMMERCE DR CORP	40	COMMERCE DR	394,196	239,360	240	3,960.00	3	138.00	4,098.00
53	181-710-3128	5000301230	EDGAR FABRICS INC	50	COMMERCE DR	127,160	90,757	91	1,501.50	2	92.00	1,593.50
54	181-710-3133	5000301259	CROWN INTERN'L TRADING.	120	COMMERCE DR	1,744,336	1,316,480	1,317	21,730.50	17	782.00	22,512.50
55	181-710-3134	5000301192	SPIEGEL/20 COMMERCE ASSOC	22-24	COMMERCE DR	510,884	510,884	511	8,431.50	7	322.00	8,753.50
55	181-710-3134	5000301203	20 COMMERCE ASSOC	20	COMMERCE DR	366,520	49,540	50	825.00	1	46.00	871.00
56	181-710-3137	5000301184	CURRENT RESIDENT	18	COMMERCE DR	578,952	407,909	408	6,732.00	5	230.00	6,962.00
57	181-710-3202	5000301645	55 ARKAY	55	ARKAY DR	1,098,064	951,456	952	15,708.00	12	552.00	16,260.00
58	181-710-3210	5000301714	ORBIT INSTRUT CORP	80	CABOT CT	795,872	795,872	796	13,134.00	10	460.00	13,594.00
59	181-710-3212	5000301725	WILBAR INTERNATIONAL INC	50	CABOT CT	1,179,596	759,968	760	12,540.00	10	460.00	13,000.00
60	181-710-3213	5000301685	VICON INDUSTRIES	89	ARKAY DR	893,112	688,160	689	11,368.50	9	414.00	11,782.50
61	181-710-3214	5000301664	ROBERT BUSSE & CO LEASEE	75	ARKAY DR	561,000	561,000	561	9,256.50	7	322.00	9,578.50
62	181-710-3215	5000301675	NAI LONG ISLAND	77	ARKAY DR	520,608	454,784	455	7,507.50	6	276.00	7,783.50
63	181-710-3219	5000301736	LAFA REALTY	55	CABOT CT	138,380	95,744	96	1,584.00	2	92.00	1,676.00
64	181-710-3220	5000301756	89 CABOT LLC	89	CABOT CT	459,272	387,963	388	6,402.00	5	230.00	6,632.00
65	181-710-3222	5000301745	HAUPP COMP WORK	91	CABOT CT	257,312	144,613	145	2,392.50	2	92.00	2,484.50

Suffolk County Sewer District No. 18

Summary of Water Used During [illegible]eriod 1/1/2015 - 12/31/2015

Used For the Calculation of Bills Sent Out In 2016

OR REF NO	SEWER ACCOUNT NUMBER	SCWA ACCOUNT NUMBER	BUSINESS NAME	#	ADDRESS	ACTUAL WATER	GALLONS BILLED	SEWER SFE	SEWER BILL	WD SFE	WD BILL	TOTAL BILL
66	181-710-3223	5000301764	PANOR CORP	125	CABOT CT	276,012	77,722	78	1,287.00	1	46.00	1,333.00
67	181-710-3224	5000301773	IRON MTN INFO MGMT CO	185	ADAMS AVE	436,832	436,832	437	7,210.50	6	276.00	7,486.50
68	181-710-3225	5000301829	IMRE PINTER	240	MOTOR PKY	2,992	2,992	3	49.50	1	46.00	95.50
69	181-710-3226	5000300380	EMPIRE STATE RCC	270	MOTOR PKWY	359,788	280,251	281	4,636.50	4	184.00	4,820.50
70	181-710-3227	5000300390	SCWA	260	MOTOR PKWY	1,291,796	937,875	938	15,477.00	12	552.00	16,029.00
71	181-710-3300	5000300372	DALE CARNEGIE	290	MOTOR PKWY	635,800	635,800	636	10,494.00	8	368.00	10,862.00
72	181-712-0026	5000301792	HAUPPAUGE OFFICE CONDOS	200	MOTOR PWKY	166,056	163,563	164	2,706.00	2	92.00	2,798.00
72	181-712-0026	5000301801	HAUPPAUGE OFFICE CONDOS	200	MOTOR PWKY	771,188	563,493	564	9,306.00	7	322.00	9,628.00
72	181-712-0026	5000301811	HAUPPAUGE OFFICE CONDOS	200	MOTOR PWKY	1,345,652	764,955	765	12,622.50	10	460.00	13,082.50
72	181-712-0026	5000301821	HAUPPAUGE OFFICE CONDOS	200	MOTOR PWKY	193,732	190,491	191	3,151.50	3	138.00	3,289.50
73	182-449-1012	5000300586	WORK COMMUNITY SVCS INC	60	ADAMS AVE	564,470	423,867	424	6,996.00	6	276.00	7,272.00
74	182-449-3381	5000300703	GWKMAK ASSOCIATES (LNK)	145	RICEFIELD LA	1,950,036	1,729,626	1,730	28,545.00	22	1,012.00	29,557.00
75	182-464-2201	5000301072	150 OSER AVE LLC (POST OFFICE)	150	OSER AVE	235,620	115,691	116	1,914.00	2	92.00	2,006.00
76	182-464-2207	5000301058	RECHLER EQUITY PARTNERS	180	OSER AVE	2,134,792	2,081,435	2,082	34,353.00	26	1,196.00	35,549.00
76	182-464-2706	5000274532	RECHLER EQUITY PARTNERS	85	ADAMS AVE	519,112	425,861	426	7,029.00	6	276.00	7,305.00
77	182-464-3358	5000302279	REUTERS INFO SVC	88	PARKWAY DR SO	7,723,100	3,783,100	3,784	62,436.00	47	2,162.00	64,598.00
78	182-464-3370	5000300924	RECHLER EQUITY PARTNERS	375	OSER AVE	164,560	124,667	125	2,062.50	2	92.00	2,154.50
79	182-540-2705	5000301031	HEARTLAND ASSOCIATES	230	OSER AVE	61,336	61,336	62	1,023.00	1	46.00	1,069.00
80	182-710-1004	5000303729	MTV NETWORKS INC	35	ADAMS AVE	4,852,276	614,280	615	10,147.50	8	368.00	10,515.50
81	182-710-1009	5000300564	E P & M ENTERPRISES	5	ADAMS AVE	423,368	256,315	257	4,240.50	4	184.00	4,424.50
82	182-710-1013	5000300756	TARA TOY COMPANY	40	ADAMS AVE	1,777,248	1,215,749	1,216	20,064.00	15	690.00	20,754.00
83	182-710-2100	5000300520	JACO, INC	145	OSER AVE	748	748	1	16.50	1	46.00	62.50
84	182-710-2205	5000301083	CURRENT RESIDENT	160	OSER AVE	5,236	5,236	6	99.00	1	46.00	145.00
85	182-710-2208	5000301051	AIJIM ASSOC	200	OSER AVE	219,164	188,496	189	3,118.50	3	138.00	3,256.50
86	182-710-2500	5000301040	CURRAN MFG CORP	210	OSER AVE	529,584	383,973	384	6,336.00	5	230.00	6,566.00
87	182-710-2603	5000274769	NORTHERN MGT GROUP	205	OSER AVE	50,116	48,869	49	808.50	1	46.00	854.50
87	182-710-2603	5000274781	NORTHERN MGT GROUP	205	OSER AVE	249,832	245,344	246	4,059.00	3	138.00	4,197.00
88	182-710-2604	5000300544	REID INTERNATIONAL	195	OSER AVE	86,020	44,880	45	742.50	1	46.00	788.50
89	182-710-2605	5000300531	RECHLER EQUITY PARTNERS	185	OSER AVE	774,928	676,192	677	11,170.50	9	414.00	11,584.50
90	182-710-2607	5000274758	CURRENT RESIDENT	215	OSER AVE	11,220	11,220	12	198.00	1	46.00	244.00
91	182-710-2609	5000274728	DÉCOR MOULDING	125	ADAMS AVE	402,424	33,570	34	561.00	1	46.00	607.00
92	182-710-2611	5000274740	RECHLER EQUITY PARTNERS	225	OSER AVE	42,636	37,899	38	627.00	1	46.00	673.00
92	182-710-2611	5000274747	RECHLER EQUITY PARTNERS	225	OSER AVE	104,720	77,792	78	1,287.00	1	46.00	1,333.00

Suffolk County Sewer District No. 18

Summary of Water Used Durin[illegible] Period 1/1/2015 - 12/31/2015

Used For the Calculation of Bills Sent Out in 2016

COR REF NO	SEWER ACCOUNT NUMBER	SCWA ACCOUNT NUMBER	BUSINESS NAME	ADDRESS	ACTUAL WATER	GALLONS BILLED	SEWER SFE	SEWER BILL	MVD SFE	MVD BILL	TOTAL BILL
93	182-710-2709	5000300574	FOREST LABS INC.	45 ADAMS AVE	1,412,972	1,383,301	1,384	22,836.00	17	782.00	23,618.00
94	182-710-2712	5000274541	AMNEAL PHARM OF NY LLC	75 ADAMS AVE	2,894,012	2,282,687	2,283	37,669.50	28	1,288.00	38,957.50
95	182-710-2713	5000300584	55 ADAMS AVE DEVELOPMENT	55 ADAMS AVE	400,928	128,656	129	2,128.50	2	92.00	2,220.50
96	182-710-2802	5000300736	TYROLIT COMPANY	50 DAVIDS DR	716,584	621,339	622	10,263.00	8	368.00	10,631.00
97	182-710-2803	5000300747	GOODLEMAN, STUART (Liquimark)	30 DAVIDS DR	535,568	264,708	265	4,372.50	4	184.00	4,556.50
98	182-710-2804	5000300770	LONG ISLAND CARES	10 DAVIDS DR	938,740	673,200	674	11,121.00	9	414.00	11,535.00
98	182-710-2804	5000300777	LONG ISLAND CARES	10 DAVIDS DR	14,212	14,212	15	247.50	1	46.00	293.50
99	182-710-2805	5000274547	BACTOLAC	20 DAVIDS DR	298,452	298,452	299	4,933.50	4	184.00	5,117.50
100	182-710-2902	5000274657	JACKNOB CORP	290 OSER AVE	213,180	25,510	26	429.00	1	46.00	475.00
100	182-710-2902	5000274671	JACKNOB CORP	290 OSER AVE	53,108	53,108	54	891.00	1	46.00	937.00
101	182-710-2904	5000274607	DAVIDS DRIVE PROPERTIES	35 DAVIDS DR	46,376	42,885	43	709.50	1	46.00	755.50
102	182-710-2908	5000300649	RECHLER EQUITY PARTNERS	25 DAVIDS DR	1,325,456	1,122,000	1,122	18,513.00	14	644.00	19,157.00
103	182-710-2910	5000274639	PARK ASSOCIATES	270 OSER AVE	501,160	455,781	456	7,524.00	6	276.00	7,800.00
104	182-710-2911	5000274682	PARK ASSOCIATES	300 OSER AVE	398,684	300,197	301	4,966.50	4	184.00	5,150.50
105	182-710-2914	5000274602	YAROUM DAVID	45 DAVIDS DR	157,080	99,733	100	1,650.00	2	92.00	1,742.00
106	182-710-2918	5000274593	BUSBY METAL INC	55 DAVIDS DR	225,148	199,467	200	3,300.00	3	138.00	3,438.00
107	182-710-2921	5000274626	HEARTLAND ASSOCIATES	100 ADAMS AVE	1,122,748	811,829	812	13,398.00	10	460.00	13,858.00
108	182-710-2922	5000274649	COMPUTERSHARE INC	280 OSER AVE	1,384,818	1,258,995	1,259	20,773.50	16	736.00	21,509.50
109	182-710-3001	5000301021	245 OSER AVE RLTY	245 OSER AVE	402,424	229,387	230	3,795.00	3	138.00	3,933.00
110	182-710-3004	5000274707	RENT-A-PC	265 OSER AVE	247,588	168,549	169	2,788.50	3	138.00	2,926.50
111	182-710-3005	5000274717	FGI OSER AVE ASSOC.	255 OSER AVE	247,588	239,360	240	3,960.00	3	138.00	4,098.00
112	182-710-3006	5000301010	HOCHBERG ROBERT	275 OSER AVE	283,492	222,405	223	3,679.50	3	138.00	3,817.50
113	182-710-3007	5000274699	SCHNEIDER CORP	285 OSER AVE	560,252	405,915	406	6,699.00	5	230.00	6,929.00
114	182-710-3008	5000274690	KURRAM LLC	295 OSER AVE	59,092	59,092	60	990.00	1	46.00	1,036.00
115	182-710-3009	5000300994	ANTON CERRONE ASSOC (ACLD)	305 OSER AVE	392,700	323,136	324	5,346.00	4	184.00	5,530.00
115	182-710-3009	5000301002	ANTON CERRONE ASSOC (ACLD)	305 OSER AVE	409,156	340,091	341	5,626.50	5	230.00	5,856.50
116	182-710-3314	5000300984	CHANDER KOCHHAR	315 OSER AVE	631,312	473,733	474	7,821.00	6	276.00	8,097.00
117	182-710-3322	5000300618	MITEQ INC	330 OSER AVE	908,072	618,347	619	10,213.50	8	368.00	10,581.50
117	182-710-3322	5000300629	MITEQ INC	330 OSER AVE	1,801,184	1,211,760	1,212	19,998.00	15	690.00	20,688.00
118	182-710-3323	5000300835	MITEQ INC	380 OSER AVE	954,448	730,048	731	12,061.50	9	414.00	12,475.50
119	182-710-3326	5000300940	BROADLINE ELECT DIS	355 OSER AVE	124,916	84,773	85	1,402.50	2	92.00	1,494.50
120	182-710-3329	5000300797	MITEQ INC	320 OSER AVE	2,534,224	2,109,360	2,110	34,815.00	26	1,196.00	36,011.00
121	182-710-3330	5000300974	SANTINELLI INTERNATIONAL	325 OSER AVE	422,620	318,149	319	5,263.50	4	184.00	5,447.50

Suffolk County Sewer District No. 18

Summary of Water Used During Period 1/1/2015 - 12/31/2015

Used For the Calculation of Bills Sent Out in 2016

CR REF NO	SEWER ACCOUNT NUMBER	SCWA ACCOUNT NUMBER	BUSINESS NAME	ADDRESS		ACTUAL WATER	GALLONS BILLED	SEWER SFE	SEWER BILL	IWD SFE	IWD BILL	TOTAL BILL
122	182-710-3331	5000300951	EDK HOLDINGS LLC	345	OSER AVE	397,188	281,248	282	4,653.00	4	184.00	4,837.00
123	182-710-3332	5000300963	OAB ASSOCIATES	335	OSER AVE	74,052	38,896	39	643.50	1	46.00	689.50
124	182-710-3336	5000300789	UNIVERSAL SECURITY SYSTEMS	310	OSER AVE	244,596	204,453	205	3,382.50	3	138.00	3,520.50
125	182-710-3339	5000300912	385 OSER AVE ASSOC	385	OSER AVE	433,840	342,085	343	5,659.50	5	230.00	5,889.50
126	182-710-3342	5000300659	MITEQ INC	100	DAVIDS DR	2,293,368	2,005,637	2,006	33,099.00	25	1,150.00	34,249.00
127	182-710-3344	5000300845	HENRY & HENRY REALTY LLC	390	OSER AVE	552,772	552,772	553	9,124.50	7	322.00	9,446.50
127	182-710-3344	5000300855	HENRY & HENRY REALTY LLC	390	OSER AVE	17,204	16,955	17	280.50	1	46.00	326.50
128	182-710-3346	5000300693	FARMIR REALTY INC	155	RICEFIELD LA	227,392	130,651	131	2,161.50	2	92.00	2,253.50
129	182-710-3351	5000300608	AMERICAN CANCER SOC	75	DAVIDS DR	264,792	162,565	163	2,689.50	2	92.00	2,781.50
130	182-710-3352	5000300598	HINDUSTAN INC.	65	DAVIDS DR	231,880	134,640	135	2,227.50	2	92.00	2,319.50
131	182-710-3356	5000300680	MARLMAR ASSOC	80	DAVIDS DR	397,936	294,213	295	4,867.50	4	184.00	5,051.50
132	182-710-3367	5000300825	HEARTLAND ASSOCIATES	370	OSER AVE	359,040	289,227	290	4,785.00	4	184.00	4,969.00
133	182-710-3368	5000300807	RECHLER EQUITY PARTNERS	360	OSER AVE	502,656	433,840	434	7,161.00	6	276.00	7,437.00
134	182-710-3369	5000300933	HEARTLAND ASSOCIATES	365	OSER AVE	201,960	140,624	141	2,326.50	2	92.00	2,418.50
135	182-710-3375	5000300858	RECHLER EQUITY PARTNERS	400	OSER AVE	2,929,916	2,542,203	2,543	41,959.50	31	1,426.00	43,385.50
136	182-710-3376	5000300884	HEARTLAND ASSOCIATES	425	OSER AVE	875,160	681,179	682	11,253.00	9	414.00	11,667.00
137	182-710-3377	5000300891	HEARTLAND ASSOCIATES	415	OSER AVE	1,509,464	1,203,781	1,204	19,866.00	15	690.00	20,556.00
138	182-710-3378	5000300714	CASTELLA IMPORTS	60	DAVIDS DR	150,348	150,348	151	2,491.50	2	92.00	2,583.50
138	182-710-3378	5000300727	CASTELLA IMPORTS	60	DAVIDS DR	4,485,756	410,625	411	6,781.50	5	230.00	7,011.50
139	182-710-3379	5000300665	REUTERS AMERICA	90	DAVIDS DR	3,179,748	458,748	459	7,573.50	6	276.00	7,849.50
140	182-710-3380	5000300902	RECHLER EQUITY PARTNERS	395	OSER AVE	1,196,800	627,323	628	10,362.00	8	368.00	10,730.00
141	182-830-2920	5000300675	SUFFOLK REGIONAL OTB	5	DAVIDS DR	518,364	344,080	345	5,692.50	5	230.00	5,922.50
142	182-850-1008	5000300555	HOME BOX OFFICE	300	NEW HIGHWAY	5,381,112	4,051,168	4,052	66,858.00	50	2,300.00	69,158.00
			TOTALS			160,729,921	108,877,115	108,947	1,797,625.50	1,399	64,354.00	1,861,979.50

Sewer Rate 16.50

IWD Rate 46.00

SD18 Mode 5,051.50

MEDIAN 6,599.00

AVG 24,054.95

1476

1976

Cost and Flow
2016 – 2017

	2016 Annual Cost	2016 Flow Rate (gallons per day)	Projected 2017 Annual Cost	2016-2017 Increase (1)
Mode	$5,052	806	$5,204	$152
Median	$6,599	1,063	$6,797	$198
Average	$24,055	3,847	$27,777	$3,722

(1) Represents 3% per year

bw2-2-16 SD #18 (CP 8126) Cost and Flow chart

1976

Annual Cost Projection

Year	O&M (1) $Million	Debt (2) $Million	Debt (3) $Million	Total $Million	Stabilized Rate$/1,000 gal	Project Flow mgd	Unstabilized Rate$/1,000 gal
2016	$.650	5.210	—	5.860	16.50	.300	53.52
2017	$.745	5.642	—	6.387	17.00	.750	22.33
2018	$1.430	5.606	.332	7.368	17.51	.900	22.42
2019 (4)	$1.660	5.566	.465	7.691	18.04	1.200	17.56
2020	$2.080	5.534	.465	8.079	18.58	1.400	15.81
2021	$2.380	5.513	.465	8.358	19.14	1.650	13.88

(1) Appendix 'I'
(2) Appendix 'J', annual debt service
(3) $6,000,000, current request
(4) Maximum Capital Debt
(5) Anticipated Stabilized Rate in 2030 is $24.96

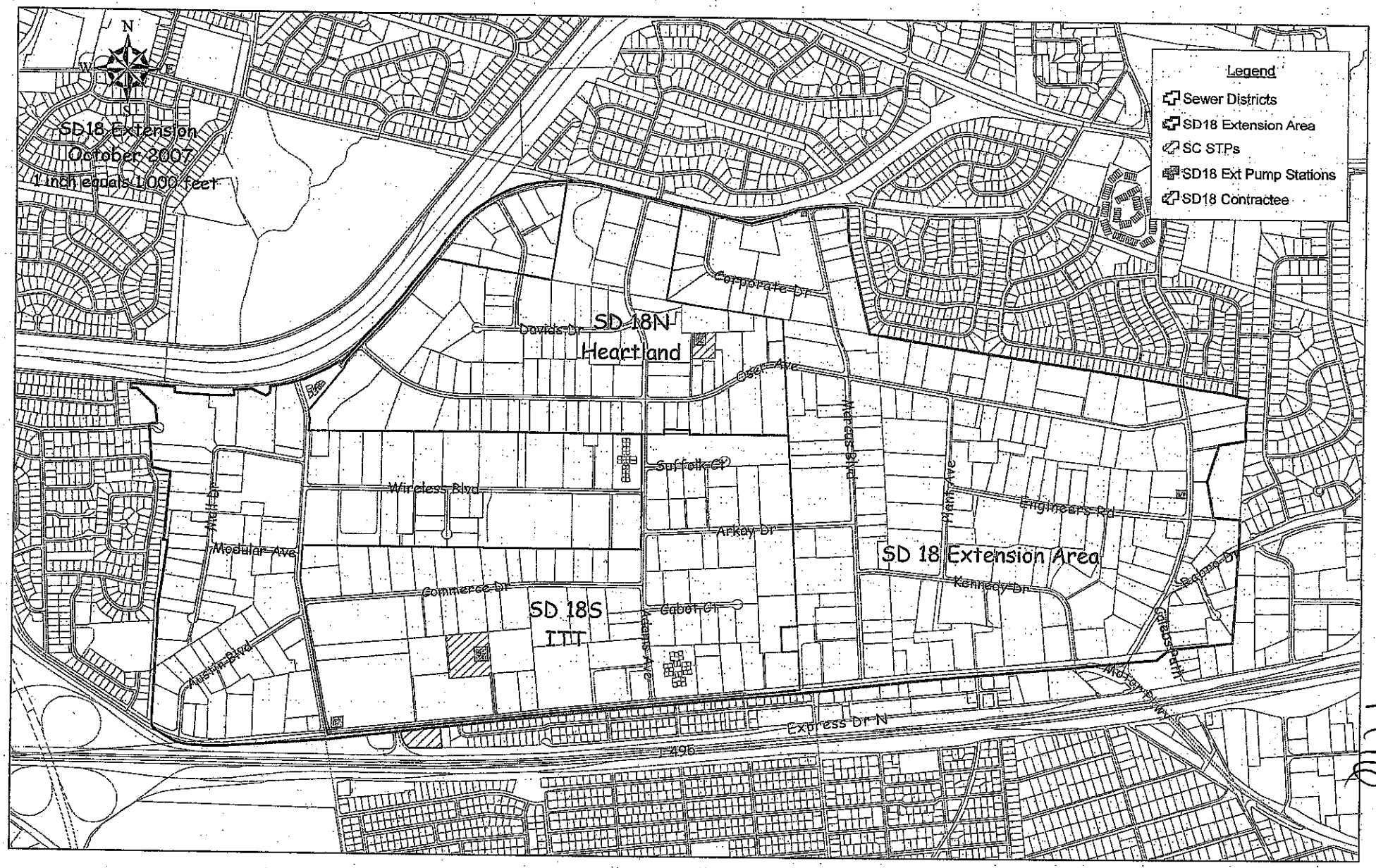
1976

FIGURE 1

DISTRICT BOUNDARY AS EXTENDED

AND

PUMP STATION LOCATION



N
W
E
S
SD18 Extension
October 2007
1 inch equals 1,000 feet
Legend
Sewer Districts
SD18 Extension Area
SC STPs
SD18 Ext Pump Stations
SD18 Contractee
SD 18N
Heartland
Corporate Dr
Davids Dr
Oser Ave
Marcus Blvd
Suffolk Ct
Wireless Blvd
Arkay Dr
Engineers Rd
Plant Ave
SD 18 Extension Area
Kennedy Dr
Commerce Dr
SD 18S
ITT
Cabot Ct
Adams Ave
Modular Ave
Austin Blvd
Express Dr N
I-496

1976

STATEMENT OF FINANCIAL IMPACT
OF PROPOSED SUFFOLK COUNTY LEGISLATION

1. **Type of Legislation**

Resolution X Local Law _____ Charter Law 1976

2. **Title of Proposed Legislation**

A resolution making certain findings and determinations and an order for the modification of the plan of service for Suffolk County Sewer District No. 18 – Hauppauge Industrial (CP 8126) and rescinding an inconsistent resolution.

3. **Purpose of Proposed Legislation**

To make certain findings and determinations for the improvement and expansion of the wastewater treatment facility and district sewers and pumping stations and rescind Resolution No. 484-2016 that was inconsistent.

4. **Will the Proposed Legislation Have a Fiscal Impact?** Yes X No _____

5. **If the answer to Item 4 is "yes," on what will it impact? (circle appropriate category)**

County	**Town**	**Economic Impact**
Village	**School District**	**Other (Specify):**
Library District	**Fire District**	

6. **If the answer to item 4 is "yes," Provide Detailed Explanation of Impact**

The $6.0 million project will be funded by the district residents using serial bonds, stabilized by the ASRF.

7. **Total Financial Cost of Funding over 5 Years on Each Affected Political or Other Subdivision.**

Rates are stabilized by the ASRF, therefore, a 3% increase per year resulting in the typical property increase being $152 per year.

8. **Proposed Source of Funding**

Serial Bonds

9. **Timing of Impact**

2015-2034 (See No. 7)

10. **Typed Name & Title of Preparer**	11. **Signature of Preparer**	12. **Date**
Ben Wright, P.E. Principal Civil Engineer, Sanitation	Ben Wright	10/3/16

SCIN FORM 175B (10/95)

H:\SANITATION\resolutions\2016 Resolutions\ga-bw10-3-16 Backup DPW 175B sd18-Hauppauge Industrial Findings & rescinding an inconsistent reso CP 8126.doc

FINANCIAL IMPACT
2016 PROPERTY TAX LEVY
COST TO THE AVERAGE TAXPAYER

1976

GENERAL FUND

	2016 PROPERTY TAX LEVY	2016 COST TO AVG TAXPAYER	2016 FV TAX RATE PER $1000
TOTAL	$0	$0.00	$0.000

POLICE DISTRICT AND DISTRICT COURT

	2016 PROPERTY TAX LEVY	2016 COST TO AVG TAXPAYER	2016 FV TAX RATE PER $1000
TOTAL	$0	$0.00	$0.000

COMBINED

	2016 PROPERTY TAX LEVY	2016 COST TO AVG TAXPAYER	2016 FV TAX RATE PER $1000
TOTAL	$0	$0.00	$0.000

NOTES:

1) SOURCE FOR NUMBER OF FAMILY PARCELS AND CORRESPONDING ASSESSED VALUATION: SUFFOLK COUNTY REAL PROPERTY, 2015.
2) SOURCE FOR TOTAL TAXABLE ASSESSED VALUATION FOR COUNTY PURPOSES: SCHEDULE A, REPORT OF ASSESSED VALUATION FOR 2015-2016.
3) SOURCE FOR EQUALIZATION RATES: 2015 COUNTY EQUALIZATION RATES ESTABLISHED BY THE NEW YORK STATE BOARD OF EQUALIZATION AND ASSESSMENTS.

To be completed by the Executive Budget Office

2016 INTERGOVERNMENTAL RELATIONS
MEMORANDUM OF SUPPORT

1976

TITLE OF BILL – Making Certain Findings and Determinations and an Order for the Modification of the Plan of Service for Suffolk County Sewer District No. 18 – Hauppauge Industrial (CP 8126) and rescinding an inconsistent resolution.

PURPOSE OR GENERAL IDEA OF BILL – Making certain findings and determinations in relation to a public hearing as a prerequisite to requesting appropriations and rescinding an inconsistent resolution that was not subject to a required permissive referendum.

SUMMARY OF SPECIFIC PROVISIONS – Provides the findings of the public hearing on an improvement project and costs for SCSD #18 – Hauppauge Industrial. Meets the requirements of NYS County Law, Article 5-A. Rescinds Resolution No. 484-2016 that was inconsistent by not being subject to a permissive referendum.

JUSTIFICATION – The new findings of the public hearing will be subject to a permissive referendum and will allow appropriations to be made in accordance with the adopted budget.

FISCAL IMPLICATIONS – Sewer district costs are stabilized by the ASRF. The annual increase of 3% is approximately $152 per year per typical property.

H:\SANITATION\resolutions\2016 Resolutions\ga-bw10-3-16 Backup-DPW sd18-Hauppauge Industrial CP 8126 findings & rescinding an inconsistent reso memo of support.docx

COUNTY OF SUFFOLK

STEVEN BELLONE
SUFFOLK COUNTY EXECUTIVE

1976

DEPARTMENT OF PUBLIC WORKS

DARNELL TYSON, P.E.
DEPUTY COMMISSIONER

GILBERT ANDERSON, P.E.
COMMISSIONER

THOMAS G. VAUGHN
DEPUTY COMMISSIONER

MEMORANDUM

TO: Jon Schneider, Deputy County Executive

FROM: Gilbert Anderson, P.E., Commissioner

SUBJECT: **A Resolution Making Certain Findings and Determinations and an Order for the Modification of the Plan of Service for Suffolk County Sewer District No. 18 – Hauppauge Industrial (CP 8126) and Rescinding an Inconsistent Resolution**

DATE: October 3, 2016

Attached is a draft resolution, SCIN Form 175a and 175b, and backup for the referenced capital project filed as Reso DPW SD 18-Hauppauge Industrial CP 8126 (Improvements and Expansion) 10-3-16 and backup filed as Backup-DPW SD 18-Hauppauge Industrial CP 8126 Improvements and Expansion) 10-3-16 for the findings resolution of the improvement and expansion of Sewer District No. 18 – Hauppauge Industrial which rescinds inconsistent Resolution No. 484-2016 which was not subject to a permissive referendum. The project is to provide funds for improvements and expansion of the wastewater treatment facility and district. The wastewater treatment plant construction project is complete and sewers and pumping stations require an additional $6,000,000 using serial bonds. The total cost associated with the project is approximately $88.7 million.

We appreciate the resolution being laid on the table such that the appropriations can be adopted as soon as necessary.

GA:BW:ni
Attachment
cc: John Donovan, P.E., Chief Engineer, Sanitation
Ben Wright, P.E., Principal Civil Engineer, Sanitation
CE Reso Review

H:\SANITATION\resolutions\2016 Resolutions\ga-bw10-3-16 Backup-DPW sd18-Hauppauge Industrial Findings & rescinding an inconsistent reso CP 8126 memo to JSchneider.doc

SUFFOLK COUNTY IS AN EQUAL OPPORTUNITY / AFFIRMATIVE ACTION EMPLOYER

335 YAPHANK AVENUE ■ YAPHANK, N.Y. 11980 ■ (631) 852-4010 FAX (631) 852-4150

1977

Intro. Res. No. - 2016
Introduced by Presiding Officer on request of the County Executive

Laid on Table 11/9/16

RESOLUTION NO. - 2016, APPROPRIATING FUNDS IN CONNECTION WITH TRAFFIC SIGNAL IMPROVEMENTS (CP 5054)

WHEREAS, the Commissioner of Public Works has requested funds for engineering and equipment in connection with Traffic Signal Improvements; and

WHEREAS, there are sufficient funds within the 2016 Capital Budget and Program to cover the cost of said request; and

WHEREAS, Resolution No. 471-1994 and as amended by Resolution No. 461-2006 has established a priority ranking system as the basis for funding Capital Projects such as this project; and

WHEREAS, this Legislature reviewed projects of this nature under a previous version of the implementing rules and regulations of SEQRA and determined by Resolution 1175-1995 that traffic signal improvements constitute a Type II action; and

WHEREAS, the County Legislature, by resolution of even date herewith, has authorized the issuance of $1,225,000 in Suffolk County Serial Bonds; now, therefore, be it

1st **RESOLVED**, that this Legislature hereby determines that traffic signal improvements constitute a Type II action pursuant to the provisions of Title 6 NYCRR Part 617.5(C)(16)(20)(21)(27) since the action involves a legislative decision concerning the installation of traffic control devices on existing streets, roads and highways; and be it further

2nd **RESOLVED**, that it is hereby determined that this project, with a priority ranking of forty-five (45) is eligible for approval in accordance with the provisions of Resolution No. 471-1994 as revised by Resolution No. 571-1998 and reaffirmed by Resolution No. 209-2000; and be it further

3rd **RESOLVED**, that the County Department of Public Works is hereby authorized, empowered and directed to take such action as may be necessary to complete Traffic Signal Improvements, pursuant to Section C8-2 (A) of the Suffolk County Charter; and be it further

4th **RESOLVED**, that the proceeds of $1,225,000 in Suffolk County Serial Bonds be and they are hereby appropriated as follows:

Project No.	J.C.	Project Title	Amount
525-CAP-5054.115 (Fund 001 Debt Service)	50	Traffic Signal Improvements	$325,000
525-CAP-5054.584 (Fund 001 Debt Service)	50	Traffic Signal Improvements	$900,000

DATED:

APPROVED BY:

_____

County Executive of Suffolk County

Date of Approval:

STATEMENT OF FINANCIAL IMPACT
OF PROPOSED SUFFOLK COUNTY LEGISLATION

1977

1. Type of Legislation

Resolution ___X___ Local Law _____ Charter Law _____

2. Title of Proposed Legislation

RESOLUTION NO. - 2016, APPROPRIATING FUNDS IN CONNECTION WITH TRAFFIC SIGNAL IMPROVEMENTS (CP 5054)

3. Purpose of Proposed Legislation

See above.

4. Will the Proposed Legislation Have a Fiscal Impact? **Yes** __X__ **No** _____

5. If the answer to item 4 is "yes", on what will it impact? (circle appropriate category)

County	**Town**	**Economic Impact**
Village	**School District**	**Other (Specify):**
Library District	**Fire District**	

6. If the answer to item 5 is "yes", Provide Detailed Explanation of Impact

SERIAL BONDS WILL BE ISSUED TO FINANCE THIS PROJECT. PRINCIPAL AND INTEREST COSTS WILL BE INCURRED OVER THE LIFE OF THE BONDS.

7. Total Financial Cost of Funding over 5 Years on Each Affected Political or Other Subdivision.

SEE ATTACHED DEBT SCHEDULE

8. Proposed Source of Funding

SERIAL BONDS

9. Timing of Impact

IT IS ANTICIPATED THAT BONDS WILL BE ISSUED SPRING OF 2017 AND DEBT SERVICE WILL COMMENCE SPRING 2018. THERE IS NO FISCAL IMPACT IN 2016 AND 2017. EARLIEST DEBT SERVICE FISCAL IMPACT WILL BE IN THE 2018 OPERATING BUDGET. ATTACHED 2018 CAT BASED ON 2016 DATA.

10. Typed Name & Title of Preparer	11. Signature of Preparer	12. Date
Nicholas Paglia Principal Budget Examiner		November 2, 2016

SCIN FORM 175b (10/95)

Suffolk County

General Obligation Serial Bonds
Level Debt

Term of Bonds: 20
Amount to Bond: $1,225,000

Date	Coupon	Principal	Interest	Total Debt Service	Fiscal Debt Service
6/1/2017					
6/1/2018	2.000%	$49,262.02	$27,343.75	$76,605.77	$76,605.77
6/1/2019	2.000%	$50,361.61	$13,122.08 $13,122.08	$13,122.08 $63,483.69	$76,605.77
6/1/2020	2.000%	$51,485.76	$12,560.00 $12,560.00	$12,560.00 $64,045.76	$76,605.77
6/1/2021	2.000%	$52,634.99	$11,985.39 $11,985.39	$11,985.39 $64,620.38	$76,605.77
6/1/2022	2.125%	$53,809.88	$11,397.94 $11,397.94	$11,397.94 $65,207.82	$76,605.77
6/1/2023	2.125%	$55,010.99	$10,797.39 $10,797.39	$10,797.39 $65,808.38	$76,605.77
6/1/2024	2.125%	$56,238.92	$10,183.42 $10,183.42	$10,183.42 $66,422.34	$76,605.77
6/1/2025	2.125%	$57,494.25	$9,555.76 $9,555.76	$9,555.76 $67,050.01	$76,605.77
6/1/2026	2.250%	$58,777.61	$8,914.08 $8,914.08	$8,914.08 $67,691.69	$76,605.77
6/1/2027	2.375%	$60,089.61	$8,258.08 $8,258.08	$8,258.08 $68,347.69	$76,605.77
6/1/2028	2.500%	$61,430.89	$7,587.44 $7,587.44	$7,587.44 $69,018.33	$76,605.77
6/1/2029	2.500%	$62,802.12	$6,901.82 $6,901.82	$6,901.82 $69,703.94	$76,605.77
6/1/2030	2.500%	$64,203.95	$6,200.91 $6,200.91	$6,200.91 $70,404.86	$76,605.77
6/1/2031	2.625%	$65,637.07	$5,484.35 $5,484.35	$5,484.35 $71,121.42	$76,605.77
6/1/2032	2.625%	$67,102.19	$4,751.79 $4,751.79	$4,751.79 $71,853.98	$76,605.77
6/1/2033	2.750%	$68,600.00	$4,002.88 $4,002.88	$4,002.88 $72,602.88	$76,605.77
6/1/2034	2.750%	$70,131.25	$3,237.26 $3,237.26	$3,237.26 $73,368.51	$76,605.77
6/1/2035	2.875%	$71,696.68	$2,454.54 $2,454.54	$2,454.54 $74,151.22	$76,605.77
5/31/2036	2.875%	$73,297.06	$1,654.35 $1,654.35	$1,654.35 $74,951.41	$76,605.77
6/1/2037	3.000%	$74,933.15	$836.31 $836.31	$836.31 $75,769.46	$76,605.77
		$1,225,000.00	$307,115.31	$1,532,115.31	$1,532,115.31

FINANCIAL IMPACT
2018 PROPERTY TAX LEVY
COST TO THE AVERAGE TAXPAYER

1977

GENERAL FUND

	2018 PROPERTY TAX LEVY	2018 COST TO AVG TAXPAYER	2018 FV TAX RATE PER $1000
TOTAL	$76,606	$0.14	$0.000

POLICE DISTRICT AND DISTRICT COURT

	2018 PROPERTY TAX LEVY	2018 COST TO AVG TAXPAYER	2018 FV TAX RATE PER $1000
TOTAL	$0	$0.00	$0.000

COMBINED

	2018 PROPERTY TAX LEVY	2018 COST TO AVG TAXPAYER	2018 FV TAX RATE PER $1000
TOTAL	$76,606	$0.14	$0.002

NOTES:

1) SOURCE FOR NUMBER OF FAMILY PARCELS AND CORRESPONDING ASSESSED VALUATION: SUFFOLK COUNTY REAL PROPERTY, 2015.
2) SOURCE FOR TOTAL TAXABLE ASSESSED VALUATION FOR COUNTY PURPOSES: SCHEDULE A, REPORT OF ASSESSED VALUATION FOR 2015-2016.
3) SOURCE FOR EQUALIZATION RATES: 2015 COUNTY EQUALIZATION RATES ESTABLISHED BY THE NEW YORK STATE BOARD OF EQUALIZATION AND ASSESSMENTS.

To be completed by the Executive Budget Office

FINANCIAL IMPACT
2017 PROPERTY TAX LEVY
COST TO THE AVERAGE TAXPAYER

1977

GENERAL FUND

	2017 PROPERTY TAX LEVY	2017 COST TO AVG TAXPAYER	2017 FV TAX RATE PER $1000
TOTAL	$0	$0.00	$0.000

POLICE DISTRICT AND DISTRICT COURT

	2017 PROPERTY TAX LEVY	2017 COST TO AVG TAXPAYER	2017 FV TAX RATE PER $1000
TOTAL	$0	$0.00	$0.000

COMBINED

	2017 PROPERTY TAX LEVY	2017 COST TO AVG TAXPAYER	2017 FV TAX RATE PER $1000
TOTAL	$0	$0.00	$0.000

NOTES:

1) SOURCE FOR NUMBER OF FAMILY PARCELS AND CORRESPONDING ASSESSED VALUATION: SUFFOLK COUNTY REAL PROPERTY, 2015.
2) SOURCE FOR TOTAL TAXABLE ASSESSED VALUATION FOR COUNTY PURPOSES: SCHEDULE A, REPORT OF ASSESSED VALUATION FOR 2015-2016.
3) SOURCE FOR EQUALIZATION RATES: 2015 COUNTY EQUALIZATION RATES ESTABLISHED BY THE NEW YORK STATE BOARD OF EQUALIZATION AND ASSESSMENTS.

To be completed by the Executive Budget Office

FINANCIAL IMPACT
2016 PROPERTY TAX LEVY
COST TO THE AVERAGE TAXPAYER

1977

GENERAL FUND

	2016 PROPERTY TAX LEVY	2016 COST TO AVG TAXPAYER	2016 FV TAX RATE PER $1000
TOTAL	**$0**	**$0.00**	$0.000

POLICE DISTRICT AND DISTRICT COURT

	2016 PROPERTY TAX LEVY	2016 COST TO AVG TAXPAYER	2016 FV TAX RATE PER $1000
TOTAL	**$0**	**$0.00**	$0.000

COMBINED

	2016 PROPERTY TAX LEVY	2016 COST TO AVG TAXPAYER	2016 FV TAX RATE PER $1000
TOTAL	**$0**	**$0.00**	$0.000

NOTES:

1) SOURCE FOR NUMBER OF FAMILY PARCELS AND CORRESPONDING ASSESSED VALUATION: SUFFOLK COUNTY REAL PROPERTY, 2015.
2) SOURCE FOR TOTAL TAXABLE ASSESSED VALUATION FOR COUNTY PURPOSES: SCHEDULE A, REPORT OF ASSESSED VALUATION FOR 2015-2016.
3) SOURCE FOR EQUALIZATION RATES: 2015 COUNTY EQUALIZATION RATES ESTABLISHED BY THE NEW YORK STATE BOARD OF EQUALIZATION AND ASSESSMENTS.

To be completed by the Executive Budget Office

C.P. 5054 TRAFFIC SIGNAL IMPROVEMENTS 2016

CR#	Road / Limits	Town	Leg Dist
2	**Straight Path**		
	Acorn/LI Avenue	Wyandanch	15
2	**Straight Path**		
	Columbo/Copiague FD	Copiague	15
3	**Pinelawn Road**		
	Coprorate Center/Old Sod Farm	Melville	17
	Half Hollow Road (CS67)	Melville	17
	Estee Lauder	Melville	17
	Greenway Plaza	Melville	17
13	**Fifth Avenue**		
	Ackerson	Bay Shore	11
14	**Harned Road**		
	Motor Parkway (CR67)	Commack	12
16	**Horseblock Road**		
	Granny Road	Farmingville	4
17	**Carleton Avenue**		
	Belt/Courthouse Drive	Central Islip	9
19	**Waverly Avenue**		
	NYS 27 NSR	N Patchogue	7
	NYS 27 SSR	N Patchogue	7
21	**Main Street**		
	Everett Drive	Yaphank	3
35	**Deer Park Road**		
	Dix Hills Fire Dept	Dix Hills	16
50	**Union Boulevard**		
	1st Avenue	Bay Shore	11
	4th Avenue	Bay Shore	11
	Penataquit Avenue	Bay Shore	11
	2nd Avenue	Bay Shore	11
	Nassau Avenue	Islip	10
	NYS 111	Islip	10
	Saxon Avenue	Bay Shore	10
80	**Montauk Highway**		
	Barnes Road	Moriches	3
	Brookfield Avenue	Center Moriches	3
	Oneck Lane	Westhampton Bch	2
93	**Ocean Avenue**		
	Easton Street	Ronkonkoma	10
100	**Suffolk Avenue**		
	Madison/Second Avenue	Brentwood	9

IT MAY BE NECESSARY TO ADD AND/OR SUBSTITUTE OTHER ROADS AND/OR REVISE LIMITS OF WORK DUE TO SEASONAL LIMITATIONS, CHANGES IN PRIORTIES, OR OTHER REQUIREMENTS AS DETERMINED BY THIS DEPARTMENT

1977

2016 INTERGOVERNMENTAL RELATIONS
MEMORANDUM OF SUPPORT

TITLE OF BILL: Appropriating funds in connection with Traffic Signal Improvements (CP 5054)

PURPOSE OR GENERAL IDEA OF BILL: This funding will provide for studies of various intersections to ascertain if a signal is warranted, as well as modernization of existing signals where required. These services improve motorist safety.

SUMMARY OF SPECIFIC PROVISIONS: This is a current Capital Budget project, there are no offsets needed.

JUSTIFICATION: These funds enable Suffolk County Department of Public Works to issue work orders for traffic signal study and design and installation of traffic signals and/or modernization of existing signals.

FISCAL IMPLICATIONS: Bonds will be issued to finance this project and principal and interest costs will be incurred over the life of the Bonds.

COUNTY OF SUFFOLK

1977

STEVEN BELLONE
SUFFOLK COUNTY EXECUTIVE

DEPARTMENT OF PUBLIC WORKS

DARNELL TYSON, P.E.
DEPUTY COMMISSIONER

GILBERT ANDERSON, P.E.
COMMISSIONER

THOMAS G. VAUGHN
DEPUTY COMMISSIONER

MEMORANDUM

TO: Jon Schneider, Deputy County Executive

FROM: Gilbert Anderson, P.E. Commissioner

DATE: October 5, 2016

RE: Appropriating Funds in Connection with Traffic Signal Improvements (CP 5054)

Attached is a draft resolution to appropriate the sum of $325,000 for engineering and $900,000 for equipment in connection with the above referenced project. There are sufficient funds included in the 2016 Capital Budget and Program for this project.

The intent of this project is to reduce the traffic accident rate on County roads through the design and installation of new signals where studies show they are warranted and through modernization of existing signals and signal systems where required. The designation of signal locations to be progressed to construction as a result of these studies is an ongoing process. The annual requirements contract provides us with a mechanism for progressing with signal installation in an expedient manner.

A potential list of locations is attached. It may be necessary to add and/or substitute other locations due to seasonal limitations, changes in priorities or other requirements to be determined by this Department.

The Suffolk County Council on Environmental Quality has reviewed these types of projects and determined they constitute a Type II action and no further review is required. The Suffolk County Legislature concurred with this finding pursuant to Resolution 1175-1995.

An e-mail version of this resolution was sent to CE RESO REVIEW saved under the title "Reso-DPW-CP5054(Traffic Signal Imp).doc".

GA/WH/td
attach.
cc: William Hillman, P.E., Chief Engineer
Charles Jaquin, Executive Assistant for Finance & Administration

335 YAPHANK AVENUE ■ YAPHANK, N.Y. 11980 ■ (631) 852-4010
FAX (631) 852-4150

1978

Intro. Res. No. - 2016
Introduced by Presiding Officer on request of the County Executive

Laid on Table 11/9/16

RESOLUTION NO. - 2016, APPROPRIATING FUNDS IN CONNECTION WITH ASSESSMENT OF INFORMATION SYSTEM AND EQUIPMENT FOR PUBLIC WORKS (CP 5060)

WHEREAS, the Commissioner of Public Works has requested funds for engineering in connection with Assessment of Information System and Equipment for Public Works; and

WHEREAS, there are sufficient funds within the 2016 Capital Budget and Program to cover the cost of said request; and

WHEREAS, Resolution No. 471-1994 and as amended by Resolution No. 461-2006 has established a priority ranking system as the basis for funding Capital Projects such as this project; and

WHEREAS, the County Legislature, by resolution of even date herewith, has authorized the issuance of $100,000 in Suffolk County Serial Bonds; now, therefore, be it

1st **RESOLVED**, that this Legislature, being the lead agency under the State Environmental Quality Review Act ("SEQRA"), Environmental Conservation Law Article 8, hereby finds and determines that this law constitutes a Type II action, pursuant to Section 617.5 (C) (20), (21) and (27) of Title 6 of New York Code of Rules and Regulations ("NYCRR"), in that the law authorizes information collection, including basic data collection and research, and preliminary planning processes necessary to formulate a proposal for an action, but does not commit the County to commence or approve an action; since this law is a Type II action, the Legislature has no further responsibilities under SEQRA; and be it further

2nd **RESOLVED**, that it is hereby determined that this project, with a priority ranking of fifty two (52) is eligible for approval in accordance with the provisions of Resolution No. 471-1994 as revised by Resolution No. 461-2006; and be it further

3rd **RESOLVED**, that the County Department of Public Works is hereby authorized, empowered and directed to take such action as may be necessary to complete Assessment of Information System and Equipment for Public Works, pursuant to Section C8-2 (A) of the Suffolk County Charter; and be it further

4th **RESOLVED**, that the proceeds of $100,000 in Suffolk County Serial Bonds be and they are hereby appropriated as follows:

Project No.	J.C.	Project Title	Amount
525-CAP-5060.113 (Fund 001 Debt Service)	50	Assessment of Information System and Equipment for Public Works	$100,000

DATED:

APPROVED BY:

_____

County Executive of Suffolk County

Date of Approval:

STATEMENT OF FINANCIAL IMPACT OF PROPOSED SUFFOLK COUNTY LEGISLATION

1476

1. Type of Legislation

Resolution __X__ Local Law _____ Charter Law _____

2. Title of Proposed Legislation

RESOLUTION NO. - 2016, APPROPRIATING FUNDS IN CONNECTION WITH ASSESSMENT OF INFORMATION SYSTEM AND EQUIPMENT FOR PUBLIC WORKS (CP 5060)

3. Purpose of Proposed Legislation

See above.

4. Will the Proposed Legislation Have a Fiscal Impact? **Yes __X__ No _____**

5. If the answer to item 4 is "yes", on what will it impact? (circle appropriate category)

County **Town** **Economic Impact**

Village **School District** **Other (Specify):**

Library District **Fire District**

6. If the answer to item 5 is "yes", Provide Detailed Explanation of Impact

SERIAL BONDS WILL BE ISSUED TO FINANCE THIS PROJECT. PRINCIPAL AND INTEREST COSTS WILL BE INCURRED OVER THE LIFE OF THE BONDS.

7. Total Financial Cost of Funding over 5 Years on Each Affected Political or Other Subdivision.

SEE ATTACHED DEBT SCHEDULE

8. Proposed Source of Funding

SERIAL BONDS

9. Timing of Impact

IT IS ANTICIPATED THAT BONDS WILL BE ISSUED SPRING OF 2017 AND DEBT SERVICE WILL COMMENCE SPRING 2018. THERE IS NO FISCAL IMPACT IN 2016 AND 2017. EARLIEST DEBT SERVICE FISCAL IMPACT WILL BE IN THE 2018 OPERATING BUDGET. ATTACHED 2018 CAT BASED ON 2016 DATA.

10. Typed Name & Title of Preparer	11. Signature of Preparer	12. Date
Nicholas Paglia Principal Budget Examiner		November 2, 2016

SCIN FORM 175b (10/95)

Suffolk County

1978

General Obligation Serial Bonds
Level Debt

Term of Bonds: 5
Amount to Bond: $100,000

Date	Coupon	Principal	Interest	Total Debt Service	Fiscal Debt Service
6/1/2017					
6/1/2018	2.000%	$19,206.24	$2,025.00	$21,231.24	$21,231.24
6/1/2019	2.000%	$19,595.16	$818.04 $818.04	$818.04 $20,413.20	$21,231.24
6/1/2020	2.000%	$19,991.96	$619.64 $619.64	$619.64 $20,611.60	$21,231.24
6/1/2021	2.000%	$20,396.80	$417.22 $417.22	$417.22 $20,814.02	$21,231.24
6/1/2022	2.125%	$20,809.84	$210.70 $210.70	$210.70 $21,020.54	$21,231.24
6/1/2023		$100,000.00	$6,156.18	$106,156.18	$106,156.18
6/1/2024					
6/1/2025					
6/1/2026					
6/1/2027					
6/1/2028					
6/1/2029					
6/1/2030					
6/1/2031					
6/1/2032					
6/1/2033					
6/1/2034					
6/1/2035					

FINANCIAL IMPACT
2018 PROPERTY TAX LEVY
COST TO THE AVERAGE TAXPAYER

1978

GENERAL FUND

	2018 PROPERTY TAX LEVY	2018 COST TO AVG TAXPAYER	2018 FV TAX RATE PER $1000
TOTAL	$21,231	**$0.04**	$0.000

POLICE DISTRICT AND DISTRICT COURT

	2018 PROPERTY TAX LEVY	2018 COST TO AVG TAXPAYER	2018 FV TAX RATE PER $1000
TOTAL	$0	**$0.00**	$0.000

COMBINED

	2018 PROPERTY TAX LEVY	2018 COST TO AVG TAXPAYER	2018 FV TAX RATE PER $1000
TOTAL	$21,231	**$0.04**	$0.000

NOTES:

1) SOURCE FOR NUMBER OF FAMILY PARCELS AND CORRESPONDING ASSESSED VALUATION: SUFFOLK COUNTY REAL PROPERTY, 2015.
2) SOURCE FOR TOTAL TAXABLE ASSESSED VALUATION FOR COUNTY PURPOSES: SCHEDULE A, REPORT OF ASSESSED VALUATION FOR 2015-2016.
3) SOURCE FOR EQUALIZATION RATES: 2015 COUNTY EQUALIZATION RATES ESTABLISHED BY THE NEW YORK STATE BOARD OF EQUALIZATION AND ASSESSMENTS.

To be completed by the Executive Budget Office

FINANCIAL IMPACT
2017 PROPERTY TAX LEVY
COST TO THE AVERAGE TAXPAYER

1978

GENERAL FUND

	2017 PROPERTY TAX LEVY	2017 COST TO AVG TAXPAYER	2017 FV TAX RATE PER $1000
TOTAL	$0	$0.00	$0.000

POLICE DISTRICT AND DISTRICT COURT

	2017 PROPERTY TAX LEVY	2017 COST TO AVG TAXPAYER	2017 FV TAX RATE PER $1000
TOTAL	$0	$0.00	$0.000

COMBINED

	2017 PROPERTY TAX LEVY	2017 COST TO AVG TAXPAYER	2017 FV TAX RATE PER $1000
TOTAL	$0	$0.00	$0.000

NOTES:

1) SOURCE FOR NUMBER OF FAMILY PARCELS AND CORRESPONDING ASSESSED VALUATION: SUFFOLK COUNTY REAL PROPERTY, 2015.
2) SOURCE FOR TOTAL TAXABLE ASSESSED VALUATION FOR COUNTY PURPOSES: SCHEDULE A, REPORT OF ASSESSED VALUATION FOR 2015-2016.
3) SOURCE FOR EQUALIZATION RATES: 2015 COUNTY EQUALIZATION RATES ESTABLISHED BY THE NEW YORK STATE BOARD OF EQUALIZATION AND ASSESSMENTS.

To be completed by the Executive Budget Office

FINANCIAL IMPACT
2016 PROPERTY TAX LEVY
COST TO THE AVERAGE TAXPAYER

1978

GENERAL FUND

	2016 PROPERTY TAX LEVY	2016 COST TO AVG TAXPAYER	2016 FV TAX RATE PER $1000
TOTAL	**$0**	**$0.00**	$0.000

POLICE DISTRICT AND DISTRICT COURT

	2016 PROPERTY TAX LEVY	2016 COST TO AVG TAXPAYER	2016 FV TAX RATE PER $1000
TOTAL	**$0**	**$0.00**	$0.000

COMBINED

	2016 PROPERTY TAX LEVY	2016 COST TO AVG TAXPAYER	2016 FV TAX RATE PER $1000
TOTAL	**$0**	**$0.00**	$0.000

NOTES:

1) SOURCE FOR NUMBER OF FAMILY PARCELS AND CORRESPONDING ASSESSED VALUATION: SUFFOLK COUNTY REAL PROPERTY, 2015.
2) SOURCE FOR TOTAL TAXABLE ASSESSED VALUATION FOR COUNTY PURPOSES: SCHEDULE A, REPORT OF ASSESSED VALUATION FOR 2015-2016.
3) SOURCE FOR EQUALIZATION RATES: 2015 COUNTY EQUALIZATION RATES ESTABLISHED BY THE NEW YORK STATE BOARD OF EQUALIZATION AND ASSESSMENTS.

To be completed by the Executive Budget Office

1978

2016 INTERGOVERNMENTAL RELATIONS
MEMORANDUM OF SUPPORT

TITLE OF BILL: Assessment of Information System and Equipment for Public Works (CP 5060)

PURPOSE OR GENERAL IDEA OF BILL: Continues the support of the Department's web-based information system, DRIVE (Data Retrieval, Integration and Visualization Engine), which provides tools to manage the County's massive infrastructure and provide timely and accurate answers to executive and legislative inquiries.

SUMMARY OF SPECIFIC PROVISIONS: This is a current Capital Budget project; there are no offsets needed.

JUSTIFICATION: These funds will enable the Department to update the system's architecture and implement improvements to maintain vendor support

FISCAL IMPLICATIONS: Bonds will be issued to finance this project and principal and interest costs will be incurred over the life of the Bonds. The project continues to have a positive impact on the operating budget through enhanced efficiencies.

COUNTY OF SUFFOLK

1976

STEVEN BELLONE
SUFFOLK COUNTY EXECUTIVE

DEPARTMENT OF PUBLIC WORKS

DARNELL TYSON, P.E.
DEPUTY COMMISSIONER

GILBERT ANDERSON, P.E.
COMMISSIONER

THOMAS G. VAUGHN
DEPUTY COMMISSIONER

MEMORANDUM

TO: Jon Schneider, Deputy County Executive

FROM: Gilbert Anderson, P.E. Commissioner

DATE: October 5, 2016

RE: Appropriating Funds in Connection with Assessment of Information System and Equipment for Public Works (CP 5060)

Attached is a draft resolution to appropriate the sum of $100,000 for engineering in connection with the above referenced project. There are sufficient funds included in the 2016 Capital Budget and Program for this project.

This program continues the support of the Department's web-based information system, DRIVE (Data Retrieval, Integration and Visualization Engine), which provides tools to manage the County's massive infrastructure and provide timely and accurate answers to executive and legislative inquiries. The system includes traffic signal locations, permit issuance, mail log and FOIL tracking, snow removal and locations of waterways, drainage and bridge structures, with historical data.

These funds will be utilized to update the system's architecture and implement improvements to maintain vendor support, as per the GIS Division of the Department of Information Technology. Through enhanced efficiencies, this project continues to have a positive impact on the operating budget.

The Suffolk County Council on Environmental Quality has reviewed these types of projects and has determined that projects of this nature constitutes a Type II action, pursuant to Section 617.5(c) (20), (21) and (27) of Title 6 of New York Code of Rules and Regulations ("NYCRR"), therefore, the Legislature has no further responsibilities under SEQRA.

An e-mail version of this resolution was sent to CE RESO REVIEW saved under the title "Reso-DPW-CP5060(Access Info Systems).doc".

GA/WH/td
attach.
cc: William Hillman, P.E., Chief Engineer
Charles Jaquin, Executive Assistant for Finance & Administration

335 YAPHANK AVENUE ■ YAPHANK, N.Y. 11980 ■ (631) 852-4010
FAX (631) 852-4150

1979

Intro. Res. No. - 2016

Introduced by Presiding Officer on request of the County Executive

Laid on Table 11/9/16

RESOLUTION NO. - 2016, APPROPRIATING FUNDS IN CONNECTION WITH EQUIPMENT FOR PUBLIC WORKS MATERIAL TESTING LABORATORY (CP 5141)

WHEREAS, the Commissioner of Public Works has requested funds for engineering, site improvements and equipment in connection with Public Works Material Testing Laboratory; and

WHEREAS, there are sufficient funds within the 2016 Capital Budget and Program to cover the cost of said request; and

WHEREAS, Resolution No. 471-1994 and as amended by Resolution No. 461-2006 has established a priority ranking system as the basis for funding Capital Projects such as this project; and

WHEREAS, the County Legislature, by resolution of even date herewith, has authorized the issuance of $110,000 in Suffolk County Serial Bonds; now, therefore, be it

1st **RESOLVED**, that this Legislature hereby determines that the purchase of Public Works equipment constitutes a Type II action pursuant to the provisions of Title 6 NYCRR Part 617.5(C) (20), (25) and (27) since the action involves a legislative decision concerning routine agency administration and the purchase or sale of furnishing, equipment or supplies, other than land, radioactive material, pesticides, herbicides or other hazardous materials, and the Legislature has no further responsibilities under SEQRA; and be it further

2nd **RESOLVED**, that it is hereby determined that this project, with a priority ranking of fifty-five (55) is eligible for approval in accordance with the provisions of Resolution No. 471-1994 as revised by Resolution No. 461-2006; and be it further

3rd **RESOLVED**, that the County Department of Public Works is hereby authorized, empowered and directed to take such action as may be necessary to complete Equipment for Public Works Material Testing Laboratory, pursuant to Section C8-2 (A) of the Suffolk County Charter; and be it further

4th **RESOLVED**, that the proceeds of $110,000 in Suffolk County Serial Bonds be and they are hereby appropriated as follows:

Project No.	J.C.	Project Title	Amount
525-CAP-5141.110 (Fund 001 Debt Service)	50	Equipment for Public Works Material Testing Laboratory	$50,000
525-CAP-5141.410 (Fund 001 Debt Service)	50	Equipment for Public Works Material Testing Laboratory	$25,000

525-CAP-5141.513 (Fund 001 Debt Service)	50	Equipment for Public Works Material Testing Laboratory	$35,000

DATED:

APPROVED BY:

_____

County Executive of Suffolk County

Date of Approval:

STATEMENT OF FINANCIAL IMPACT
OF PROPOSED SUFFOLK COUNTY LEGISLATION

1979

1. Type of Legislation

Resolution __X__ Local Law _____ Charter Law _____

2. Title of Proposed Legislation

RESOLUTION NO. - 2016, APPROPRIATING FUNDS IN CONNECTION WITH EQUIPMENT FOR PUBLIC WORKS MATERIAL TESTING LABORATORY (CP 5141)

3. Purpose of Proposed Legislation

See above.

4. Will the Proposed Legislation Have a Fiscal Impact? **Yes __X__ No _____**

5. If the answer to item 4 is "yes", on what will it impact? (circle appropriate category)

County **Town** **Economic Impact**

Village **School District** **Other (Specify):**

Library District **Fire District**

6. If the answer to item 5 is "yes", Provide Detailed Explanation of Impact

SERIAL BONDS WILL BE ISSUED TO FINANCE THIS PROJECT. PRINCIPAL AND INTEREST COSTS WILL BE INCURRED OVER THE LIFE OF THE BONDS.

7. Total Financial Cost of Funding over 5 Years on Each Affected Political or Other Subdivision.

SEE ATTACHED DEBT SCHEDULE

8. Proposed Source of Funding

SERIAL BONDS

9. Timing of Impact

IT IS ANTICIPATED THAT BONDS WILL BE ISSUED SPRING OF 2017 AND DEBT SERVICE WILL COMMENCE SPRING 2018. THERE IS NO FISCAL IMPACT IN 2016 AND 2017. EARLIEST DEBT SERVICE FISCAL IMPACT WILL BE IN THE 2018 OPERATING BUDGET. ATTACHED 2018 CAT BASED ON 2016 DATA.

10. Typed Name & Title of Preparer	11. Signature of Preparer	12. Date
Nicholas Paglia Principal Budget Examiner		November 2, 2016

SCIN FORM 175b (10/95)

FINANCIAL IMPACT
2018 PROPERTY TAX LEVY
COST TO THE AVERAGE TAXPAYER

1979

GENERAL FUND

	2018 PROPERTY TAX LEVY	2018 COST TO AVG TAXPAYER	2018 FV TAX RATE PER $1000
TOTAL	$23,354	$0.04	$0.000

POLICE DISTRICT AND DISTRICT COURT

	2018 PROPERTY TAX LEVY	2018 COST TO AVG TAXPAYER	2018 FV TAX RATE PER $1000
TOTAL	$0	$0.00	$0.000

COMBINED

	2018 PROPERTY TAX LEVY	2018 COST TO AVG TAXPAYER	2018 FV TAX RATE PER $1000
TOTAL	$23,354	$0.04	$0.000

NOTES:

1) SOURCE FOR NUMBER OF FAMILY PARCELS AND CORRESPONDING ASSESSED VALUATION: SUFFOLK COUNTY REAL PROPERTY, 2015.
2) SOURCE FOR TOTAL TAXABLE ASSESSED VALUATION FOR COUNTY PURPOSES: SCHEDULE A, REPORT OF ASSESSED VALUATION FOR 2015-2016.
3) SOURCE FOR EQUALIZATION RATES: 2015 COUNTY EQUALIZATION RATES ESTABLISHED BY THE NEW YORK STATE BOARD OF EQUALIZATION AND ASSESSMENTS.

To be completed by the Executive Budget Office

Suffolk County

General Obligation Serial Bonds
Level Debt

1979

Term of Bonds: 5
Amount to Bond: $110,000

Date	Coupon	Principal	Interest	Total Debt Service	Fiscal Debt Service
6/1/2017					
6/1/2018	2.000%	$21,126.86	$2,227.50	$23,354.36	$23,354.36
6/1/2019	2.000%	$21,554.68	$899.84 $899.84	$899.84 $22,454.52	$23,354.36
6/1/2020	2.000%	$21,991.16	$681.60 $681.60	$681.60 $22,672.76	$23,354.36
6/1/2021	2.000%	$22,436.48	$458.94 $458.94	$458.94 $22,895.42	$23,354.36
6/1/2022	2.125%	$22,890.82	$231.77 $231.77	$231.77 $23,122.59	$23,354.36
6/1/2023		$110,000.00	$6,771.80	$116,771.80	$116,771.80
6/1/2024					
6/1/2025					
6/1/2026					
6/1/2027					
6/1/2028					
6/1/2029					
6/1/2030					
6/1/2031					
6/1/2032					
6/1/2033					
6/1/2034					
6/1/2035					

FINANCIAL IMPACT
2017 PROPERTY TAX LEVY
COST TO THE AVERAGE TAXPAYER

1979

GENERAL FUND

	2017 PROPERTY TAX LEVY	2017 COST TO AVG TAXPAYER	2017 FV TAX RATE PER $1000
TOTAL	$0	$0.00	$0.000

POLICE DISTRICT AND DISTRICT COURT

	2017 PROPERTY TAX LEVY	2017 COST TO AVG TAXPAYER	2017 FV TAX RATE PER $1000
TOTAL	$0	$0.00	$0.000

COMBINED

	2017 PROPERTY TAX LEVY	2017 COST TO AVG TAXPAYER	2017 FV TAX RATE PER $1000
TOTAL	$0	$0.00	$0.000

NOTES:

1) SOURCE FOR NUMBER OF FAMILY PARCELS AND CORRESPONDING ASSESSED VALUATION: SUFFOLK COUNTY REAL PROPERTY, 2015.
2) SOURCE FOR TOTAL TAXABLE ASSESSED VALUATION FOR COUNTY PURPOSES: SCHEDULE A, REPORT OF ASSESSED VALUATION FOR 2015-2016.
3) SOURCE FOR EQUALIZATION RATES: 2015 COUNTY EQUALIZATION RATES ESTABLISHED BY THE NEW YORK STATE BOARD OF EQUALIZATION AND ASSESSMENTS.

To be completed by the Executive Budget Office

FINANCIAL IMPACT
2016 PROPERTY TAX LEVY
COST TO THE AVERAGE TAXPAYER

1979

GENERAL FUND

	2016 PROPERTY TAX LEVY	2016 COST TO AVG TAXPAYER	2016 FV TAX RATE PER $1000
TOTAL	$0	$0.00	$0.000

POLICE DISTRICT AND DISTRICT COURT

	2016 PROPERTY TAX LEVY	2016 COST TO AVG TAXPAYER	2016 FV TAX RATE PER $1000
TOTAL	$0	$0.00	$0.000

COMBINED

	2016 PROPERTY TAX LEVY	2016 COST TO AVG TAXPAYER	2016 FV TAX RATE PER $1000
TOTAL	$0	$0.00	$0.000

NOTES:

1) SOURCE FOR NUMBER OF FAMILY PARCELS AND CORRESPONDING ASSESSED VALUATION: SUFFOLK COUNTY REAL PROPERTY, 2015.
2) SOURCE FOR TOTAL TAXABLE ASSESSED VALUATION FOR COUNTY PURPOSES: SCHEDULE A, REPORT OF ASSESSED VALUATION FOR 2015-2016.
3) SOURCE FOR EQUALIZATION RATES: 2015 COUNTY EQUALIZATION RATES ESTABLISHED BY THE NEW YORK STATE BOARD OF EQUALIZATION AND ASSESSMENTS.

To be completed by the Executive Budget Office

1979

2016 INTERGOVERNMENTAL RELATIONS
MEMORANDUM OF SUPPORT

TITLE OF BILL: Appropriating Funds in Connection with Equipment for Public Works Material Testing Laboratory (CP 5141)

PURPOSE OR GENERAL IDEA OF BILL: To provide funding for engineering, site improvements and equipment for the Public Works Material Testing Laboratory. Requirements for Federal aid reimbursement dictate that the County has acceptable testing equipment to perform various tasks associated with the design and construction of roadway and bridge improvements. Lack of approved equipment may jeopardize Federal aid reimbursement.

SUMMARY OF SPECIFIC PROVISIONS: This is a current Capital Budget project for equipment and no offset is required.

JUSTIFICATION: Updated equipment for testing is required for the Material Testing Laboratory at Public Works.

FISCAL IMPLICATIONS: Bonds will be issued to finance this project and principal and interest costs will be incurred over the life of the Bonds.

COUNTY OF SUFFOLK

STEVEN BELLONE
SUFFOLK COUNTY EXECUTIVE

1979

DEPARTMENT OF PUBLIC WORKS

DARNELL TYSON, P.E.
DEPUTY COMMISSIONER

GILBERT ANDERSON, P.E.
COMMISSIONER

THOMAS G. VAUGHN
DEPUTY COMMISSIONER

MEMORANDUM

TO: Jon Schneider, Deputy County Executive

FROM: Gilbert Anderson, P.E. Commissioner

DATE: October 5, 2016

RE: Appropriating Funds in Connection with Equipment for Public Works Material Testing Laboratory (CP 5141)

Attached is a draft resolution to appropriate the sum of $110,000 for engineering, site improvements and equipment in connection with the above referenced project. There are sufficient funds included in the 2016 Capital Budget and Program for this project.

This funding will provide for engineering services, site improvements and equipment that assists the Laboratory in testing asphalt and concrete on County projects. The Department intends on utilizing these funds for the following, however, it may be necessary to add and/or substitute other items due to changes in priorities or requirements to be determined by this Department:

- Installation of a new roof exhaust for new oven; replacement motors for all other fume hoods (five in total) and related certifications;
- Certified test sieves for soil and aggregate testing;
- Replacement Electronics for existing Compression Testing machine;
- Replacement Drying Oven;
- Muffle Furnace to test for organic content;
- In-place Asphalt Pavement Permeability Tester;
- As-needed on-site testing, sampling and/or monitoring at asphalt plants

Federal Aid guidelines for design approval and construction reimbursement require the Quality Assurance Testing of asphalt and concrete material used. Therefore, it is imperative that our equipment remains current with acceptable standards in the industry to avoid the possibility of losing Federal funding.

The Suffolk County Council on Environmental Quality has reviewed these types of projects and has determined that projects of this nature constitutes a Type II action, pursuant to Section 617.5(c) (20), (25) and (27) of Title 6 of New York Code of Rules and Regulations ("NYCRR"), therefore, the Legislature has not further responsibilities under SEQRA.

An e-mail version of this resolution was sent to CE RESO REVIEW saved under the title "Reso-DPW-CP5141(DPW LAB).doc".

GA/WH/td
attach.
cc: William Hillman, P.E., Chief Engineer
Charles Jaquin, Executive Assistant for Finance & Administration

335 YAPHANK AVENUE ■ YAPHANK, N.Y. 11980 ■ (631) 852-4010
FAX (631) 852-4150

1980

Intro. Res. No. - 2016

Introduced by Presiding Officer on request of the County Executive

Laid on Table 11/9/16

RESOLUTION NO. - 2016, AMENDING THE 2016 CAPITAL BUDGET AND PROGRAM AND APPROPRIATING FUNDS IN CONNECTION WITH IMPROVEMENTS TO CR 99, WOODSIDE AVENUE, TOWN OF BROOKHAVEN (CP 5175)

WHEREAS, the Commissioner of Public Works has requested funds for engineering in connection with Improvements to CR 99, Woodside Avenue; and

WHEREAS, there are sufficient funds within the 2016 Capital Budget and Program to cover the cost of said request; and

WHEREAS, Resolution No. 471-1994 and as amended by Resolution No. 461-2006 has established a priority ranking system as the basis for funding Capital Projects such as this project; and

WHEREAS, the County Legislature, by resolution of even date herewith, has authorized the issuance of $60,000 in Suffolk County Serial Bonds; now, therefore, be it

1st **RESOLVED**, that this Legislature, being the lead agency under the State Environmental Quality Review Act ("SEQRA"), Environmental Conservation Law Article 8, hereby finds and determines that this law constitutes a Type II action, pursuant to Section 617.5 (C) (20), (21) and (27) of Title 6 of New York Code of Rules and Regulations ("NYCRR"), in that the law authorizes information collection, including basic data collection and research, and preliminary planning processes necessary to formulate a proposal for an action, but does not commit the County to commence or approve an action; since this law is a Type II action, the Legislature has no further responsibilities under SEQRA; and be it further

2nd **RESOLVED**, that it is hereby determined that this project, with a priority ranking of fifty-two (52) is eligible for approval in accordance with the provisions of Resolution No. 471-1994 as revised by Resolution No. 461-2006; and be it further

3rd **RESOLVED**, that the County Department of Public Works is hereby authorized, empowered and directed to take such action as may be necessary to complete Improvements to CR 99, Woodside Avenue, pursuant to Section C8-2 (A) of the Suffolk County Charter; and be it further

4th **RESOLVED**, that the proceeds of $60,000 in Suffolk County Serial Bonds be and they are hereby appropriated as follows:

Project No.	J.C.	Project Title	Amount
525-CAP-5175.112 (Fund 001 Debt Service)	50	Improvements to CR 99, Woodside Avenue	$60,000

DATED:

APPROVED BY:

_____

County Executive of Suffolk County

Date of Approval:

STATEMENT OF FINANCIAL IMPACT OF PROPOSED SUFFOLK COUNTY LEGISLATION

1980

1. Type of Legislation

Resolution ___X___ Local Law _____ Charter Law _____

2. Title of Proposed Legislation

RESOLUTION NO. - 2016, AMENDING THE 2016 CAPITAL BUDGET AND PROGRAM AND APPROPRIATING FUNDS IN CONNECTION WITH IMPROVEMENTS TO CR 99, WOODSIDE AVENUE, TOWN OF BROOKHAVEN (CP 5175)

3. Purpose of Proposed Legislation

See above.

4. Will the Proposed Legislation Have a Fiscal Impact? **Yes** ___X___ **No** _____

5. If the answer to item 4 is "yes", on what will it impact? (circle appropriate category)

County	**Town**	**Economic Impact**
Village	**School District**	**Other (Specify):**
Library District	**Fire District**	

6. If the answer to item 5 is "yes", Provide Detailed Explanation of Impact

SERIAL BONDS WILL BE ISSUED TO FINANCE THIS PROJECT. PRINCIPAL AND INTEREST COSTS WILL BE INCURRED OVER THE LIFE OF THE BONDS.

7. Total Financial Cost of Funding over 5 Years on Each Affected Political or Other Subdivision.

SEE ATTACHED DEBT SCHEDULE

8. Proposed Source of Funding

SERIAL BONDS

9. Timing of Impact

IT IS ANTICIPATED THAT BONDS WILL BE ISSUED SPRING OF 2017 AND DEBT SERVICE WILL COMMENCE SPRING 2018. THERE IS NO FISCAL IMPACT IN 2016 AND 2017. EARLIEST DEBT SERVICE FISCAL IMPACT WILL BE IN THE 2018 OPERATING BUDGET. ATTACHED 2018 CAT BASED ON 2016 DATA.

10. Typed Name & Title of Preparer	11. Signature of Preparer	12. Date
Nicholas Paglia Principal Budget Examiner		November 2, 2016

SCIN FORM 175b (10/95)

Suffolk County

General Obligation Serial Bonds
Level Debt

1980

Term of Bonds: 5
Amount to Bond: $60,000

Date	Coupon	Principal	Interest	Total Debt Service	Fiscal Debt Service
6/1/2017					
6/1/2018	2.000%	$11,523.74	$1,215.00	$12,738.74	$12,738.74
			$490.82	$490.82	
6/1/2019	2.000%	$11,757.10	$490.82	$12,247.92	$12,738.74
			$371.78	$371.78	
6/1/2020	2.000%	$11,995.18	$371.78	$12,366.96	$12,738.74
			$250.33	$250.33	
6/1/2021	2.000%	$12,238.08	$250.33	$12,488.41	$12,738.74
			$126.42	$126.42	
6/1/2022	2.125%	$12,485.90	$126.42	$12,612.32	$12,738.74
6/1/2023		$60,000.00	$3,693.71	$63,693.71	$63,693.71
6/1/2024					
6/1/2025					
6/1/2026					
6/1/2027					
6/1/2028					
6/1/2029					
6/1/2030					
6/1/2031					
6/1/2032					
6/1/2033					
6/1/2034					
6/1/2035					

FINANCIAL IMPACT
2018 PROPERTY TAX LEVY
COST TO THE AVERAGE TAXPAYER

1980

GENERAL FUND

	2018 PROPERTY TAX LEVY	2018 COST TO AVG TAXPAYER	2018 FV TAX RATE PER $1000
TOTAL	$12,739	$0.02	$0.000

POLICE DISTRICT AND DISTRICT COURT

	2018 PROPERTY TAX LEVY	2018 COST TO AVG TAXPAYER	2018 FV TAX RATE PER $1000
TOTAL	$0	$0.00	$0.000

COMBINED

	2018 PROPERTY TAX LEVY	2018 COST TO AVG TAXPAYER	2018 FV TAX RATE PER $1000
TOTAL	$12,739	$0.02	$0.000

NOTES:

1) SOURCE FOR NUMBER OF FAMILY PARCELS AND CORRESPONDING ASSESSED VALUATION: SUFFOLK COUNTY REAL PROPERTY, 2015.
2) SOURCE FOR TOTAL TAXABLE ASSESSED VALUATION FOR COUNTY PURPOSES: SCHEDULE A, REPORT OF ASSESSED VALUATION FOR 2015-2016.
3) SOURCE FOR EQUALIZATION RATES: 2015 COUNTY EQUALIZATION RATES ESTABLISHED BY THE NEW YORK STATE BOARD OF EQUALIZATION AND ASSESSMENTS.

To be completed by the Executive Budget Office

FINANCIAL IMPACT
2017 PROPERTY TAX LEVY
COST TO THE AVERAGE TAXPAYER

1980

GENERAL FUND

	2017 PROPERTY TAX LEVY	2017 COST TO AVG TAXPAYER	2017 FV TAX RATE PER $1000
TOTAL	**$0**	**$0.00**	$0.000

POLICE DISTRICT AND DISTRICT COURT

	2017 PROPERTY TAX LEVY	2017 COST TO AVG TAXPAYER	2017 FV TAX RATE PER $1000
TOTAL	**$0**	**$0.00**	$0.000

COMBINED

	2017 PROPERTY TAX LEVY	2017 COST TO AVG TAXPAYER	2017 FV TAX RATE PER $1000
TOTAL	**$0**	**$0.00**	$0.000

NOTES:

1) SOURCE FOR NUMBER OF FAMILY PARCELS AND CORRESPONDING ASSESSED VALUATION: SUFFOLK COUNTY REAL PROPERTY, 2015.
2) SOURCE FOR TOTAL TAXABLE ASSESSED VALUATION FOR COUNTY PURPOSES: SCHEDULE A, REPORT OF ASSESSED VALUATION FOR 2015-2016.
3) SOURCE FOR EQUALIZATION RATES: 2015 COUNTY EQUALIZATION RATES ESTABLISHED BY THE NEW YORK STATE BOARD OF EQUALIZATION AND ASSESSMENTS.

To be completed by the Executive Budget Office

FINANCIAL IMPACT
2016 PROPERTY TAX LEVY
COST TO THE AVERAGE TAXPAYER

1980

GENERAL FUND

	2016 PROPERTY TAX LEVY	2016 COST TO AVG TAXPAYER	2016 FV TAX RATE PER $1000
TOTAL	$0	$0.00	$0.000

POLICE DISTRICT AND DISTRICT COURT

	2016 PROPERTY TAX LEVY	2016 COST TO AVG TAXPAYER	2016 FV TAX RATE PER $1000
TOTAL	$0	$0.00	$0.000

COMBINED

	2016 PROPERTY TAX LEVY	2016 COST TO AVG TAXPAYER	2016 FV TAX RATE PER $1000
TOTAL	$0	$0.00	$0.000

NOTES:

1) SOURCE FOR NUMBER OF FAMILY PARCELS AND CORRESPONDING ASSESSED VALUATION: SUFFOLK COUNTY REAL PROPERTY, 2015.
2) SOURCE FOR TOTAL TAXABLE ASSESSED VALUATION FOR COUNTY PURPOSES: SCHEDULE A, REPORT OF ASSESSED VALUATION FOR 2015-2016.
3) SOURCE FOR EQUALIZATION RATES: 2015 COUNTY EQUALIZATION RATES ESTABLISHED BY THE NEW YORK STATE BOARD OF EQUALIZATION AND ASSESSMENTS.

To be completed by the Executive Budget Office

1980

2016 INTERGOVERNMENTAL RELATIONS
MEMORANDUM OF SUPPORT

TITLE OF BILL: Amending the 2016 Capital Budget and Program and Appropriating Funds in Connection with Improvements to CR 99, Woodside Avenue, Town of Brookhaven (CP 5175)

PURPOSE OR GENERAL IDEA OF BILL: To provide additional funding for design improvements at the intersection of CR99 in the vicinity of CR 16.

SUMMARY OF SPECIFIC PROVISIONS: This is a current Capital Budget project; there are no offsets needed.

JUSTIFICATION: This would enable Suffolk County to continue design service to improve safety and mobility on CR 99 in the vicinity of CR 16.

FISCAL IMPLICATIONS: Bonds will be issued to finance this project and principal and interest costs will be incurred over the life of the Bonds.

COUNTY OF SUFFOLK

1980

STEVEN BELLONE
SUFFOLK COUNTY EXECUTIVE

DEPARTMENT OF PUBLIC WORKS

DARNELL TYSON, P.E.
DEPUTY COMMISSIONER

GILBERT ANDERSON, P.E.
COMMISSIONER

THOMAS G. VAUGHN
DEPUTY COMMISSIONER

MEMORANDUM

TO: Jon Schneider, Deputy County Executive

FROM: Gilbert Anderson, P.E. Commissioner

DATE: October 5, 2016

RE: Amending the 2016 Capital Budget and Program and Appropriating Funds in Connection with Improvements to CR 99, Woodside Avenue, Town of Brookhaven (CP 5175)

Attached is a draft resolution to appropriate the sum of $60,000 for engineering in connection with the above referenced project. There are sufficient funds included in the 2016 Capital Budget and Program for this project.

Additional funding is required to provide for design services to improve conditions in the vicinity of CR 99, Woodside Avenue at its intersection with CR 16, Horseblock Road. The roadway is deteriorated and in need of safety improvements including curbing and sidewalk which currently do not meet ADA standards, as well as drainage improvements to alleviate localized flooding. These improvements will serve to enhance pedestrian mobility and safety.

The Suffolk County Council on Environmental Quality has reviewed these types of projects and has determined that projects of this nature constitutes a Type II action, pursuant to Section 617.5(c) (20), (21) and (27) of Title 6 of New York Code of Rules and Regulations ("NYCRR"), therefore, the Legislature has no further responsibilities under SEQRA.

An e-mail version of this resolution was sent to CE RESO REVIEW saved under the title "Reso-DPW-CP5175(CR99).doc".

GA/WH/td
attach.
cc: William Hillman, P.E., Chief Engineer
Charles Jaquin, Executive Assistant for Finance & Administration

335 YAPHANK AVENUE ■ YAPHANK, N.Y. 11980 ■ (631) 852-4010
FAX (631) 852-4150

1981

Intro. Res. No. - 2016
Introduced by Presiding Officer on request of the County Executive

Laid on Table 11/9/16

RESOLUTION NO. - 2016, APPROPRIATING FUNDS IN CONNECTION WITH REPLACEMENT OF DREDGE SUPPORT EQUIPMENT (CP 5201)

WHEREAS, the Commissioner of Public Works has requested funds for equipment in connection with Replacement of Dredge Support Equipment; and

WHEREAS, there are sufficient funds within the 2016 Capital Budget and Program to cover the cost of said request; and

WHEREAS, Resolution No. 471-1994 and as amended by Resolution No. 461-2006 has established a priority ranking system as the basis for funding Capital Projects such as this project; and

WHEREAS, the County Legislature, by resolution of even date herewith, has authorized the issuance of $350,000 in Suffolk County Serial Bonds; now, therefore, be it

1st **RESOLVED**, that this Legislature, being the lead agency under the State Environmental Quality Review Act ("SEQRA"), Environmental Conservation Law Article 8, hereby finds and determines that this law constitutes a Type II action, pursuant to Section 617.5 (25) (C) (20), (25) and (27) of Title 6 of New York Code of Rules and Regulations ("NYCRR"), in that the resolution concerns purchasing of furnishings, equipment and supplies, other than land, radioactive material, pesticides, herbicides or other hazardous materials, and adoption of a local legislative decision in connection with the same; and the Legislature has no further responsibilities under SEQRA; and be it further

2nd **RESOLVED**, that it is hereby determined that this project, with a priority ranking of fifty-six (56) is eligible for approval in accordance with the provisions of Resolution No. 471-1994 as revised by Resolution No. 461-2006; and be it further

3rd **RESOLVED**, that the County Department of Public Works is hereby authorized, empowered and directed to take such action as may be necessary to complete Replacement of Dredge Support Equipment, pursuant to Section C8-2 (F) of the Suffolk County Charter; and be it further

4th **RESOLVED**, that the proceeds of $350,000 in Suffolk County Serial Bonds be and they are hereby appropriated as follows:

Project No.	J.C.	Project Title	Amount
525-CAP-5201.522 (Fund 001 Debt Service)	50	Replacement of Dredge Support Equipment	$350,000

DATED:

APPROVED BY:

_____

County Executive of Suffolk County

Date of Approval:

STATEMENT OF FINANCIAL IMPACT
OF PROPOSED SUFFOLK COUNTY LEGISLATION

1981

1. Type of Legislation

Resolution ___X___ Local Law _____ Charter Law _____

2. Title of Proposed Legislation

RESOLUTION NO. - 2016, APPROPRIATING FUNDS IN CONNECTION WITH REPLACEMENT OF DREDGE SUPPORT EQUIPMENT (CP 5201)

3. Purpose of Proposed Legislation

See above.

4. Will the Proposed Legislation Have a Fiscal Impact? **Yes** __X__ **No** _____

5. If the answer to item 4 is "yes", on what will it impact? (circle appropriate category)

County **Town** **Economic Impact**

Village **School District** **Other (Specify):**

Library District **Fire District**

6. If the answer to item 5 is "yes", Provide Detailed Explanation of Impact

SERIAL BONDS WILL BE ISSUED TO FINANCE THIS PROJECT. PRINCIPAL AND INTEREST COSTS WILL BE INCURRED OVER THE LIFE OF THE BONDS.

7. Total Financial Cost of Funding over 5 Years on Each Affected Political or Other Subdivision.

SEE ATTACHED DEBT SCHEDULE

8. Proposed Source of Funding

SERIAL BONDS

9. Timing of Impact

IT IS ANTICIPATED THAT BONDS WILL BE ISSUED SPRING OF 2017 AND DEBT SERVICE WILL COMMENCE SPRING 2018. THERE IS NO FISCAL IMPACT IN 2016 AND 2017. EARLIEST DEBT SERVICE FISCAL IMPACT WILL BE IN THE 2018 OPERATING BUDGET. ATTACHED 2018 CAT BASED ON 2016 DATA.

10. Typed Name & Title of Preparer	11. Signature of Preparer	12. Date
Nicholas Paglia Principal Budget Examiner		November 1, 2016

SCIN FORM 175b (10/95)

Suffolk County

General Obligation Serial Bonds
Level Debt

1981

Term of Bonds: 5
Amount to Bond: $350,000

Date	Coupon	Principal	Interest	Total Debt Service	Fiscal Debt Service
6/1/2017					
6/1/2018	2.000%	$67,221.83	$7,087.50	$74,309.33	$74,309.33
6/1/2019	2.000%	$68,583.07	$2,863.13 $2,863.13	$2,863.13 $71,446.20	$74,309.33
6/1/2020	2.000%	$69,971.87	$2,168.73 $2,168.73	$2,168.73 $72,140.60	$74,309.33
6/1/2021	2.000%	$71,388.80	$1,460.26 $1,460.26	$1,460.26 $72,849.07	$74,309.33
6/1/2022	2.125%	$72,834.43	$737.45 $737.45	$737.45 $73,571.88	$74,309.33
6/1/2023		$350,000.00	$21,546.63	$371,546.63	$371,546.63
6/1/2024					
6/1/2025					
6/1/2026					
6/1/2027					
6/1/2028					
6/1/2029					
6/1/2030					
6/1/2031					
6/1/2032					
6/1/2033					
6/1/2034					
6/1/2035					

FINANCIAL IMPACT
2018 PROPERTY TAX LEVY
COST TO THE AVERAGE TAXPAYER

1981

GENERAL FUND

	2018 PROPERTY TAX LEVY	2018 COST TO AVG TAXPAYER	2018 FV TAX RATE PER $1000
TOTAL	**$74,309**	**$0.14**	$0.000

POLICE DISTRICT AND DISTRICT COURT

	2018 PROPERTY TAX LEVY	2018 COST TO AVG TAXPAYER	2018 FV TAX RATE PER $1000
TOTAL	**$0**	**$0.00**	$0.000

COMBINED

	2018 PROPERTY TAX LEVY	2018 COST TO AVG TAXPAYER	2018 FV TAX RATE PER $1000
TOTAL	**$74,309**	**$0.14**	$0.002

NOTES:

1) SOURCE FOR NUMBER OF FAMILY PARCELS AND CORRESPONDING ASSESSED VALUATION: SUFFOLK COUNTY REAL PROPERTY, 2015.
2) SOURCE FOR TOTAL TAXABLE ASSESSED VALUATION FOR COUNTY PURPOSES: SCHEDULE A, REPORT OF ASSESSED VALUATION FOR 2015-2016.
3) SOURCE FOR EQUALIZATION RATES: 2015 COUNTY EQUALIZATION RATES ESTABLISHED BY THE NEW YORK STATE BOARD OF EQUALIZATION AND ASSESSMENTS.

To be completed by the Executive Budget Office

FINANCIAL IMPACT
2017 PROPERTY TAX LEVY
COST TO THE AVERAGE TAXPAYER

1981

GENERAL FUND

	2017 PROPERTY TAX LEVY	2017 COST TO AVG TAXPAYER	2017 FV TAX RATE PER $1000
TOTAL	$0	$0.00	$0.000

POLICE DISTRICT AND DISTRICT COURT

	2017 PROPERTY TAX LEVY	2017 COST TO AVG TAXPAYER	2017 FV TAX RATE PER $1000
TOTAL	$0	$0.00	$0.000

COMBINED

	2017 PROPERTY TAX LEVY	2017 COST TO AVG TAXPAYER	2017 FV TAX RATE PER $1000
TOTAL	$0	$0.00	$0.000

NOTES:

1) SOURCE FOR NUMBER OF FAMILY PARCELS AND CORRESPONDING ASSESSED VALUATION: SUFFOLK COUNTY REAL PROPERTY, 2015.
2) SOURCE FOR TOTAL TAXABLE ASSESSED VALUATION FOR COUNTY PURPOSES: SCHEDULE A, REPORT OF ASSESSED VALUATION FOR 2015-2016.
3) SOURCE FOR EQUALIZATION RATES: 2015 COUNTY EQUALIZATION RATES ESTABLISHED BY THE NEW YORK STATE BOARD OF EQUALIZATION AND ASSESSMENTS.

To be completed by the Executive Budget Office

FINANCIAL IMPACT
2016 PROPERTY TAX LEVY
COST TO THE AVERAGE TAXPAYER

1981

GENERAL FUND

	2016 PROPERTY TAX LEVY	2016 COST TO AVG TAXPAYER	2016 FV TAX RATE PER $1000
TOTAL	**$0**	**$0.00**	$0.000

POLICE DISTRICT AND DISTRICT COURT

	2016 PROPERTY TAX LEVY	2016 COST TO AVG TAXPAYER	2016 FV TAX RATE PER $1000
TOTAL	**$0**	**$0.00**	$0.000

COMBINED

	2016 PROPERTY TAX LEVY	2016 COST TO AVG TAXPAYER	2016 FV TAX RATE PER $1000
TOTAL	**$0**	**$0.00**	$0.000

NOTES:

1) SOURCE FOR NUMBER OF FAMILY PARCELS AND CORRESPONDING ASSESSED VALUATION: SUFFOLK COUNTY REAL PROPERTY, 2015.
2) SOURCE FOR TOTAL TAXABLE ASSESSED VALUATION FOR COUNTY PURPOSES: SCHEDULE A, REPORT OF ASSESSED VALUATION FOR 2015-2016.
3) SOURCE FOR EQUALIZATION RATES: 2015 COUNTY EQUALIZATION RATES ESTABLISHED BY THE NEW YORK STATE BOARD OF EQUALIZATION AND ASSESSMENTS.

To be completed by the Executive Budget Office

1981

2016 INTERGOVERNMENTAL RELATIONS MEMORANDUM OF SUPPORT

TITLE OF BILL: Appropriating funds in connection with Replacement of Dredge Support Equipment (CP 5201)

PURPOSE OR GENERAL IDEA OF BILL: This funding will provide for the replacement of equipment necessary for the continued operation of our dredging programs. It is important to replace equipment periodically as needed, especially since this equipment is used under very harsh marine conditions, such as soft sandy areas and the salt water environment.

SUMMARY OF SPECIFIC PROVISIONS: This is a current Capital Budget project for equipment and no offset is required.

JUSTIFICATION: These funds will enable Suffolk County Department of Public Works to continue with dredging operations.

FISCAL IMPLICATIONS: Bonds will be issued to finance this project and principal and interest costs will be incurred over the life of the Bonds.

COUNTY OF SUFFOLK

1981

STEVEN BELLONE
SUFFOLK COUNTY EXECUTIVE

DEPARTMENT OF PUBLIC WORKS

DARNELL TYSON, P.E.
DEPUTY COMMISSIONER

GILBERT ANDERSON, P.E.
COMMISSIONER

THOMAS G. VAUGHN
DEPUTY COMMISSIONER

MEMORANDUM

TO: Jon Schneider, Deputy County Executive

FROM: Gilbert Anderson, P.E. Commissioner

DATE: October 5, 2016

RE: Appropriating Funds in Connection with Replacement of Dredge Support Equipment (CP 5201)

Attached is a draft resolution to appropriate the sum of $350,000 for equipment in connection with the above referenced Capital Project. There are sufficient funds included in the 2016 Capital Budget and Program for this project.

It is necessary to rehabilitate and replace equipment periodically to insure that it will remain in a condition that will allow for proper function in order to support our dredging operations. It is our intent to utilize these funds, as well as funds appropriated in previous years and potential funds for the trade-in of the existing dredge and booster pump to purchase a new County Dredge. The existing Dredge and Booster Pump are 10+ years old and continually suffer mechanical difficulties due to age and the harsh salt-water atmosphere in which they operate.

It may be necessary to add and/or substitute other equipment due to changes in priorities or other requirements to be determined by this Department.

The Suffolk County Council on Environmental Quality has reviewed projects of this nature and determined that the purchase of replacement equipment constitutes a Type II action and no further review is required.

An e-mail version of this resolution was sent to CE RESO REVIEW saved under the title "Reso-DPW-CP5201(Dredge Equip).doc".

GA/WH/td
attach.
cc: William Hillman, P.E., Chief Engineer
Charles Jaquin, Executive Assistant for Finance & Administration

335 YAPHANK AVENUE ■ YAPHANK, N.Y. 11980 ■ (631) 852-4010
FAX (631) 852-4150

1982

Intro. Res. No. - 2016 Laid on Table 11/9/16

Introduced by Presiding Officer on request of the County Executive

RESOLUTION NO. 2016, AMENDING THE 2016 CAPITAL BUDGET AND PROGRAM AND APPROPRIATING ADDITIONAL FUNDS IN CONNECTION WITH STRENGTHENING AND IMPROVING COUNTY ROADS (CP 5014)

WHEREAS, due to volatile winter seasons over the past few years, the Commissioner of Public Works has requested additional funds for strengthening and improving County Roads; and

WHEREAS, sufficient funds are not included in the 2016 Capital Budget and Program to cover the cost of said request for construction and pursuant to Suffolk County Charter, Section C4-13, an offsetting authorization must be provided from another capital project; and

WHEREAS, Resolution No. 471-1994 and as amended by Resolution No. 461-2006 has established a priority ranking system as the basis for funding Capital Projects such as this project; and

WHEREAS, the professional engineering services associated with the planning, design and construction of this project have been and will be performed by the staff of the Department of Public Works; and

WHEREAS, the County Legislature, by resolution of even date herewith, has authorized the issuance of $1,090,000 in Suffolk County Serial Bonds; now, therefore, be it

1st RESOLVED, that this Legislature, being the lead agency under the State Environmental Quality Review Act ("SEQRA"), Environmental Conservation Law Article 8, hereby finds and determines that this law constitutes a Type II action, pursuant to Section 617.5(C) (1), (2), (4), (20) and (27), of Title 6 of New York Code of Rules and Regulations ("NYCRR"), in that the law authorizes maintenance or repair involving no substantial changes in an existing structure or facility, or the replacement, rehabilitation or reconstruction of a structure or facility, in kind, on the same site, as well as repaving of existing highways not involving the addition of new travel lanes; additionally, this work constitutes continuing agency administration and management, not including new programs or major reordering of priorities that may affect the environment; since this law is a Type II action, the Legislature has no further responsibilities under SEQRA; and be it further

2nd RESOLVED, that it is hereby determined that this project, with a priority ranking of forty-six (46) is eligible for approval in accordance with the provisions of Resolution No. 471-1994 as revised by Resolution No. 461-2006; and be it further

3rd RESOLVED, that the County Department of Public Works is hereby authorized, empowered and directed to take such action as may be necessary to complete Strengthening and Improving County Roads, pursuant to Section C8-2 (A) of the Suffolk County Charter; and be it further

4th RESOLVED, that the 2016 Capital Budget and Program be and they are hereby amended as follows:

Project No.: 3308
Project Title: Countywide Intelligent Transportation System Infrastructure

	Total Est'd Cost	Current 2016 Capital Budget & Program	Revised 2016 Capital Budget & Program
3. Construction	$0	$590,000B	$0B
TOTAL	$0	$590,000	$0

Project No.: 5855
Project Title: Replacement of Bridge Deck Carrying CR 16, Horseblock Road over the LIRR

	Total Est'd Cost	Current 2016 Capital Budget & Program	Revised 2016 Capital Budget & Program
3. Construction	$0	$500,000B	$0B
TOTAL	$0	$500,000	$0

Project No.: 5014
Project Title: Strengthening and Improving County Roads

	Total Est'd Cost	Current 2016 Capital Budget & Program	Revised 2016 Capital Budget & Program
3. Construction	$61,522,500	$3,635,000B $2,000,000S	$4,725,000B $2,000,000S
TOTAL	$61,522,500	$5,835,000	$6,725,000

and be it further

5th **RESOLVED**, that the proceeds of $1,090,000 in Suffolk County Serial Bonds be and they are hereby appropriated as follows:

Project No.	J.C.	Project Title	Amount
525-CAP-5014.360 (Fund 001 Debt Service)	50	Strengthening and Improving County Roads	$1,090,000

Date:

APPROVED BY:

_____

County Executive of Suffolk County

Date of Approval:

STATEMENT OF FINANCIAL IMPACT
OF PROPOSED SUFFOLK COUNTY LEGISLATION

1982

1. Type of Legislation

Resolution ___X___ Local Law _____ Charter Law _____

2. Title of Proposed Legislation

RESOLUTION NO. 2016, AMENDING THE 2016 CAPITAL BUDGET AND PROGRAM AND APPROPRIATING ADDITIONAL FUNDS IN CONNECTION WITH STRENGTHENING AND IMPROVING COUNTY ROADS (CP 5014)

3. Purpose of Proposed Legislation

See above.

4. Will the Proposed Legislation Have a Fiscal Impact? **Yes** __X__ **No** _____

5. If the answer to item 4 is "yes", on what will it impact? (circle appropriate category)

County	**Town**	**Economic Impact**
Village	**School District**	**Other (Specify):**
Library District	**Fire District**	

6. If the answer to item 5 is "yes", Provide Detailed Explanation of Impact

SERIAL BONDS WILL BE ISSUED TO FINANCE THIS PROJECT. PRINCIPAL AND INTEREST COSTS WILL BE INCURRED OVER THE LIFE OF THE BONDS.

7. Total Financial Cost of Funding over 5 Years on Each Affected Political or Other Subdivision.

SEE ATTACHED DEBT SCHEDULE

8. Proposed Source of Funding

SERIAL BONDS

9. Timing of Impact

IT IS ANTICIPATED THAT BONDS WILL BE ISSUED SPRING OF 2017 AND DEBT SERVICE WILL COMMENCE SPRING 2018. THERE IS NO FISCAL IMPACT IN 2016 AND 2017. EARLIEST DEBT SERVICE FISCAL IMPACT WILL BE IN THE 2018 OPERATING BUDGET. ATTACHED 2018 CAT BASED ON 2016 DATA.

10. Typed Name & Title of Preparer	11. Signature of Preparer	12. Date
Nicholas Paglia Principal Budget Examiner		November 2, 2016

SCIN FORM 175b (10/95)

Suffolk County

General Obligation Serial Bonds
Level Debt

1982

Term of Bonds: 15
Amount to Bond: $1,090,000

Date	Coupon	Principal	Interest	Total Debt Service	Fiscal Debt Service
6/1/2017					
6/1/2018	2.000%	$62,227.48	$23,730.21	$85,957.68	$85,957.68
			$11,187.73	$11,187.73	
6/1/2019	2.000%	$63,582.22	$11,187.73	$74,769.95	$85,957.68
			$10,495.61	$10,495.61	
6/1/2020	2.000%	$64,966.46	$10,495.61	$75,462.07	$85,957.68
			$9,788.43	$9,788.43	
6/1/2021	2.000%	$66,380.83	$9,788.43	$76,169.26	$85,957.68
			$9,065.84	$9,065.84	
6/1/2022	2.125%	$67,826.00	$9,065.84	$76,891.84	$85,957.68
			$8,327.53	$8,327.53	
6/1/2023	2.125%	$69,302.63	$8,327.53	$77,630.15	$85,957.68
			$7,573.14	$7,573.14	
6/1/2024	2.125%	$70,811.40	$7,573.14	$78,384.54	$85,957.68
			$6,802.33	$6,802.33	
6/1/2025	2.125%	$72,353.02	$6,802.33	$79,155.35	$85,957.68
			$6,014.74	$6,014.74	
6/1/2026	2.250%	$73,928.21	$6,014.74	$79,942.95	$85,957.68
			$5,210.00	$5,210.00	
6/1/2027	2.375%	$75,537.69	$5,210.00	$80,747.69	$85,957.68
			$4,387.74	$4,387.74	
6/1/2028	2.500%	$77,182.21	$4,387.74	$81,569.95	$85,957.68
			$3,547.58	$3,547.58	
6/1/2029	2.500%	$78,862.53	$3,547.58	$82,410.11	$85,957.68
			$2,689.13	$2,689.13	
6/1/2030	2.500%	$80,579.43	$2,689.13	$83,268.56	$85,957.68
			$1,811.99	$1,811.99	
6/1/2031	2.625%	$82,333.71	$1,811.99	$84,145.70	$85,957.68
			$915.75	$915.75	
6/1/2032	2.625%	$84,126.19	$915.75	$85,041.94	$85,957.68
6/1/2033		$1,090,000.00	$199,365.26	$1,289,365.26	$1,289,365.26
6/1/2034					
6/1/2035					

FINANCIAL IMPACT
2018 PROPERTY TAX LEVY
COST TO THE AVERAGE TAXPAYER

1982

GENERAL FUND

	2018 PROPERTY TAX LEVY	2018 COST TO AVG TAXPAYER	2018 FV TAX RATE PER $1000
TOTAL	**$85,958**	**$0.16**	$0.000

POLICE DISTRICT AND DISTRICT COURT

	2018 PROPERTY TAX LEVY	2018 COST TO AVG TAXPAYER	2018 FV TAX RATE PER $1000
TOTAL	**$0**	**$0.00**	$0.000

COMBINED

	2018 PROPERTY TAX LEVY	2018 COST TO AVG TAXPAYER	2018 FV TAX RATE PER $1000
TOTAL	**$85,958**	**$0.16**	$0.002

NOTES:
1) SOURCE FOR NUMBER OF FAMILY PARCELS AND CORRESPONDING ASSESSED VALUATION: SUFFOLK COUNTY REAL PROPERTY, 2015.
2) SOURCE FOR TOTAL TAXABLE ASSESSED VALUATION FOR COUNTY PURPOSES: SCHEDULE A, REPORT OF ASSESSED VALUATION FOR 2015-2016.
3) SOURCE FOR EQUALIZATION RATES: 2015 COUNTY EQUALIZATION RATES ESTABLISHED BY THE NEW YORK STATE BOARD OF EQUALIZATION AND ASSESSMENTS.

To be completed by the Executive Budget Office

FINANCIAL IMPACT
2017 PROPERTY TAX LEVY
COST TO THE AVERAGE TAXPAYER

1982

GENERAL FUND

	2017 PROPERTY TAX LEVY	2017 COST TO AVG TAXPAYER	2017 FV TAX RATE PER $1000
TOTAL	**$0**	**$0.00**	$0.000

POLICE DISTRICT AND DISTRICT COURT

	2017 PROPERTY TAX LEVY	2017 COST TO AVG TAXPAYER	2017 FV TAX RATE PER $1000
TOTAL	**$0**	**$0.00**	$0.000

COMBINED

	2017 PROPERTY TAX LEVY	2017 COST TO AVG TAXPAYER	2017 FV TAX RATE PER $1000
TOTAL	**$0**	**$0.00**	$0.000

NOTES:

1) SOURCE FOR NUMBER OF FAMILY PARCELS AND CORRESPONDING ASSESSED VALUATION: SUFFOLK COUNTY REAL PROPERTY, 2015.
2) SOURCE FOR TOTAL TAXABLE ASSESSED VALUATION FOR COUNTY PURPOSES: SCHEDULE A, REPORT OF ASSESSED VALUATION FOR 2015-2016.
3) SOURCE FOR EQUALIZATION RATES: 2015 COUNTY EQUALIZATION RATES ESTABLISHED BY THE NEW YORK STATE BOARD OF EQUALIZATION AND ASSESSMENTS.

To be completed by the Executive Budget Office

FINANCIAL IMPACT
2016 PROPERTY TAX LEVY
COST TO THE AVERAGE TAXPAYER

1982

GENERAL FUND

	2016 PROPERTY TAX LEVY	2016 COST TO AVG TAXPAYER	2016 FV TAX RATE PER $1000
TOTAL	**$0**	**$0.00**	$0.000

POLICE DISTRICT AND DISTRICT COURT

	2016 PROPERTY TAX LEVY	2016 COST TO AVG TAXPAYER	2016 FV TAX RATE PER $1000
TOTAL	**$0**	**$0.00**	$0.000

COMBINED

	2016 PROPERTY TAX LEVY	2016 COST TO AVG TAXPAYER	2016 FV TAX RATE PER $1000
TOTAL	**$0**	**$0.00**	$0.000

NOTES:

1) SOURCE FOR NUMBER OF FAMILY PARCELS AND CORRESPONDING ASSESSED VALUATION: SUFFOLK COUNTY REAL PROPERTY, 2015.
2) SOURCE FOR TOTAL TAXABLE ASSESSED VALUATION FOR COUNTY PURPOSES: SCHEDULE A, REPORT OF ASSESSED VALUATION FOR 2015-2016.
3) SOURCE FOR EQUALIZATION RATES: 2015 COUNTY EQUALIZATION RATES ESTABLISHED BY THE NEW YORK STATE BOARD OF EQUALIZATION AND ASSESSMENTS.

To be completed by the Executive Budget Office

1982

2016 INTERGOVERNMENTAL RELATIONS
MEMORANDUM OF SUPPORT

TITLE OF BILL: Amending the 2016 Capital Budget and Program and Appropriating Additional Funds in Connection with Strengthening and Improving County Roads (CP 5014)

PURPOSE OR GENERAL IDEA OF BILL: This funding will provide for additional funding for the repair and/or resurfacing of roadways as well as related appurtenances such as drainage and curb at localized locations. This will improve both the surface and structural conditions of the road and significantly extend the life of various roadways. Preventive maintenance is necessary to provide safe highways and mitigate future costly reconstruction projects. Additionally, the US Department of Justice has determined that all resurfacing projects must now comply with Americans with Disabilities Act (ADA). This determination requires SCDPW to upgrade all handicap ramps and traffic signal equipment to meet ADA guidelines.

SUMMARY OF SPECIFIC PROVISIONS: An offset is required and has been identified in CP 3308 (Countywide ITS Infrastructure), as current workload assignments will preclude in-house staff from providing designs for construction at this time and CP 5855 (CR 16 over the LIRR), as the Department is waiting for Legal determination as to the extent of the County's monetary obligation to replace bridge decks over the LIRR, therefore, this project is not progressing to construction in 2016.

JUSTIFICATION: These funds enable Suffolk County Department of Public Works to issue work orders for preventive maintenance.

FISCAL IMPLICATIONS: Bonds will be issued to finance this project and principal and interest costs will be incurred over the life of the Bonds.

COUNTY OF SUFFOLK

STEVEN BELLONE
SUFFOLK COUNTY EXECUTIVE

1982

DEPARTMENT OF PUBLIC WORKS

DARNELL TYSON, P.E.
DEPUTY COMMISSIONER

GILBERT ANDERSON, P.E.
COMMISSIONER

THOMAS G. VAUGHN
DEPUTY COMMISSIONER

MEMORANDUM

TO: Jon Schneider, Deputy County Executive

FROM: Gilbert Anderson, P.E. Commissioner

DATE: October 5, 2016

RE: Amending the 2016 Capital Program and Budget and Appropriating Additional Funds in Connection with Strengthening and Improving County Roads (CP 5014)

Attached is a draft resolution appropriating the sum of $1,090,000 for construction services in connection with the above referenced project. Sufficient funds are not included in the 2016 Capital Budget and Program for this project and, as such, an offset must be provided. It is our intent to utilize funds from CP 3308 (Countywide ITS Infrastructure), as current workload assignments will preclude in-house staff from providing designs for construction at this time and CP 5855 (CR 16 over the LIRR), as the Department is waiting for Legal determination as to the extent of the County's monetary obligation to replace bridge decks over the LIRR, therefore, this project is not progressing to construction in 2016.

The harsh winter seasons over the last few years, inundating Long Island with rain, snow and ice, coupled with normal wear and tear, has caused extensive damage to the road system. Limited resources and non-highway responsibilities do not permit the Department of Public Works Highway Maintenance crew to keep pace with deterioration of the road system.

Additionally, the US Department of Justice has determined that all resurfacing projects must now comply with Americans with Disabilities Act (ADA). This determination requires SCDPW to upgrade all handicap ramps and traffic signal equipment to meet ADA guidelines.

The services provided by this Capital Project includes excavation and replacement of existing deteriorated pavement, patching and other items of work required prior to the placement of new concrete or asphalt pavement, such as drainage, concrete curb and the installation of pavement markings consisting of thermoplastic striping and plowable all-weather reflective markers.

We will utilize this funding to supplement the $5,635,000 included in the 2016 Capital Program, to attempt to meet the Department's goals to improve the County's roadways.

The Suffolk County Council on Environmental Quality has reviewed projects of this nature under a previous version of the implementing rules and regulations of SEQRA and determined that the project constitutes a Type II action.

An e-mail version of this resolution was sent to CE RESO REVIEW saved under the title "Reso-DPW-CP5014(S&I CRs Oct).doc".

GA/WH/td
attach.
cc: William Hillman, P.E., Chief Engineer
Charles Jaquin, Executive Assistant for Finance & Administration

1983

Intro Res. No. -2016 Laid on Table 11/9/16

Introduced by Presiding Officer on request of the County Executive

RESOLUTION NO. -2016, AMENDING THE ADOPTED 2016 OPERATING BUDGET INCREASING THE DISABILITY EMPLOYMENT INITIATIVE (DEI) GRANT AWARD FROM THE NEW YORK STATE DEPARTMENT OF LABOR BY APPROPRIATING FUNDS AS SET FORTH IN ATTACHED SCHEDULE

WHEREAS, the New York State Department of Labor (NYSDOL) initially awarded a grant in the amount of $1,043,565 (Resolution No. 657-2014-attached), additional funding of $200,000 awarded and appropriated by (Resolution No. 101-2015-attached) and $24,238 (Resolution No. 740-2016-attached) for a total of $1,267,803 (See attached Schedule) to the Suffolk County Department of Labor, Licensing and Consumer Affairs to provide case management services to individuals with disabilities; and

WHEREAS, this grant is funded by the New York State Department of Labor, for the express purpose of providing case management services to individuals with disabilities; and

WHEREAS, this grant funding covers the period October 1, 2013 through January 31, 2017; and

WHEREAS, these funds have not been properly included in the 2016 Adopted Operating Budget; and

WHEREAS, these funds are 100% federally funded; now, therefore be it

1ST **RESOLVED,** that the County Executive be and hereby is authorized to execute related agreements; and it be further

2nd **RESOLVED**, that the County Comptroller be and hereby is authorized to accept and appropriate said grant funds as follows:

REVENUES:	AMOUNT
320- LAB - 4790 Federal Aid: Various Labor Programs	$142,048

ORGANIZATIONS:

Department of Labor (LAB)
Workforce Investment Act
320-6310

1000 PERSONAL SERVICES	**$22,795**
1100 – Permanent Salaries	$22,795
8000 – EMPLOYEE BENEFITS	**48,086**
8330 – Social Security	1,737
8380 – Benefit Fund	1,350
8280 – Retirement	44,999
EMPLOYEE BENEFITS – SELF INSURANCE	**$71,167**
039-EMP-9060-8630 Major Medical Claims	71,167
INTERFUND EXPENSE	**$71,167**

320-IFT-E039-9600 – Transfer to Fund 039 71,167

INTERFUND REVENUE **$71,167**
039-IFT-E039-R320 71,167

and be it further

3rd **RESOLVED**, that this Legislature, being the lead agency under the State Environmental Quality Review Act ("SEQRA"), N.Y. Environmental Conservation Law Article 8 and Chapter 450 of the Suffolk County Code, hereby finds and determines that this resolution constitutes a Type II action pursuant to Section 617.5(c)(20) and (27) of Title 6 of the NEW YORK CODE OF RULES AND REGULATIONS ("NYCRR") in that the action constitutes routine or continuing agency administration and management, not including new programs or major reordering of priorities that may affect the environment. The Suffolk County Council on Environmental Quality (CEQ) is hereby directed to circulate any appropriate SEQRA notices of determination of non-applicability or non-significance in accordance with this resolution.

Date:

APPROVED BY:

_____
County Executive of Suffolk County
Date of Approval:

1983

Intro. Res. No. 1692-2014 Laid on Table 7/29/2014
Introduced by Presiding Officer, on request of the County Executive

RESOLUTION NO. 657 -2014, ACCEPTING AND APPROPRIATING FUNDING FOR THE DISABILITY EMPLOYMENT INITIATIVE (DEI) FROM THE NEW YORK STATE DEPARTMENT OF LABOR FOR THE WORKFORCE INVESTMENT ACT (WIA) PROGRAM

WHEREAS, the New York State Department of Labor (NYSDOL) has awarded a grant in the amount of $1,043,565 to the Suffolk County Department of Labor, Licensing and Consumer Affairs to provide case management services to individuals with disabilities; and

WHEREAS, this grant is funded by the New York State Department of Labor, for the express purpose of providing case management services to individuals with disabilities; and

WHEREAS, this grant funding covers the period October 1, 2013 through January 31, 2017; and

WHEREAS, these funds have not been included in the 2014 Adopted Operating Budget; and

WHEREAS, these funds are 100% State funded; and

WHEREAS, 2 full time Neighborhood Aides and 1 full time Labor Technician will be created in this program; now, therefore be it

1st **RESOLVED**, that the County Executive be and hereby is authorized to execute related agreements; and be it further

2nd **RESOLVED**, that the County Comptroller and the County Treasurer be and they hereby are authorized to accept and appropriate said grant funds as follows:

REVENUES:	AMOUNT
320-LAB-4790 Federal Aid: Various Labor Programs	$1,043,565

ORGANIZATIONS:

Department of Labor (LAB)
Workforce Investment Act
320-6310

1000-Personnel Services	$634,564
1100-Permanent Salaries	$634,564
2000-Equipment	$18,000
2020-Office Machines	$18,000
4000-Contractual Expenses	$16,000
4340-Travel: Other	$16,000

1983

8000-Employee Benefits	$202,101
8330-Social Security	$48,544
8380-Benefit Fund	$14,429
8280-Retirement	$139,128
Employee Benefits–Self Insurance	$172,900
039-EMP-9060-8630 Major Medical Claims	$172,900
Interfund Expense	
320-IFT-E039-9600-Transfer to Fund 039	$172,900
Interfund Revenue	
039-IFT-E039-R320	$172,900
	$1,043,565

and be it further

3rd **RESOLVED**, that the following positions be and it hereby is created in the Suffolk County Department of Labor, Licensing and Consumer Affairs as follows:

Position No.	Spec. No.	Position Title	J/C	Grade/Step	No.
320-6310-xxxx-xxxx	3706	Neighborhood Aide	NC	13/S	1
320-6310-xxxx-xxxx	3706	Neighborhood Aide	NC	13/S	1
320-6310-xxxx-xxxx	3720	Labor Technician	C	17/S	1

and be it further

4th **RESOLVED**, that nothing contained herein shall be construed as obligating or committing the County of Suffolk to continue employment of the individuals filling the positions created by the Resolution at the conclusion of the grant funding provided for such positions created by said grant; and be it further

5th **RESOLVED**, that this Legislature, being the lead agency under the State Environmental Quality Review Act ("SEQRA"), Environmental Conservation Law Article 8, hereby finds and determines that this law constitutes a Type II action, pursuant to Volume 6 of New York Code of Rules and Regulations ("NYCRR") Section 617.5 (c) (25) and (27), in that the resolution concerns purchase of furnishings, equipment and supplies, other than land, radioactive material, pesticides, herbicides or other hazardous materials, and adoption of a local legislative decision in connection with the same; as a Type II action, the Legislature has no further responsibilities under SEQRA.

DATED: September 9, 2014

APPROVED BY:

/s/ Steven Bellone
County Executive of Suffolk County

Date: September 24, 2014

A83

Intro. Res. No.1077-2015 Laid on Table 2/3/2015
Introduced by Presiding Officer, on request of the County Executive

RESOLUTION NO. 101 -2015, ACCEPTING AND APPROPRIATING ADDITIONAL DISABILITY EMPLOYMENT INITIATIVE (DEI) FUNDS FROM THE NEW YORK STATE DEPARTMENT OF LABOR FOR THE WORKFORCE INVESTMENT ACT (WIA) PROGRAM

WHEREAS, the New York State Department of Labor (NYSDOL) has notified the Suffolk County Department of Labor, Licensing and Consumer Affairs of additional grant funds in the amount of $200,000. This notification was forwarded by a Notice of Obligational Authority (NOA); and

WHEREAS, this grant is funded by the New York State Department of Labor, for the express purpose of providing case management services to individuals with disabilities, also to include education and training; and

WHEREAS, these funds have not been included in the 2015 Adopted Operating Budget; and

WHEREAS, these funds are 100% State funded; now, therefore be it

1st **RESOLVED**, that the County Comptroller and the County Treasurer be and they are hereby authorized to accept and appropriate the additional funds as follows:

REVENUES:	AMOUNT
320-LAB-4790 State Aid: Various Labor Programs	$200,000

ORGANIZATIONS:

Department of Labor (LAB)
Workforce Investment Act
Disability Employment Initiative (DEI)
320-6310

4000 – CONTRACTUAL EXPENSES	$200,000
4560 - Fees for Services	$200,000

2nd **RESOLVED**, that the Reporting Category for the County Integrated Financial Management System (IFMS) is 6310; and be it further

3rd **RESOLVED**, that this Legislature, being the lead agency under the State Environmental Quality Review Act ("SEQRA"), Environmental Conservation Law Article 8, hereby finds and determines that this law constitutes a Type II action, pursuant to Volume 6 of New York Code of Rules and Regulations ("NYCRR") Section 617.5 (c) (25) and (27), in that the resolution concerns purchase of furnishings, equipment and supplies, other than land, radioactive material, pesticides, herbicides or other hazardous materials, and adoption of a local

1983

legislative decision in connection with the same; as a Type II action, the Legislature has no further responsibilities under SEQRA.

DATED: March 3, 2015

APPROVED BY:

/s/ Steven Bellone
County Executive of Suffolk County

Date: March 17, 2015

1983

Intro. Res. No. 1744-2016 Laid on Table 7/26/2016
Introduced by Presiding Officer, on request of the County Executive

RESOLUTION NO. 740 -2016, ACCEPTING AND APPROPRIATING ADDITIONAL DISABILITY EMPLOYMENT INITIATIVE (DEI) FUNDS FROM THE NEW YORK STATE DEPARTMENT OF LABOR FOR THE WORKFORCE INNOVATIONS AND OPPORTUNITIES ACT (WIOA)

WHEREAS, the New York State Department of Labor (NYSDOL) has notified the Suffolk County Department of Labor, Licensing and Consumer Affairs of additional grant funds in the amount of $24,238. This notification was forwarded by a Notice of Obligational Authority (NOA); and

WHEREAS, this grant is funded by the New York State Department of Labor, for the express purpose of providing case management services to individuals with disabilities, also to include education and training; and

WHEREAS, these funds have not been included in the 2016 Adopted Operating Budget; and

WHEREAS, these funds are 100% State funded; now, therefore be it

1st **RESOLVED**, that the County Comptroller be hereby is authorized to accept and appropriate the additional funds as follows:

REVENUES:	AMOUNT
320- LAB - 4790 State Aid: Various Labor Programs	$24,238

ORGANIZATIONS:

Department of Labor (LAB)
Workforce Investment Act
Disability Employment Initiative (DEI)
320-6310

4000 – CONTRACTUAL EXPENSES	**$24,238**
4560 - Fees for Services	$24,238

and be it further

2nd **RESOLVED**, that the Reporting Category for the County Integrated Financial Management System (IFMS) is 6310; and be it further

3rd **RESOLVED**, that this Legislature, being the lead agency under the State Environmental Quality Review Act ("SEQRA"), Environmental Conservation Law Article 8, hereby finds and determines that this law constitutes a Type II action, pursuant to Volume 6 of New York Code of Rules and Regulations ("NYCRR") Section 617.5 (c) (25) and (27), in that the resolution concerns purchase of furnishings, equipment and supplies, other than land, radioactive material, pesticides, herbicides or other hazardous materials, and adoption of a local legislative decision in connection with the same; as a Type II action, the Legislature has no further responsibilities under SEQRA.

1983

DATED: September 7, 2016

APPROVED BY:

/s/ Steven Bellone
County Executive of Suffolk County

Date: September 9, 2016

SUFFOLK COUNTY DEPARTMENT OF LABOR, LICENSING AND CONSUMER AFFAIRS
CALCULATION OF DISABILITY EMPLOYMENT INITIATIVE (DEI) IFMS BUDGET ADJUSTMENT 320-6310
Grant Period Covers from October 1, 2013 through January 31, 2017

Acct/ Obj	Account Name	A Total DEI Grant Award	B Oct 1, 2013 - Dec 31, 2015 Actual Expenses	C=A-B Remaining Award Balance as of Jan 1, 2016	D IFMS Jan 1, 2016 - Dec 31, 2016 Budget	E 2017 Recommended Budget	F=C-D-E 2016 Budget Amendment Resolution
	EXPENDITURES						
1100	Perm Salaries				221,266	16,717	
1060	Longevity				3,640	-	
	PERSONAL SERVICES	634,564	370,146	264,418	224,906	16,717	22,795
8280	State Retire	139,128	47,188	91,940	44,082	2,859	44,999
8330	Soc Sec	48,544	28,340	20,204	17,205	1,262	1,737
8380	Benefit Fund/Dental	14,429	8,183	6,246	4,896	-	1,350
	EMPLOYEE BENEFITS	202,101	83,711	118,390	66,183	4,121	48,086
9600	**INTERFUND HEALTH**	172,900	69,232	103,668	28,705	3,796	71,167
2010	Furniture and Furnishings		1,430			-	
2020	Office Machines		2,892		5,752	-	
3160	Computer Software		7,526		24	-	
3500	Unclassified Supplies		126		250	-	
	EQUIPMENT	18,000	11,974	6,026	6,026	0	0
4340	Travel, Other	16,000	4,007	11,993	11,993	-	0
4560	Fees for Services, Non-Employess	224,238	146,270	77,968	77,968	-	0
	CONTRACTUAL EXPENSES	240,238	150,277	89,961	89,961	0	0
	TOTAL EXPENDITURES	1,267,803	685,340	582,463	415,781	24,634	142,048

1983

STATEMENT OF FINANCIAL IMPACT
OF PROPOSED SUFFOLK COUNTY LEGISLATION 1983

1. Type of Legislation Resolution X Local Law ___ Charter Law		
2. Title of Proposed Legislation: Amending the Adopted 2016 Operating Budget for the Disability Employment Initiative (DEI).		
3. Purpose of Proposed Legislation: Record amendment to the Adopted 2016 Operating Budget for the Disability Employment Initiate (DEI) for the express purpose of providing case management services to individuals with disabilities.		
4. Will the Proposed Legislation Have a Fiscal Impact? Yes ____ No X		
5. If the Answer to item 4 is "yes", on what will it impact? (check appropriate category) _____ County _____Town _____Economic Impact _____ Village _____School District ____Other (Specify _____ Library District _____Fire District ___X__NOT APPLICABLE		
6. If the answer to item 4 is "yes", Provide Detailed Explanation of Impact		
7. Total Financial Cost of Funding over 5 Years on Each Affected Political or Subdivision.		
8. Proposed Source of Funding New York State Department of Labor		
9. Timing of Impact Upon adoption of Resolution.		
10. Typed Name & Title of Preparer BARBARA D'AMICO DIRECTOR OF FINANCE	11. Signature of Preparer Barbara D'Amico	12. Date October 13, 2016

SCIN FORM 175b (10/95)

Budget Officer 10/31/16

2016 PROPERTY TAX LEVY*
COST TO THE AVERAGE TAXPAYER

1983

GENERAL FUND

	2015 PROPERTY TAX LEVY	ESTIMATED 2016* COST TO AVG TAXPAYER	2015 AV TAX RATE PER $100	2015 FEV TAX RATE PER $1000
TOTAL	$0	$0.00		$0.000

POLICE DISTRICT AND DISTRICT COURT

	2015 PROPERTY TAX LEVY	ESTIMATED 2016* COST TO AVG TAXPAYER	2015 AV TAX RATE PER $100	2015 FEV TAX RATE PER $1000
TOTAL	$0	$0.00		$0.000

COMBINED

	2015 PROPERTY TAX LEVY	ESTIMATED 2016* COST TO AVG TAXPAYER	2015 AV TAX RATE PER $100	2015 FEV TAX RATE PER $1000
TOTAL	$0	$0.00		$0.000

** The Estimated 2016 Cost to Average Taxpayer is based upon the 2015 property tax levy and is provided for informational purposes only.*

NOTES:

1) SOURCE FOR NUMBER OF FAMILY PARCELS AND CORRESPONDING ASSESSED VALUATION: SUFFOLK COUNTY REAL PROPERTY, 2014.
2) SOURCE FOR TOTAL TAXABLE ASSESSED VALUATION FOR COUNTY PURPOSES: SCHEDULE A, REPORT OF ASSESSED VALUATION FOR 2014-2015.
3) SOURCE FOR EQUALIZATION RATES: 2014 COUNTY EQUALIZATION RATES ESTABLISHED BY THE NEW YORK STATE BOARD OF EQUALIZATION AND ASSESSMENTS.

To be completed by the Executive Budget Office

2016 INTERGOVERNMENTAL RELATIONS
MEMORANDUM OF SUPPORT

1983

TITLE OF BILL:

Record amendment to the Adopted 2016 Operating Budget increasing the Disability Employment Initiative (DEI) Grant award from the New York State Department of Labor by appropriating funds as set forth in attached Schedule.

PURPOSE OR GENERAL IDEAL OF BILL:

The New York State Department of Labor (NYSDOL) initially awarded a grant in the amount of $1,043,565 (Resolution No. 657-2014-attached), additional funding of $200,000 awarded and appropriated by (Resolution No. 101-2016-attached) and $24,238 (Resolution No. 740-2016-attached) for a total of $1,267,803 (see attached Schedule) to the Suffolk County Department of Labor, Licensing and Consumer Affairs to provide case management services to individuals with disabilities. This resolution is to amend the Adopted 2016 Operating Budget.

SUMMARY OF SPECIFIC PROVISIONS:

The Federal Disability Employment Initiative (DEI) is a three year $1.267 million dollar grant awarded to the Suffolk County Department of Labor to improve the educational, training, and employment opportunities and outcomes of adults with disabilities.

JUSTIFICATION:

The DEI grant stipulates the SCDOLLCA have dedicated staff as Disability Resource Coordinators (DRC) who are trained and credentialed.

FISCAL IMPLICATIONS:

Additional revenue to County with no County funding required.

COUNTY OF SUFFOLK

1983

STEVEN BELLONE
SUFFOLK COUNTY EXECUTIVE

FRANK NARDELLI
COMMISSIONER
DEPARTMENT OF LABOR, LICENSING &
CONSUMER AFFAIRS
725 VETERANS MEMORIAL HIGHWAY
HAUPPAUGE, N.Y. 11788

ADDRESS CORRESPONDENCE TO:
P.O. BOX 6100
HAUPPAUGE, N.Y. 11788-0099
e-mail:sc.dol@suffolkcountyny.gov
PHONE # (631) 853-6600
www.suffolkcountyny.gov/labor

MEMORANDUM

TO: Jon Schneider, Deputy County Executive
Suffolk County Executive's Office

FROM: Frank Nardelli, Commissioner FN

DATE: October 13, 2016

RE: INTRODUCTORY RESOLUTION

Attached please find the following Introductory Resolution for the next Legislative meeting:

RESOLUTION NO. -16, **RECORD AMENDMENT TO THE ADOPTED 2016 OPERATING BUDGET INCREASING THE DISABILITY EMPLOYMENT INITIATIVE (DEI) GRANT AWARD FROM THE NEW YORK STATE DEPARTMENT OF LABOR BY APPROPRIATING FUNDS AS SET FORTH IN ATTACHED SCHEDULE.**

AN E-MAIL VERSION WILL BE SENT TO CE RESO REVIEW UNDER THE TITLE "RESO-LLCA-DISABILITY EMPLOYMENT INITIATIVE (DEI)-RECORD AMENDMENT."

Thank you for your assistance.

* * *

FN:dv
Attachment

Intro. Res. No. -2016 Laid on Table 11/9/16
Introduced by the Presiding Officer on request of the County Executive

RESOLUTION NO. -2016, AUTHORIZING THE COUNTY EXECUTIVE TO EXECUTE AN AGREEMENT WITH THE SUFFOLK COUNTY PROBATION OFFICERS ASSOCIATION COVERING THE TERMS AND CONDITIONS OF EMPLOYMENT FOR THE PERIOD JANUARY 1, 2011 THROUGH DECEMBER 31, 2016

WHEREAS, the County and the President of the Suffolk County Probation Officers Association Unit, have reached an agreement amending the terms and conditions of employment for the period January 1, 2011 through December 31, 2016, subject to the approval, to the extent necessary, by the Suffolk County Legislature; and

WHEREAS, such agreement has been set down in a stipulation of agreement, a copy of which has been filed with the Clerk of the Suffolk County Legislature; and

WHEREAS, such agreement is subject to ratification by the Suffolk County Probation Officers Association Unit; now therefore be it

1st **RESOLVED**, that the County Executive, or his designee, be and is hereby authorized to execute an agreement with the Suffolk County Probation Officers Association Unit, covering the terms and conditions of employment of the Suffolk County Probation Officers Association Unit for the period January 1, 2011 through December 31, 2016, and the Stipulation of Agreement dated November __, 2016, a copy of which is on file with the Clerk of the Suffolk County Legislature, is hereby ratified and confirmed; and be it further

2nd **RESOLVED**, that this Legislature, being the State Environmental Quality Review Act (SEQRA) lead agency, hereby finds and determines that this resolution constitutes a Type II action pursuant to Section 617.5(c)(20) and (27) of Title 6 of the NEW YORK CODE OF RULES AND REGULATIONS (6 NYCRR) AND WITHIN THE MEANING OF Section 8-0109(2) of the NEW YORK ENVIRONMENTAL CONSERVATION LAW as a promulgation of regulations, rules, policies, procedures, and legislative decisions in connection with continuing agency administration, management, and information collection, and the Suffolk County Council on Environmental Quality (CEQ) is hereby directed to circulate any appropriate SEQRA notices of determination of non-applicability or non-significance in accordance with this resolution.

DATED: , 2016

APPROVED BY:

_____
County Executive of Suffolk County

Date: , 2016

1985

Intro. Res. No. -2016 Laid on Table 11/9/16

Introduced by the Presiding Officer on request of the County Executive

RESOLUTION NO. -2016, AMENDING THE 2016 OPERATING BUDGET TO PROVIDE FUNDING FOR THE NORTH FORK TELEVISION FESTIVAL

WHEREAS, the 2016 Adopted Operating Budget does not include sufficient funds for the North Fork Television Festival; and

WHEREAS, it is the desire of the Suffolk County Legislature to provide funding to support the North Fork Television Festival; and

WHEREAS, the Suffolk County Legislature wishes to amend the 2016 Operating Budget to transfer funds from Long Island Philharmonic, Inc. to the North Fork Television Festival; and

WHEREAS, Long Island Philharmonic, Inc. is no longer able to accept funds from the County since it is no longer in operation as of February 2016; now therefore be it

1st **RESOLVED**, that the 2016 County Operating Budget is hereby amended and the County Comptroller and the County Treasurer be and hereby are authorized to transfer the following funds as follows:

From:

Fund	Dept	Pseudo	Unit	Object	Name	Amount
192	EDP	JIY1	6414	4980	Long Island Philharmonic, Inc.	$12,500

To:

Fund	Dept	Pseudo	Unit	Object	Name	Amount
192	EDP	XXXX	6414	4980	North Fork Television Festival	$12,500

and be it further

2nd **RESOLVED**, that this Legislature, being the State Environmental Quality Review Act (SEQRA) lead agency, hereby finds and determines that this resolution constitutes a Type II action pursuant to Section 617.5(c)(20) and (27) of Title 6 of the NEW YORK CODE OF RULES AND REGULATIONS (6 NYCRR) AND WITHIN THE MEANING OF Section 8-0109(2) of the NEW YORK ENVIRONMENTAL CONSERVATION LAW as a promulgation of regulations, rules, policies, procedures, and legislative decisions in connection with continuing agency administration, management, and information collection, and the Suffolk County Council on Environmental Quality (CEQ) is hereby directed to circulate any appropriate SEQRA notices of determination of non-applicability or non-significance in accordance with this resolution.

DATED: , 2016

APPROVED BY:

_____

County Executive of Suffolk County

Date: , 2016

STATEMENT OF FINANCIAL IMPACT
OF PROPOSED SUFFOLK COUNTY LEGISLATION

1985

1. Type of Legislation

Resolution X Local Law _____ Charter Law _____

2. Title of Proposed Legislation

AMENDING THE 2016 OPERATING BUDGET TO PROVIDE FUNDING FOR THE NORTH FORK TELEVISION FESTIVAL.

3. Purpose of Resolution:
SAME AS ABOVE

4. Will the Proposed Legislation Have a Fiscal Impact? **Yes** ___ **No** x

5. If the answer to item 4 is "yes", on what will it impact? (circle appropriate category)

County **Town** **Economic Impact**

Village **School District** **Other (Specify): Community College**

Library District **Fire District**

6. If the answer to item 5 is "yes", Provide Detailed Explanation of Impact

Funds included in the 2016 operating budget.

7. Total Financial Cost of Funding over 5 Years on Each Affected Political or Other Subdivision.

N/A

8. Proposed Source of Funding

Operating Budget

9. Timing of Impact

UPON ADOPTION

10. Typed Name & Title of Preparer	11. Signature of Preparer	12. Date
SUZANNE MARTIN PRINCIPAL BUDGET EXAMINER	Suzanne Martin	NOVEMBER 2, 2016

SIN FORM 175b (10/95)

FINANCIAL IMPACT
2016 PROPERTY TAX LEVY*
COST TO THE AVERAGE TAXPAYER

1985

GENERAL FUND

	2015 PROPERTY TAX LEVY	ESTIMATED 2016* COST TO AVG TAXPAYER	2015 AV TAX RATE PER $100	2015 FEV TAX RATE PER $1000
TOTAL	$0	$0.00		$0.000

POLICE DISTRICT AND DISTRICT COURT

	2015 PROPERTY TAX LEVY	ESTIMATED 2016* COST TO AVG TAXPAYER	2015 AV TAX RATE PER $100	2015 FEV TAX RATE PER $1000
TOTAL	$0	$0.00		$0.000

COMBINED

	2015 PROPERTY TAX LEVY	ESTIMATED 2016* COST TO AVG TAXPAYER	2015 AV TAX RATE PER $100	2015 FEV TAX RATE PER $1000
TOTAL	$0	$0.00		$0.000

**** The Estimated 2016 Cost to Average Taxpayer is based upon the 2015 property tax levy and is provided for informational purposes only.***

NOTES:

1) SOURCE FOR NUMBER OF FAMILY PARCELS AND CORRESPONDING ASSESSED VALUATION: SUFFOLK COUNTY REAL PROPERTY, 2014.
2) SOURCE FOR TOTAL TAXABLE ASSESSED VALUATION FOR COUNTY PURPOSES: SCHEDULE A, REPORT OF ASSESSED VALUATION FOR 2014-2015.
3) SOURCE FOR EQUALIZATION RATES: 2014 COUNTY EQUALIZATION RATES ESTABLISHED BY THE NEW YORK STATE BOARD OF EQUALIZATION AND ASSESSMENTS.

To be completed by the Executive Budget Office

1986

Intro. Res. No. -2016 Laid on Table 11/9/2016

Introduced by Presiding Officer on request of the County Executive

RESOLUTION NO. -2016, AMENDING THE 2016 OPERATING BUDGET AND TRANSFERRING FUNDS TO THE ECONOMIC OPPORTUNITY COUNCIL–BRENTWOOD YOUTH

WHEREAS, the 2016 Adopted Operating Budget, when adopted, provided funding for Brentwood Association of Concerned Citizens before it was known that the organization would not be eligible for funding in 2016; and

WHEREAS, the Economic Opportunity Council provides youth in the Brentwood community with programs and services; and

WHEREAS, additional funding for the Economic Opportunity Council will enhance those services for youth in the Brentwood area; now, therefore be it

1st **RESOLVED**, that the 2016 County Operating Budget is hereby amended as follows and that the County Comptroller be and hereby is authorized to transfer the following funds and authorizations.

APPROPRIATIONS:

FROM:

FD	AGY	UNIT	ACT	OBJ	ACTIVITY NAME	AMOUNT
001	EXE	7320	JTW1	4980	Brentwood Assoc. of Concerned Citizens	($4,500)

TO:

FD	AGY	UNIT	ACT	OBJ	ACTIVITY NAME	AMOUNT
001	EXE	7320	XXXX	4980	EOC–Brentwood Youth	+$4,500

and be it further

2nd **RESOLVED**, that the County Executive's Budget Office is authorized to assign an activity (pseudo) code for the EOC-Brentwood Youth; and be it further

3rd **RESOLVED**, that the moneys appropriated pursuant to this resolution shall be used for the sole and exclusive purpose of funding the EOC–Brentwood Youth.

DATED:

APPROVED BY:

_____

County Executive of Suffolk County

Date:

1987

Intro. Res. No. -2016 Laid on Table 11/9/16

Introduced by Presiding Officer, on request of the County Executive

RESOLUTION NO. -2016, AMENDING THE 2016 ADOPTED CAPITAL BUDGET TO ACCEPT AND APPROPRIATE 100% GRANT FUNDS FROM THE NEW YORK STATE DEPARTMENT OF ENVIRONMENTAL CONSERVATION FOR THE SUFFOLK COUNTY COASTAL RESILIENCY INITIATIVE, PATCHOGUE RIVER NITROGEN REDUCTION PROJECT (CP 8196) AND TO EXECUTE GRANT RELATED AGREEMENTS

WHEREAS, resolution No. Suffolk County has been awarded funds by the the New York State Department of Environmental Conservation ("DEC") for planning and design costs for the Patchogue River component of the Suffolk County Coastal Resiliency Initiative ("SCCRI"); and

WHEREAS, Suffolk County has been awarded funds by the the New York State Department of Environmental Conservation ("DEC") for planning and design costs for the Patchouge River component of the Suffolk County Coastal Resiliency Initiative ("SCCRI"); and

WHEREAS, the grant period for this award is April 1, 2016 through March 31, 2020; and

WHEREAS, the County will enter into a Grant Agreement with DEC (Exhibit A, "Grant Agreement"); and

WHEREAS, the County must "first instance fund" projects costs and subsequently receive reimbursement from the DEC; and

WHEREAS, Resolution No. 471-1994, as revised by Resolution No. 461-2006, has established a priority ranking system of capital projects as the basis for funding capital projects such as this project; and

WHEREAS, the DEC grant funds have not been included within the 2016 Capital Budget and Program; now, therefore be it

1st **RESOLVED**, that Suffolk County, being the State Environmental Quality Review Act (SEQRA) Lead Agency hereby finds and determines that the adoption of this resolution constitutes a Type II Action pursuant to Title 6 NYCRR Part 617.5(c)(20)(27) as this legislative decision involves the routine or continuing agency administration and management, not including new programs or major reordering of priorities that may affect the environment and, as such, this Legislature has no further responsibilities under SEQRA; and be it further

2nd **RESOLVED**, that it is hereby determined that this project, with a priority ranking of sixty-three (63) is eligible for approval in accordance with the provisions of Resolution No. 471-1994, as revised by Resolution No. 461-2006; and be it further

3rd **RESOLVED,** that the 2016 Capital Budget and Program be and they are hereby amended as follows:

Project No: 8196
Project Title: Patchogue River Nitrogen Reduction Project

	Total Est. Cost	Current 2016 Capital Budget & Program	Revised 2016 Capital Budget & Program
1. Planning Design & Supervision	$1,500,000	$0	$1,500,000S
6. TOTAL	$1,500,000	$0	$1,500,000S

4th **RESOLVED**, that the State Aid be and hereby is appropriated as follows:

Project No:	Project Title	Amount
Ref-525-CAP-8196.110	Patchogue River Nitrogen Reduction Project	$1,500,000

and be it further;

5th **RESOLVED**, that the County Comptroller is authorized and directed to accept and appropriate said State Aid in the amount of $1,500,000 into CP 8196.110, and be it further;

6th **RESOLVED**, that the County Executive be and hereby is authorized to execute the Grant Agreement between Suffolk County and DEC, and any related agreements.

DATED:

APPROVED BY:
/s/ Steven Bellone
County Executive of Suffolk County
Date:

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Intro. Res. No. -2016 Laid on Table 11/9/16

Introduced by Presiding Officer on request of the County Executive

RESOLUTION NO. -2016, ADOPTING LOCAL LAW NO. -2016, A LOCAL LAW TO ENHANCE PROVISIONS OF THE ITEM PRICING LAW

WHEREAS, there was duly presented and introduced to this County Legislature at a meeting held on 2016, a proposed local law entitled, "**A LOCAL LAW TO ENHANCE PROVISIONS OF THE ITEM PRICING LAW;**" and said local law in final form is the same as when presented and introduced; now, therefore be it

RESOLVED, that said local law be enacted in form as follows:

LOCAL LAW NO. -2016, SUFFOLK COUNTY, NEW YORK

A LOCAL LAW TO ENHANCE PROVISIONS OF THE ITEM PRICING LAW

BE IT ENACTED BY THE COUNTY LEGISLATURE OF THE COUNTY OF SUFFOLK, as follows:

Section 1. Legislative Intent.

This Legislature hereby finds and determines that clear and accurate item pricing is a basic consumer right and that consumer goods offered for sale in the County of Suffolk should be clearly, accurately, and adequately marked so as to reflect prices..

This Legislature also finds that, in addition to the need for accurately marked goods, the scope of items in retail stores which are affected by the "Item Pricing" law should be enhanced to provide additional protections to consumers.

This Legislature further finds that it is in the best interest of both consumers and retail stores to amend the provisions of Suffolk County's "Item Pricing" law to enhance and clarify such provisions.

Therefore, the purpose of this local law is to enhance provisions of the "Item Pricing" law.

Section 2. Amendments.

I.) Article I of Chapter 542 of the LAWS OF SUFFOLK COUNTY is hereby amended to read as follows:

Chapter 542. Item Pricing

Article I. Requirements

§ 542-1. Definitions.

COMMISSIONER

The Commissioner of the Suffolk County Department of Labor, Licensing and Consumer Affairs.

* * * *

DEPARTMENT

The Suffolk County Department of Labor, Licensing and Consumer Affairs.

[DIRECTOR

The Director of the Office of the Consumer Affairs.]

* * * *

[OFFICE

The Suffolk County Office of Consumer Affairs.]

* * * *

RETAIL DISPLAY AREA

That portion of a retail store where stock-keeping units and stock-keeping items are displayed for consumer purchase. Retail display area shall not consist of that area of a store used solely for storage of stock-keeping units and stock-keeping items and which is not ordinarily accessible to consumers.

* * * *

SALE ITEM or WEEKEND SPECIAL

A stock-keeping item offered for sale for a period of seven days or less in a retail store at a price below the price for which the item is usually sold in such store. The [Director] Commissioner may authorize, by regulation, a fourteen-day sale period for holidays such as Thanksgiving, Christmas, Passover and Easter.

* * * *

STOCK-KEEPING UNIT

[Each group of items offered for sale of the same brand name, quantity of contents, retail price and variety within the following categories:

A. Food, including all material, solid, liquid or mixed, whether simple or compound, used or intended for consumption by human beings or domestic

animals normally kept as household pets, and all substances or ingredients to be added thereto for any purpose.

B. Napkins, facial tissue, toilet tissues and any disposable wrapping or container for the storage, handling or serving of food.

C. Detergents, soaps, cleaning agents and cleaning implements.

D. Nonprescription drugs, feminine hygiene products and health and beauty aids.]

Each group of items offered for sale of the same brand, quantity of contents, retail price and variety.

* * * *

§ 542-3. Exemptions.

* * * *

B. The provisions of this section may be subsequently modified or amended by order or regulations of the [Director] Commissioner either by adding or deleting stock-keeping units from the list of exemptions or by further directing the manner in which the selling price of exempted stock-keeping units shall be posted.

§ 542-4. Pricing accuracy.

* * * *

B. In a store with a laser-scanning or other computer-assisted checkout system, a representative of the [Office] Department shall be permitted to compare the item, shelf, sale or advertised price of any one stock-keeping item within a stock-keeping unit sold in the store with the programmed computer price.

* * * *

§ 542-5. Enforcement.

A. The provisions of this article shall be enforced by the [Office] Department. Upon presentation of appropriate credentials, a representative of the [Office] Department shall have the right to enter upon the premises of any retail store

to make an inspection and to determine compliance with the provisions of this article.

B. Upon presentation of the appropriate credentials, a representative of the [Office] Department may compare the item, shelf or sale advertised price with the programmed computer price of stock-keeping items.

§ 542-6. Inspection procedures.

* * * *

B. With respect to the item price of any exempt item, the [Director] Commissioner, in his or her discretion, may direct a retail store to post a sign in a conspicuous and unobstructed location in the manner and form prescribed by the [Director] Commissioner.

C. With respect to the item price of any exempt item, the [Director] Commissioner, in his or her discretion, may direct a retail store to post a sign in a conspicuous and unobstructed location in the manner and form prescribed by the [Director] Commissioner.

§ 542-7. Laser scanner accuracy inspection procedure.

For any inspection pursuant to **§ 542-4** of this article, the store representative shall afford a representative of the [Office] Department access to the test mode of the checkout system in use at that store or to a comparable function of said system and to the retail price information contained in a price look-up function.

§ 542-8 Stop-removal order.

A. The [Director] Commissioner shall have the authority to issue a stop-removal order with respect to any stock-keeping unit being used, handled or offered for sale in violation of §§ **542-2, 542-3** and **542-5** of this article.

* * * *

§ 542-9. Waiver of requirements; fees.

A. Every retail store which uses a computer-assisted checkout system and which would otherwise be required to item price as provided in **§ 542-2** of this article may make an application, in writing, to the [Director] Commissioner for a waiver of said item pricing requirement. A separate application shall be required for each store. Each application shall be subject to a nonrefundable waiver fee based upon the gross square footage of each store, as set according to the following schedule:

Gross Size of Store [Size] Retail Display Area (square feet)	**Waiver Fee**
Under 3,000	$500
Between 3,001 and 10,000	$1,000
Between 10,001 and 30,000	$3,000
Between 30,001 and 90,000	$5,000
Over 90,000	$15,000

B. Upon receipt of an application and fee as provided in Subsection **A** of this section, the [Director] Commissioner shall cause to be conducted a scanner accuracy inspection of the store for which the application has been submitted. This inspection shall be in the manner prescribed by the [Director] Commissioner. At stores with a gross size in excess of 10,000 square feet, a minimum of 100 stock-keeping units shall be checked at inspection. At stores with a gross size of 10,000 square feet or less, a minimum of 50 stock-keeping units shall be checked. If the number of stock-keeping units found to be in violation does not exceed 2% of all those stock-keeping units inspected, the [Director] Commissioner shall grant to the applicant a one-year revocable waiver from the item pricing requirement. Any store with a current waiver shall be exempt from the requirements of § **542-2** of this Code.

C. In the event that total violations in excess of 2% are discovered in the inspection process, the [Director] Commissioner shall not grant a waiver to the applicant. Such a store may, within five business days of being notified of the failure, request a second inspection. If the number of stock-keeping units found to be in violation during this second inspection does not exceed 2% of those stock-keeping units inspected, the [Director] Commissioner shall grant to the applicant a one-year revocable waiver for the item pricing requirement.

* * * *

F. In the event that the [Director] Commissioner, is unable to conduct an inspection pursuant to Subsection **B** of this section within 90 days of receipt of a complete waiver application and proper waiver fee, the [Director] Commissioner shall grant a temporary waiver pending completion of the inspection. The [Director] Commissioner shall cause said inspection to be completed as soon as practicable. If, upon completion, the inspection detects a violation rate of less than 2%, the [Director] Commissioner shall issue a

[regular] waiver with an expiration date of one year from the date of the temporary waiver. If the inspection detects a violation rate in excess of 2%, the temporary waiver shall be immediately revoked and the provisions of Subsection **D** shall apply.

G. As a condition of the waiver from item pricing pursuant to this section, each store which accepts a waiver must agree to meet the following requirements, and no [regular] waiver or temporary waiver shall be granted to a store which has not agreed to these requirements in writing:

(1) The store shall designate and make available price check scanners to enable consumers to confirm the price of stock-keeping items. These price check scanners shall be in locations convenient to consumers with signs of sufficient sized lettering to identify the units to the consumers. Stores will submit their proposed sign and device locations to the [Director] Commissioner for approval; and

* * * *

(4) The Store shall have a shelf price for each stock keeping item which is visible to the consumer and which is located directly under the item on the shelf where the item is displayed; or if the item is not conspicuously visible to the consumer, by a sign or list conspicuously placed near the point of procurement.

H. The [Director] Commissioner may revoke a waiver from item pricing for any of the following reasons:

(1) Failure to comply with any provisions of this article.
(2) Deliberate overcharging of any consumer.
(3) Material misrepresentation in the application for a waiver.

§ 542-11. Settlement or compromise of violations.

A. In lieu of instituting or continuing a hearing to recover a civil penalty or penalties, the [Director] Commissioner may release, settle or compromise any alleged violation by accepting written assurance of discontinuance of any act or practice in violation of this article.

* * * *

§ 542-12. Hearing.

A. A hearing held on any alleged violation or violations of the provisions of this article shall be scheduled on a date not less than five business days after notification of such hearing, in writing, to the person involved. The hearing shall be conducted by an officer or employee designated by the [Director] Commissioner for such purpose. The person conducting the hearing shall make a written determination. Such determination may be appealed to the [Director] Commissioner who, after due deliberation, shall issue an order accepting, modifying or rejecting such determination.

* * * *

C. At the hearing conducted by the officer or employee designated by the [Director] Commissioner, the [Office] Department shall be authorized to recover any penalty imposed as the result of a finding of a violation of the provisions of this article.

* * * *

E. For the purpose of this article, the [Director] Commissioner, or any officer or employee designated by him or her, may administer oaths, take testimony, subpoena witnesses and compel the production of books, papers, records and documents pertinent to the subject of the investigation, pursuant to § C31-2B and C of the Suffolk County Charter.

§ 542-13. Rules and regulations.

A. The [Director] Commissioner shall issue, promulgate and adopt such rules and regulations as he or she shall deem necessary and sufficient to carry out and implement the purpose of this article.

* * * *

Section 3. Applicability.

This law shall apply to all actions occurring on or after the effective date of this law.

Section 4. Severability.

If any clause, sentence, paragraph, subdivision, section, or part of this law or the application thereof to any person, individual, corporation, firm, partnership, entity, or circumstance shall be adjudged by any court of competent jurisdiction to be invalid or unconstitutional, such order or judgment shall not affect, impair, or invalidate the remainder thereof, but shall be confined in its operation to the clause, sentence, paragraph, subdivision, section, or part of this law, or in its application to the person, individual, corporation, firm, partnership, entity, or circumstance directly involved in the controversy in which such order or judgment shall be rendered.

Section 5. SEQRA Determination.

This Legislature, being the lead agency under the State Environmental Quality Review Act ("SEQRA"), N.Y. Environmental Conservation Law Article 8 and Chapter 450 of the Suffolk County Code, hereby finds and determines that this resolution constitutes a Type II action pursuant to Section 617.5(c)(20) and (27) of Title 6 of the NEW YORK CODE OF RULES AND REGULATIONS ("NYCRR") in that the action constitutes routine or continuing agency administration and management, not including new programs or major reordering of priorities that may affect the environment. The Suffolk County Council on Environmental Quality (CEQ) is hereby directed to circulate any appropriate SEQRA notices of determination of non-applicability or non-significance in accordance with this resolution.

Section 6. Effective Date.

This law shall take effect immediately upon filing with the Office of the Secretary of State.

[ ] Brackets denote deletion of language.

___ Underlining denotes addition of new language.

DATED:

APPROVED BY:

_____

County Executive of Suffolk County

Date:

OFFICE OF THE COUNTY LEGISLATURE

COUNTY OF SUFFOLK

GEORGE NOLAN
COUNSEL TO THE LEGISLATURE
email: george.nolan@suffolkcountyny.gov

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WILLIAM H. ROGERS BUILDING
P.O. BOX 6100
HAUPPAUGE, NY 11788-0099
(631) 853-5494 (PHONE)
(631) 853-4415 (FAX)

DATE: NOVEMBER 2, 2016

TO: CLERK OF THE COUNTY LEGISLATURE

RE: MEMORANDUM OF COUNSEL TO THE LEGISLATURE PURSUANT TO RULE 28

PROPOSED LOCAL LAW YEAR 2016

TITLE: I.R. NO. -2016; A LOCAL LAW TO ENHANCE PROVISIONS OF THE ITEM PRICING LAW

SPONSOR: PRESIDING OFFICER ON REQUEST OF THE COUNTY EXECUTIVE

DATE OF RECEIPT BY COUNSEL: 11/2/16 **PUBLIC HEARING:** 11/22/16

DATE ADOPTED/NOT ADOPTED: _____ **CERTIFIED COPY RECEIVED:** _____

This proposed law seeks to amend the County's item pricing law, codified in Chapter 542 of the Suffolk County Code.

Presently, the County's item pricing law is applicable to sale items that are typically found in grocery stores, i.e., food, paper goods, detergents, soaps, non-prescription drugs, feminine hygiene products, health and beauty aids. This proposed law would expand the scope of the item pricing law to include any group "of items offered for sale of the same brand, quantity of contents, retail price and variety."

This law would also amend the County's item pricing waiver rules. Currently, the law permits a store to seek a waiver from item pricing requirements. Too obtain such a waiver a store must pay a fee to the County based on the gross size of the store. The fees range from $500 for a store under 3,000 square feet in size to $15,000 for a store larger than 90,000 square feet. This law would base the amount of the fee on a store's retail display area, i.e., that portion of store where items are displayed for consumer purchase. Additionally, this law would add a requirement that a store receiving a waiver post the price for items offered for sale directly on the shelf under the item.

This law will take effect immediately upon its filing in the Office of the Secretary of State.

GEORGE NOLAN
Counsel to the Legislature

GN:tm

s:\rule28\28-enhance item pricing

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STATEMENT OF FINANCIAL IMPACT
OF PROPOSED SUFFOLK COUNTY LEGISLATION

1. Type of Legislation

Resolution _X_ Local Law ___ Charter Law

2. Title of Proposed Legislation: **ADOPTING LOCAL LAW NO. -2016, A LOCAL LAW TO ENHANCE PROVISIONS OF THE ITEM PRICING LAW**

3. Purpose of Proposed Legislation:
The purpose of this legislation is to enhance Chapter 542 Article I of Suffolk County Law to bring parity in consumer price protection. Nassau and Westchester counties have laws that provide consumer protection throughout all retail establishments where Suffolk currently doesn't.

4. Will the Proposed Legislation Have a Fiscal Impact? Yes ___ No__X_

5. If the Answer to item 4 is "yes", on what will it impact? (check appropriate category)

_____ County	_____Town	_____Economic Impact
_____Village	_____School District	_____Other (Specify
_____Library District	_____Fire District	_____NOT APPLICABLE

6. If the answer to item 4 is "yes", Provide Detailed Explanation of Impact

7. Total Financial Cost of Funding over 5 Years on Each Affected Political or Subdivision.

8. Proposed Source of Funding

9. Timing of Impact

10. Typed Name & Title of Preparer	11. Signature of Preparer	12. Date
BARBARA D'AMICO DIRECTOR OF FINANCE	Barbara D'Amico	10/13/16

SCIN FORM 175b (10/95)

Debra Kulver
Budget Office

COST TO THE AVERAGE TAXPAYER

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GENERAL FUND

	2015 PROPERTY TAX LEVY	ESTIMATED 2016* COST TO AVG TAXPAYER	2015 AV TAX RATE PER $100	2015 FEV TAX RATE PER $1000
TOTAL	$0	$0.00		$0.000

POLICE DISTRICT AND DISTRICT COURT

	2015 PROPERTY TAX LEVY	ESTIMATED 2016* COST TO AVG TAXPAYER	2015 AV TAX RATE PER $100	2015 FEV TAX RATE PER $1000
TOTAL	$0	$0.00		$0.000

COMBINED

	2015 PROPERTY TAX LEVY	ESTIMATED 2016* COST TO AVG TAXPAYER	2015 AV TAX RATE PER $100	2015 FEV TAX RATE PER $1000
TOTAL	$0	$0.00		$0.000

** The Estimated 2016 Cost to Average Taxpayer is based upon the 2015 property tax levy and is provided for informational purposes only.*

NOTES:

1) SOURCE FOR NUMBER OF FAMILY PARCELS AND CORRESPONDING ASSESSED VALUATION: SUFFOLK COUNTY REAL PROPERTY, 2014.
2) SOURCE FOR TOTAL TAXABLE ASSESSED VALUATION FOR COUNTY PURPOSES: SCHEDULE A, REPORT OF ASSESSED VALUATION FOR 2014-2015.
3) SOURCE FOR EQUALIZATION RATES: 2014 COUNTY EQUALIZATION RATES ESTABLISHED BY THE NEW YORK STATE BOARD OF EQUALIZATION AND ASSESSMENTS.

To be completed by the Executive Budget Office

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2016 INTERGOVERNMENTAL RELATIONS
MEMORANDUM OF SUPPORT

TITLE OF BILL:

ADOPTING LOCAL LAW NO. -2016, A LOCAL LAW TO ENHANCE PROVISIONS OF THE ITEM PRICING LAW

PURPOSE OR GENERAL IDEAL OF BILL:

The purpose of this legislation is to enhance Chapter 542 Article I of Suffolk County Law to bring parity in consumer price protection. Nassau and Westchester counties have laws that provide consumer protection throughout all retail establishments where Suffolk currently doesn't.

SUMMARY OF SPECIFIC PROVISIONS:

JUSTIFICATION:

To create parity in consumer protection. These changes allow Suffolk to provide the same consumer protection that is afforded consumers in Nassau and Westchester counties.

FISCAL IMPLICATIONS:

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COUNTY OF SUFFOLK

STEVEN BELLONE
SUFFOLK COUNTY EXECUTIVE

FRANK NARDELLI
COMMISSIONER
DEPARTMENT OF LABOR, LICENSING &
CONSUMER AFFAIRS
725 VETERANS MEMORIAL HIGHWAY
HAUPPAUGE, N.Y. 11788

ADDRESS CORRESPONDENCE TO:
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HAUPPAUGE, N.Y. 11788-0099
e-mail:sc.dol@suffolkcountyny.gov
PHONE # (631) 853-6600
www.suffolkcountyny.gov/labor

MEMORANDUM

TO: Jon Schneider, Deputy County Executive
Suffolk County Executive's Office

FROM: Frank Nardelli, Commissioner FN

DATE: October 13, 2016

RE: INTRODUCTORY RESOLUTION

Attached please find the following Introductory Resolution for the next Legislative meeting:

RESOLUTION NO. -16, **ADOPTING LOCAL LAW NO. - 2016, A LOCAL LAW TO ENHANCE PROVISIONS OF THE ITEM PRICING LAW.**

AN E-MAIL VERSION WILL BE SENT TO CE RESO REVIEW UNDER THE TITLE "RESO-LLCA-A LOCAL LAW TO ENHANCE PROVISIONS OF THE ITEM PRICING LAW."

Thank you for your assistance.

* * *

FN:dv
Attachment